



**8<sup>th</sup> Meeting of the Compliance Committee (CC8) and 11<sup>th</sup> Meeting of the Parties (MoP11)**

*Seoul, Republic of Korea, 26–28 June 2024 and 01-05 July 2024*

**MoP-11-34**

# New CCPs Compliance Report Template

SIOFA Secretariat

<b>Meeting</b>	Compliance Committee <input type="checkbox"/> Meeting of the Parties
<b>Document type</b>	working paper <input checked="" type="checkbox"/> information paper <input type="checkbox"/>
<b>Distribution</b>	Public <input checked="" type="checkbox"/> Restricted <sup>1</sup> <input type="checkbox"/> Closed session document <sup>2</sup> <input type="checkbox"/>
<b>Abstract</b>	<p>Following discussions at the Seventh Meeting of the Compliance Committee of the Southern Indian Ocean Fisheries Agreement (CC07), the CC and the MoP agreed to continue discussions related to a new CCP Compliance Report (CCR) Template intersessionally based on the two templates that were presented to the committee. To recap, there were two proposed methods for the assessment of some of the obligations in the SIOFA CMMs, which suggests the establishment of relevant domestic measures by CCPs to ensure compliance by intended subjects (vessels, nationals, ports, etc..). CCPs may further recall discussions related to the assessment criteria for these obligations, where there was no agreed consensus on the assessment criteria, and consequently the CCR template to be used for the collection of data on compliance, for assessment by the Compliance Committee.</p> <p>Following this, CCPs convened twice to discuss and agree on a new CCR template for use during the next compliance assessment process. The proposed templates draws from comments received during and after the two meetings. The template being proposed to the CC08 should maintain the current level of reporting for obligations that requires implementation of domestic measures, eliminates the need to report on such measures annually unless there has been changes to these measures or to the CMM, and other improvements suggested by CCPs</p> <p>The document was considered by the CC08, and it endorsed the template as the new template, while proposing that the Secretariat include a field for CCPs to include a reason when they select a status of “not applicable”.</p>

<sup>1</sup> Restricted documents may contain confidential information. Please do not distribute restricted documents in any form without the explicit permission of the SIOFA Secretariat and the data owner(s)/provider(s).

<sup>2</sup> Documents available only to members invited to closed sessions.

**Recommendations** (for proposals and working papers only)

- That the MoP11 notes and adopts the endorsed template as the new SIOFA CCR Template



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## Template for the CCP Compliance Report (CCR) For the Implementation of SIOFA Conservation and Management Measures

### **ASSESSMENT PERIOD 1 JANUARY – 31 DECEMBER 2024**

In accordance with [CMM 11 \(2020\) \(Compliance Monitoring Scheme\)](#), CCPs are invited to prepare a CCP Compliance Report (CCR) based on this template, including a preliminary self-assessment of its compliance status for each assessed obligation.

Statements of implementation should briefly summarise their contents and relevance including a citation where necessary.

CCPs that have not updated national binding measures since the last compliance assessment are not required to report these measures but to select “*Measures have not been updated since last compliance assessment*”.

CCPs’ self-assessments should be supported by some evidence or documentation where relevant.

For clarity, all references to “Previous Calendar Year” refer to the calendar year preceding the Assessment Period.

### **Submission Deadline: DD-MMM-2025**

Name of CCP: Choose an item.

Date of Report Submission: Click or tap to enter a date.



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## Implementation of the Southern Indian Ocean Fisheries Agreement

<b>Article 10(2):</b> (For Coastal States only) Indicate measures (if any) taken to manage straddling stocks occurring in waters under your jurisdiction adjacent to the SIOFA Area.	Preliminary Self-Assessment
<p><i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment.</p> <p><input type="checkbox"/> No measures established</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.

<b>Article 11.3. (c):</b> Submission of National Report concerning the previous calendar year that conforms to the requirements of paragraph 9 of CMM 02	Preliminary Self-Assessment
<p><input type="checkbox"/> Yes      Date of Submission: Click or tap to enter a date.</p> <p><input type="checkbox"/> No</p>	Choose an item.

## Implementation of CMM 01 (2023) (Interim Management of Bottom Fishing)

### General provisions and definitions

<p><b>Para 2:</b> Have vessel(s) flying your flag engaged in bottom fishing in the agreement area during the assessment Period?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No. Please skip to the next CMM if vessel(s) flying your flag has not engaged in bottom fishing during the assessment period</p>
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### Duties of Contracting Parties, CNCPs, and PFEs undertaking bottom fishing activity in the Agreement Area

Please fill this table if you have fished more than 40 days in a single year in the Agreement Area at the time this CMM was first adopted (2016)

Not Applicable

<b>Para 10(1)(a):</b> Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag	Preliminary Self-assessment
<p><input type="checkbox"/> Catch and/or Effort limits established and applied</p> <p><input type="checkbox"/> No catch and/or effort limits established and applied</p>	Choose an item.
<p><input type="checkbox"/> Constraints on the spatial distribution of bottom fishing effort established and applied.</p> <p><input type="checkbox"/> No constraints on the spatial distribution of bottom fishing effort established and applied</p>	Choose an item.



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<input type="checkbox"/> Provisions established and applied to ensure bottom fishing does not significantly impact VMEs.  <input type="checkbox"/> No provisions established and applied	Choose an item.
<input type="checkbox"/> Provisions established and applied to ensure that any vessel flying your flag is not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing  <input type="checkbox"/> No provisions established and applied.	Choose an item.

Please fill this table if you have fished less than 40 days in a single year in the Agreement Area at the time this CMM was first adopted (2016)

**Not Applicable**

<b>Para 10(1)(b):</b> Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag:	Preliminary Self-assessment
<input type="checkbox"/> Limits on bottom fishing effort and/or catch and spatial distribution established and applied.  <input type="checkbox"/> No limits on bottom fishing effort and/or catch, and spatial distribution established and applied.	Choose an item.
<input type="checkbox"/> Provisions established and applied to ensure that bottom fishing does not significantly impact VMEs.  <input type="checkbox"/> No provisions established and applied.	Choose an item.
<input type="checkbox"/> Provisions established and applied to ensure that any vessels flying your flag that are not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing.  <input type="checkbox"/> No provisions established and applied.	Choose an item.

<b>Para 10(3):</b> Secretariat notified within 30 days of any revisions or amendments to measures established in accordance with the requirements of paragraph 10(1)	Preliminary Self-Assessment
<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable	Choose an item.



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Para 11: Vessel Authorization for bottom fishing	Preliminary Self-Assessment
<p>For CCPs that have not submitted measures required pursuant to Paragraph 10 (1) did you authorise any vessel(s) flying your flag to bottom fish in the agreement area</p> <p><input type="checkbox"/> Yes</p> <p><i>If yes, please indicate the number of vessel(s) Authorized: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.

## Vulnerable Marine Ecosystems

Para 12: Threshold level for Vulnerable Marine Ecosystems (VME) applied to flagged vessel(s) as prescribed by paragraph 12 of this CMM	Preliminary Self-Assessment
<p>Indicate measures established and applied to ensure compliance of vessels(s) flying your flag with established threshold levels prescribed in Paragraph 12 of this CMM, for encounter with VMEs: <i>Indicate measures here.</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment.</p> <p><input type="checkbox"/> No measures established.</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.

Para 13: Requirements established to cease bottom fishing upon evidence of VME encounter	Preliminary Self-Assessment
<p>Indicate measures to implement requirements of paragraph 13: <i>Indicate measures here.</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment.</p> <p><input type="checkbox"/> No measures established</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.
<p>Have evidence of VME encounters reported in National Reports to the Scientific Committee, following guidelines established in Annex 2</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.



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## Provision of data by, and interim bottom fishing measures for, new CCPs

Paragraph 27 Not Applicable

Para 27: Requirements related to States or fishing entities that became CCP after the Meeting of Parties in 2017	Preliminary Self-Assessment
<input type="checkbox"/> Meeting of the Parties has been advised of measures the CCP intends to take under paragraph s 10 (1) a i., ii., iii., and iv.  <input type="checkbox"/> Meeting of Parties the has <b>not</b> been advised of measures the CCP intends to take pursuant to paragraphs 10 (1) a i., ii., iii., and iv.	Choose an item.
<input type="checkbox"/> Data and BFIA submitted to SC as <i>required</i> by paragraphs 20 and 21, respectively.  <input type="checkbox"/> Data (bottom fishing effort and VMEs) and BFIA <b>not</b> submitted to SC	Choose an item.

## Scientific observer coverage

Para 39 & 45 (b): Scientific Observer Coverage	Preliminary Self-Assessment
Indicate observer level (in percentage) for: Vessel(s) using trawl gears: <a href="#">Click or tap here to enter text.</a> Vessel(s) using any other fishing gears: <a href="#">Click or tap here to enter text.</a>	Choose an item.
Vessel(s) that operated in Interim Protected Areas (IPA) on Annex 3: <a href="#">Click or tap here to enter text.</a>	Choose an item.

## Interim Protected Areas (IPA)

Para 45 (a): Prohibition of bottom fishing, excluding line and trap methods in IPA designated in Annex 3	Preliminary Self-Assessment
Did you prohibit bottom fishing (excluding line and trap methods) by vessels flying your flag in the IPAs listed in Annex 3 of this CMM? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable  If yes, please indicate how the prohibition is being provisionally applied <i>Indicate measures here:</i>  <input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i>	Choose an item.



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## Implementation of CMM 02 (2023) (Data Standards)

### Application

Have any vessels flying your flag been engaged in fishing<sup>1</sup> in the Agreement Area during the assessment period?

YES

NO  *If no, please skip paragraphs 4 to 8 and move directly to subsequent questions.*

### Vessel Catch and Effort Data

Para 4 & 5: Collection of Data	Preliminary Self-Assessment
<p>Indicate measures established and applied to ensure that data on fishing activities, including for target, non-target and associated and dependent species such as marine mammals, marine reptiles, seabirds or 'other species of concern', are collected in accordance with the relevant sections of Annex A of this CMM</p> <p><i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures established</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.
<p>Indicate measures established and applied to ensure that vessels collect catch and effort on a haul-by-haul basis, with the exception of hand line fishing where data shall be collected on an operational basis</p> <p><i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures established</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.
<b>Para 6,7 and 19: Data Submission</b>	
<p>Did you submit all data on fishing activities, and catch and effort data by the 31<sup>st</sup> May, in accordance with formats prescribed in corresponding annexes</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.
<p>Did you submit by the 31<sup>st</sup> May your annual catch summary for all species/groups caught during the previous calendar year</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

<sup>1</sup> As defined by Article 1(g) of the Agreement.





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Did you submit all data in accordance with the formats described in this CMM, including its annexes. <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
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Para 8: On-board FAO identification guide to the deep-sea cartilaginous fishes of the IO	Preliminary Self-Assessment
For vessels that engaged in, or intended to engage in, fishing pursuant to CMM 01 (2023), are these vessels required to carry the FAO Identification guide to the deep-sea cartilaginous fishes of the Indian Ocean? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable	Choose an item.

## National Report

Para 9, 14, and 18 (c): Annual National Report of fishing research and management activity to SC	Preliminary Self-Assessment
<input type="checkbox"/> Report Submitted <input type="checkbox"/> Report included <i>annual observer program implementation report</i> . <sup>2</sup> <input type="checkbox"/> Report included <i>annual data verification report</i> . <sup>3</sup> <input type="checkbox"/> No report submitted to the Scientific Committee	Choose an item.

## Scientific Observer Data

Para 12 & 15: Scientific Observer Program	Preliminary Self-Assessment
Was there a Scientific Observer Program Implemented, during the assessment period? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable	Choose an item.
If yes, were the data collected in accordance with relevant sections of Annex B <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable	Choose an item.

<sup>2</sup> For CCPs with vessels on the RAV.

<sup>3</sup> For CCPs with vessels on the RAV, irrespective of fishing activity during assessment period.



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## Data Verification

Para 18: Data verification mechanism	Preliminary Self-Assessment
<p>Do you have a data verification mechanism in place?</p> <p><input type="checkbox"/> Yes</p> <p>If yes, indicate the types of data verification mechanisms in place (<i>Tick as appropriate</i>)</p> <p><input type="checkbox"/> Position verification through VMS</p> <p><input type="checkbox"/> Independent Monitoring (scientific observer and/ or approved EMS program)</p> <p><input type="checkbox"/> Vessel trip, landing, and transshipment reports</p> <p><input type="checkbox"/> Port Sampling</p> <p><input type="checkbox"/> Others (<i>Please elaborate</i>):</p> <p><input type="checkbox"/> No data verification mechanism in place</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.

## Implementation of CMM 04 (2016) (Vessels Without Nationality)

Para 5: Report of sightings of fishing vessel(s) without nationality	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag report sightings of fishing vessels suspected or confirmed to be to be without nationality that may be fishing in the Agreement Area?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No sightings reported by vessels (<i>Obligation Not Applicable</i>)</p> <p>If yes, were these reports sent to the Secretariat</p> <p><input type="checkbox"/> Yes. Number of reports submitted: <a href="#">Click or tap here to enter text.</a></p> <p><input type="checkbox"/> No reports sent to Secretariat</p>	Choose an item.

## Implementation of CMM 05 (2016) (Pelagic Driftnets and Deepwater Gillnets)

Para 1: Prohibition of largescale pelagic driftnets in Agreement Area	Preliminary Self-Assessment
<p>Are vessels flying your flag prohibited from using large-scale driftnets in the Agreement Area:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>If yes Indicate measures in place to prohibit the use of large-scale pelagic driftnets by vessels flying your flag in the Agreement Area: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p>	Choose an item.



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## Implementation of CMM 06 (2022) (IUU Vessels List)

### Transmission of information to establish the Draft IUU Vessel List

Para 2 & 3: Transmission of Information on vessel presumed to have engaged in IUU fishing in the Agreement Area	Preliminary Self-Assessment
<p>Did you have any information on vessels presumed to have engaged in IUU fishing?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No (Not Applicable)</p> <p><i>If yes, please indicate if:</i></p> <p>Report (s) were submitted to the Secretariat</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.
<p>Did you provide a copy of this information to the flag state prior to, or at the same time as, reporting it to the Secretariat?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p>	Choose an item.

### IUU Vessel List

Para 19: Measures to Prevent, Deter and Eliminate IUU Fishing	Preliminary Self-Assessment
<i>Please indicate measures taken under your national legislation to:</i>	
<p>eliminate IUU activities, including if necessary, the withdrawal of the fishing licences, authorisations or permits granted to vessels included in the IUU Vessel List, and the refusal of the fishing licences, authorisations or permits to such vessels: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment.</p> <p><input type="checkbox"/> No measures established</p>	Choose an item.
<p>ensure that vessel(s) flying your flag do not participate in any transshipment or other operations such as joint-fishing, transfers at sea of fuel, crew, gear or any other supplies either as an unloading or receiving vessel, with vessels included in the IUU Vessel List: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment.</p> <p><input type="checkbox"/> No measures established</p>	Choose an item.
<p>ensure that vessels on the IUU Vessel List are denied access to ports unless for the purpose of inspecting them and taking other appropriate actions in conformity with international law which are at least as effective as denial of port entry in preventing, deterring and eliminating IUU fishing: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment.</p> <p><input type="checkbox"/> No measures established</p>	Choose an item.



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<p>give priority to the inspection of vessels on the IUU Vessel List, if such vessels are found in your ports: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures established</p>	Choose an item.
<p>prohibit the chartering of vessels on the IUU Vessel List: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures established</p>	Choose an item.
<p>refuse to grant your flag to vessels on the IUU Vessel List: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures established</p>	Choose an item.
<p>prohibit commercial transactions, such as imports, exports or re-exports, landings and transshipments of fisheries resources covered by the Agreement, as well as other operations involving such fisheries resources, from vessels on the IUU Vessel List: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures established</p>	Choose an item.
<p>prohibit change of crew on board of vessels on the IUU Vessel List: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures established</p>	Choose an item.
<p>collect and promptly exchange with other Contracting Parties, CNCPs and PFEs, any appropriate relevant information on vessels included in the IUU Vessel List: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures established</p>	Choose an item.

## Designation of National Contact Points

Para 31: Designation of National Contact Points	Preliminary Self-Assessment
<p>Have you designated National contact points for the purposes of Paragraph 31 of this CMM?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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## *Implementation of CMM 07 (2022) (Vessel Authorization)*

Para 1: Vessel Authorization	Preliminary Self-Assessment
<p>Did any vessel(s) flying your flag <b>not</b> included on the SIOFA Record of Authorized Vessels (RAV) fish, retain onboard, tranship, or land fishery resources in the Agreement Area?</p> <p><input type="checkbox"/> Yes <i>If yes, please elaborate as necessary (Including name, date and time, species by quantity, and any other relevant information):</i></p> <p><input type="checkbox"/> No</p>	Choose an item.

Para 4: Notification of Modification regarding Vessel Data	Preliminary Self-Assessment
<p>Were there any modifications to data of vessels flying your flag authorised to fish in the agreement Area?</p> <p>Yes <input type="checkbox"/> <i>If yes, was the secretariat informed within 15 days of such modification.</i></p> <p>Yes <input type="checkbox"/></p> <p>No: <input type="checkbox"/></p> <p><input type="checkbox"/> No modifications to vessel data (Not Applicable)</p>	Choose an item.

Para 6 (b-d): Vessel authorisations to operate in the Agreement Area	Preliminary Self-Assessment
<i>Please indicate measures to ensure that vessel(s) flying your flag:</i>	
<p>comply with all relevant SIOFA CMMs: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures established</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.
<p>that are on the SIOFA RAV keep onboard valid certificates of vessel registration and valid authorisation to fish and/or to undertake fishing-related activities: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures established</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.



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<p>that are on the SIOFA RAV Vessel(s) have no history of IUU fishing, or, if those vessel(s) have such history, the new owners have provided sufficient evidence demonstrating that the previous owners and operators have no legal, beneficial or financial interest in or control over those vessel(s), or that have taken into account all relevant facts, your vessel(s) are not engaged in or associated with IUU fishing: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures established <input type="checkbox"/> Not applicable</p>	Choose an item.
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Para7: Vessel Authorization	Preliminary Self-Assessment
<p>Indicate measures under your national legislation to prohibit fishing and fishing-related activities on fishery resources covered by the Agreement by vessels not registered in the SIOFA Record of Authorized Vessels: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures in place under applicable legislation</p>	Choose an item.

Para 8: Notice to Secretariat of Fishing Activity by unregistered Vessel	Preliminary Self-Assessment
<p>Did you have evidence showing that there were reasonable grounds for suspecting vessel(s) <b>not</b> registered on the SIOFA RAV had operated in the Agreement Area</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No (Not Applicable)</p> <p><i>If yes, has the Secretariat been notified as required by this paragraph</i></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.



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## Implementation of CMM 08 (2020) (Port Inspection)

### Application

**Para 31:** Are you a coastal state and a port state with areas of national jurisdiction adjacent to the Agreement Area?

- Yes. Please fill this section of the CCR template as applicable  
 No. Please skip to Paragraph 26

### Designation of ports

Para 2: Designation of ports	Preliminary Self-Assessment
Have you designated, publicized, and notified of the Ports where foreign vessel(s) may request entry :  <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
Were there changes to the designated ports during the assessment period?  <input type="checkbox"/> Yes <input type="checkbox"/> No  If yes, was the Secretariat notified <i>at least</i> 30 days before the changes took effect: <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.

Para 5: Notification Period	Preliminary Self-Assessment
Indicate measures in place to ensure foreign vessel(s) calling into your ports submit information specified in Annex I of this CMM (at minimum) at least 48 hours before the estimated time of arrival. <i>Indicate measures here</i>  <input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures in place	Choose an item.
If you have a notification period other than 48hrs, have the secretariat been informed without delay: <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No alternate notification period ( <i>Obligation Not Applicable</i> )	Choose an item.



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<p>Have there been any changes in the notification period prior to the estimated time of arrival?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No (<i>Obligation Not Applicable</i>)</p> <p>If yes, have the secretariat been notified of these changes <i>at least</i> 30 days before the changes took effect:</p> <p><input type="checkbox"/> Yes.</p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>
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## Port entry, authorization or denial of foreign vessels / Use of ports by foreign vessels

Paras 8, 9, 12 & 14: Denial of entry and/or use of port	Preliminary Self-Assessment
<p>Have any foreign vessels engaged in IUU fishing sought to enter one of your designated ports during the assessment period?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No (Not Applicable)</p> <p>If yes, were these vessels denied entry into the designated port(s)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>
<p>Were the flag state (s) and Secretariat notified of the decision(s) to deny port entry to these vessels</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p>	
<p>Where vessel(s) engaged in IUU fishing were allowed to enter your ports for any reason, did you deny the use of port(s) for landing, transshipment, packaging and processing of fisheries resources and other port services by these vessels?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p>	<p>Choose an item.</p>
<p>Were the flag state and the Secretariat notified of decisions to deny the use of port</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p>	





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## Inspections

Paras 17, 19,21, 22, 23, 24 & 25: Inspections	Preliminary Self-Assessment
<p>Are all Port Inspectors duly authorized, trained and familiar with the Agreement and all of its relevant CMMs</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were all inspections carried out, at a minimum, following the procedures set out in Annex III</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were inspections carried out following international law, conducted within 72hrs of port entry, and carried out in an expeditious fashion</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p><i>Dissostichus ssp</i></p> <p>Did you carry out inspections on all fishing vessel(s) carrying or landing <i>Dissostichus spp</i>, that entered your port(s) carrying or landing <i>Dissostichus spp</i></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessels carrying or landing <i>Dissostichus spp</i>. called into your ports(s) <i>(Obligation Not Applicable)</i></p> <p>If yes please indicate: Number of vessels carrying or landing <i>Dissostichus spp</i> that called into your port: <a href="#">Click or tap here to enter text.</a> Number of vessel(s) carrying or landing <i>Dissostichus spp</i>. inspected: <a href="#">Click or tap here to enter text.</a></p>	Choose an item.
<p>Did you receive requests from another CCP, RFMO or CCAMLR pursuant to paragraph 23 (a) of this CMM</p> <p><input type="checkbox"/> Yes <i>If yes, please indicate</i> Number of requests received: <a href="#">Click or tap here to enter text.</a> Number of vessels inspected pursuant to such requests: <a href="#">Click or tap here to enter text.</a></p> <p><input type="checkbox"/> No requests received from another CCP, RFMO or CCAMLR pursuant to paragraph 23 (a) <i>(Obligation Not Applicable)</i></p>	Choose an item.



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<p>Did any vessel fail to provide the information required by paragraph 5</p> <p><input type="checkbox"/> Yes <i>If yes, please indicate</i></p> <p style="padding-left: 40px;">Number of such vessel(s): Click or tap here to enter text.</p> <p style="padding-left: 40px;">Number of such vessel(s) inspected: Click or tap here to enter text.</p> <p><input type="checkbox"/> No vessel(s) failed to provide the information required in paragraph 5  <i>(Obligation Not Applicable)</i></p>	<p>Choose an item.</p>
<p>Did all inspection reports contain, at least, the information set out in Annex IV:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> <i>No SIOFA vessels called into designated ports (obligation not applicable)</i></p>	<p>Choose an item.</p>
<p>Where compliance issues warranted the attention of the competent authority, were these inspection reports forwarded to the competent authority and the Secretariat <i>within 30 days</i> following completion of such inspection or as soon as possible</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p><i>If any reports could not be submitted within the specified time period, was the Secretariat within that same time period informed of the potential delay, including reasons for such, and when the report will be submitted:</i></p> <p><input type="checkbox"/> Yes <i>If yes, indicate the number of reports submitted after the specified time period: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> All reports were submitted within the specified time period <i>(Obligation Not Applicable)</i></p>	<p>Choose an item.</p>

## Role of Contracting Parties, CNCPs and PFEs in relation to vessel(s) flying their flags

Para 26: Flag CCPs obligations	Preliminary Self-Assessment
<p>Indicate measures to ensure vessel(s) flying your flag cooperate with port state inspections carried out pursuant to this CMM: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable</p>	<p>Choose an item.</p>



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Para 27 - 30: Flag state obligations	Preliminary Self-Assessment
<p>Have there been any grounds to suspect any vessel(s) flying your flag have engaged in IUU fishing?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If yes, please indicate if you requested a CCP where the vessel sought entry or was in the port, to carry out inspection (s) on such vessel(s) or to take other adequate measures.</i></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Obligation Not Applicable</p>	Choose an item.
<p>Following such inspections, and where there were clear grounds that a vessel flying your flag has engaged in IUU fishing, was the matter fully investigated</p> <p><input type="checkbox"/> Yes <i>If yes please elaborate on enforcement actions taken against such vessel:</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessels found to have conducted IUU fishing after such inspections <i>(Obligation Not Applicable, skip to next CMM)</i></p>	Choose an item.
<p>Was the secretariat duly informed on any action taken against such vessel(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Did you provide a report to the Compliance Committee of actions taken under paragraph 27 of this CMM?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.



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## Implementation of CMM 09 (2022) (Control)

### Co-operation and Contact Points

Para 2: Designation of Contact Points	Preliminary Self-Assessment
<p>Have you designated the competent authority(ies) that shall act as the Contact Point for the purpose of receiving reports and notifications and issuing authorizations in accordance with the relevant SIOFA CMM, 30 days after the adoption of this CMM</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Have you notified the Secretariat of any subsequent changes to the list, without delay?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No changes to the list (<i>Obligation Not Applicable</i>)</p>	Choose an item.

### Vessel Requirements

Para 3: Onboard Documents	Preliminary Self-Assessment
<p>Indicate measures to ensure that vessel(s) flying your flag: Carry onboard the current valid documents issued by its competent authority that are contained in paragraph 6(c) of CMM 07 (2022): <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.
<p>Carry onboard valid documents issued by its competent authority containing the up-to-date information listed in paragraph 2 of CMM 07 (2022) of vessel registration and valid authorisation to fish and/or undertake fishing-related activities and keeps onboard valid documents issued by their competent authority containing up-to-date information listed in paragraph of CMM 07: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p> <p>If no such measures are in place, have you agreed for the Secretariat to make this information available upon request for the purposes of Control</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	



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Para 4: Vessel markings	Preliminary Self-Assessment
<p>Are vessel(s) flying your flag and authorized to operate in the Agreement Area marked in such a way that they can be easily identified?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not applicable</p> <p>If yes, indicate measures to ensure that vessel(s) flying your flag and authorized to operate in the Agreement Area are marked in such a way that they can be easily identified:  <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p>	Choose an item.

## Marking of fixed gear

Para 5 & 6: Marking of Gears	Preliminary Self-Assessment
<p>Are all fixed gears used by vessel(s) flying your flag marked in accordance with paragraph 5 of this CMM</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not Applicable</p> <p>Indicate measures to ensure that fixed gears used by vessel(s) flying your flag are marked in accordance with paragraph 5 of this CMM: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p>	Choose an item.
<p>Has the secretariat been notified of the information regarding the marking of fixed gear?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not Applicable</p>	Choose an item.

## Retrieval of abandoned, lost or otherwise discarded fishing gear

Para 7: Retrieval of abandoned, lost or otherwise discarded fishing gear	Preliminary Self-Assessment
<p>Number of abandoned/lost gears by vessel(s) flying your flag: <a href="#">Click or tap here to enter text.</a>            Number of ALDFG retrieved by vessel(s) flying your flag: <a href="#">Click or tap here to enter text.</a></p>	
<p>Did vessel (s) flying your flag abandon lost gears only after having made every reasonable attempt to retrieve it as soon as possible</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not Applicable</p>	Choose an item.



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<p>Except for safety reasons, notably vessels in distress and/or life in danger, did vessel(s) flying your flag deliberately abandon fishing gears</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not Applicable</p>	Choose an item.
<p>Did vessels flying your flag promptly notify their competent authority when gears could not be retrieved (with the information specified in paragraph 7 d)</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not Applicable</p>	Choose an item.
<p>Did vessels flying your flag promptly notify their competent authority following retrieval of any ALDFG (with the information specified in paragraph 7 e)</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not Applicable</p>	Choose an item.
<p>Was the Secretariat promptly notified of information referred to in subparagraphs (d) and (e).</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Obligation not applicable</p>	Choose an item.

## Discard of Plastics

<b>Para 8 &amp; 9: Discard of plastics<sup>4</sup></b>	<b>Preliminary Self-Assessment</b>
<p>Indicate measures in place to ensure that vessel(s) flying your flag are required to store onboard all plastics, until they can be discarded at adequate port facilities, in accordance with paragraphs 8 and 9 of this CMM:  <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i>  <input type="checkbox"/> No measures in place  <input type="checkbox"/> Not Applicable</p>	Choose an item.

## Labelling of frozen products of fishery resources

<b>Para 10: Labelling of frozen products of Fishery resources</b>	<b>Preliminary Self-Assessment</b>
<p>Indicate measures in place to ensure that vessel(s) fishing for fishery resources comply with the requirements of paragraph 10 of this CMM:  <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p>	Choose an item.

<sup>4</sup> Applicable to all flag CCPs



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- |                                                                                          |  |
|------------------------------------------------------------------------------------------|--|
| <input type="checkbox"/> No measures in place<br><input type="checkbox"/> Not Applicable |  |
|------------------------------------------------------------------------------------------|--|

## Scientific Observer Programme

Para 11: Qualification and Authorization of Scientific Observers	Preliminary Self-Assessment
<p>Are scientific observers qualified and authorized to perform their tasks and record any requested data</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p> <p>If yes please elaborate on how this is implemented: <i>Indicate measures here:</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p>	Choose an item.

## Sightings and identifications of non-Contracting Party, non-CNCP and non-PFE vessel(s)

Para 12: Vessel Sightings	Preliminary Self-Assessment
<p>Are vessel(s) required to report any presumed fishing as defined in the Agreement, including transshipment, by vessel(s) flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement in the Agreement Area:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p> <p>If yes, please indicate measures established to this end: <i>Indicate measures here:</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p>	Choose an item.



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Para 13: Reports of fishing, including transshipments by vessel not flying the flag of a CCP	Preliminary Self-Assessment
<p>Were there any reported sightings of fishing, including transshipments by vessel not flying the flag of a CCP</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No (Obligation Not Applicable)</p> <p>If yes, were these reports submitted to the Secretariat as soon as practical</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><i>Please indicate:</i></p> <p>Number of sightings reported by vessel(s) flying your flag: <a href="#">Click or tap here to enter text.</a></p> <p>Number of such sightings reported to the Secretariat: <a href="#">Click or tap here to enter text.</a></p>	<p>Choose an item.</p>

## *Implementation of CMM 10 (2023) (Monitoring)*

### Information on fishing activities

Para 2 & 3: Fishing logbook	Preliminary Self-Assessment
<p>Are vessel(s) required to maintain a bound fishing logbook or an electronic fishing logbook containing the information relevant for compliance with the data collection submission requirements of CMM 02 with consecutively numbered page</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No requirements for maintaining a logbook (bound or electronic)</p> <p><input type="checkbox"/> Not applicable</p> <p>If yes, please indicate measures established to this end: <i>Indicate measures here:</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p>	<p>Choose an item.</p>
<p>Indicate measures in place to ensure:</p> <ul style="list-style-type: none"> <li>- Submission of logbook data within 30 days of the completion of fishing trip:</li> <li>- Logbook data are submitted in accordance with CMM 02 and maintained in accordance with CMM 03, and:</li> <li>- The quantities of catch recorded on vessel(s) (flying your flag) corresponds to the quantities of catch kept onboard</li> </ul> <p><i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable</p>	<p>Choose an item.</p>





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Para 4: Obligation to cooperate	Preliminary Self-Assessment
<p>Did you receive any request from a CCP for information contained in fishing logbooks from the preceding 12 months</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No (Obligation Not Applicable)</p> <p>If yes, did you cooperate with such requests</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

## Vessel Monitoring System

Paras 5-7, 9-11: Requirements for vessel(s) to be fitted with an automatic location communicator (ALC)	Preliminary Self-Assessment
<p>Indicate measures to ensure that vessel(s) flying your flag that are operating in the Agreement Area are fitted with an operational ALC, and reporting back to its competent authority: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.
<p>Indicate measures in place to ensure that ALC units on vessel(s) flying your flag remain operational at all times while in the agreement area: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.
<p>Have systems been developed, implemented, and improved to maintain a record of all vessel positions reported through VMS and logbooks?</p> <p><input type="checkbox"/> Yes</p> <p><i>If yes, please provide information on how this is implemented:</i></p> <p><input type="checkbox"/> No</p>	Choose an item.



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<p>Are VMS position reports transmitted at least once every two (2) hours from each fishing vessel flying your flag and included in the SIOFA RAV while operating in the Agreement Area</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p> <p>Are vessel(s) position reports transmitted in accordance with the standard established in paragraph 9 of this CMM?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p> <p>Indicate measures in place to ensure that vessel(s) do not enter the Agreement Area and commence operations with a defective ALC: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i>  <input type="checkbox"/> No measures in place  <input type="checkbox"/> Not applicable</p>	<p>Choose an item.</p>
<p>Indicate measures in place to ensure that vessel(s) follow procedures established by paragraph 10, in the event of a technical failure or non-operation of the ALC fitted onboard a vessel: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i>  <input type="checkbox"/> No measures in place  <input type="checkbox"/> Not applicable</p>	<p>Choose an item.</p>
<p>Indicate measures in place to ensure that the ALCs fitted onboard vessel(s) flying your flag are tamper resistant, i.e., located within a sealed unit and be protected by official seals (or mechanisms) of a type that will indicate whether the unit has been accessed or tampered with: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i>  <input type="checkbox"/> No measures in place  <input type="checkbox"/> Not applicable</p>	<p>Choose an item.</p>

## Entry / Exit Reports

Para 15: Entry / Exit Notifications	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that the secretariat is notified of each entry into and each exit from the Agreement Area by vessel(s) flying your flag, authorized to fish for species managed by SIOFA, in accordance with CMM 07:  <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i>  <input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>



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Not applicable

## Interim Measures for at sea transhipments and transfers

<b>Para 17:</b> Transhipment of Fishery resources subject to flag CCPs authorization.	Preliminary Self-Assessment
Indicate measures in place to ensure vessel(s) flying your flag conduct transhipments at sea of fishery resources <i>only</i> after having received prior authorization from their competent authority <i>Indicate measures here</i>  <input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable	Choose an item.
<b>Para 18:</b> Prohibition of transhipment of SIOFA Fishery resources with vessels not included on the SIOFA RAV.	Preliminary Self-Assessment
Indicate measures in place to ensure vessel(s) flying your flag conduct transhipments at sea of fishery resources with other vessel(s) on the SIOFA RAV: <i>Indicate measures here</i>  <input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable	Choose an item.
<b>Para 19:</b> Prohibition of transhipment of SIOFA Fishery resources with vessels included in the IUU vessels list of an RFMO or CCAMLR.	Preliminary Self-Assessment
Indicate measures in place to prohibit vessel(s) flying your flag from conducting transhipments at sea of fishery resources with any vessels included in the IUU vessels list established by an RFMO or CCAMLR: <i>Indicate measures here</i>  <input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable	Choose an item.



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Para 20: Procedures for undertaking Transhipment at sea	Preliminary Self-Assessment
<p>Indicate measures in place to ensure vessel(s) flying your flag conducted transhipments following procedures established in paragraph 20 of CMM 10: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.
Para 21: Procedures for undertaking Transfers at sea	Preliminary Self-Assessment
<p>Indicate measures in place to ensure vessel(s) flying your flag conducted any at-sea transfers following procedures established in paragraph 21 a-d: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.
Para 23: Information verification process	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that all information received in accordance with paragraphs 20 and 21 are verified for accuracy <i>Indicate measures here+</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.
Para 24: Receipt of fishery resources from more than one unloading vessel	Preliminary Self-Assessment
<p><i>Indicate measures in place to ensure that vessel(s) flying your flag receiving fishery resources at sea:</i></p> <p>stores the fishery resources and related documentation from each unloading vessel separately, allowing to distinguish from which unloading vessel each part of the fishery resources on board comes, including through the use of canvas or nets to separate fishery resources on board and/or appropriate labelling for boxes: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.



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<p>maintains an up-to-date stowage plan and other documents showing the location and quantities of species received from each unloading vessel and retains these on board until the vessel has been unloaded completely:  <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment.  <input type="checkbox"/> No measures in place  <input type="checkbox"/> Not applicable</p>	<p>Choose an item.</p>
<p>makes available the information referred to in sub-paragraph b. to its competent authority and to the relevant port State when required: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment.  <input type="checkbox"/> No measures in place  <input type="checkbox"/> Not applicable</p>	<p>Choose an item.</p>

## Monitoring of transshipments in ports

<b>Para 26 – 28, 30: Procedures for undertaking transhipment in port</b> <i>Indicate measures in place to ensure vessel(s) flying your flag and carrying SIOFA fishery resources conducted transshipments in port in accordance with the following requirements:</i>	<b>Preliminary Self-Assessment</b>
<p>Ensuring that vessels tranship in a port only if it has prior authorisation from its competent authority and the port State:  <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment.  <input type="checkbox"/> No measures in place  <input type="checkbox"/> Not applicable</p>	<p>Choose an item.</p>
<p>Prohibition to undertake transshipments in port of fishery resources with vessels included in the IUU vessel lists established by CCAMLR or any regional fisheries management organization  <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment.  <input type="checkbox"/> No measures in place  <input type="checkbox"/> Not applicable</p>	<p>Choose an item.</p>
<p>Submission of notification, at least 24hrs prior to transhipment information required by paragraph 28, to the port state and the competent authority of the receiving vessel: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment.  <input type="checkbox"/> No measures in place  <input type="checkbox"/> Not applicable</p>	<p>Choose an item.</p>
<p>Submission of transhipment declaration (Annex IV) to the competent authority of the flag state, the port state and the receiving vessel within 24hrs of the transhipment: <i>Indicate measures here</i></p>	<p>Choose an item.</p>



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<input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable	
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<b>Para 32: Information verification process</b>	Preliminary Self-Assessment
Indicate measures in place to verify the accuracy of information received in accordance with paragraphs 28 -31: <i>Indicate measures here</i>  <input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable	Choose an item.

<b>Para 33: Report on transshipments and at sea transfers</b>	Preliminary Self-Assessment
Did you complete and submit the information required under paragraph 33 (a) – (e), as applicable? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessel transhipped SIOFA fishery resources at sea and / or conducted at sea transfer	Choose an item.

## *Implementation of CMM 11 (2020) (Compliance Monitoring Scheme)*

<b>Para 12: Completion and Submission of this CCR Template</b>	Preliminary Self-Assessment
Did you complete and submit your CCP Compliance Report (CCR) before the deadline, i.e. no later than 60 days before the commencement of the Meeting of Parties?  <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.



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## Implementation of CMM 12 (2023) (Sharks)

Did vessel(s) flying your flag engage in fishing operations in the SIOFA Agreement Area <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please skip to the next CMM if vessel(s) flying your flag has not engage in fishing operations during the assessment period</i>
-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Para 2: Prohibition on the targeting of sharks	Preliminary Self-Assessment
Indicate measures to prohibit the targeting of any deep-sea shark species listed in Annex 1 of this CMM, by vessel(s) flying your flag: <i>Indicate measures here</i>  <input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable	Choose an item.

Para 5: Reporting of Portuguese dogfish catch in subarea 2	Preliminary Self-Assessment
Did your vessel catch Portuguese dogfish in Subarea 2? <input type="checkbox"/> Yes. <input type="checkbox"/> No (Obligations Not Applicable)  If yes, were catch reports submitted monthly or weekly as applicable, to the Secretariat? <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
Were the submitted reports submitted within the stipulated timelines, i.e. by the twentieth day of the following month for monthly reports and/or by close of business Wednesday for the preceding seven days for weekly reports <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.

Para 7: Reporting requirements related to the catching of deep-sea shark species	Preliminary Self-Assessment
Indicate measure to ensure vessel(s) flying your flag records and submits all reporting requirements, as per CMM 02, for all deep-sea shark species to the lowest taxonomical level possible when engaged in fishing for fishery resources: <i>Indicate measures here</i>  <input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable	Choose an item.



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## Implementation of CMM 13 (2022) (Mitigation of Seabird Bycatch)

### Application and geographic scope

**Para 1:** Did vessel (s) flying your flag engage in fishing operations in the SIOFA agreement Area for Fishery Resources, as defined in Article 1(f) of the Agreement, south of 25°S.

- Yes
- No *CMM not applicable. Please skip to the next CMM, if not applicable. No submissions are required in the Compliance Report Section for this CMM.*

### General provisions for demersal and pelagic longliners and other demersal fishing gears

Para 2: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
Indicate national binding measures to ensure that vessel(s) flying your flag using <b>demersal or pelagic longlines or other demersal fishing gears</b> apply all the mitigation measures provided in paragraph 2: <i>Indicate measures here</i>	Choose an item.
<input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i>	
<input type="checkbox"/> No measures in place	
<input type="checkbox"/> Not applicable	

### Provisions applying to demersal longliners

Para 3 & 4: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
Indicate national binding measures to ensure that <b>demersal longliners</b> flying your flag, apply all the mitigations measures provided in paragraph 3 while operating south of 25°S: <i>Indicate measures here</i>	Choose an item.
<input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i>	
<input type="checkbox"/> No measures in place	
<input type="checkbox"/> Not applicable	
Indicate national binding measures to ensure that <b>demersal longliners of less than 25m</b> apply <i>at least one</i> of the mitigations measures prescribed in paragraph 4 while operating south of 25°S: <i>Indicate measures here</i>	Choose an item.
<input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i>	
<input type="checkbox"/> No measures in place	
<input type="checkbox"/> Not applicable	





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## Provisions applying to other demersal fishing gears

Para 5: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
<p>Indicate national binding measures to ensure that vessel(s) flying your flag using demersal pots or traps ensures the cleanliness of the traps and pots so as not to attract birds, and ensures that buoy lines are not left floating at the surface, while operating south of 25°S: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.

## Provisions applying to pelagic longliners

Para 6: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
<p>Indicate measures to ensure that <b>pelagic longliners</b> flying your flag use at least two of the three mitigation measures outlined in Annex 3: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.



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## *Implementation of CMM 14 (2021) (High Seas Boarding and Inspection Procedures)*

### General Provisions

Paras 6: General Provisions	Preliminary Self-Assessment
<p>Are masters of fishing vessel(s) flying your flag required to accept and facilitate boarding and inspection pursuant to this measure</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable</p> <p><i>If yes, indicate measures in place to this end:</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment</i></p>	Choose an item.

Paras 7 – 9: General Provisions	Preliminary Self-Assessment
<p>Were boardings between a contracting party and a participating fishing entity conducted after a notification was sent to the Meeting of the Parties, at least 90 days prior to the boarding and inspection</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p>	Choose an item.
<p>Are vessel(s) flying your flag provided a copy or a translation of this measure</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.
<p>Are Authorized Inspectors required to comply with this measure in the conduct of any boarding and inspection activities undertaken under these procedures</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.



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## Notification requirements

Para 10: Designation of contact points	Preliminary Self-Assessment
<p>Have contact points been designated for the purposes of receiving and sending notifications and reports to and from authorities of the fishing vessel(s)</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not Applicable</p> <p>Have contact points been designated for the purposes of receiving and sending notifications and reports to and from the authorities of the inspecting vessel(s)</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not Applicable</p>	Choose an item.
<p>Were there any changes in the designated contact points?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p> <p>If yes, was the Secretariat notified of these changes?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p>	Choose an item.

## Participation

Para 12 & 13: Notification of intention to carry out boarding and inspectors	Preliminary Self-Assessment
<p>Did you notify the Secretariat of your intention to carry out boarding pursuant to this measure</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not Applicable</p> <p>If yes, please indicate if you provided all relevant information with respect to:</p> <p style="padding-left: 40px;">Each Authorized Inspection Vessel, as required by paragraph 12.a.<sup>5</sup></p> <p style="padding-left: 80px;"><input type="checkbox"/> Yes  <input type="checkbox"/> No</p> <p style="padding-left: 40px;">Each Authorized Inspectors, as required by paragraph 12.b.<sup>6</sup></p>	Choose an item.

<sup>5</sup> Details of vessel, (name, description, photograph, registration number, port of registry (and, if different from the port of registry, port marked on the vessel hull), international radio call sign), except where not applicable for military vessels and notification that the inspection vessel is clearly marked and identifiable as being on government service and by clearly flying a SIOFA inspection flag.

<sup>6</sup> i. the name(s) of the authorities responsible for boarding and inspection;



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<input type="checkbox"/> Yes <input type="checkbox"/> No	
Were there any changes to information provided pursuant to Paragraph 12 <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable  If yes, was the Secretariat informed of these changes <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.

Para 15: Boardings by military vessel(s)	Preliminary Self-Assessment
Did you carry out boarding and inspections using military vessel(s) <input type="checkbox"/> Yes <input type="checkbox"/> No ( <i>Obligation Not Applicable</i> ) If yes, indicate if <input type="checkbox"/> Boardings were carried out by inspectors fully trained in fisheries enforcement procedures and duly authorised for this purpose by national laws. <input type="checkbox"/> Boardings conformed to the procedures contained within this measure.	Choose an item.

## Cooperative activities

Para 18: Bilateral or Multilateral Arrangements	Preliminary Self-Assessment
Did you notify the Secretariat of any bilateral or multilateral arrangements referred to in paragraph 16 of this CMM? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No bilateral or multilateral arrangements in effect ( <i>Obligation Not Applicable</i> )	Choose an item.

- 
- ii. an example of the credentials issued to its Authorised Inspectors;
  - iii. notification that such Authorised Inspectors are fully familiar with the species and fishing activities to be inspected and the relevant provisions of the Agreement and CMMs in force;
  - iv. notification that the Authorised Inspectors have received and completed training to safely carry out boarding and inspection activities at sea. Such training should include instruction on overcoming communication barriers and on de-escalation techniques; and
  - v. notification that any Authorised Inspectors carrying arms have received and completed appropriate levels of training on the use of those arms.



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## Procedures

Para 19 – 26, 30-32: Boarding and Inspection procedures by Authorized Inspectors	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that Authorized Inspectors comply with boarding procedures established in paragraphs 19 – 26 and 30-32 of this CMM: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.

Para 27 – 29: Responsibility of Master and Crew of the fishing vessel during boarding and Inspection	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that Master and Crew of the fishing vessel comply with boarding procedures established in paragraphs 27 – 29 of this CMM: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.

## Inspection Reports

Para 33: Reports of Authorized Inspectors	Preliminary Self-Assessment
<p>Did Authorized inspectors prepare a full report on each boarding and inspection they carried out under this measures, including any supporting information, using the data fields in the <i>Boarding and Inspection Reporting Form (Annex 1 of this CMM)</i></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p>	Choose an item.



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<p>Were copies of all boarding and inspection reports (in electronic format) transmitted to the Authorities of the Fishing Vessel, and to the Executive Secretary, within three (3) working days of the completion of the boarding and inspection</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not Applicable</p> <p>In cases where the reports could not be submitted within the specified timeframe, were the concerned parties notified of such, while specifying the time period within which the report will be provided?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not Applicable</p>	<p>Choose an item.</p>
<p>Did all reports referred in paragraph 33 of this CMM include the name(s) and authority of the Authorized inspector(s)</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p> <p>Did all reports clearly identify any observed activity or conditions that the Authorized Inspectors believed to be a violation of the agreement of CMMs in force and indicated specific factual evidence relating to each alleged violation</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> Not Applicable</p>	

## Serious violations

<b>Para 35, 37, and 39: Responsibilities of the Authority(ies) of Inspection Vessel(s) in relation to serious violations</b>	<b>Preliminary Self-Assessment</b>
<p>Were there any serious violations observed by your Authorized Inspectors during the assessment period?</p> <p><input type="checkbox"/> Yes <i>If yes, indicate number of serious violations observed: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No <i>(Obligations Not Applicable, please skip to next applicable obligation)</i></p>	
<p>Were the Authorities of the inspected vessel immediately notified, directly and through the Executive Secretary of the serious violation(s) observed?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p>	<p>Choose an item.</p>
<p>In cases where the Authorities of the Fishing Vessel have indicated they will investigate the alleged serious violation(s), were they provided with the specific evidence collected by the Authorized Inspectors, as soon as practicable?</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p>	<p>Choose an item.</p>



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In cases where the Authorities of the Inspection vessel have decided to undertake investigation(s) of alleged serious violations(s), were the specific evidence collected by the Authorised Inspectors, along with the results of any investigation, provided to the Authorities of the Fishing Vessel and the Executive Secretary immediately upon completion of the investigation and in any case no later than 6 (six) months after the date of the inspection? <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
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Para 36, 38 and 40 Responsibilities of the Authority(ies) of Fishing Vessel(s) in relations to serious violations	Preliminary Self-Assessment
Were there any serious violation(s) observed onboard vessel(s) flying your flag, by Authorized Inspectors during the assessment period? <input type="checkbox"/> Yes <input type="checkbox"/> No ( <i>Obligations Not Applicable, please proceed to paragraph 43</i> )  If yes, indicate number of serious violations observed: <a href="#">Click or tap here to enter text.</a>	
Did you provide an initial response to the notification(s) of the alleged serious violation(s) without delay and in any case no later than three (3) working days, to the Authorities of the Inspection Vessel and the Executive Secretary? <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
In cases where you indicated that you would investigate the alleged serious violation(s), did you provide reports of the investigation to the Executive Secretary and the Authorities of the Inspection vessel within two (2) months of the notification in paragraph 36 <input type="checkbox"/> Yes <input type="checkbox"/> No  Did you take any enforcement action against fishing vessel(s) found to have committed serious violations(s) <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable  If yes, were the Authorities of the Inspection Vessel, as well as the Executive Secretary notified of any such enforcement action within six (6) months of the date of notification in paragraph 36 <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
Did you receive any request from a CCP to investigate an alleged serious violation? <input type="checkbox"/> Yes <input type="checkbox"/> No ( <i>Obligation Not Applicable</i> )	Choose an item.



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<p>If yes, did you provide a reply, including details of any action taken or proposed to be taken in relation to the alleged serious violation, to all Contracting Parties as soon as practicable and in any case within two (2) months of such a request.</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p> <p>Upon completion of such investigations, did you provide a report on the outcome of the investigation to the Executive Secretary for circulation to all CCPs for consideration at the next Meeting of the Parties</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No</p>	
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## Enforcement

<p><b>Para 43:</b> Interference by a fishing vessel or its master or crew with an Authorised Inspector or an Authorised Inspection Vessel.</p>	<p>Preliminary Self-Assessment</p>
<p>Indicate measures in place to ensure that you can effectively respond to any interference by a fishing vessel flying your flag, or its master or crew, with an Authorised Inspector, or an Authorised Inspection Vessel: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i>  <input type="checkbox"/> No measures in place  <input type="checkbox"/> Not applicable</p>	<p>Choose an item.</p>

## Annual Reports

<p><b>Para 44:</b> Reports of action against vessel(s) that committed serious violations</p>	<p>Preliminary Self-Assessment</p>
<p>Did you report to the Meeting of the Parties through your implementation report required under Article 10(2) or under CMM 11 (Compliance Monitoring Scheme) on the boarding and inspections carried out by your Authorised Inspection Vessel(s), as well as upon possible violations observed.</p> <p><input type="checkbox"/> Yes  <input type="checkbox"/> No  <input type="checkbox"/> No boarding and inspection conducted (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>

<p><b>Para 45:</b> Reports of Action against vessel(s) that committed serious violations</p>	<p>Preliminary Self-Assessment</p>
<p>If evidence warranted, indicate actions taken against such vessel(s) found to have committed serious violations: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No vessel found to have committed serious violations (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>





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## Provisions relating to non-CCPs

Para 46 - 48: Provisions relating to non-CCPs	Preliminary Self-Assessment
<p>Did your Authorized Inspection vessel(s) identify unidentified or unauthorized vessel(s) of non-CCPs that were fishing in the agreement Area?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable <i>(Please skip to next CMM)</i></p> <p>If yes, indicate the number of vessel(s) identified: <a href="#">Click or tap here to enter text.</a></p> <p>Were these vessel(s) reported to the Executive Secretary</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Did your Authorized Inspection Vessel attempt to inform any fishing vessel identified pursuant to paragraph 46 that it has been seen or identified as potentially engaging in fishing that undermines the effectiveness of the Agreement or CMMs.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes was this information included in the report(s) sent to the Executive Secretary, as well as to the authorities of the relevant non-CCP</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were you allowed to board vessel(s) of non-CCP identified pursuant to paragraph 46</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, indicate the number of vessel(s) boarded and inspected: <a href="#">Click or tap here to enter text.</a></p> <p>Did you report findings of such inspections to the Executive Secretary</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.



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## *Implementation of CMM 15 (2023) (Management of Demersal Stocks)*

### General provisions

**Para 2:** Have vessel(s) flying your flag engaged in bottom fishing in the agreement area during the assessment Period?

Yes

No (CMM Not Applicable. Please skip this CMM)

<b>Para 12:</b> Prohibition on fishing in depths less than 500m	Preliminary Self-Assessment
Indicate measures implemented and applied to prohibit fishing with demersal longlines in depths shallower than 500m: <i>Indicate measures here</i>  <input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable	Choose an item.

<b>Para 13:</b> Requirement for mandatory observer coverage for vessel(s) participating in the <i>Dissostichus</i> spp. fishery. <sup>7</sup>	Preliminary Self-Assessment
<i>Indicate the level of:</i> Observer coverage for all fishing activity: Click or tap here to enter text.  Minimum of 25% of hooks haul per line observed over the duration of all fishing deployments <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.

<sup>7</sup> Applicable as of the 1<sup>st</sup> December 2023



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## 1. Management & research measures for toothfish in the Del Cano Area

### Effort/catch and operational limits

No vessel fished for *Dissostichus* spp. in the Del Cano Rise area (*Please skip to 20 - 21, then proceed to paragraph 34*).

Para 16: <i>Dissostichus</i> spp.	Preliminary Self-Assessment
<p>Please indicate: Your total quota for the season of 1 December 2023 to 30 November 2024 (after any transfers) for <i>Dissostichus</i> spp.: <a href="#">Click or tap here to enter text</a>. Annual catch of <i>Dissostichus</i> spp. for the Fishing Season of December 2023 to November 2024: <a href="#">Click or tap here to enter text</a>.</p> <p>Indicate measures to manage your available catch of <i>Dissostichus</i> spp.: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.

Para 17: Monthly <i>Dissostichus</i> spp. catch report	Preliminary Self-Assessment
<p>Have all monthly reports of your <i>Dissostichus</i> spp. catches been sent to the Secretariat during the assessment period?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Para 18: Fishing Activity Reports	Preliminary Self-Assessment
<p>Indicate measures to ensure that your fishing vessel(s) transmits their daily reports in accordance with paragraph 18: <i>Indicate measures here</i></p> <p><input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.

Para 19: Effort Limitations	Preliminary Self-Assessment
<p>Did fishing vessel(s) flying your flag, using longlines: Exceed 3000 hooks per line</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p>	Choose an item.



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Set lines at minimum 3 nautical miles from each other <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable	Choose an item.
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## Bycatch Limits

Para 20 - 21: Bycatch Limits	Preliminary Self-Assessment
Did any vessel not targeting <i>Dissostichus</i> spp. catch more than 0.5t of <i>Dissostichus</i> spp during the season <input type="checkbox"/> Yes <input type="checkbox"/> No (Not Applicable)  If yes please indicate the number of vessel(s) that had bycatch of <i>Dissostichus</i> spp. that exceeded the limit of 0.5t per season: <a href="#">Click or tap here to enter text.</a>	
Were those vessel(s) prohibited from engaging in any further fishing in the Del Cano area for the rest of that season: <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.

## VMS polling

Para 25: VMS location report interval period	Preliminary Self-Assessment
Indicate measures in place to ensure that your flagged vessel(s) fishing for <i>Dissostichus</i> spp.in the Del Cano Rise area reports VMS data automatically to their FMC at least every hour when they are present in the Del Cano area: <i>Indicate measures here</i>  <input type="checkbox"/> <i>Measures have not been updated since the last compliance assessment.</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable	Choose an item.

## Observers

Para 26: Requirement for mandatory observer coverage for vessel(s) participating in the <i>Dissostichus</i> spp. fishery. (Del Cano Rise area)	Preliminary Self-Assessment
<i>Indicate level of:</i> observer coverage for all fishing activity: <a href="#">Click or tap here to enter text.</a> Did scientific observers onboard vessels observed 25% of hooks haul per line observed over the duration of the fishing deployment. <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.



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## Tagging and release

Para 27: Tag and release	Preliminary Self-Assessment
<p>Indicate measures to ensure that vessel(s) flying your flag tags and releases <i>Dissostichus</i> spp. specimens at a rate of at least 5 fish per tonne green weight caught, while applying a minimum overlap statistic of at least 60% for tag release, once 30 or more <i>Dissostichus</i> spp. have been caught: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.

## 2. Management & research measures for toothfish in Williams Ridge [FAO Subarea 57.4]

No vessel fished for *Dissostichus* spp. in Williams Ridge (*Please go to paragraphs 44 - 45, then the end of this CCR*)

Para 34: Total Annual Research Catch of <i>Dissostichus</i> spp.
<p>Please indicate:</p> <p>Annual research catch of <i>Dissostichus</i> spp. for the last fishing season of 1 December 2023 to 30 November 2024: <a href="#">Click or tap here to enter text.</a></p>

Para 35: Daily catch report	Preliminary Self-Assessment
<p>Indicate measures to ensure vessel(s) flying your flag reports all daily reports of their <i>Dissostichus</i> spp. to the secretariat, using Annex III for template, also informing the secretariat on start and end points of set long lines, using the template at Annex IV: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.



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Para 37: Grid Cell Entry Notification requirements	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) flying your flag informs the secretariat as soon as they enter a grid cell to fish for <i>Dissostichus</i> spp., or send an advanced notification prior to entering a grid cell for fishing, outside of secretariat business hours.</p> <p><i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.

Para 38: Notification Requirements	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) flying your flag <b>does not</b> fish in a cell until the Secretariat confirms that two lines have not been set in a grid during that season and that the grid was not being fished by another vessel.</p> <p><i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p> <p>Please indicate number of vessel(s), <i>if any</i>, that have failed to comply with the above requirements of paragraph 38: <a href="#">Click or tap here to enter text.</a></p>	Choose an item.

Para 39: Grid Cell Exit Notification Requirements	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) flying your flag informs the Secretariat as soon as they exit the grid cell as specified in paragraph. 39 while also informing the Secretariat of the number of lines, if any, they have set and/or hauled in that grid cell:</p> <p><i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p> <p>Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 39: <a href="#">Click or tap here to enter text.</a></p>	Choose an item.



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Para 41: Limits on number of lines set per season, per grid cells	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that there are no more than two lines in total that are set per grid cell for the duration of a fishing season of <i>Dissostichus ssp.</i>: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.

Para 43: Other effort limits	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) flying your flag, and fishing for <i>Dissostichus ssp.</i> apply a break of a minimum of 30 days between consecutive fishing trips to William Ridge: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Measures have not been updated since the last compliance assessment. <input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable</p>	Choose an item.

## Bycatch Limits

Para 44 & 45: Bycatch Limits	Preliminary Self-Assessment
<p>Did any vessel not targeting <i>Dissostichus ssp.</i> catch more than 0.5t of <i>Dissostichus ssp.</i> during the season</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No (Not Applicable)</p> <p>If yes please indicate the number of vessel(s) that had bycatch of <i>Dissostichus ssp.</i> that exceeded the limit of 0.5t per season: <a href="#">Click or tap here to enter text.</a></p>	
<p>Were those vessel(s) prohibited from engaging in any further fishing in the Williams Ridge area for the rest of that season:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

---End of CCP Compliance Report---