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Southern Indian Ocean Fisheries Agreement
Accord relatif aux Pêches dans le Sud de l'Océan Indien

Discussion Paper on the SIOFA CCPs Compliance
Report (CCR) Template_Rev1a.

CCRT-02-01

SIOFA Secretariat



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Background

Following discussions at the *Seventh Meeting of the Compliance Committee of the Southern Indian Ocean Fisheries Agreement (CC07)*, the CC and the MoP agreed to continue discussions related to a new CCP Compliance Report (CCR) Template intersessionally based on the two templates that were presented to the committee. To recap, there were two proposed methods for the assessment of some of the obligations in the SIOFA CMMs, which suggests the establishment of relevant domestic measures by CCPs to ensure compliance by intended subjects (vessels, nationals, ports, etc.). CCPs may further recall discussions related to the assessment criteria for these obligations, where there was no agreed consensus on the assessment criteria, and consequently the CCR template to be used for the collection of data on compliance, for assessment by the Compliance Committee.

Following this, CCPs convened on the 14th of December 2023 to discuss the new template in detail, including one of the main points of discussion at CC07 and MoP10, which was the methodology to assess obligations that may require implementing national binding measures.

At the conclusion of the meeting, CCPs agreed that they would need more time to work on the template and, therefore, agreed to provide comments on the discussion paper (CCRT01-01), which would serve as the basis for a revision thereof. The meeting also noted the divergent views among CCPs regarding the assessment methodologies for some obligations.

This paper summarises the comments received during and after the 1st meeting and the amendments to the discussion paper.

Summary of Comments

At the 1st Meeting on the Southern Indian Ocean Fisheries Agreement (SIOFA) CCPs Compliance Report Template (CCRT-01) CCPs expressed their views on the proposed template. One key discussion point was related to the assessment methodology for obligations that places obligations on CCPs to implement national domestic measures in relation to these obligations.

During the CCRT01, Australia was of the view that such obligations are to be assessed based on the domestic measures established by CCPs, including the implementation into domestic law of these obligations. However, Australia was also of the view that the reporting of these measures must be streamlined and that CCPs should be required to report these measures once and only report any changes to those measures after that. Australia also proposed that the justification of Non-Applicability should be left to be defined by the CCPs rather than the template suggesting a rationale.

However the (EU) expressed concern with focusing exclusively on how CCPs implement their SIOFA obligations internally. The EU noted the need to ensure a level playing field in terms of compliance assessment between CCPs, recalling that English is the working language of the Organization, that the internal measures of some CCPs are not available in English and that the Secretariat's ability to understand measures implemented by CCPs in languages other than English was limited. The EU also considered that the SIOFA CMMs do not specify how CCPs are to implement their obligations internally and that assessing CCPs' internal frameworks went beyond the Secretariat's mandate and ability, noting that other RFMOs do not require CCPs to report on their internal implementation of obligations while using the same language to prescribe action by CCPs (e.g., 'shall ensure', 'shall take measures'). The EU further noted that rules and processes, while implemented internally, are not necessarily implemented on the ground. Therefore, an approach focusing solely or mainly on the internal implementation by CCPs of their obligations may mean that instances of non-compliance on



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the ground are not detected. The EU expressed that it is more important to understand what vessels are doing on the ground and how CCPs respond to instances of non-compliance.

Other comments received during the CCRT-01 suggested ways on how also to improve and streamline the template.

Following the conclusion of the CCRT01, the Secretariat received additional comments on how to improve the CCR template. CCPs also stated their preference with regard to the assessment methodology for obligations that may require the implementation of national binding measures. There were some minor comments on how the compliance assessment process can be streamlined or made more robust.

The main comments received on the template are as follows:

- SIOFA parties should report on the implementation of SIOFA obligations (such as nationally binding measures), however, reporting should be simplified and avoid duplication.
- Proposal for CCPs to provide the Secretariat copies of any nationally binding measures used to implement their SIOFA obligations, both in their original languages and in English translations, where possible (although not required as some legal documents may be too long to reasonably translate). The instruments should be accompanied by a brief summary of their contents and relevance in English. Statements of implementation could then refer back to these instruments, providing the text from the relevant paragraph and/or clause, including citation. This will save time for CCPs as they only need to submit the instruments once and only provide updates to the Secretariat when necessary.
- Proposal to justify “Not Applicable” obligations.
- Review the questions to be coherent and consistent throughout the template. Also to ensure that language used within the template is aligned with obligations in CMMs
- Assessment of sub-paragraphs individually, instead of grouping them by paragraphs
- That the template is updated to reflect obligations to be assessed in 2025, i.e. entered into force after the 1st of January 2024.
- In some cases, CCPs were of the view that some obligations were to be assessed on both the national binding measures implemented and the activities related to these obligations.

The comments received during the meeting and after its conclusions were incorporated in a Rev1 of the discussion paper, which was circulated to CCPs for further comments.

Comments on the Rev1 were largely positive, noting improvements to the template. Notwithstanding, CCPs provided further inputs on the templates, which includes:

- Exclusion of report submission dates on the template
- Minor drafting suggestions for some provisions
- Further alignment, improving coherence and consistency of questions to the provisions
- Further work on ensuring that sub-paragraphs are assessed individually
- Proposal not to introduce new requirements to justify Non- applicability (*Not Applicable- Please provide an explanation*)

As the discussion paper included both assessment methodologies, some CCPs noted their preference when submitting their comments. Some CCPs supported the assessment based on implementing domestic measures by CCPs where applicable, with one elaborating that if the obligation is read “Each CCP shall...” (or similar language is used), the subject to be assessed should be on the CCP level instead of an individual fishing vessel.



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One CCP reiterated its concern related to the approach to assessing the implementation of SIOFA obligations. The CCP was of the view that Rev1 does not bring SIOFA closer to achieving the objectives set for the revision process, which were simplification, increased consistency and coherence, and reduced burden on CCPs. The CCP believed that the revised template is moving away from these objectives stressing the need for an approach that ensures the equal treatment of CCPs.

Another CCP provided its views on the mandate of SIOFA vis-a-vis pelagic longliners with respect to paragraph 6 of CMM 13 (2022),¹ which is that certain pelagic fisheries are under the scope of SIOFA such as sauries (Scomberesocidae), but SIOFA pelagic species are caught using other gear (otter trawl, handline) not pelagic longline (which targets tuna and billfish).

Rev1a of the Proposed CCR Template

Further to revisions made in Rev1, the Secretariat has, taking into consideration all comments received from CCPs, updated the Rev1 to Rev1a with the following changes:

- Further improvements have been made to ensure the alignment, consistency and coherence of the document vis-à-vis the obligations
- “*Not Applicable- Please provide an explanation*” bracketed for discussion at the next meeting
- Removal of data submission dates
- More provisions have been updated so that sub-paragraphs can be assessed individually

Other drafting suggestions made by CCPs are also included in the *track change* version of the document.

To further facilitate the discussion, while the format of the discussion paper remains unchanged (i.e., presenting both assessment methodologies), we have annexed two CCR templates with each proposed approach presented separately, with [Annex A](#) presenting the template with the assessments of concerned obligations based on implementation of national measures, and [Annex B](#) focusing on vessels compliance with CMMs.

Conclusion

Based on the above, CCPs are welcome to comment on the new working document and continue discussions on which assessment methodology to adopt for obligations that may require the implementation of national binding measures.

¹ [Conservation and Management Measure on mitigation of seabirds bycatch in demersal and pelagic longlines and other demersal fishing gears fisheries \(Mitigation of Seabirds Bycatch\)](#)



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Template for the CCP Compliance Report (CCR) For the Implementation of SIOFA Conservation and Management Measures

ASSESSMENT PERIOD 1 JANUARY – 31 DECEMBER 2024

In accordance with [CMM 11 \(2020\) \(Compliance Monitoring Scheme\)](#), CCPs are invited to prepare a CCP Compliance Report (CCR) based on this template, including a preliminary self-assessment of its compliance status for each assessed obligation.

Reports of national binding measures¹ are to be reported in the first section of the CCR. These reports shall be accompanied by copies of those measures, both in their original language and in English, where possible. National binding measures should be accompanied by a brief summary of their contents and relevance in English.

Statements of Implementation may refer to these measures, providing the text from the relevant clause, including a citation.

CCPs that have not updated national binding measures since the last compliance assessment are not required to report these measures but to indicate “National Binding Measures have not been updated since last compliance assessment”.

CCPs’ self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation.

For clarity, all references to “Previous Calendar Year” refer to the year preceding the Assessment Period.

Submission Deadline: DD-MMM-2025

Name of CCP: Choose an item.

Date of Report Submission: Click or tap to enter a date.

¹ National binding measures may include fishing conditions, legislation, policies or any form of binding domestic arrangements established by CCPs.



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Report of National Domestic Measures to Implement Conservation and Management Measures

Implementation of the Southern Indian Ocean Fisheries Agreement

National Binding Measures have not been updated since the last compliance assessment. Please proceed to CMMs

Article 10(2): (For Coastal States only) Indicate measures (if any) taken to manage straddling stocks occurring in waters under your jurisdiction adjacent to the SIOFA Area.	Preliminary Self-Assessment
Indicate measures here <input type="checkbox"/> No measures established. <input type="checkbox"/> Not applicable - [Please provide an explanation]:	Choose an item.

Implementation of CMM 01 (2023) (Interim Management of Bottom Fishing)

General provisions and definitions

Para 2: Have vessel(s) flying your flag engaged in, or intend to engage in, bottom fishing in the agreement area? <input type="checkbox"/> Yes <input type="checkbox"/> No. Please skip to the next CMM if vessel(s) flying your flag has not engaged in bottom fishing during the assessment period. No submissions are required in the Compliance Report Section for this CMM.

National Binding Measures have not been updated since the last compliance assessment. Please proceed to the next CMM

Vulnerable Marine Ecosystems

Para 12: Threshold level for Vulnerable Marine Ecosystems (VME) applied to flagged vessel(s) as prescribed by paragraph 12 of this CMM	Preliminary Self-Assessment
Indicate measures established and applied to ensure compliance of vessels(s) flying your flag with established threshold levels prescribed in Paragraph 12 of this CMM, for encounter with VMEs: <i>Indicate measures here.</i>	Choose an item.

Para 13: Requirements established to cease bottom fishing upon evidence of VME encounter	Preliminary Self-Assessment
Indicate measures to implement requirements of paragraph 13: <i>Indicate measures here.</i> <input type="checkbox"/> No measures established	Choose an item.



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<p>Have evidence of VME encounters reported in National Reports to the Scientific Committee, following guidelines established in Annex 2</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	
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Interim Protected Areas (IPA)

Para 45 (a): Prohibition of bottom fishing, excluding line and trap methods in IPA designated in Annex 3	Preliminary Self-Assessment
<p><input type="checkbox"/> Prohibition Applied</p> <p>Please indicate how the prohibition is being provisionally applied <i>Indicate measures here:</i></p> <p><input type="checkbox"/> Prohibition not applied</p>	Choose an item.

Implementation of CMM 02 (2023) (Data Standards)

Application

<p>Have any vessels flying your flag been engaged in fishing² in the Agreement Area during the assessment period?</p> <p>YES <input type="checkbox"/></p> <p>NO <input type="checkbox"/> <i>If no, please move to the next CMM</i></p>

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

Vessel Catch and Effort Data

Para 4: Collection of Data	Preliminary Self-Assessment
<p>Indicate measures established and applied to ensure that data on fishing activities, including for target, non-target and associated and dependent species such as marine mammals, marine reptiles, seabirds or 'other species of concern', are collected from vessels flying their flag that are fishing in the Agreement Area, in accordance with the relevant sections of Annex A of this CMM</p> <p><i>Indicate measures here</i></p>	Choose an item.

² As defined by Article 1(g) of the Agreement.



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Implementation of CMM 05 (2016) (Pelagic Driftnets and Deepwater Gillnets)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

Para 1: Prohibition of largescale pelagic driftnets in Agreement Area	Preliminary Self-Assessment
<p>Are vessels flying your flag prohibited from using large-scale driftnets in the Agreement Area:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>If yes Indicate measures in place to prohibit the use of large-scale pelagic driftnets by vessels flying your flag in the Agreement Area: <i>Indicate measures here</i></p>	<p>Choose an item.</p>



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Implementation of CMM 06 (2022) (IUU Vessels List)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

IUU Vessels List

Para 19: Measures to Prevent, Deter and Eliminate IUU Fishing	Preliminary Self-Assessment
<p>Did you take all necessary measures under applicable legislation <i>and</i> pursuant to paragraphs 56 and 66 of the IPOA IUU to ensure that implement measures in paragraph 19. a – j:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If yes please indicate measures to:</i></p> <p>eliminate the IUU activities, including if necessary, the withdrawal of the fishing licences, authorisations or permits granted to vessels included in the IUU Vessel List, and the refusal of the fishing licences, authorisations or permits to such vessels: <i>Indicate measures here</i></p> <p>ensure that vessel(s) flying your flag do not participate in any transshipment or other operations such as joint-fishing, transfers at sea of fuel, crew, gear or any other supplies either as an unloading or receiving vessel, with vessels included in the IUU Vessel List: <i>Indicate measures here</i></p> <p>ensure that vessels on the IUU Vessel List are denied access to ports unless for the purpose of inspecting them and taking other appropriate actions in conformity with international law which are at least as effective as denial of port entry in preventing, deterring and eliminating IUU fishing:</p> <p>give priority to the inspection of vessels on the IUU Vessel List, if such vessels are found your ports: <i>Indicate measures here</i></p> <p>prohibit the chartering of vessels on the IUU Vessel List: <i>Indicate measures here</i></p> <p>refuse to grant your flag to vessels on the IUU Vessel List: <i>Indicate measures here</i></p> <p>prohibit commercial transactions, such as imports, exports or re-exports, landings and transshipments of fisheries resources covered by the Agreement, as well as other operations involving such fisheries resources, from vessels on the IUU Vessel List: <i>Indicate measures here</i></p> <p>prohibit change of crew on board of vessels on the IUU Vessel List: <i>Indicate measures here</i></p>	<p>Choose an item.</p>



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encourage traders, importers and transporters to refrain from transactions in, and transshipment of, fishery resources covered by the Agreement caught by vessels on the IUU Vessel List: *Indicate measures here*

collect and promptly exchange with other Contracting Parties, CNCPs and PFEs, any appropriate relevant information on vessels included in the IUU Vessel List: *Indicate measures here*



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Implementation of CMM 07 (2022) (Vessel Authorization)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

Para 6 (b-d): Vessel authorisations to operate in the Agreement Area	Preliminary Self-Assessment
<p>Please indicate measures to ensure that vessel(s) flying your flag:</p> <p>comply with all relevant SIOFA CMMs: <i>Indicate measures here</i></p> <p>that are on the SIOFA RAV keep onboard valid certificates of vessel registration and valid authorisation to fish and/or to undertake fishing-related activities: <i>Indicate measures here</i></p> <p>that are on the SIOFA RAV Vessel(s) have no history of IUU fishing, or, if those vessel(s) have such history, the new owners have provided sufficient evidence demonstrating that the previous owners and operators have no legal, beneficial or financial interest in or control over those vessel(s), or that have taken into account all relevant facts, your vessel(s) are not engaged in or associated with IUU fishing: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures established.</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	<p>Choose an item.</p>

Para 7: Vessel Authorization	Preliminary Self-Assessment
<p>Indicate measures under your national legislation to prohibit fishing and fishing-related activities on fishery resources covered by the Agreement by vessels not registered in the SIOFA Record of Authorized Vessels: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place under applicable legislation</p>	<p>Choose an item.</p>



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Implementation of CMM 08 (2020) (Port Inspection)

Application

Para 31: Are you a port state with areas of national jurisdiction adjacent to the Agreement Area?

- Yes *Please fill this section of the CCR template as applicable*
 No *Please skip paragraph 5 and complete paragraph 26 only*

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

Para 5: Notification Period	Preliminary Self-Assessment
<p>Indicate measures in place to ensure foreign vessel(s) calling into your ports submits information specified in Annex I of this CMM (at minimum), at least 48hrs before the estimated time of arrival. <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p>If you have a notification period other than 48hrs, have the secretariat been informed without delay:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No alternate notification period (<i>Obligation Not Applicable</i>)</p> <p>Has there been any changes of notification period prior to the estimated time of arrival?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes have the secretariat been notified of these changes, at least 30 days before the changes took effect:</p> <p><input type="checkbox"/> Yes. <i>If yes please indicate</i> <i>Date changes took effect:</i> Click or tap to enter a date.</p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>

Para 26: Flag CCPs obligations	Preliminary Self-Assessment
<p>Please indicate measures to ensure vessel(s) flying your flag cooperate with port state inspections carried out pursuant to this CMM: Indicate measures here</p> <p><input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable - [<i>Please provide an explanation</i>]:</p>	<p>Choose an item.</p>



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Implementation of CMM 09 (2022) (Control)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

Vessel Requirements

Para 3: Onboard Documents	Preliminary Self-Assessment
<p>Indicate measures to ensure that vessel(s) flying your flag: Carry onboard the current valid documents issued by its competent authority that are contained in paragraph 6(c) of CMM 07 (2022): <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.
<p>Carry onboard valid documents issued by its competent authority containing the up-to-date information listed in paragraph 2 of CMM 07 (2022) of vessel registration and valid authorisation to fish and/or undertake fishing-related activities and keeps onboard valid documents issued by their competent authority containing up-to-date information listed in paragraph of CMM 07: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p> <p>If no such measures are in place, have you agreed for the Secretariat to make this information available upon request for the purposes of Control</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	

Para 4: Vessel markings	Preliminary Self-Assessment
<p>Are vessel(s) flying your flag and authorized to operate in the Agreement Area marked in such a way that they can be easily identified?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, indicate measures to ensure that vessel(s) flying your flag and authorized to operate in the Agreement Area are marked in such a way that they can be easily identified: <i>Indicate measures here</i></p>	Choose an item.



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Not applicable - *[Please provide an explanation]:*

Marking of fixed gear

Para 5: Marking of Gears	Preliminary Self-Assessment
<p>Are all vessel(s) flying your flag required to mark fixed gear in accordance with paragraph 5 of this CMM?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable <i>[Please provide an explanation]:</i></p> <p>If yes, indicate measures to ensure that fixed gears used by vessel(s) flying your flag are marked in accordance with paragraph 5 of this CMM: <i>Indicate measures here</i></p>	Choose an item.

Discard of Plastics

Para 8 & 9: Discard of plastics ³	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) flying your flag are required to store onboard all plastics, until they can be discarded at adequate port facilities, in accordance with paragraphs 8 and 9 of this CMM: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place <input type="checkbox"/> Not Applicable <i>[Please provide an explanation]:</i></p>	Choose an item.

Labelling of frozen products of fishery resources

Para 10: Labelling of frozen products of Fishery resources	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) fishing for fishery resources comply with the requirements of paragraph 10 of this CMM: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place <input type="checkbox"/> Not Applicable <i>[Please provide an explanation]:</i></p>	Choose an item.

³ Applicable to all flag state CCPs



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Scientific Observer Programme

Para 11: Qualification and Authorization of Scientific Observers	Preliminary Self-Assessment
<p>Are scientific observers qualified and authorized to perform their tasks and record any requested data</p> <p><input type="checkbox"/> Yes</p> <p>If yes please elaborate on how this is implemented: <i>Indicate measures here:</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	<p>Choose an item.</p>

Sightings and identifications of non-Contracting Party, non-CNCP and non-PFE vessel(s)

Para 12: Vessel Sightings	Preliminary Self-Assessment
<p>Are vessel(s) required to report any presumed fishing as defined in the Agreement, including transshipment, by vessel(s) flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement in the Agreement Area:</p> <p><input type="checkbox"/> Yes</p> <p>If yes, please indicate measures established to this end: <i>Indicate measures here:</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	<p>Choose an item.</p>

Implementation of CMM 10 (2023) (Monitoring)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

Information on fishing activities

Para 2 & 3: Fishing logbook	Preliminary Self-Assessment
<p>Are vessel(s) required to maintain a bound fishing logbook or an electronic fishing logbook containing the information relevant for compliance with the data collection submission requirements of CMM 02 with consecutively numbered page</p> <p><input type="checkbox"/> Yes</p> <p>If yes, please indicate measures established to this end: <i>Indicate measures here:</i></p> <p><input type="checkbox"/> No requirements for maintaining a logbook (bound or electronic)</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	<p>Choose an item.</p>



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<p>Indicate measures in place to ensure:</p> <ul style="list-style-type: none"> - Submission of logbook data within 30 days of the completion of fishing trip: - Logbook data are submitted in accordance with CMM 02 and maintained in accordance with CMM 03, and: - The quantities of catch recorded on vessel(s) (flying your flag) corresponds to the quantities of catch kept onboard <p><i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	<p>Choose an item.</p>
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Vessel Monitoring System

Paras 5-7, 9-11: Requirements for vessel(s) to be fitted with an automatic location communicator (ALC)	Preliminary Self-Assessment
<p>Indicate measures to ensure that vessel(s) flying your flag that are operating in the Agreement Area are fitted with an operational ALC, and reporting back to its competent authority: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> No vessel on the SIOFA RAV (<i>Obligation not applicable</i>)</p>	<p>Choose an item.</p>
<p>Indicate measures in place to ensure that ALC units on vessel(s) flying your flag remain operational at all times while in the agreement area: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> No vessel on the SIOFA RAV (<i>Obligation not applicable</i>)</p>	<p>Choose an item.</p>
<p>Have systems been developed, implemented, and improved to maintain a record of all vessel positions reported through VMS and logbooks?</p> <p><input type="checkbox"/> Yes</p> <p><i>If yes, please provide information on how this is implemented:</i></p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>



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<p>Are VMS position reports transmitted at least once every two (2) hours from each fishing vessel flying your flag and included in the SIOFA RAV while operating in the Agreement Area</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Are vessel(s) position reports transmitted in accordance with the standard established in paragraph 9 of this CMM?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Indicate measures in place to ensure that vessel(s) do not enter the Agreement Area and commence operations with a defective ALC: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No Measures in place</p>	Choose an item.
<p>Indicate measures in place to ensure that vessel(s) follow procedures established by paragraph 10, in the event of a technical failure or non-operation of the ALC fitted onboard a vessel: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.
<p>Indicate measures in place to ensure that the ALCs fitted onboard vessel(s) flying your flag are tamper resistant, i.e., located within a sealed unit and be protected by official seals (or mechanisms) of a type that will indicate whether the unit has been accessed or tampered with: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.

Entry / Exit Reports

Para 15: Entry / Exit Notifications	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that the secretariat is notified of each entry into and each exit from the Agreement Area by vessel(s) flying your flag, authorized to fish for species managed by SIOFA, in accordance with CMM 07:</p> <p><i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.



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Interim Measures for at sea transhipments and transfers

Para 17: Transhipment of Fishery resources subject to flag CCPs authorization.	Preliminary Self-Assessment
<p>Indicate measures in place to ensure vessel(s) flying your flag conduct transhipments at sea of fishery resources, <i>only</i> after having received prior authorization from their competent authority</p> <p><i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.
Para 18: Prohibition of transhipment of SIOFA Fishery resources with vessels not included on the SIOFA RAV.	Preliminary Self-Assessment
<p>Indicate measures in place to ensure vessel(s) flying your flag conduct transhipments at sea of fishery resources, with other vessel(s) on the SIOFA RAV:</p> <p><i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.
Para 19: Prohibition of transhipment of SIOFA Fishery resources with vessels included in the IUU vessels list of an RFMO or CCAMLR.	Preliminary Self-Assessment
<p>Indicate measures in place to prohibit vessel(s) flying your flag from conducting transhipments at sea of fishery resources with any vessels included in the IUU vessels list established by an RFMO or CCAMLR: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.
Para 20: Procedures for undertaking Transhipment at sea	Preliminary Self-Assessment
<p>Indicate measures in place to ensure vessel(s) flying your flag conducted transhipments following procedures established in paragraph 20 of CMM 10: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.



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Para 21: Procedures for undertaking Transfers at sea	Preliminary Self-Assessment
<p>Indicate measures in place to ensure vessel(s) flying your flag conducted any at-sea transfers following procedures established in paragraph 21 a-d: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.
Para 23: Information verification process	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that all information received in accordance with paragraphs 20 and 21 are verified for accuracy <i>Indicate measures here</i></p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.
Para 24: Receipt of fishery resources from more than one unloading vessel	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) flying your flag receiving fishery resources at sea:</p> <p>stores the fishery resources and related documentation from each unloading vessel separately, allowing to distinguish from which unloading vessel each part of the fishery resources on board comes, including through the use of canvas or nets to separate fishery resources on board and/or appropriate labelling for boxes: <i>Indicate measures here</i></p> <p>maintains an up-to-date stowage plan and other documents showing the location and quantities of species received from each unloading vessel and retains these on board until the vessel has been unloaded completely: <i>Indicate measures here</i></p> <p>makes available the information referred to in sub-paragraph b. to its competent authority and to the relevant port State when required: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.



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Monitoring of transhipments in ports

No vessel transhipped (unloaded and /or received) SIOFA fishery resources in port *(Please skip to next CMM as applicable)*

Para 26 – 28, 30: Procedures for undertaking transhipment in port <i>Indicate measures in place to ensure vessel(s) flying your flag and carrying SIOFA fishery resources conducted transhipments in port in accordance with the following requirements:</i>	Preliminary Self-Assessment
<p>Ensuring that vessels tranship in a port only if it has prior authorisation from its competent authority and the port State: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.
<p>Prohibition to undertake transshipments in port of fishery resources with vessels included in the IUU vessel lists established by CCAMLR or any regional fisheries management organization <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.
<p>Submission of notification, at least 24hrs prior to transhipment information required by paragraph 28, to the port state and the competent authority of the receiving vessel: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.
<p>Submission of transhipment declaration (Annex IV) to the competent authority of the flag state, the port state and the receiving vessel within 24hrs of the transhipment: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.

Para 32: Information verification process	Preliminary Self-Assessment
<p>Indicate measures in place to verify the accuracy of information received in accordance with paragraphs 28 -31: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.

Implementation of CMM 12 (2023) (Sharks)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

<p>Did vessel(s) flying your flag engage in fishing operations in the SIOFA Agreement Area</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No <i>Please skip to the next CMM if vessel(s) flying your flag has not engage in fishing operations during the assessment period. No submissions are required in the Compliance Report Section for this CMM.</i></p>



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Para 2: Prohibition on the targeting of sharks	Preliminary Self-Assessment
Indicate measures to prohibit the targeting of any deep-sea shark species listed in Annex 1 of this CMM, by vessel(s) flying your flag: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place	Choose an item.

Para 7: Reporting requirements related to the catching of deep-sea shark species	Preliminary Self-Assessment
Indicate measure to ensure vessel(s) flying your flag records and submits all reporting requirements, as per CMM 02, for all deep-sea shark species to the lowest taxonomical level possible when engaged in fishing for fishery resources: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place	Choose an item.

Implementation of CMM 13 (2022) (Mitigation of Seabird Bycatch)

Application and geographic scope

Para 1: Did vessel (s) flying your flag engage in fishing operations in the SIOFA agreement Area for Fishery Resources, as defined in Article 1(f) of the Agreement, south of 25°S.
<input type="checkbox"/> Yes <input type="checkbox"/> No CMM not applicable. Please skip to the next CMM, if not applicable. No submissions are required in the Compliance Report Section for this CMM.

National Binding Measures have not been updated since the last compliance assessment. Please proceed to the next CMM

General provisions for demersal and pelagic longliners and other demersal fishing gears

Para 2: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
Indicate national binding measures to ensure that vessel(s) flying your flag using demersal or pelagic longlines or other demersal fishing gears , apply all the mitigation measures provided in paragraph 2: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place	Choose an item.

Provisions applying to demersal longliners

Para 3 & 4: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
Indicate national binding measures to ensure that demersal longliners flying your flag, apply all the mitigations measures provided in paragraph 3 while operating south of 25°S: <i>Indicate measures here</i>	Choose an item.



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<input type="checkbox"/> No measures in place <input type="checkbox"/> No demersal longliners flying your flag (<i>Obligation Not Applicable</i>)	
Indicate national binding measures to ensure that demersal longliners of less than 25m apply <i>at least one</i> of the mitigations measures prescribed in paragraph 4 while operating south of 25°S: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> No demersal longliners of less than 25m flying your flag (<i>Obligation Not Applicable</i>)	Choose an item.

Provisions applying to other demersal fishing gears

Para 5: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
Indicate national binding measures to ensure that vessel(s) flying your flag using demersal pots or traps ensures the cleanliness of the traps and pots so as not to attract birds, and ensures that buoy lines are not left floating at the surface, while operating south of 25°S: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> No vessels using pots or traps flying your flag (<i>Obligation Not Applicable</i>)	Choose an item.

Provisions applying to pelagic longliners

Para 6: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
Indicate measures to ensure that pelagic longliners flying your flag use at least two of the three mitigation measures outlined in Annex 3: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> No pelagic longliners flying your flag (<i>Obligation Not Applicable</i>)	Choose an item.



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Implementation of CMM 14 (2021) (High Seas Boarding and Inspection Procedures)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

General Provisions

Paras 6: General Provisions	Preliminary Self-Assessment
<p>Are masters of fishing vessel(s) flying your flag required to accept and facilitate boarding and inspection pursuant to this measure</p> <p><input type="checkbox"/> Yes <i>If yes, indicate measures in place to this end:</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Procedures

Para 19 – 26, 30-32: Boarding and Inspection procedures by Authorized Inspectors	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that Authorized Inspectors comply with boarding procedures established in paragraphs 19 – 26 and 30-32 of this CMM: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Para 27 – 29: Responsibility of Master and Crew of the fishing vessel during boarding and Inspection	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that Master and Crew of the fishing vessel comply with boarding procedures established in paragraphs 27 – 29 of this CMM: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.



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Enforcement

Para 43: Interference by a fishing vessel or its master or crew with an Authorised Inspector or an Authorised Inspection Vessel.	Preliminary Self-Assessment
Indicate measures in place to ensure that you can effectively respond to any interference by a fishing vessel flying your flag, or its master or crew, with an Authorised Inspector, or an Authorised Inspection Vessel: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place	Choose an item.

Implementation of CMM 15 (2023) (Management of Demersal Stocks)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

General Provisions

Para 2: Have vessel(s) flying your flag engaged in, or intend to engage in bottom fishing in the agreement area? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>(CMM Not Applicable. Please skip this CMM)</i>
--

Para 12: Prohibition on fishing in depths less than 500m	Preliminary Self-Assessment
Indicate measures implemented and applied to prohibit fishing with demersal longlines in depths shallower than 500m: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place	Choose an item.



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1. Management & research measures for toothfish in the Del Cano Area

Effort/catch and operational limits

No vessel fished for *Dissostichus* spp. in the Del Cano Rise area (*Please skip to paragraph 35*).

Para 16: <i>Dissostichus</i> spp.	Preliminary Self-Assessment
<p>Please indicate: Your total quota for the season of 1 December 2023 to 30 November 2024 (after any transfers) for <i>Dissostichus</i> spp.: Click or tap here to enter text. Annual catch of <i>Dissostichus</i> spp. for the Fishing Season of December 2023 to November 2024: Click or tap here to enter text.</p> <p>Indicate measures to manage your available catch of <i>Dissostichus</i> spp.: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>

Para 18: Fishing Activity Reports	Preliminary Self-Assessment
<p style="background-color: yellow;">Indicate measures to ensure that your fishing vessel(s) transmits their daily reports in accordance with paragraph 18: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>

VMS polling

Para 25: VMS location report interval period	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that your flagged vessel(s) fishing for <i>Dissostichus</i> spp. in the Del Cano Rise area reports VMS data automatically to their FMC at least every hour when they are present in the Del Cano area: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>

Tagging and release

Para 27: Tag and release	Preliminary Self-Assessment
<p>Indicate measures to ensure that vessel(s) flying your flag tags and releases <i>Dissostichus</i> spp. specimens at a rate of at least 5 fish per tonne green weight caught, while applying a minimum overlap statistic of at</p>	<p>Choose an item.</p>



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<p>least 60% for tag release, once 30 or more <i>Dissostichus</i> spp. have been caught: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	
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2. Management & research measures for toothfish in Williams Ridge [FAO Subarea 57.4]

No vessel fished for *Dissostichus* spp. in Williams Ridge (*Please proceed to next section of the CCR*)

Para 35: Daily catch report	Preliminary Self-Assessment
<p>Indicate measures to ensure vessel(s) flying your flag reports all daily reports of their <i>Dissostichus</i> spp. to the secretariat, using Annex III for template, also informing the secretariat on start and end points of set long lines, using the template at Annex IV: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>

Para 37: Grid Cell Entry Notification requirements	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) flying your flag informs the secretariat as soon as they enter a grid cell to fish for <i>Dissostichus</i> spp., or send an advanced notification prior to entering a grid cell for fishing, outside of secretariat business hours.</p> <p><input type="checkbox"/> Yes <i>If yes, please indicate measures to ensure compliance of vessel(s) flying your flag to this paragraph:</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>

Para 38: Notification Requirements	Preliminary Self-Assessment
<p>Indicate if measures are in place to ensure that vessel(s) flying your flag does not fish in a cell until the Secretariat confirms that two lines have not already been set in a grid during that season and that the grid was not being fished by another vessel.</p> <p><input type="checkbox"/> Yes <i>If yes, please indicate measures to ensure compliance of vessel(s) flying your flag to this paragraph:</i></p> <p><i>Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 39: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>



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Para 39: Grid Cell Exit Notification Requirements	Preliminary Self-Assessment
<p>Indicate if measures are in place to ensure that vessel(s) flying your flag informs the Secretariat as soon as they exit the grid cell as specified in paragraph. 39 while also informing the Secretariat of the number of lines, if any, they have set and/or hauled in that grid cell:</p> <p><input type="checkbox"/> Yes</p> <p><i>Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 39: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>
Para 41: Limits on number of lines set per season, per grid cells	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that there are no more than two lines in total that are set per grid cell for the duration of a fishing season of <i>Dissostichus</i> ssp.: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>
Para 43: Other effort limits	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) flying your flag, and fishing for <i>Dissostichus</i> ssp. apply a break of a minimum of 30 days between consecutive fishing trips to William Ridge: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>



Report of Compliance with SIOFA Conservation and Management Measures

Implementation of the Southern Indian Ocean Fisheries Agreement

Article 11.3. (c): Submission of National Report concerning the previous calendar year that conforms to the requirements of paragraph 9 of CMM 02	Preliminary Self-Assessment
<input type="checkbox"/> Yes Date of Submission: Click or tap to enter a date. <input type="checkbox"/> No	Choose an item.

Implementation of CMM 01 (2023) (Interim Management of Bottom Fishing)

General provisions and definitions

Para 2: Have vessel(s) flying your flag engaged in bottom fishing in the agreement area during the assessment Period?

Yes

No. Please skip to the next CMM if vessel(s) flying your flag has not engaged in bottom fishing during the assessment period

Duties of Contracting Parties, CNCPs, and PFEs undertaking bottom fishing activity in the Agreement Area

Please fill this table if you have fished more than 40 days in a single year in the Agreement Area at the time this CMM was first adopted (2016)

Not Applicable

Para 10(1)(a): Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag	Preliminary Self-assessment
<input type="checkbox"/> Catch and/or Effort limits established and applied <input type="checkbox"/> No catch and/or effort limits established and applied <input type="checkbox"/> Constraints on the spatial distribution of bottom fishing effort established and applied. <input type="checkbox"/> No constraints on the spatial distribution of bottom fishing effort established and applied <input type="checkbox"/> Provisions established and applied to ensure bottom fishing does not significantly impact VMEs. <input type="checkbox"/> No provisions established and applied	Choose an item.



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<input type="checkbox"/> Provisions established and applied to ensure that any vessel flying your flag is not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing	
<input type="checkbox"/> No provisions established and applied.	

Please fill this table if you have fished less than 40 days in a single year in the Agreement Area at the time this CMM was first adopted (2016)

Not Applicable

Para 10(1)(b): Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag:	Preliminary Self-assessment
<input type="checkbox"/> Limits on bottom fishing effort and/or catch and spatial distribution established and applied.	Choose an item.
<input type="checkbox"/> No limits on bottom fishing effort and/or catch, and spatial distribution established and applied.	
<input type="checkbox"/> Provisions established and applied to ensure that bottom fishing does not significantly impact VMEs.	
<input type="checkbox"/> No provisions established and applied.	
<input type="checkbox"/> Provisions established and applied to ensure that any vessels flying your flag that are not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing.	Choose an item.
<input type="checkbox"/> No provisions established and applied.	

Para 10(1)(a/b) ⁴ : Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag	Preliminary Self-assessment
<input type="checkbox"/> Catch and/or Effort during the assessment period was within established limits	Choose an item.
<input type="checkbox"/> Catch and/or Effort exceeded established limits	
<input type="checkbox"/> Fishing effort occurred within the established constraints on the spatial distribution of bottom fishing effort.	
<input type="checkbox"/> Fishing effort occurred outside the established constraints on the spatial distribution of bottom fishing effort.	

⁴ As Applicable



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<input type="checkbox"/> Bottom fishing during the assessment period did not significantly impact VMEs.	
<input type="checkbox"/> Bottom fishing during the assessment period had significant adverse impacts on VMEs.	
<input type="checkbox"/> Vessel (s) flying your flag did not fish in any areas that the Meeting of the Parties has decided to close to fishing. ⁵	
<input type="checkbox"/> Vessel flying your flag fished in any areas that the Meeting of the Parties has decided to close to fishing. ⁶	

Para 10(3): Secretariat notified within 30 days of any revisions or amendments to measures established in accordance with the requirements of paragraph 10(1) <i>Not applicable if no revisions were made to established and applied measures</i>	Preliminary Self-Assessment
<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i>	Choose an item.

Para 11: Vessel Authorization for bottom fishing	Preliminary Self-Assessment
<i>For CCPs that have not submitted measures required pursuant to Paragraph 10 (1) did you authorise any vessel(s) flying your flag to bottom fish in the agreement area</i> <input type="checkbox"/> Yes <i>If yes, please indicate the number of vessel(s) Authorized: Click or tap here to enter text.</i> <input type="checkbox"/> No <input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i>	Choose an item.

Vulnerable Marine Ecosystems

Para 12: Threshold level for VMEs applied to flagged vessel(s) as prescribed by paragraph 12 of this CMM	Preliminary Self-Assessment
Did you apply to vessels flying your flag threshold levels for encounters with VMEs, as prescribed in paragraph 12? <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.

⁵ Not to be assessed for assessment periods of which the MoP did not close any area for fishing

⁶ As above.



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Para 13: Requirements established to cease bottom fishing upon evidence of VME encounter	Preliminary Self-Assessment
<p>Did any vessel flying your flag encounter evidence of a VME, above the the threshold levels established under paragraph 12 of this CMM during the course of fishing operations?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, did these vessels cease bottom fishing after such encounters</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did you report any such encounter in your National Report to the Scientific Committee, following guidelines established in Annex 2 of this CMM</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Provision of data by, and interim bottom fishing measures for, new CCPs

Did you become a CCP after the Meeting of the Parties in 2017

- Yes** Complete Paragraph 27
 No *Obligation not Applicable, proceed to next paragraph*

OR

- Paragraph 27 Not Applicable – *Pease provide Explanation:*

Para 27: Requirements related to States or fishing entities that became CCP after the Meeting of Parties in 2017	Preliminary Self-Assessment
<p><input type="checkbox"/> Meeting of the Parties has been advised of measures the CCP intends to take under paragraph s 10 (1) a i., ii., iii., and iv.</p> <p><input type="checkbox"/> Meeting of Parties the has not been advised of measures the CCP intends to take pursuant to paragraphs 10 (1) a i., ii., iii., and iv.</p> <p><input type="checkbox"/> Data and BFIA submitted to SC as <i>required</i> by paragraphs 20 and 21, respectively.</p> <p><input type="checkbox"/> Data (bottom fishing effort and VMEs) and BFIA not submitted to SC</p>	Choose an item.



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Scientific observer coverage

Para 39 & 45 (b): Scientific Observer Coverage	Preliminary Self-Assessment
Indicate observer level (in percentage) for: Vessel(s) using trawl gears: Click or tap here to enter text. Vessel(s) using any other fishing gears: Click or tap here to enter text.	Choose an item.
Vessel(s) that operated in Interim Protected Areas (IPA) on Annex 3: Click or tap here to enter text.	Choose an item.

Interim Protected Areas (IPA)

Para 45 (a): Prohibition of bottom fishing, excluding line and trap methods in IPA designated in Annex 3	Preliminary Self-Assessment
Did vessels(s) flying your flag engaged in bottom fishing in the IPA <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.



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Implementation of CMM 02 (2023) (Data Standards)

Application

Have any vessels flying your flag been engaged in fishing⁷ in the Agreement Area during the assessment period?

YES

NO *If no, please skip paragraphs 4 to 8 and move directly to subsequent questions.*

Vessel Catch and Effort Data

Para 4 & 5: Collection of Data	Preliminary Self-Assessment
<p>Did you collect data on fishing activities, including for target, non-target and associated and dependent species such as marine mammals, marine reptiles, seabirds or 'other species of concern'</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, were those data collected in accordance with the relevant sections of Annex A of this CMM?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were vessel catch and effort data collected on a haul-by-haul basis or for hand line fishing on an operational basis?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Para 6,7 and 19: Data Submission	
<p>Did you submit all data on fishing activities, and catch and effort data by the 31st May, in accordance with formats prescribed in corresponding annexes</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Did you submit by the 31st May your annual catch summary for all species/ groups caught during the previous calendar year</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Did you submit all data in accordance with the formats described in this CMM, including its annexes.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

⁷ As defined by Article 1(g) of the Agreement.



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Para 8: On-board FAO identification guide to the deep-sea cartilaginous fishes of the IO	Preliminary Self-Assessment
<p>For vessels that engaged in, or intended to engage in, fishing pursuant to CMM 01 (2023), are these vessels required to carry the FAO Identification guide to the deep-sea cartilaginous fishes of the Indian Ocean?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessel engaged in or intended to engage in fishing under CMM 01 (2023) (<i>Obligation Not Applicable</i>)</p>	Choose an item.

National Report

Para 9, 14, and 18 (c): Annual National Report of fishing research and management activity to SC	Preliminary Self-Assessment
<p><input type="checkbox"/> Report Submitted</p> <p style="padding-left: 20px;"><input type="checkbox"/> Report included <i>annual observer program implementation report</i>.⁸</p> <p style="padding-left: 20px;"><input type="checkbox"/> Report included <i>annual data verification report</i>.⁹</p> <p><input type="checkbox"/> No report submitted to the Scientific Committee</p>	Choose an item.

Scientific Observer Data

Para 12 & 15: Scientific Observer Program	Preliminary Self-Assessment
<p>Was there a Scientific Observer Program Implemented, during the assessment period?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.
<p>If yes, were the data collected in accordance with relevant sections of Annex B</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

⁸ For CCPs with vessels on the RAV.

⁹ For CCPs with vessels on the RAV, irrespective of fishing activity during assessment period.



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Data Verification

Para 18: Data verification mechanism	Preliminary Self-Assessment
<p>Do you have data verification mechanism in place</p> <p><input type="checkbox"/> Yes</p> <p>If yes , indicate the types of data verification mechanisms in place <i>(Tick as appropriate)</i></p> <p><input type="checkbox"/> Position verification through VMS</p> <p><input type="checkbox"/> Independent Monitoring (scientific observer and/ or approved EMS program)</p> <p><input type="checkbox"/> Vessel trip, landing, and transshipment reports</p> <p><input type="checkbox"/> Port Sampling</p> <p><input type="checkbox"/> Others <i>(Please elaborate):</i></p> <p><input type="checkbox"/> No data verification mechanism in place</p> <p><input type="checkbox"/> Data verification provision not applicable (No Vessel on the RAV)</p>	<p>Choose an item.</p>

Implementation of CMM 04 (2016) (Vessels Without Nationality)

Para 5: Report of sightings of fishing vessel(s) without nationality	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag reported sightings of fishing vessels suspected or confirmed to be to be without nationality, that may be fishing in the Agreement Area?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No sightings reported by vessels <i>(Obligation Not Applicable)</i></p> <p>If yes, where these reports sent to the Secretariat</p> <p><input type="checkbox"/> Yes. Number of reports submitted: Click or tap here to enter text.</p> <p><input type="checkbox"/> No reports sent to Secretariat</p>	<p>Choose an item.</p>

Implementation of CMM 05 (2016) (Pelagic Driftnets and Deepwater Gillnets)

Para 1: Prohibition of largescale pelagic driftnets in Agreement Area	Preliminary Self-Assessment
<p>Did any vessel(s) flying your flag use large-scale driftnets in the Agreement Area during the assessment period:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>



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Implementation of CMM 06 (2022) (IUU Vessels List)

Transmission of information to establish the Draft IUU Vessel List

Para 2 & 3: Transmission of Information on vessel presumed to have engaged in IUU fishing in the Agreement Area	Preliminary Self-Assessment
<p>Did you have any information on vessel presumed to have engaged in IUU fishing?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><i>If yes, please indicate if:</i></p> <p>Report (s) were submitted to Secretariat</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Copy of Information provided to flag state at or prior to reporting to Secretariat</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Designation of National Contact Points

Para 31: Designation of National Contact Points	Preliminary Self-Assessment
<p>Have you designated National contact points for the purposes of Paragraph 31 of this CMM?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Implementation of CMM 07 (2022) (Vessel Authorization)

Para 1: Vessel Authorization	Preliminary Self-Assessment
<p>Did any vessel(s) flying your flag not included on the SIOFA Record of Authorized Vessels (RAV) fish, retain onboard, tranship, or land fishery resources in the Agreement Area?</p> <p><input type="checkbox"/> Yes</p> <p><i>If yes, please elaborate as necessary (Including name, date and time, species by quantity, and any other relevant information):</i></p> <p><input type="checkbox"/> No</p>	Choose an item.



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Para 4: Notification of Modification regarding Vessel Data	Preliminary Self-Assessment
<p>Were there any modifications to data of vessels flying your flag authorised to fish in the agreement Area?</p> <p>Yes <input type="checkbox"/> <i>If yes, was the secretariat informed within 15 days of such modification.</i></p> <p style="padding-left: 20px;">Yes <input type="checkbox"/></p> <p style="padding-left: 20px;">No: <input type="checkbox"/></p> <p><input type="checkbox"/> No modifications to vessel data</p>	Choose an item.

Para 6: Vessel authorizations to operate in the Agreement Area	Preliminary Self-Assessment
<p>Please indicate as appropriate:</p> <p><input type="checkbox"/> Vessel(s) were authorized to operate in the Agreement Area, only if they were able to fulfil the requirements and responsibilities under the agreement and all relevant SIOFA CMMs</p> <p><input type="checkbox"/> Vessel(s) flying your flag have complied with all relevant SIOFA CMMs during the assessment period.</p> <p><input type="checkbox"/> All vessel(s) flying your flag that is on the SIOFA RAV keep onboard valid certificates of vessel registration, and valid authorization to fish and/or to undertake fishing-related activities</p> <p><input type="checkbox"/> Vessel(s) flying your flag and on the SIOFA RAV have no history of IUU fishing, or, if those vessel(s) have such history, the new owners had provided sufficient evidence demonstrating that the previous owners and operators have no legal, beneficial or financial interest in or control over those vessel(s), or that having taken into account all relevant facts, your vessel(s) are not engaged in or associated with IUU fishing</p>	Choose an item.

Para 7: Vessel Authorization	Preliminary Self-Assessment
<p>Are there measures in place under your national legislation to prohibit the fishing and fishing-related activities on fishery resources covered by the Agreement by vessels that are not registered in the SIOFA RAV.</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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Para 8: Notice to Secretariat of Fishing Activity by unregistered Vessel	Preliminary Self-Assessment
<p>Did you have evidence showing that there were reasonable grounds for suspecting vessel(s) not registered on the SIOFA RAV had operated in the Agreement Area</p> <p><input type="checkbox"/> Yes <i>If yes, has the Secretariat been notified as required by this paragraph</i></p> <p style="padding-left: 40px;"><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> No</p>	Choose an item.

Implementation of CMM 08 (2020) (Port Inspection)

Application

Para 31: Are you a coastal state and a port state with areas of national jurisdiction adjacent to the Agreement Area?
<p><input type="checkbox"/> Yes. <i>Please fill this section of the CCR template as applicable</i></p> <p><input type="checkbox"/> No <i>Please skip paragraphs 2 – 25 and complete subsequent questions.</i></p>

Designation of ports

Para 2: Designation of ports	Preliminary Self-Assessment
<p>Have you designated, publicized, and notified of the Ports where foreign vessel(s) may request entry :</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Were there changes in the designated ports</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>If yes, was the Secretariat notified to the secretariat <i>at least</i> 30 days before the changes took effect:</p> <p><input type="checkbox"/> Yes. <i>If yes, please indicate</i></p> <p><input type="checkbox"/> No</p>	Choose an item.



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Para 5: Notification Period	Preliminary Self-Assessment
<p>Were foreign vessel(s) calling into your ports required to submit information specified in Annex I of this CMM (at minimum), <i>at least 48hrs before the estimated time of arrival</i>.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If you have a notification period other than 48hrs, have the secretariat been informed without delay:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No alternate notification period (<i>Obligation Not Applicable</i>)</p> <p>Have changes of notification period prior to the estimated time of arrival been notified to the secretariat <i>at least 30 days before the changes took effect</i>:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No changes notification period prior to the estimated time of arrival during the assessment period (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>

Port entry, authorization or denial of foreign vessels / Use of ports by foreign vessels

Paras 8, 9, 11, 12 & 14: Denial of entry and/or use of port	Preliminary Self-Assessment
<p>Have any vessels been denied entry into the designated port(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, please indicate number of Vessel(s) denied entry into port: Click or tap here to enter text.</p> <p>Were the flag state and Secretariat notified of decision(s) to deny port entry to these vessels</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>
<p>Have any vessels been denied use of port(s) for landing, transshipment, packaging and processing of fisheries resources and other port services</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, please indicate number of Vessel(s) denied use of port(s): Click or tap here to enter text.</p> <p>Were the flag state and the Secretariat notified of decisions to deny use of port</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>



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Inspections

Paras 17, 19,21, 22, 23, 24 & 25: Inspections	Preliminary Self-Assessment
<p>Are all Port Inspectors duly authorized, trained and familiar with the Agreement and all of its relevant CMMs</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were all inspections carried out, at a minimum, following the procedures set out in Annex III</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were inspections carried out following international law, conducted within 72hrs of port entry, and carried out in an expeditious fashion</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p><i>Dissostichus ssp</i></p> <p>Did you carry out inspections on all fishing vessel(s) carrying or landing <i>Dissostichus ssp</i>, that entered your port(s) carrying or landing <i>Dissostichus ssp</i></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessels carrying or landing <i>Dissostichus ssp</i>. called into your ports(s) <i>(Obligation Not Applicable)</i></p> <p>If yes please indicate: Number of vessels carrying or landing <i>Dissostichus ssp</i> that called into your port: Click or tap here to enter text. Number of vessel(s) carrying or landing <i>Dissostichus ssp</i>. inspected: Click or tap here to enter text.</p>	Choose an item.
<p>Did you receive requests from another CCP, RFMO or CCAMLR pursuant to paragraph 23 (a) of this CMM</p> <p><input type="checkbox"/> Yes <i>If yes, please indicate</i> Number of requests received: Click or tap here to enter text. Number of vessels inspected pursuant to such requests: Click or tap here to enter text.</p> <p><input type="checkbox"/> No requests received from another CCP, RFMO or CCAMLR pursuant to paragraph 23 (a) <i>(Obligation Not Applicable)</i></p>	Choose an item.
<p>Did any vessel fail to provide the information required by paragraph 5</p> <p><input type="checkbox"/> Yes <i>If yes, please indicate</i> Number of such vessel(s): Click or tap here to enter text. Number of such vessel(s) inspected: Click or tap here to enter text.</p> <p><input type="checkbox"/> No vessel(s) failed to provide the information required in paragraph 5 <i>(Obligation Not Applicable)</i></p>	Choose an item.



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<p>Did all inspection reports contained, at least, the information set out in Annex IV:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> <i>No SIOFA vessels called into designated ports (obligation not applicable)</i></p>	Choose an item.
<p>Where compliance issues warranted the attention of the competent authority, were these inspection reports forwarded to the competent authority and the Secretariat <i>within</i> 30 days, following completion of such inspection, or as soon as possible</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p><i>If any reports could not be submitted within the specified time period, was the Secretariat within that same time period informed of the potential delay, including reasons for such, and when the report will be submitted:</i></p> <p><input type="checkbox"/> Yes <i>If yes, indicate the number of reports submitted after the specified time period: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> All reports were submitted within the specified time period (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Role of Contracting Parties, CNCPs and PFEs in relation to vessel(s) flying their flags

<p>Para 26 Flag state obligations</p>	<p>Preliminary Self-Assessment</p>
<p>Did vessel(s) flying your flag cooperate with all port state inspection(s) carried out by a CCP other than its flag state</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

<p>Para 27 - 30: Flag state obligations</p>	<p>Preliminary Self-Assessment</p>
<p>Have there been any grounds to suspect any vessel(s) flying your flag have engaged in IUU fishing?</p> <p><input type="checkbox"/> Yes</p> <p><i>If yes, please indicate if you requested a CCP where the vessel sought entry or was in the port, to carry out inspection (s) on such vessel(s) or to take other adequate measures.</i></p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Obligation Not Applicable. <i>Please provide explanation:</i></p>	Choose an item.



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<p>Following such inspections, and where there were clear grounds that a vessel flying your flag has engaged in IUU fishing, was the matter fully investigated</p> <p><input type="checkbox"/> Yes <i>If yes please elaborate on enforcement actions taken against such vessel:</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessels found to have conducted IUU fishing after such inspections <i>(Obligation Not Applicable, skip to next CMM)</i></p>	Choose an item.
<p>Was the secretariat duly informed on any action taken against such vessel(s)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.
<p>Did you provide a report to the Compliance Committee of actions taken under paragraph 27 of this CMM?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Implementation of CMM 09 (2022) (Control)

Co-operation and Contact Points

Para 2: Designation of Contact Points	Preliminary Self-Assessment
<p>Have you designated the competent authority(ies) which shall act as the Contact Point for the purpose of receiving reports and notifications and issuing authorizations in accordance with the relevant SIOFA CMM, 30 days after the adoption of this CMM</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Have you notified the Secretariat of any subsequent changes to the list, without delay?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No changes to the list <i>(Obligation Not Applicable)</i></p>	Choose an item.

Vessel Requirements

Para 3: Onboard Documents	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag carry onboard the current valid documents issued by its competent authority that are contained in paragraph 6(c) of CMM 07 (2022)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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<p>Did vessel(s) flying your flag keep onboard valid documents issued by their competent authority containing up-to-date information listed in paragraph 2 of CMM 07</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If vessels are not required to keep onboard the above-mentioned documents (paragraph 3 (b) of this CMM), have you agreed for the Secretariat to make this information available upon request for the purposes of Control?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
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Para 4: Vessel markings	Preliminary Self-Assessment
<p>Were all vessel(s) flying your flag and authorized to operate in the Agreement Area marked in such a way that they can be easily identified:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessels authorized to operate in the Agreement Area (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>

Marking of fixed gear

Para 5 & 6: Marking of Gears	Preliminary Self-Assessment
<p>Are all fixed gears used by vessel(s) flying your flag marked in accordance with paragraph 5 of this CMM</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessels used fixed gears in the Agreement Area (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>
<p>Has the secretariat been notified of the information regarding the marking of fixed gear?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>



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Retrieval of abandoned, lost or otherwise discarded fishing gear

Para 7: Retrieval of abandoned, lost or otherwise discarded fishing gear	Preliminary Self-Assessment
<p>Number of abandoned/lost gears by vessel(s) flying your flag: Click or tap here to enter text.</p> <p>Number of ALDFG retrieved by vessel(s) flying your flag: Click or tap here to enter text.</p> <p>Did vessel (s) flying your flag abandon lost gears only after having made every reasonable attempt to retrieve it as soon as possible</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Except for safety reasons, notably vessels in distress and/or life in danger, did vessel(s) flying your flag deliberately abandon fishing gears</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Did vessels flying your flag promptly notify their competent authority when gears could not be retrieved(with the information specified in paragraph 7 d)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Did vessels flying your flag promptly notify their competent authority following retrieval of any ALDFG(with the information specified in paragraph 7 e)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Was the Secretariat promptly notified of information referred to in subparagraphs (d) and (e).</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Obligation not applicable</p>	<p>Choose an item.</p>

**Discard of Plastics**

Para 8 & 9: Discard of plastics	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag store onboard all plastics, until they can be discarded at adequate port facilities, in accordance with paragraphs 8 and 9 of this CMM</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Labelling of frozen products of fishery resources

Para 10: Labelling of frozen products of fishery resources	Preliminary Self-Assessment
<p>Did vessels fishing for fishery resources comply with the requirements of paragraph 10 of this CMM, with regard to the packing and labelling of frozen fishery products:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessels fishing for fishery resources, or no fishery products kept frozen by fishing vessels (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Scientific Observer Programme

Para 11: Qualification and Authorization of Scientific Observers	Preliminary Self-Assessment
<p>Were all Scientific observers deployed during the assessment period, qualified and authorized to perform their tasks and record any requested data</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No Scientific Observer programs (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Sightings and identifications of non-Contracting Party, non-CNCP and non-PFE vessel(s)

Para 12: Vessel Sightings	Preliminary Self-Assessment
<p>Are vessel(s) required to report any presumed fishing as defined in the Agreement, including transshipment, by vessel(s) flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement in the Agreement Area,</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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Para 13: Reports of fishing, including transshipments by vessel not flying the flag of a CCP	Preliminary Self-Assessment
<p>Were there any reported sightings of fishing, including transshipments by vessel not flying the flag of a CCP</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, where these reports submitted to the Secretariat as soon as practical</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please indicate: Number of sightings reported by vessel(s) flying your flag: Click or tap here to enter text. Number of such sightings reported to the Secretariat: Click or tap here to enter text.</p>	<p>Choose an item.</p>

Implementation of CMM 10 (2023) (Monitoring)

Please select and proceed to paragraph 4 if vessel(s) flying your flag does not undertake fishing in the Agreement Area

Information on fishing activities

Para 2 & 3: Fishing logbook	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag maintain a bound fishing logbook or an electronic fishing logbook, containing the information relevant for compliance with the data collection and submission requirements of CMM 02, with consecutively numbered pages</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>
<p>Did vessel(s) flying your flag: Submit logbook data within 30 days of the completion of fishing trip:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Submit logbook data in accordance with CMM 02 and maintain in accordance with CMM 03</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did the quantities of catch recorded on vessel(s) flying your flag correspond to the quantities of catch kept onboard</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>



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Para 4: Obligation to cooperate	Preliminary Self-Assessment
<p>Did you receive any request from a CCP for information contained in fishing logbooks from the preceding 12 months</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, did you cooperate with such requests</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Vessel Monitoring System

Paras 5-7, 9-11: Requirements for vessel(s) to be fitted with an automatic location communicator (ALC)	Preliminary Self-Assessment
<p>Were all vessels flying your flag that operated in the Agreement Area, fitted with an operational ALC that reported back to its competent authority</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessel on the SIOFA RAV (<i>Obligation not applicable</i>)</p>	Choose an item.
<p>Did ALC units on vessel(s) flying your flag remain operational at all times while in the agreement area</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessel on the SIOFA RAV (<i>Obligation not applicable</i>)</p>	Choose an item.
<p>Have systems been developed, implemented, and improved to maintain a record of all vessel positions reported through VMS and logbooks?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were VMS position reports transmitted at least once every 2 hours from each fishing vessel flying your flag and included in the SIOFA RAV while operating in the Agreement Area</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Were vessel(s) position reports transmitted in accordance with standards established in Paragraph 9 of this CMM?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did any vessel(s) flying your enter the Agreement Area and commence operations with a defective ALC</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.



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<p>Did vessel(s) follow procedures established by paragraph 10, in the event of a technical failure or non-operation of the ALC fitted onboard a vessel</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.
<p>Are ALCs fitted onboard vessel(s) flying your flag tamper resistant, i.e., located within a sealed unit and be protected by official seals (or mechanisms) of a type that will indicate whether the unit has been accessed or tampered with.</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Entry / Exit Reports

Para 15: Entry / Exit Notifications	Preliminary Self-Assessment
<p>Was the Secretariat notified of all entry into and each exit from the Agreement Area by vessel(s) flying your flag, authorized to fish for species managed by SIOFA</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Interim Measures for at sea transshipments and transfers

Para 17: Transhipment of Fishery resources subject to flag CCPs authorization.	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag conduct transshipments at sea of fishery resources, <i>only</i> after having received prior authorization from their competent authority</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Para 18: Prohibition of transhipment of SIOFA Fishery resources with vessels not included on the SIOFA RAV.	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag conduct transshipments at sea of fishery resources, <i>only</i> with other vessel(s) included on the SIOFA RAV</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.



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Para 19: Prohibition of transshipment of SIOFA Fishery resources with vessels included in the IUU vessels list of an RFMO or CCAMLR.	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag conduct transshipments at sea of fishery resources with any vessels included in the IUU vessels list of an RFMO or CCAMLR</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Para 20: Procedures for undertaking Transshipment at sea	Preliminary Self-Assessment
<p>For each vessel flying your flag that undertook an at sea transshipment operation, did such vessel(s);</p> <p><input type="checkbox"/> Notify its competent authority at least 7 days in advance of a 14 day period during which the at sea transshipment is scheduled to occur</p> <p><input type="checkbox"/> Notify its competent authority 24 hours in advance of the estimated time during which the at sea transshipment occur</p> <p>Did the notifications above include the relevant information available regarding the at sea transshipment, in accordance with Transshipment Notification Annex II of this CMM</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Did the competent authority of such vessels, transmit all notifications received pursuant to sub-paragraph a. and b. to the Secretariat within 7 days</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Were impartial and qualified observers (or electronic monitoring) deployed on board every receiving vessel(s) to monitor transshipments</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Did assigned observer(s) collect and record the information of each transshipment operation as indicated in the Transshipment Logsheet in annex III of this CMM</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Did masters provide the observer onboard access to all operational areas of the vessel necessary to complete their duties, including the fish holds, production areas, crew, nets or other gear, equipment, and any other document relates with the catch retained onboard</p> <p><input type="checkbox"/> Yes</p>	Choose an item.



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<p><input type="checkbox"/> No</p> <p>Did observer(s) provide copy(ies) of information collected as indicted in the Transshipment Logsheet, to the competent authority of the observed vessel</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did the competent authority submit all observer data referred to in sub-paragraph f. to the Secretariat, no later than 15 days after debarkation of observer(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did the vessel (s) notify all operational details to its competent, using the Transshipment Declaration in Annex IV, within 24 hours following the transshipment</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did the competent Authority of the vessel transmit the Transmission Declaration referred to in sub-paragraph j. to the Secretariat within 30 days</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Paragraph 20 not applicable - <i>[Please provide an explanation]:</i></p>	
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Para 21: Procedures for undertaking Transfers at sea	Preliminary Self-Assessment
<p>For each vessel flying your flag that undertook an at sea transfer operation, did such vessel(s):</p> <p><input type="checkbox"/> Notify its competent authority at least 24 hours in advance of the planned transfer</p> <p>Did the notification(s) include the relevant information available regarding the transfer in accordance with Transfer Notification Annex V</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did the competent authority transmits the notification to the Secretariat without delay</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did the vessel notify all of the operational details to its competent authority, as specified in Transfer Declaration Annex VI, within 24 hours following the transfer</p> <p><input type="checkbox"/> Yes</p>	<p>Choose an item.</p>



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<input type="checkbox"/> No. <input type="checkbox"/> Not applicable – <i>[Please provide an explanation]:</i>	
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Para 23: Information verification process	Preliminary Self-Assessment
<p>Did you verify accuracy of information received in accordance with paragraphs 20 and 21?</p> <p> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable – <i>[Please provide an explanation]:</i> </p> <p>Did you take appropriate follow-up actions in the event that an observer reported discrepancies in the data reported by the masters of the unloading or receiving vessels or in the event of possible non-compliance with SIOFA CMMs, including coordination with the Port State where the receiving vessel is to unload, if it considers that an inspection of the unload is necessary</p> <p> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable – <i>[Please provide an explanation]:</i> </p>	<p>Choose an item.</p>

Para 24: Receipt of fishery resources from more than one unloading vessel	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag receive fishery resources a sea from more than one unloading vessel</p> <p> <input type="checkbox"/> Yes <input type="checkbox"/> No </p> <p>If yes, did such vessel(s);</p> <p> <input type="checkbox"/> store the fishery resources and related documentation from each unloading vessel separately, allowing to distinguish from which unloading vessel each part of the fishery resources on board comes, including through the use of canvas or nets to separate fishery resources on board and/or appropriate labelling for boxes. </p> <p> <input type="checkbox"/> maintain an up-to-date stowage plan and other documents showing the location and quantities of species received from each unloading vessel and retains these on board until the vessel has been unloaded completely. </p> <p> <input type="checkbox"/> make available the information referred to in sub-paragraph b. to its competent authority and to the relevant port State when required. </p>	<p>Choose an item.</p>



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Monitoring of transhipments in ports

No vessel transhipped (unloaded and /or received) SIOFA fishery resources in port *(Please skip to next applicable paragraph, or next CMM as applicable)*

Para 26 – 28, 30: Procedures for undertaking transhipment in port <i>Did vessel(s) flying your flag and carrying SIOFA fishery resource conduct transhipments in port, in accordance with the following procedures:</i>	Preliminary Self-Assessment
Transhipped in a port only if it had prior authorisation from its competent authority and the port State <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
Did not undertake transhipment in port of fishery resources with vessels included in the IUU vessels list established by an RFMO or CCAMLR <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
Submission of notification, at least 24hrs prior to transhipment information required by paragraph 28, to the port state and to the competent authority of the receiving vessel <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
Submission of transhipment declaration (Annex IV) to the competent authority of the flag state, the port state and the receiving vessel within 24hrs of the transhipment <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.

Para 32: Information verification process	Preliminary Self-Assessment
Did you verify the accuracy of information all information received in accordance with paragraphs 28 -31? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i> Did you take appropriate follow-up actions in the event of discrepancies in the data reported by the masters of the unloading or receiving vessels or in the event of possible non-compliance with SIOFA CMM <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i>	Choose an item.



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Para 33: Report on transshipments and at sea transfers	Preliminary Self-Assessment
Did you complete and submit the information required under paragraph 33 (a) – (e), as applicable? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessel transhipped SIOFA fishery resources at sea and / or conducted at sea transfer	Choose an item.

Implementation of CMM 11 (2020) (Compliance Monitoring Scheme)

Para 12: Completion and Submission of this CCR Template	Preliminary Self-Assessment
Did you complete and submit your CCR template before the deadline, i.e. no later than 60 days before the commencement of the Meeting of Parties <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.

Implementation of CMM 12 (2023) (Sharks)

Did vessel(s) flying your flag engage in fishing operations in the SIOFA Agreement Area <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please skip to the next CMM if vessel(s) flying your flag has not engage in fishing operations during the assessment period</i>

Para 2: Prohibition on the targeting of sharks	Preliminary Self-Assessment
Did vessel (s) flying your flag target deep-sea shark species listed in Annex 1 of this CMM <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.



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Para 5: Reporting of Portuguese dogfish catch in subarea 2	Preliminary Self-Assessment
<p>Did your vessel catch Portuguese dogfish in Subarea 2?</p> <p><input type="checkbox"/> Yes.</p> <p>If yes, were catch reports submitted monthly or weekly as applicable, to the Secretariat?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Were the submitted reports submitted within the stipulated timelines, i.e. by the twentieth day of the following month for monthly reports and/or by close of business Wednesday for the preceding seven days for weekly reports</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Obligations Not Applicable</p>	Choose an item.

Para 7: Reporting requirements related to the catching of deep-sea shark species	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag fulfil all reporting requirements, as per CMM 02 for all deep-sea shark species to the lowest taxonomical level possible when engaged in fishing for fishery resources</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Implementation of CMM 13 (2022) (Mitigation of Seabird Bycatch)

Application and geographic scope

Para 1: Did vessel (s) flying your flag engage in fishing operations in the SIOFA agreement Area for Fishery Resources, as defined in Article 1(f) of the Agreement, south of 25°S.
<p><input type="checkbox"/> Yes <input type="checkbox"/> No <i>CMM not applicable. Please skip to the next CMM</i></p>

General provisions for demersal and pelagic longliners and other demersal fishing gears

Para 2: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag using demersal or pelagic longlines or other demersal fishing gears, apply all of the measures provided in paragraph 2 while operating south of 25°S:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.



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Provisions applying to demersal longliners

Para 3: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag using demersal longlines, apply all of the measures provided in paragraph 3 while operating south of 25°S:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No demersal longliners flying your flag (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Para 4: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag using demersal longliners of less than 25m apply <i>at least one</i> of the mitigations measures prescribed in paragraph 4 while operating south of 25°S:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No demersal longliners of less than 25m flying your flag (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Provisions applying to other demersal fishing gears

Para 5: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag that use demersal pots or traps ensure the cleanliness of the traps and pots so as not to attract birds, and ensure that buoy lines were not left floating at the surface, while they operated south of 25°S</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessels using pots or traps flying your flag (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Provisions applying to pelagic longliners

Para 6: Application of mitigations measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
<p>Did pelagic longliners flying your flag use at least two of the three mitigation measures outlined in Annex 3</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No pelagic longliners flying your flag (<i>Obligation Not Applicable</i>)</p>	Choose an item.



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Implementation of CMM 14 (2021) (High Seas Boarding and Inspection Procedures)

General Provisions

Para 6: General Provisions	Preliminary Self-Assessment
<p>Were vessel(s) flying your flag boarded and inspected during the assessment period?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, did masters of these fishing vessel(s) accept and facilitate boarding and inspections pursuant to this measure</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Paras 7 – 9: General Provisions	Preliminary Self-Assessment
<p>Were boardings between a contracting party and a participating fishing entity conducted after a notification was sent to the Meeting of the Parties, at least 90 days prior to the boarding and inspection</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p>	Choose an item.
<p>Are vessel(s) flying your flag provided a copy or a translation of this measure</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p>	Choose an item.
<p>Are Authorized Inspectors required to comply with this measure in the conduct of any boarding and inspection activities undertaken under these procedures</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p>	Choose an item.



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Notification requirements

Para 10: Designation of contact points	Preliminary Self-Assessment
<p>Have contact points been designated for the purposes of receiving and sending notifications and reports to and from authorities of the fishing vessel(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p> <p>Have contact points been designated for the purposes of receiving and sending notifications and reports to and from the authorities of the inspecting vessel(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p> <p>Were there any changes in the designated contact points?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, was the Secretariat notified of these changes?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>

Participation

Para 12 & 13: Notification of intention to carry out boarding and inspectors	Preliminary Self-Assessment
<p>Did you notify the Secretariat of your intention to carry out boarding pursuant to this measure</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable <i>Please explain why not applicable</i></p> <p>If yes, please indicate if you provided all relevant information with respect to:</p> <p>Each Authorized Inspection Vessel, as required by paragraph 12.a.¹⁰</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Each Authorized Inspectors, as required by paragraph 12.b.¹¹</p>	<p>Choose an item.</p>

¹⁰ Details of vessel, (name, description, photograph, registration number, port of registry (and, if different from the port of registry, port marked on the vessel hull), international radio call sign), except where not applicable for military vessels and notification that the inspection vessel is clearly marked and identifiable as being on government service and by clearly flying a SIOFA inspection flag.

¹¹ i. the name(s) of the authorities responsible for boarding and inspection;



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<input type="checkbox"/> Yes <input type="checkbox"/> No	
<p>Were there any changes to information provided pursuant to Paragraph 12</p> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable	Choose an item.
<p>If yes, was the Secretariat informed of these changes</p> <input type="checkbox"/> Yes <input type="checkbox"/> No	

Para 15: Boardings by military vessel(s)	Preliminary Self-Assessment
<p>Did you carry out boarding and inspections using military vessel(s)</p> <input type="checkbox"/> Yes <input type="checkbox"/> No (<i>Obligation Not Applicable</i>)	Choose an item.
<p>If yes, indicate if</p> <input type="checkbox"/> Boardings were carried out by inspectors fully trained in fisheries enforcement procedures and duly authorised for this purpose by national laws. <input type="checkbox"/> Boardings conformed to the procedures contained within this measure.	

Cooperative activities

Para 18: Bilateral or Multilateral Arrangements	Preliminary Self-Assessment
<p>Did you notify the Secretariat of any bilateral or multilateral arrangements referred to in paragraph 16 of this CMM?</p> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No bilateral or multilateral arrangements in effect (<i>Obligation Not Applicable</i>)	Choose an item.

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- ii. an example of the credentials issued to its Authorised Inspectors;
 - iii. notification that such Authorised Inspectors are fully familiar with the species and fishing activities to be inspected and the relevant provisions of the Agreement and CMMs in force;
 - iv. notification that the Authorised Inspectors have received and completed training to safely carry out boarding and inspection activities at sea. Such training should include instruction on overcoming communication barriers and on de-escalation techniques; and
 - v. notification that any Authorised Inspectors carrying arms have received and completed appropriate levels of training on the use of those arms.



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Procedures

Para 19 – 26, 30-32: Boarding and Inspection procedures by Authorized Inspectors	Preliminary Self-Assessment
<p>Did Authorized Inspectors comply with boarding procedures established in paragraphs 19 – 26 and 30-32 of this CMM</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p>	Choose an item.
<p>Indicate number of incidents involving the use of force in relation to boarding and inspections under this measure: Click or tap here to enter text.</p> <p>Were all (if any) such incident(s) reported to the Authorities of the Fishing Vessel, the Authority of the Inspection Vessel, as well as Executive Secretary.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p>	Choose an item.

Para 27 – 29: Responsibility of Master and Crew of the fishing vessel during boarding and Inspection	Preliminary Self-Assessment
<p>Did Master and Crew of fishing vessel (s) comply with boarding procedures established in paragraphs 27 – 29 of this CMM</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p>	Choose an item.

Inspection Reports

Para 33: Reports of Authorized Inspectors	Preliminary Self-Assessment
<p>Did Authorized inspectors prepare a full report on each boarding and inspection they carried out under this measures, including any supporting information, using the data fields in the <i>Boarding and Inspection Reporting Form (Annex 1 of this CMM)</i></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p>	Choose an item.



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<p>Were copies of all boarding and inspection reports (in electronic format) transmitted to the Authorities of the Fishing Vessel, and to the Executive Secretary, within three (3) working days of the completion of the boarding and inspection</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p> <p>In cases where the reports could not be submitted within the specified timeframe, were the concerned parties notified of such, while specifying the time period within which the report will be provided?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p>	Choose an item.
<p>Did all reports referred in paragraph 33 of this CMM include the name(s) and authority of the Authorized inspector(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did all reports clearly identify any observed activity or conditions that the Authorized Inspectors believed to be a violation of the agreement of CMMs in force and indicated specific factual evidence relating to each alleged violation</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p>	

Serious violations

Para 35, 37, and 39: Responsibilities of the Authority(ies) of Inspection Vessel(s) in relation to serious violations	Preliminary Self-Assessment
<p>Were there any serious violations observed by your Authorized Inspectors during the assessment period?</p> <p><input type="checkbox"/> Yes <i>If yes, indicate number of serious violations observed: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No <i>(Obligations Not Applicable, please skip to next applicable obligation)</i></p>	
<p>Were the Authorities of the inspected vessel immediately notified, directly and through the Executive Secretary of the serious violation(s) observed?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>In cases where the Authorities of the Fishing Vessel have indicated they will investigate the alleged serious violation(s), were they provided with the specific evidence collected by the Authorized Inspectors, as soon as practicable?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.



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<p>In cases where the Authorities of the Inspection vessel have decided to undertake investigation(s) of alleged serious violations(s), were the specific evidence collected by the Authorised Inspectors, along with the results of any investigation, provided to the Authorities of the Fishing Vessel and the Executive Secretary immediately upon completion of the investigation and in any case no later than 6 (six) months after the date of the inspection?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>
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Para 36, 38 and 40 Responsibilities of the Authority(ies) of Fishing Vessel(s) in relations to serious violations	Preliminary Self-Assessment
<p>Were there any serious violation(s) observed onboard vessel(s) flying your flag, by Authorized Inspectors during the assessment period?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No (<i>Obligations Not Applicable, please proceed to paragraph 43</i>)</p> <p>If yes, indicate number of serious violations observed: Click or tap here to enter text.</p>	
<p>Did you provide an initial response to the notification(s) of the alleged serious violation(s) without delay and in any case no later than three (3) working days, to the Authorities of the Inspection Vessel and the Executive Secretary?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>
<p>In cases where you indicated that you would investigate the alleged serious violation(s), did you provide reports of the investigation to the Executive Secretary and the Authorities of the Inspection vessel within two (2) months of the notification in paragraph 36</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did you take any enforcement action against fishing vessel(s) found to have committed serious violations(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p> <p>If yes, were the Authorities of the Inspection Vessel, as well as the Executive Secretary notified of any such enforcement action within six (6) months of the date of notification in paragraph 36</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>
<p>Did you receive any request from a CCP to investigate an alleged serious violation?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>



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<p>If yes, did you provide a reply, including details of any action taken or proposed to be taken in relation to the alleged serious violation, to all Contracting Parties as soon as practicable and in any case within two (2) months of such a request.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Upon completion of such investigations, did you provide a report on the outcome of the investigation to the Executive Secretary for circulation to all CCPs for consideration at the next Meeting of the Parties</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
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Annual Reports

Para 44: Reports of action against vessel(s) that committed serious violations	Preliminary Self-Assessment
<p>Did you report to the Meeting of the Parties through your implementation report required under Article 10(2) or under CMM 11 (Compliance Monitoring Scheme) on the boarding and inspections carried out by your Authorised Inspection Vessel(s), as well as upon possible violations observed.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No boarding and inspection conducted (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>

Para 45: Reports of Action against vessel(s) that committed serious violations	Preliminary Self-Assessment
<p>If evidence warranted, indicate actions taken against such vessel(s) found to have committed serious violations: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No vessel found to have committed serious violations (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>

Provisions relating to non-CCPs

Para 46 - 48: Provisions relating to non-CCPs	Preliminary Self-Assessment
<p>Did your Authorized Inspection vessel(s) identify unidentified or unauthorized vessel(s) of non-CCPs that were fishing in the agreement Area?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable (<i>Please skip to next CMM</i>)</p> <p>If yes, indicate the number of vessel(s) identified: Click or tap here to enter text.</p> <p>Were these vessel(s) reported to the Executive Secretary</p> <p><input type="checkbox"/> Yes</p>	<p>Choose an item.</p>



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<input type="checkbox"/> No	
<p>Did your Authorized Inspection Vessel attempt to inform any fishing vessel identified pursuant to paragraph 46 that it has been seen or identified as potentially engaging in fishing that undermines the effectiveness of the Agreement or CMMs.</p> <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
<p>If yes was this information included in the report(s) sent to the Executive Secretary, as well as to the authorities of the relevant non-CCP</p> <input type="checkbox"/> Yes <input type="checkbox"/> No	
<p>Were you allowed to board vessel(s) of non-CCP identified pursuant to paragraph 46</p> <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
<p>If yes, indicate the number of vessel(s) boarded and inspected: Click or tap here to enter text.</p> <p>Did you report findings of such inspections to the Executive Secretary</p> <input type="checkbox"/> Yes <input type="checkbox"/> No	

Implementation of CMM 15 (2023) (Management of Demersal Stocks)

General provisions

<p>Para 2: Have vessel(s) flying your flag engaged in bottom fishing in the agreement area during the assessment Period?</p> <input type="checkbox"/> Yes <input type="checkbox"/> No <i>(CMM Not Applicable. Please skip this CMM)</i>

Para 12: Prohibition on fishing in depths less than 500m	Preliminary Self-Assessment
<p>Did vessel flying you flag, fishing with demersal longliner fished in depths shallower than 500m :</p> <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.



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Para 13: Requirement for mandatory observer coverage for vessel(s) participating in the <i>Dissostichus</i> spp. fishery. ¹²	Preliminary Self-Assessment
<p>Indicate the level of: Observer coverage for all fishing activity: Click or tap here to enter text.</p> <p>Minimum of 25% of hooks haul per line observed over the duration of all fishing deployments</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

1. Management & research measures for toothfish in the Del Cano Area

Effort/catch and operational limits

No vessel fished for *Dissostichus* spp. in the Del Cano Rise area (Please skip to 20 - 21, then proceed to paragraph 34).

Para 16: <i>Dissostichus</i> spp.	Preliminary Self-Assessment
<p>Please indicate: Your total quota for the season of 1 December 2022 to 30 November 2023 (after any transfers) for <i>Dissostichus</i> spp.: Click or tap here to enter text.</p> <p>Annual catch of <i>Dissostichus</i> spp. for the Fishing Season of December 2022 to November 2023: Click or tap here to enter text.</p>	Choose an item.

Para 17: Monthly <i>Dissostichus</i> spp. catch report	Preliminary Self-Assessment
<p>Have all monthly reports of your <i>Dissostichus</i> spp. catches been sent to the Secretariat during the assessment period?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Para 18: Fishing Activity Reports	Preliminary Self-Assessment
<p>Did your fishing vessel(s) inform the secretariat daily of all start and end points of set longlines, using the template in Annex II?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

¹² Applicable as of the 1st December 2023



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Para 19: Effort Limitations	Preliminary Self-Assessment
<p>Did fishing vessel(s) flying your flag, using longlines:</p> <p>Exceed 3000 hooks per line</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Set lines at minimum 3 nautical miles from each other</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Bycatch Limits

Para 20 - 21: Bycatch Limits	Preliminary Self-Assessment
<p>Did any vessel not targeting <i>Dissostichus</i> spp. catch more than 0.5t of <i>Dissostichus</i> spp during the season</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>If yes please indicate the number of vessel(s) that had bycatch of <i>Dissostichus</i> spp. that exceeded the limit of 0.5t per season: Click or tap here to enter text.</p>	
<p>Were those vessel(s) prohibited from engaging in any further fishing in the Del Cano area for the rest of that season:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

VMS polling

Para 25: VMS location report interval period	Preliminary Self-Assessment
<p>Were VMS from each fishing vessel flying your flag position reports transmitted every hour, while they were operating in the Del Cano Rise area</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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Observers

Para 26: Requirement for mandatory observer coverage for vessel(s) participating in the <i>Dissostichus</i> spp. fishery. (Del Cano Rise area)	Preliminary Self-Assessment
<p><i>Indicate level of:</i> observer coverage for all fishing activity: Click or tap here to enter text. Did scientific observers onboard vessels observed 25% of hooks haul per line observed over the duration of the fishing deployment.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Tagging and release

Para 27: Tag and release	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag tag and release <i>Dissostichus</i> spp. specimens at a rate of at least 5 (five) fish per tonne green weight caught, while applying a minimum overlap statistic of at least 60% for tag release, once 30 or more <i>Dissostichus</i> spp. had been caught</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

2. Management & research measures for toothfish in Williams Ridge [FAO Subarea 57.4]

No vessel fished for *Dissostichus* spp. in Williams Ridge (*Please go to paragraphs 44 - 45, then the end of this CCR*)

Para 34: Total Annual Research Catch of <i>Dissostichus</i> spp.
<p>Please indicate:</p> <p>Annual research catch of <i>Dissostichus</i> spp. for the last fishing season of 1 December 2023 to 30 November 2024: Click or tap here to enter text.</p>

Para 35: Daily catch report	Preliminary Self-Assessment
<p>Did your vessel(s) send all daily reports of their <i>Dissostichus</i> spp. to the secretariat, using Annex III for template, also informing the secretariat on start and end points of set long lines, using the template provided by Annex IV:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.



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Para 37: Grid Cell Entry Notification requirements	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag inform the secretariat as soon as they entered a grid cell to fish for <i>Dissostichus</i> spp., or send an advanced notification prior to entering a grid cell for fishing, outside of secretariat business hours.</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>
Para 38: Notification Requirements	Preliminary Self-Assessment
<p>Did any vessel flying your flag fish in a grid cell, <i>before</i> receiving confirmation from the secretariat that two lines have not already been set in a grid during that season and that the grid was not being fished by another vessel.</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Please indicate number of vessel(s), if any, that have failed to comply with the above requirements: Click or tap here to enter text.</p>	<p>Choose an item.</p>
Para 39: Grid Cell Exit Notification Requirements	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag inform the Secretariat as soon as they exit the grid cell specified in paragraph 39 while also informing the Secretariat of the number of lines, if any, they have set and/or hauled in that grid cell:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 39: Click or tap here to enter text.</p>	<p>Choose an item.</p>
Para 40: Effort (Number of Hooks) Limitations	Preliminary Self-Assessment
<p>Did longline vessel(s) exceed 6250 hooks per line and/or set lines across grid cells:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>



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Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 40: [Click or tap here to enter text.](#)

Para 41: Limits on number of lines set per season, per grid cells

Preliminary Self-Assessment

Did your vessel set any lines in a grid that already had two lines in total set in that grid during a fishing season

- Yes
 No

Choose an item.

Para 43: Other effort limits

Preliminary Self-Assessment

Did vessel(s) flying your flag, and fishing for *Dissostichus* ssp. apply a break of a minimum of 30 days between consecutive fishing trips to William Ridge.

- Yes
 No

Choose an item.

Bycatch Limits

Para 44 & 45: Bycatch Limits

Preliminary Self-Assessment

Did any vessel not targeting *Dissostichus* ssp. catch more than 0.5t of *Dissostichus* ssp during the season

- Yes
 No

If yes please indicate the number of vessel(s) that had bycatch of *Dissostichus* ssp. that exceeded the limit of 0.5t per season: [Click or tap here to enter text.](#)

Were those vessel(s) prohibited from engaging in any further fishing in the Williams Ridge area for the rest of that season:

- Yes
 No

Choose an item.

---End of CCP Compliance Report---

Annex A - CCP Compliance Report Template Assessing the Implementation of Relevant Obligations based on National Measures Established.



Template for the CCP Compliance Report (CCR) For the Implementation of SIOFA Conservation and Management Measures

ASSESSMENT PERIOD 1 JANUARY – 31 DECEMBER 2024

In accordance with [CMM 11 \(2020\) \(Compliance Monitoring Scheme\)](#), CCPs are invited to prepare a CCP Compliance Report (CCR) based on this template, including a preliminary self-assessment of its compliance status for each assessed obligation.

Reports of national binding measures¹ are to be reported in the first section of the CCR. These reports shall be accompanied by copies of those measures, both in their original language and in English, where possible. National binding measures should be accompanied by a brief summary of their contents and relevance in English.

Statements of Implementation may refer to these measures, providing the text from the relevant clause, including a citation.

CCPs that have not updated national binding measures since the last compliance assessment are not required to report these measures but to indicate “National Binding Measures have not been updated since last compliance assessment”.

CCPs’ self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation.

For clarity, all references to “Previous Calendar Year” refer to the year preceding the Assessment Period.

Submission Deadline: DD-MMM-2025

Name of CCP: Choose an item.

Date of Report Submission: Click or tap to enter a date.

¹ National binding measures may include fishing conditions, legislation, policies or any form of binding domestic arrangements established by CCPs.



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Report of National Domestic Measures to Implement Conservation and Management Measures

Implementation of the Southern Indian Ocean Fisheries Agreement

National Binding Measures have not been updated since the last compliance assessment. Please proceed to CMMs

Article 10(2): (For Coastal States only) Indicate measures (if any) taken to manage straddling stocks occurring in waters under your jurisdiction adjacent to the SIOFA Area.	Preliminary Self-Assessment
Indicate measures here <input type="checkbox"/> No measures established. <input type="checkbox"/> Not applicable - [Please provide an explanation]:	Choose an item.

Implementation of CMM 01 (2023) (Interim Management of Bottom Fishing)

General provisions and definitions

Para 2: Have vessel(s) flying your flag engaged in, or intend to engage in, bottom fishing in the agreement area? <input type="checkbox"/> Yes <input type="checkbox"/> No. Please skip to the next CMM if vessel(s) flying your flag has not engaged in bottom fishing during the assessment period. No submissions are required in the Compliance Report Section for this CMM.

National Binding Measures have not been updated since the last compliance assessment. Please proceed to the next CMM

Vulnerable Marine Ecosystems

Para 12: Threshold level for Vulnerable Marine Ecosystems (VME) applied to flagged vessel(s) as prescribed by paragraph 12 of this CMM	Preliminary Self-Assessment
Indicate measures established and applied to ensure compliance of vessels(s) flying your flag with established threshold levels prescribed in Paragraph 12 of this CMM, for encounter with VMEs: <i>Indicate measures here.</i>	Choose an item.

Para 13: Requirements established to cease bottom fishing upon evidence of VME encounter	Preliminary Self-Assessment
Indicate measures to implement requirements of paragraph 13: <i>Indicate measures here.</i> <input type="checkbox"/> No measures established	Choose an item.



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<p>Have evidence of VME encounters reported in National Reports to the Scientific Committee, following guidelines established in Annex 2</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	
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Interim Protected Areas (IPA)

Para 45 (a): Prohibition of bottom fishing, excluding line and trap methods in IPA designated in Annex 3	Preliminary Self-Assessment
<p><input type="checkbox"/> Prohibition Applied</p> <p>Please indicate how the prohibition is being provisionally applied <i>Indicate measures here:</i></p> <p><input type="checkbox"/> Prohibition not applied</p>	<p>Choose an item.</p>

Implementation of CMM 02 (2023) (Data Standards)

Application

Have any vessels flying your flag been engaged in fishing ² in the Agreement Area during the assessment period?
<p>YES <input type="checkbox"/></p> <p>NO <input type="checkbox"/> <i>If no, please move to the next CMM</i></p>

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

Vessel Catch and Effort Data

Para 4: Collection of Data	Preliminary Self-Assessment
<p>Indicate measures established and applied to ensure that data on fishing activities, including for target, non-target and associated and dependent species such as marine mammals, marine reptiles, seabirds or 'other species of concern', are collected from vessels flying their flag that are fishing in the Agreement Area, in accordance with the relevant sections of Annex A of this CMM</p> <p><i>Indicate measures here</i></p>	<p>Choose an item.</p>

² As defined by Article 1(g) of the Agreement.



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Implementation of CMM 05 (2016) (Pelagic Driftnets and Deepwater Gillnets)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

Para 1: Prohibition of largescale pelagic driftnets in Agreement Area	Preliminary Self-Assessment
<p>Are vessels flying your flag prohibited from using large-scale driftnets in the Agreement Area:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>If yes Indicate measures in place to prohibit the use of large-scale pelagic driftnets by vessels flying your flag in the Agreement Area: <i>Indicate measures here</i></p>	<p>Choose an item.</p>



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Implementation of CMM 06 (2022) (IUU Vessels List)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

IUU Vessels List

Para 19: Measures to Prevent, Deter and Eliminate IUU Fishing	Preliminary Self-Assessment
<p>Did you take all necessary measures under applicable legislation <i>and</i> pursuant to paragraphs 56 and 66 of the IPOA IUU to ensure that implement measures in paragraph 19. a – j:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If yes please indicate measures to:</i></p> <p>eliminate the IUU activities, including if necessary, the withdrawal of the fishing licences, authorisations or permits granted to vessels included in the IUU Vessel List, and the refusal of the fishing licences, authorisations or permits to such vessels: <i>Indicate measures here</i></p> <p>ensure that vessel(s) flying your flag do not participate in any transshipment or other operations such as joint-fishing, transfers at sea of fuel, crew, gear or any other supplies either as an unloading or receiving vessel, with vessels included in the IUU Vessel List: <i>Indicate measures here</i></p> <p>ensure that vessels on the IUU Vessel List are denied access to ports unless for the purpose of inspecting them and taking other appropriate actions in conformity with international law which are at least as effective as denial of port entry in preventing, deterring and eliminating IUU fishing:</p> <p>give priority to the inspection of vessels on the IUU Vessel List, if such vessels are found your ports: <i>Indicate measures here</i></p> <p>prohibit the chartering of vessels on the IUU Vessel List: <i>Indicate measures here</i></p> <p>refuse to grant your flag to vessels on the IUU Vessel List: <i>Indicate measures here</i></p> <p>prohibit commercial transactions, such as imports, exports or re-exports, landings and transshipments of fisheries resources covered by the Agreement, as well as other operations involving such fisheries resources, from vessels on the IUU Vessel List: <i>Indicate measures here</i></p> <p>prohibit change of crew on board of vessels on the IUU Vessel List: <i>Indicate measures here</i></p>	<p>Choose an item.</p>



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<p>encourage traders, importers and transporters to refrain from transactions in, and transshipment of, fishery resources covered by the Agreement caught by vessels on the IUU Vessel List: <i>Indicate measures here</i></p> <p>collect and promptly exchange with other Contracting Parties, CNCPs and PFEs, any appropriate relevant information on vessels included in the IUU Vessel List: <i>Indicate measures here</i></p>	
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Implementation of CMM 07 (2022) (Vessel Authorization)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

Para 6 (b-d): Vessel authorisations to operate in the Agreement Area	Preliminary Self-Assessment
<p>Please indicate measures to ensure that vessel(s) flying your flag:</p> <p>comply with all relevant SIOFA CMMs: <i>Indicate measures here</i></p> <p>that are on the SIOFA RAV keep onboard valid certificates of vessel registration and valid authorisation to fish and/or to undertake fishing-related activities: <i>Indicate measures here</i></p> <p>that are on the SIOFA RAV Vessel(s) have no history of IUU fishing, or, if those vessel(s) have such history, the new owners have provided sufficient evidence demonstrating that the previous owners and operators have no legal, beneficial or financial interest in or control over those vessel(s), or that have taken into account all relevant facts, your vessel(s) are not engaged in or associated with IUU fishing: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures established.</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Para 7: Vessel Authorization	Preliminary Self-Assessment
<p>Indicate measures under your national legislation to prohibit fishing and fishing-related activities on fishery resources covered by the Agreement by vessels not registered in the SIOFA Record of Authorized Vessels: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place under applicable legislation</p>	Choose an item.



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Implementation of CMM 08 (2020) (Port Inspection)

Application

Para 31: Are you a port state with areas of national jurisdiction adjacent to the Agreement Area?

- Yes Please fill this section of the CCR template as applicable
- No Please skip paragraph 5 and complete paragraph 26 only

National Binding Measures have not been updated since the last compliance assessment. Please proceed to the next CMM

Para 5: Notification Period	Preliminary Self-Assessment
<p>Indicate measures in place to ensure foreign vessel(s) calling into your ports submits information specified in Annex I of this CMM (at minimum), at least 48hrs before the estimated time of arrival. <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p>If you have a notification period other than 48hrs, have the secretariat been informed without delay:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No alternate notification period (<i>Obligation Not Applicable</i>)</p> <p>Has there been any changes of notification period prior to the estimated time of arrival?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>If yes have the secretariat been notified of these changes, at least 30 days before the changes took effect:</p> <p><input type="checkbox"/> Yes. <i>If yes please indicate</i> <i>Date changes took effect:</i> Click or tap to enter a date.</p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>

Para 26: Flag CCPs obligations	Preliminary Self-Assessment
<p>Please indicate measures to ensure vessel(s) flying your flag cooperate with port state inspections carried out pursuant to this CMM: Indicate measures here</p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - [<i>Please provide an explanation</i>]:</p>	<p>Choose an item.</p>



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Implementation of CMM 09 (2022) (Control)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

Vessel Requirements

Para 3: Onboard Documents	Preliminary Self-Assessment
<p>Indicate measures to ensure that vessel(s) flying your flag: Carry onboard the current valid documents issued by its competent authority that are contained in paragraph 6(c) of CMM 07 (2022): <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.
<p>Carry onboard valid documents issued by its competent authority containing the up-to-date information listed in paragraph 2 of CMM 07 (2022) of vessel registration and valid authorisation to fish and/or undertake fishing-related activities and keeps onboard valid documents issued by their competent authority containing up-to-date information listed in paragraph of CMM 07: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p> <p>If no such measures are in place, have you agreed for the Secretariat to make this information available upon request for the purposes of Control</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	

Para 4: Vessel markings	Preliminary Self-Assessment
<p>Are vessel(s) flying your flag and authorized to operate in the Agreement Area marked in such a way that they can be easily identified?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, indicate measures to ensure that vessel(s) flying your flag and authorized to operate in the Agreement Area are marked in such a way that they can be easily identified: <i>Indicate measures here</i></p>	Choose an item.



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Not applicable - *[Please provide an explanation]:*

Marking of fixed gear

Para 5: Marking of Gears	Preliminary Self-Assessment
<p>Are all vessel(s) flying your flag required to mark fixed gear in accordance with paragraph 5 of this CMM?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable <i>[Please provide an explanation]:</i></p> <p>If yes, indicate measures to ensure that fixed gears used by vessel(s) flying your flag are marked in accordance with paragraph 5 of this CMM: <i>Indicate measures here</i></p>	Choose an item.

Discard of Plastics

Para 8 & 9: Discard of plastics ³	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) flying your flag are required to store onboard all plastics, until they can be discarded at adequate port facilities, in accordance with paragraphs 8 and 9 of this CMM: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place <input type="checkbox"/> Not Applicable <i>[Please provide an explanation]:</i></p>	Choose an item.

Labelling of frozen products of fishery resources

Para 10: Labelling of frozen products of Fishery resources	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) fishing for fishery resources comply with the requirements of paragraph 10 of this CMM: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place <input type="checkbox"/> Not Applicable <i>[Please provide an explanation]:</i></p>	Choose an item.

³ Applicable to all flag state CCPs



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Scientific Observer Programme

Para 11: Qualification and Authorization of Scientific Observers	Preliminary Self-Assessment
<p>Are scientific observers qualified and authorized to perform their tasks and record any requested data</p> <p><input type="checkbox"/> Yes</p> <p>If yes please elaborate on how this is implemented: <i>Indicate measures here:</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	<p>Choose an item.</p>

Sightings and identifications of non-Contracting Party, non-CNCP and non-PFE vessel(s)

Para 12: Vessel Sightings	Preliminary Self-Assessment
<p>Are vessel(s) required to report any presumed fishing as defined in the Agreement, including transshipment, by vessel(s) flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement in the Agreement Area:</p> <p><input type="checkbox"/> Yes</p> <p>If yes, please indicate measures established to this end: <i>Indicate measures here:</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	<p>Choose an item.</p>

Implementation of CMM 10 (2023) (Monitoring)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

Information on fishing activities

Para 2 & 3: Fishing logbook	Preliminary Self-Assessment
<p>Are vessel(s) required to maintain a bound fishing logbook or an electronic fishing logbook containing the information relevant for compliance with the data collection submission requirements of CMM 02 with consecutively numbered page</p> <p><input type="checkbox"/> Yes</p> <p>If yes, please indicate measures established to this end: <i>Indicate measures here:</i></p> <p><input type="checkbox"/> No requirements for maintaining a logbook (bound or electronic)</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	<p>Choose an item.</p>



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<p>Indicate measures in place to ensure:</p> <ul style="list-style-type: none"> - Submission of logbook data within 30 days of the completion of fishing trip: - Logbook data are submitted in accordance with CMM 02 and maintained in accordance with CMM 03, and: - The quantities of catch recorded on vessel(s) (flying your flag) corresponds to the quantities of catch kept onboard <p><i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	<p>Choose an item.</p>
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Vessel Monitoring System

Paras 5-7, 9-11: Requirements for vessel(s) to be fitted with an automatic location communicator (ALC)	Preliminary Self-Assessment
<p>Indicate measures to ensure that vessel(s) flying your flag that are operating in the Agreement Area are fitted with an operational ALC, and reporting back to its competent authority: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> No vessel on the SIOFA RAV (<i>Obligation not applicable</i>)</p>	<p>Choose an item.</p>
<p>Indicate measures in place to ensure that ALC units on vessel(s) flying your flag remain operational at all times while in the agreement area: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> No vessel on the SIOFA RAV (<i>Obligation not applicable</i>)</p>	<p>Choose an item.</p>
<p>Have systems been developed, implemented, and improved to maintain a record of all vessel positions reported through VMS and logbooks?</p> <p><input type="checkbox"/> Yes</p> <p><i>If yes, please provide information on how this is implemented:</i></p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>



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<p>Are VMS position reports transmitted at least once every two (2) hours from each fishing vessel flying your flag and included in the SIOFA RAV while operating in the Agreement Area</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Are vessel(s) position reports transmitted in accordance with the standard established in paragraph 9 of this CMM?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Indicate measures in place to ensure that vessel(s) do not enter the Agreement Area and commence operations with a defective ALC: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No Measures in place</p>	Choose an item.
<p>Indicate measures in place to ensure that vessel(s) follow procedures established by paragraph 10, in the event of a technical failure or non-operation of the ALC fitted onboard a vessel: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.
<p>Indicate measures in place to ensure that the ALCs fitted onboard vessel(s) flying your flag are tamper resistant, i.e., located within a sealed unit and be protected by official seals (or mechanisms) of a type that will indicate whether the unit has been accessed or tampered with: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.

Entry / Exit Reports

Para 15: Entry / Exit Notifications	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that the secretariat is notified of each entry into and each exit from the Agreement Area by vessel(s) flying your flag, authorized to fish for species managed by SIOFA, in accordance with CMM 07:</p> <p><i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place <input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.



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Interim Measures for at sea transhipments and transfers

Para 17: Transhipment of Fishery resources subject to flag CCPs authorization.	Preliminary Self-Assessment
<p>Indicate measures in place to ensure vessel(s) flying your flag conduct transhipments at sea of fishery resources, <i>only</i> after having received prior authorization from their competent authority <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.
Para 18: Prohibition of transhipment of SIOFA Fishery resources with vessels not included on the SIOFA RAV.	Preliminary Self-Assessment
<p>Indicate measures in place to ensure vessel(s) flying your flag conduct transhipments at sea of fishery resources, with other vessel(s) on the SIOFA RAV: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.
Para 19: Prohibition of transhipment of SIOFA Fishery resources with vessels included in the IUU vessels list of an RFMO or CCAMLR.	Preliminary Self-Assessment
<p>Indicate measures in place to prohibit vessel(s) flying your flag from conducting transhipments at sea of fishery resources with any vessels included in the IUU vessels list established by an RFMO or CCAMLR: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.
Para 20: Procedures for undertaking Transhipment at sea	Preliminary Self-Assessment
<p>Indicate measures in place to ensure vessel(s) flying your flag conducted transhipments following procedures established in paragraph 20 of CMM 10: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.



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Para 21: Procedures for undertaking Transfers at sea	Preliminary Self-Assessment
<p>Indicate measures in place to ensure vessel(s) flying your flag conducted any at-sea transfers following procedures established in paragraph 21 a-d: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	<p>Choose an item.</p>
Para 23: Information verification process	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that all information received in accordance with paragraphs 20 and 21 are verified for accuracy <i>Indicate measures here</i></p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	<p>Choose an item.</p>
Para 24: Receipt of fishery resources from more than one unloading vessel	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) flying your flag receiving fishery resources at sea:</p> <p>stores the fishery resources and related documentation from each unloading vessel separately, allowing to distinguish from which unloading vessel each part of the fishery resources on board comes, including through the use of canvas or nets to separate fishery resources on board and/or appropriate labelling for boxes: <i>Indicate measures here</i></p> <p>maintains an up-to-date stowage plan and other documents showing the location and quantities of species received from each unloading vessel and retains these on board until the vessel has been unloaded completely: <i>Indicate measures here</i></p> <p>makes available the information referred to in sub-paragraph b. to its competent authority and to the relevant port State when required: <i>Indicate measures here</i></p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	<p>Choose an item.</p>



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Monitoring of transhipments in ports

No vessel transhipped (unloaded and /or received) SIOFA fishery resources in port *(Please skip to next CMM as applicable)*

Para 26 – 28, 30: Procedures for undertaking transhipment in port <i>Indicate measures in place to ensure vessel(s) flying your flag and carrying SIOFA fishery resources conducted transhipments in port in accordance with the following requirements:</i>	Preliminary Self-Assessment
<p>Ensuring that vessels tranship in a port only if it has prior authorisation from its competent authority and the port State: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.
<p>Prohibition to undertake transshipments in port of fishery resources with vessels included in the IUU vessel lists established by CCAMLR or any regional fisheries management organization <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.
<p>Submission of notification, at least 24hrs prior to transhipment information required by paragraph 28, to the port state and the competent authority of the receiving vessel: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.
<p>Submission of transhipment declaration (Annex IV) to the competent authority of the flag state, the port state and the receiving vessel within 24hrs of the transhipment: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.

Para 32: Information verification process	Preliminary Self-Assessment
<p>Indicate measures in place to verify the accuracy of information received in accordance with paragraphs 28 -31: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.

Implementation of CMM 12 (2023) (Sharks)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

<p>Did vessel(s) flying your flag engage in fishing operations in the SIOFA Agreement Area</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No <i>Please skip to the next CMM if vessel(s) flying your flag has not engage in fishing operations during the assessment period. No submissions are required in the Compliance Report Section for this CMM.</i></p>



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Para 2: Prohibition on the targeting of sharks	Preliminary Self-Assessment
Indicate measures to prohibit the targeting of any deep-sea shark species listed in Annex 1 of this CMM, by vessel(s) flying your flag: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place	Choose an item.

Para 7: Reporting requirements related to the catching of deep-sea shark species	Preliminary Self-Assessment
Indicate measure to ensure vessel(s) flying your flag records and submits all reporting requirements, as per CMM 02, for all deep-sea shark species to the lowest taxonomical level possible when engaged in fishing for fishery resources: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place	Choose an item.

Implementation of CMM 13 (2022) (Mitigation of Seabird Bycatch)

Application and geographic scope

Para 1: Did vessel (s) flying your flag engage in fishing operations in the SIOFA agreement Area for Fishery Resources, as defined in Article 1(f) of the Agreement, south of 25°S.
<input type="checkbox"/> Yes <input type="checkbox"/> No <i>CMM not applicable. Please skip to the next CMM, if not applicable. No submissions are required in the Compliance Report Section for this CMM.</i>

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

General provisions for demersal and pelagic longliners and other demersal fishing gears

Para 2: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
Indicate national binding measures to ensure that vessel(s) flying your flag using demersal or pelagic longlines or other demersal fishing gears , apply all the mitigation measures provided in paragraph 2: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place	Choose an item.

Provisions applying to demersal longliners

Para 3 & 4: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
Indicate national binding measures to ensure that demersal longliners flying your flag, apply all the mitigations measures provided in paragraph 3 while operating south of 25°S: <i>Indicate measures here</i>	Choose an item.



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<input type="checkbox"/> No measures in place <input type="checkbox"/> No demersal longliners flying your flag (<i>Obligation Not Applicable</i>)	
Indicate national binding measures to ensure that demersal longliners of less than 25m apply <i>at least one</i> of the mitigations measures prescribed in paragraph 4 while operating south of 25°S: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> No demersal longliners of less than 25m flying your flag (<i>Obligation Not Applicable</i>)	Choose an item.

Provisions applying to other demersal fishing gears

Para 5: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
Indicate national binding measures to ensure that vessel(s) flying your flag using demersal pots or traps ensures the cleanliness of the traps and pots so as not to attract birds, and ensures that buoy lines are not left floating at the surface, while operating south of 25°S: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> No vessels using pots or traps flying your flag (<i>Obligation Not Applicable</i>)	Choose an item.

Provisions applying to pelagic longliners

Para 6: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
Indicate measures to ensure that pelagic longliners flying your flag use at least two of the three mitigation measures outlined in Annex 3: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place <input type="checkbox"/> No pelagic longliners flying your flag (<i>Obligation Not Applicable</i>)	Choose an item.



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Implementation of CMM 14 (2021) (High Seas Boarding and Inspection Procedures)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

General Provisions

Paras 6: General Provisions	Preliminary Self-Assessment
<p>Are masters of fishing vessel(s) flying your flag required to accept and facilitate boarding and inspection pursuant to this measure</p> <p><input type="checkbox"/> Yes <i>If yes, indicate measures in place to this end:</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Procedures

Para 19 – 26, 30-32: Boarding and Inspection procedures by Authorized Inspectors	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that Authorized Inspectors comply with boarding procedures established in paragraphs 19 – 26 and 30-32 of this CMM: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Para 27 – 29: Responsibility of Master and Crew of the fishing vessel during boarding and Inspection	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that Master and Crew of the fishing vessel comply with boarding procedures established in paragraphs 27 – 29 of this CMM: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.



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Enforcement

Para 43: Interference by a fishing vessel or its master or crew with an Authorised Inspector or an Authorised Inspection Vessel.	Preliminary Self-Assessment
Indicate measures in place to ensure that you can effectively respond to any interference by a fishing vessel flying your flag, or its master or crew, with an Authorised Inspector, or an Authorised Inspection Vessel: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place	Choose an item.

Implementation of CMM 15 (2023) (Management of Demersal Stocks)

National Binding Measures have not been updated since the last compliance assessment. *Please proceed to the next CMM*

General Provisions

Para 2: Have vessel(s) flying your flag engaged in, or intend to engage in bottom fishing in the agreement area? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>(CMM Not Applicable. Please skip this CMM)</i>
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Para 12: Prohibition on fishing in depths less than 500m	Preliminary Self-Assessment
Indicate measures implemented and applied to prohibit fishing with demersal longlines in depths shallower than 500m: <i>Indicate measures here</i> <input type="checkbox"/> No measures in place	Choose an item.



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1. Management & research measures for toothfish in the Del Cano Area

Effort/catch and operational limits

No vessel fished for *Dissostichus* spp. in the Del Cano Rise area (*Please skip to paragraph 35*).

Para 16: <i>Dissostichus</i> spp.	Preliminary Self-Assessment
<p>Please indicate: Your total quota for the season of 1 December 2023 to 30 November 2024 (after any transfers) for <i>Dissostichus</i> spp.: Click or tap here to enter text. Annual catch of <i>Dissostichus</i> spp. for the Fishing Season of December 2023 to November 2024: Click or tap here to enter text.</p> <p>Indicate measures to manage your available catch of <i>Dissostichus</i> spp.: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>

Para 18: Fishing Activity Reports	Preliminary Self-Assessment
<p style="background-color: yellow;">Indicate measures to ensure that your fishing vessel(s) transmits their daily reports in accordance with paragraph 18: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>

VMS polling

Para 25: VMS location report interval period	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that your flagged vessel(s) fishing for <i>Dissostichus</i> spp. in the Del Cano Rise area reports VMS data automatically to their FMC at least every hour when they are present in the Del Cano area: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>

Tagging and release

Para 27: Tag and release	Preliminary Self-Assessment
<p>Indicate measures to ensure that vessel(s) flying your flag tags and releases <i>Dissostichus</i> spp. specimens at a rate of at least 5 fish per tonne green weight caught, while applying a minimum overlap statistic of at</p>	<p>Choose an item.</p>



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<p>least 60% for tag release, once 30 or more <i>Dissostichus</i> spp. have been caught: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	
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2. Management & research measures for toothfish in Williams Ridge [FAO Subarea 57.4]

No vessel fished for *Dissostichus* spp. in Williams Ridge (*Please proceed to next section of the CCR*)

Para 35: Daily catch report	Preliminary Self-Assessment
<p>Indicate measures to ensure vessel(s) flying your flag reports all daily reports of their <i>Dissostichus</i> spp. to the secretariat, using Annex III for template, also informing the secretariat on start and end points of set long lines, using the template at Annex IV: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>

Para 37: Grid Cell Entry Notification requirements	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) flying your flag informs the secretariat as soon as they enter a grid cell to fish for <i>Dissostichus</i> spp., or send an advanced notification prior to entering a grid cell for fishing, outside of secretariat business hours.</p> <p><input type="checkbox"/> Yes <i>If yes, please indicate measures to ensure compliance of vessel(s) flying your flag to this paragraph:</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>

Para 38: Notification Requirements	Preliminary Self-Assessment
<p>Indicate if measures are in place to ensure that vessel(s) flying your flag does not fish in a cell until the Secretariat confirms that two lines have not already been set in a grid during that season and that the grid was not being fished by another vessel.</p> <p><input type="checkbox"/> Yes <i>If yes, please indicate measures to ensure compliance of vessel(s) flying your flag to this paragraph:</i></p> <p><i>Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 39: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No measures in place</p>	<p>Choose an item.</p>



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Para 39: Grid Cell Exit Notification Requirements	Preliminary Self-Assessment
<p>Indicate if measures are in place to ensure that vessel(s) flying your flag informs the Secretariat as soon as they exit the grid cell as specified in paragraph. 39 while also informing the Secretariat of the number of lines, if any, they have set and/or hauled in that grid cell:</p> <p><input type="checkbox"/> Yes</p> <p><i>Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 39: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.
Para 41: Limits on number of lines set per season, per grid cells	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that there are no more than two lines in total that are set per grid cell for the duration of a fishing season of <i>Dissostichus</i> ssp.: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.
Para 43: Other effort limits	Preliminary Self-Assessment
<p>Indicate measures in place to ensure that vessel(s) flying your flag, and fishing for <i>Dissostichus</i> ssp. apply a break of a minimum of 30 days between consecutive fishing trips to William Ridge: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No measures in place</p>	Choose an item.



Report of Compliance with SIOFA Conservation and Management Measures

Implementation of the Southern Indian Ocean Fisheries Agreement

Article 11.3. (c): Submission of National Report concerning the previous calendar year that conforms to the requirements of paragraph 9 of CMM 02	Preliminary Self-Assessment
<input type="checkbox"/> Yes Date of Submission: Click or tap to enter a date. <input type="checkbox"/> No	Choose an item.

Implementation of CMM 01 (2023) (Interim Management of Bottom Fishing)

General provisions and definitions

Para 2: Have vessel(s) flying your flag engaged in bottom fishing in the agreement area during the assessment Period?

Yes

No. Please skip to the next CMM if vessel(s) flying your flag has not engaged in bottom fishing during the assessment period

Duties of Contracting Parties, CNCPs, and PFEs undertaking bottom fishing activity in the Agreement Area

Please fill this table if you have fished more than 40 days in a single year in the Agreement Area at the time this CMM was first adopted (2016)

Not Applicable

Para 10(1)(a): Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag	Preliminary Self-assessment
<input type="checkbox"/> Catch and/or Effort limits established and applied <input type="checkbox"/> No catch and/or effort limits established and applied <input type="checkbox"/> Constraints on the spatial distribution of bottom fishing effort established and applied. <input type="checkbox"/> No constraints on the spatial distribution of bottom fishing effort established and applied <input type="checkbox"/> Provisions established and applied to ensure bottom fishing does not significantly impact VMEs. <input type="checkbox"/> No provisions established and applied	Choose an item.



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<input type="checkbox"/> Provisions established and applied to ensure that any vessel flying your flag is not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing	
<input type="checkbox"/> No provisions established and applied.	

Please fill this table if you have fished less than 40 days in a single year in the Agreement Area at the time this CMM was first adopted (2016)

Not Applicable

Para 10(1)(b): Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag:	Preliminary Self-assessment
<input type="checkbox"/> Limits on bottom fishing effort and/or catch and spatial distribution established and applied.	Choose an item.
<input type="checkbox"/> No limits on bottom fishing effort and/or catch, and spatial distribution established and applied.	
<input type="checkbox"/> Provisions established and applied to ensure that bottom fishing does not significantly impact VMEs.	
<input type="checkbox"/> No provisions established and applied.	
<input type="checkbox"/> Provisions established and applied to ensure that any vessels flying your flag that are not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing.	Choose an item.
<input type="checkbox"/> No provisions established and applied.	

Para 10(3): Secretariat notified within 30 days of any revisions or amendments to measures established in accordance with the requirements of paragraph 10(1) <i>Not applicable if no revisions were made to established and applied measures</i>	Preliminary Self-Assessment
<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i>	Choose an item.



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Para 11: Vessel Authorization for bottom fishing	Preliminary Self-Assessment
<p>For CCPs that have not submitted measures required pursuant to Paragraph 10 (1) did you authorise any vessel(s) flying your flag to bottom fish in the agreement area</p> <p><input type="checkbox"/> Yes</p> <p><i>If yes, please indicate the number of vessel(s) Authorized: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Provision of data by, and interim bottom fishing measures for, new CCPs

Did you become a CCP after the Meeting of the Parties in 2017

- Yes** Complete Paragraph 27
- No** *Obligation not Applicable, proceed to next paragraph*

OR

- Paragraph 27 Not Applicable** – *Pease provide Explanation:*

Para 27: Requirements related to States or fishing entities that became CCP after the Meeting of Parties in 2017	Preliminary Self-Assessment
<p><input type="checkbox"/> Meeting of the Parties has been advised of measures the CCP intends to take under paragraph s 10 (1) a i., ii., iii., and iv.</p> <p><input type="checkbox"/> Meeting of Parties the has not been advised of measures the CCP intends to take pursuant to paragraphs 10 (1) a i., ii., iii., and iv.</p>	Choose an item.
<p><input type="checkbox"/> Data and BFIA submitted to SC as <i>required</i> by paragraphs 20 and 21, respectively.</p>	
<p><input type="checkbox"/> Data (bottom fishing effort and VMEs) and BFIA not submitted to SC</p>	

Scientific observer coverage

Para 39 & 45 (b): Scientific Observer Coverage	Preliminary Self-Assessment
<p>Indicate observer level (in percentage) for:</p> <p>Vessel(s) using trawl gears: <i>Click or tap here to enter text.</i></p> <p>Vessel(s) using any other fishing gears: <i>Click or tap here to enter text.</i></p>	Choose an item.
<p>Vessel(s) that operated in Interim Protected Areas (IPA) on Annex 3: <i>Click or tap here to enter text.</i></p>	Choose an item.



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Implementation of CMM 02 (2023) (Data Standards)

Application

Have any vessels flying your flag been engaged in fishing ⁴ in the Agreement Area during the assessment period?	
YES	<input type="checkbox"/>
NO	<input type="checkbox"/> <i>If no, please skip paragraphs 4 to 8 and move directly to subsequent questions.</i>

Vessel Catch and Effort Data

Para 6,7 and 19: Data Submission	
Did you submit all data on fishing activities, and catch and effort data by the 31 st May, in accordance with formats prescribed in corresponding annexes <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
Did you submit by the 31 st May your annual catch summary for all species/ groups caught during the previous calendar year <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
Did you submit all data in accordance with the formats described in this CMM, including its annexes. <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.

Para 8: On-board FAO identification guide to the deep-sea cartilaginous fishes of the IO	
For vessels that engaged in, or intended to engage in, fishing pursuant to CMM 01 (2023), are these vessels required to carry the FAO Identification guide to the deep-sea cartilaginous fishes of the Indian Ocean? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessel engaged in or intended to engage in fishing under CMM 01 (2023) (<i>Obligation Not Applicable</i>)	Preliminary Self-Assessment Choose an item.

⁴ As defined by Article 1(g) of the Agreement.



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National Report

Para 9, 14, and 18 (c): Annual National Report of fishing research and management activity to SC	Preliminary Self-Assessment
<input type="checkbox"/> Report Submitted <ul style="list-style-type: none"> <input type="checkbox"/> Report included <i>annual observer program implementation report</i>.⁵ <input type="checkbox"/> Report included <i>annual data verification report</i>.⁶ <input type="checkbox"/> No report submitted to the Scientific Committee	Choose an item.

Scientific Observer Data

Para 12 & 15: Scientific Observer Program	Preliminary Self-Assessment
Was there a Scientific Observer Program Implemented, during the assessment period? <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No 	Choose an item.
If yes, were the data collected in accordance with relevant sections of Annex B <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No 	Choose an item.

Data Verification

Para 18: Data verification mechanism	Preliminary Self-Assessment
Do you have data verification mechanism in place <ul style="list-style-type: none"> <input type="checkbox"/> Yes <ul style="list-style-type: none"> If yes , indicate the types of data verification mechanisms in place (<i>Tick as appropriate</i>) <ul style="list-style-type: none"> <input type="checkbox"/> Position verification through VMS <input type="checkbox"/> Independent Monitoring (scientific observer and/ or approved EMS program) <input type="checkbox"/> Vessel trip, landing, and transshipment reports <input type="checkbox"/> Port Sampling <input type="checkbox"/> Others (<i>Please elaborate</i>): <input type="checkbox"/> No data verification mechanism in place <input type="checkbox"/> Data verification provision not applicable (No Vessel on the RAV) 	Choose an item.

⁵ For CCPs with vessels on the RAV.

⁶ For CCPs with vessels on the RAV, irrespective of fishing activity during assessment period.



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Implementation of CMM 04 (2016) (Vessels Without Nationality)

Para 5: Report of sightings of fishing vessel(s) without nationality	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag reported sightings of fishing vessels suspected or confirmed to be to be without nationality, that may be fishing in the Agreement Area?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No sightings reported by vessels (<i>Obligation Not Applicable</i>)</p> <p>If yes, where these reports sent to the Secretariat</p> <p><input type="checkbox"/> Yes. Number of reports submitted: Click or tap here to enter text.</p> <p><input type="checkbox"/> No reports sent to Secretariat</p>	Choose an item.

Implementation of CMM 06 (2022) (IUU Vessels List)

Transmission of information to establish the Draft IUU Vessel List

Para 2 & 3: Transmission of Information on vessel presumed to have engaged in IUU fishing in the Agreement Area	Preliminary Self-Assessment
<p>Did you have any information on vessel presumed to have engaged in IUU fishing?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><i>If yes, please indicate if:</i></p> <p>Report (s) were submitted to Secretariat</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Copy of Information provided to flag state at or prior to reporting to Secretariat</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Designation of National Contact Points

Para 31: Designation of National Contact Points	Preliminary Self-Assessment
<p>Have you designated National contact points for the purposes of Paragraph 31 of this CMM?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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Implementation of CMM 07 (2022) (Vessel Authorization)

Para 1: Vessel Authorization	Preliminary Self-Assessment
<p>Did any vessel(s) flying your flag not included on the SIOFA Record of Authorized Vessels (RAV) fish, retain onboard, tranship, or land fishery resources in the Agreement Area?</p> <p><input type="checkbox"/> Yes <i>If yes, please elaborate as necessary (Including name, date and time, species by quantity, and any other relevant information):</i></p> <p><input type="checkbox"/> No</p>	Choose an item.

Para 4: Notification of Modification regarding Vessel Data	Preliminary Self-Assessment
<p>Were there any modifications to data of vessels flying your flag authorised to fish in the agreement Area?</p> <p>Yes <input type="checkbox"/> <i>If yes, was the secretariat informed within 15 days of such modification.</i></p> <p>Yes <input type="checkbox"/></p> <p>No: <input type="checkbox"/></p> <p><input type="checkbox"/> No modifications to vessel data</p>	Choose an item.

Para 8: Notice to Secretariat of Fishing Activity by unregistered Vessel	Preliminary Self-Assessment
<p>Did you have evidence showing that there were reasonable grounds for suspecting vessel(s) not registered on the SIOFA RAV had operated in the Agreement Area</p> <p><input type="checkbox"/> Yes <i>If yes, has the Secretariat been notified as required by this paragraph</i></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> No</p>	Choose an item.

Implementation of CMM 08 (2020) (Port Inspection)

Application

Para 31: Are you a coastal state and a port state with areas of national jurisdiction adjacent to the Agreement Area?
<p><input type="checkbox"/> Yes. <i>Please fill this section of the CCR template as applicable</i></p> <p><input type="checkbox"/> No <i>Please skip paragraphs 2 – 25 and complete subsequent questions.</i></p>



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Designation of ports

Para 2: Designation of ports	Preliminary Self-Assessment
<p>Have you designated, publicized, and notified of the Ports where foreign vessel(s) may request entry :</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Were there changes in the designated ports</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, was the Secretariat notified to the secretariat <i>at least</i> 30 days before the changes took effect:</p> <p><input type="checkbox"/> Yes. <i>If yes, please indicate</i> <input type="checkbox"/> No</p>	<p>Choose an item.</p>

Port entry, authorization or denial of foreign vessels / Use of ports by foreign vessels

Paras 8, 9, 11, 12 & 14: Denial of entry and/or use of port	Preliminary Self-Assessment
<p>Have any vessels been denied entry into the designated port(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, please indicate number of Vessel(s) denied entry into port: Click or tap here to enter text.</p> <p>Were the flag state and Secretariat notified of decision(s) to deny port entry to these vessels</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>
<p>Have any vessels been denied use of port(s) for landing, transshipment, packaging and processing of fisheries resources and other port services</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, please indicate number of Vessel(s) denied use of port(s): Click or tap here to enter text.</p> <p>Were the flag state and the Secretariat notified of decisions to deny use of port</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>



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Inspections

Paras 17, 19,21, 22, 23, 24 & 25: Inspections	Preliminary Self-Assessment
<p>Are all Port Inspectors duly authorized, trained and familiar with the Agreement and all of its relevant CMMs</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were all inspections carried out, at a minimum, following the procedures set out in Annex III</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were inspections carried out following international law, conducted within 72hrs of port entry, and carried out in an expeditious fashion</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p><i>Dissostichus ssp</i></p> <p>Did you carry out inspections on all fishing vessel(s) carrying or landing <i>Dissostichus spp</i>, that entered your port(s) carrying or landing <i>Dissostichus ssp</i></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessels carrying or landing <i>Dissostichus ssp</i>. called into your ports(s) <i>(Obligation Not Applicable)</i></p> <p>If yes please indicate: Number of vessels carrying or landing <i>Dissostichus ssp</i> that called into your port: Click or tap here to enter text. Number of vessel(s) carrying or landing <i>Dissostichus ssp</i>. inspected: Click or tap here to enter text.</p>	Choose an item.
<p>Did you receive requests from another CCP, RFMO or CCAMLR pursuant to paragraph 23 (a) of this CMM</p> <p><input type="checkbox"/> Yes <i>If yes, please indicate</i> Number of requests received: Click or tap here to enter text. Number of vessels inspected pursuant to such requests: Click or tap here to enter text.</p> <p><input type="checkbox"/> No requests received from another CCP, RFMO or CCAMLR pursuant to paragraph 23 (a) <i>(Obligation Not Applicable)</i></p>	Choose an item.
<p>Did any vessel fail to provide the information required by paragraph 5</p> <p><input type="checkbox"/> Yes <i>If yes, please indicate</i> Number of such vessel(s): Click or tap here to enter text. Number of such vessel(s) inspected: Click or tap here to enter text.</p> <p><input type="checkbox"/> No vessel(s) failed to provide the information required in paragraph 5 <i>(Obligation Not Applicable)</i></p>	Choose an item.



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<p>Did all inspection reports contained, at least, the information set out in Annex IV:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> <i>No SIOFA vessels called into designated ports (obligation not applicable)</i></p>	Choose an item.
<p>Where compliance issues warranted the attention of the competent authority, were these inspection reports forwarded to the competent authority and the Secretariat <i>within</i> 30 days, following completion of such inspection, or as soon as possible</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p><i>If any reports could not be submitted within the specified time period, was the Secretariat within that same time period informed of the potential delay, including reasons for such, and when the report will be submitted:</i></p> <p><input type="checkbox"/> Yes <i>If yes, indicate the number of reports submitted after the specified time period: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> All reports were submitted within the specified time period (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Role of Contracting Parties, CNCPs and PFEs in relation to vessel(s) flying their flags

Para 27 - 30: Flag state obligations	Preliminary Self-Assessment
<p>Have there been any grounds to suspect any vessel(s) flying your flag have engaged in IUU fishing?</p> <p><input type="checkbox"/> Yes</p> <p><i>If yes, please indicate if you requested a CCP where the vessel sought entry or was in the port, to carry out inspection (s) on such vessel(s) or to take other adequate measures.</i></p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Obligation Not Applicable. <i>Please provide explanation:</i></p>	Choose an item.
<p>Following such inspections, and where there were clear grounds that a vessel flying your flag has engaged in IUU fishing, was the matter fully investigated</p> <p><input type="checkbox"/> Yes <i>If yes please elaborate on enforcement actions taken against such vessel:</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessels found to have conducted IUU fishing after such inspections <i>(Obligation Not Applicable, skip to next CMM)</i></p>	Choose an item.
<p>Was the secretariat duly informed on any action taken against such vessel(s)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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<p>Did you provide a report to the Compliance Committee of actions taken under paragraph 27 of this CMM?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.
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Implementation of CMM 09 (2022) (Control)

Co-operation and Contact Points

Para 2: Designation of Contact Points	Preliminary Self-Assessment
<p>Have you designated the competent authority(ies) which shall act as the Contact Point for the purpose of receiving reports and notifications and issuing authorizations in accordance with the relevant SIOFA CMM, 30 days after the adoption of this CMM</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Have you notified the Secretariat of any subsequent changes to the list, without delay?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No changes to the list (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Marking of fixed gear

Para 5 & 6: Marking of Gears	Preliminary Self-Assessment
<p>Are all fixed gears used by vessel(s) flying your flag marked in accordance with paragraph 5 of this CMM</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessels used fixed gears in the Agreement Area (<i>Obligation Not Applicable</i>)</p>	Choose an item.
<p>Has the secretariat been notified of the information regarding the marking of fixed gear?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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Retrieval of abandoned, lost or otherwise discarded fishing gear

Para 7: Retrieval of abandoned, lost or otherwise discarded fishing gear	Preliminary Self-Assessment
<p>Number of abandoned/lost gears by vessel(s) flying your flag: Click or tap here to enter text.</p> <p>Number of ALDFG retrieved by vessel(s) flying your flag: Click or tap here to enter text.</p> <p>Did vessel (s) flying your flag abandon lost gears only after having made every reasonable attempt to retrieve it as soon as possible</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Except for safety reasons, notably vessels in distress and/or life in danger, did vessel(s) flying your flag deliberately abandon fishing gears</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Did vessels flying your flag promptly notify their competent authority when gears could not be retrieved(with the information specified in paragraph 7 d)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Did vessels flying your flag promptly notify their competent authority following retrieval of any ALDFG(with the information specified in paragraph 7 e)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Was the Secretariat promptly notified of information referred to in subparagraphs (d) and (e).</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Obligation not applicable</p>	<p>Choose an item.</p>



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Para 13: Reports of fishing, including transhipments by vessel not flying the flag of a CCP	Preliminary Self-Assessment
<p>Were there any reported sightings of fishing, including transhipments by vessel not flying the flag of a CCP</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, were these reports submitted to the Secretariat as soon as practical</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please indicate: Number of sightings reported by vessel(s) flying your flag: Click or tap here to enter text. Number of such sightings reported to the Secretariat: Click or tap here to enter text.</p>	<p>Choose an item.</p>

Implementation of CMM 10 (2023) (Monitoring)

Information on fishing activities

Para 4: Obligation to cooperate	Preliminary Self-Assessment
<p>Did you receive any request from a CCP for information contained in fishing logbooks from the preceding 12 months</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, did you cooperate with such requests</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>

Para 33: Report on transhipments and at sea transfers	Preliminary Self-Assessment
<p>Did you complete and submit the information required under paragraph 33 (a) – (e), as applicable?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessel transhipped SIOFA fishery resources at sea and / or conducted at sea transfer</p>	<p>Choose an item.</p>



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Implementation of CMM 11 (2020) (Compliance Monitoring Scheme)

Para 12: Completion and Submission of this CCR Template	Preliminary Self-Assessment
<p>Did you complete and submit your CCR template before the deadline, i.e. no later than 60 days before the commencement of the Meeting of Parties</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Implementation of CMM 12 (2023) (Sharks)

<p>Did vessel(s) flying your flag engage in fishing operations in the SIOFA Agreement Area</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please skip to the next CMM if vessel(s) flying your flag has not engage in fishing operations during the assessment period</i></p>

Para 5: Reporting of Portuguese dogfish catch in subarea 2	Preliminary Self-Assessment
<p>Did your vessel catch Portuguese dogfish in Subarea 2?</p> <p><input type="checkbox"/> Yes If yes, were catch reports submitted monthly or weekly as applicable, to the Secretariat?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Were the submitted reports submitted within the stipulated timelines, i.e. by the twentieth day of the following month for monthly reports and/or by close of business Wednesday for the preceding seven days for weekly reports</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Obligations Not Applicable</p>	Choose an item.

Implementation of CMM 14 (2021) (High Seas Boarding and Inspection Procedures)

General Provisions

Paras 7 – 9: General Provisions	Preliminary Self-Assessment
<p>Were boardings between a contracting party and a participating fishing entity conducted after a notification was sent to the Meeting of the Parties, at least 90 days prior to the boarding and inspection</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p>	Choose an item.



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<p>Are vessel(s) flying your flag provided a copy or a translation of this measure</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.
<p>Are Authorized Inspectors required to comply with this measure in the conduct of any boarding and inspection activities undertaken under these procedures</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable</p>	Choose an item.

Notification requirements

Para 10: Designation of contact points	Preliminary Self-Assessment
<p>Have contact points been designated for the purposes of receiving and sending notifications and reports to and from authorities of the fishing vessel(s)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Have contact points been designated for the purposes of receiving and sending notifications and reports to and from the authorities of the inspecting vessel(s)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Were there any changes in the designated contact points?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>If yes, was the Secretariat notified of these changes?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Participation

Para 12 & 13: Notification of intention to carry out boarding and inspectors	Preliminary Self-Assessment
<p>Did you notify the Secretariat of your intention to carry out boarding pursuant to this measure</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable <i>Please explain why not applicable</i></p>	Choose an item.



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<p>If yes, please indicate if you provided all relevant information with respect to:</p> <p>Each Authorized Inspection Vessel, as required by paragraph 12.a.⁷</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Each Authorized Inspectors, as required by paragraph 12.b.⁸</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<p>Were there any changes to information provided pursuant to Paragraph 12</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p> <p>If yes, was the Secretariat informed of these changes</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Para 15: Boardings by military vessel(s)	Preliminary Self-Assessment
<p>Did you carry out boarding and inspections using military vessel(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No (<i>Obligation Not Applicable</i>)</p> <p>If yes, indicate if</p> <p><input type="checkbox"/> Boardings were carried out by inspectors fully trained in fisheries enforcement procedures and duly authorised for this purpose by national laws. <input type="checkbox"/> Boardings conformed to the procedures contained within this measure.</p>	Choose an item.

⁷ Details of vessel, (name, description, photograph, registration number, port of registry (and, if different from the port of registry, port marked on the vessel hull), international radio call sign), except where not applicable for military vessels and notification that the inspection vessel is clearly marked and identifiable as being on government service and by clearly flying a SIOFA inspection flag.

⁸ i. the name(s) of the authorities responsible for boarding and inspection;
 ii. an example of the credentials issued to its Authorised Inspectors;
 iii. notification that such Authorised Inspectors are fully familiar with the species and fishing activities to be inspected and the relevant provisions of the Agreement and CMMs in force;
 iv. notification that the Authorised Inspectors have received and completed training to safely carry out boarding and inspection activities at sea. Such training should include instruction on overcoming communication barriers and on de-escalation techniques; and
 v. notification that any Authorised Inspectors carrying arms have received and completed appropriate levels of training on the use of those arms.



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Cooperative activities

Para 18: Bilateral or Multilateral Arrangements	Preliminary Self-Assessment
<p>Did you notify the Secretariat of any bilateral or multilateral arrangements referred to in paragraph 16 of this CMM?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No bilateral or multilateral arrangements in effect (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Inspection Reports

Para 33: Reports of Authorized Inspectors	Preliminary Self-Assessment
<p>Did Authorized inspectors prepare a full report on each boarding and inspection they carried out under this measures, including any supporting information, using the data fields in the <i>Boarding and Inspection Reporting Form (Annex 1 of this CMM)</i></p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p>	Choose an item.
<p>Were copies of all boarding and inspection reports (in electronic format) transmitted to the Authorities of the Fishing Vessel, and to the Executive Secretary, within three (3) working days of the completion of the boarding and inspection</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>In cases where the reports could not be submitted within the specified timeframe, were the concerned parties notified of such, while specifying the time period within which the report will be provided?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p>	Choose an item.
<p>Did all reports referred in paragraph 33 of this CMM include the name(s) and authority of the Authorized inspector(s)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Did all reports clearly identify any observed activity or conditions that the Authorized Inspectors believed to be a violation of the agreement of CMMs in force and indicated specific factual evidence relating to each alleged violation</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p>	



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Serious violations

Para 35, 37, and 39: Responsibilities of the Authority(ies) of Inspection Vessel(s) in relation to serious violations	Preliminary Self-Assessment
<p>Were there any serious violations observed by your Authorized Inspectors during the assessment period?</p> <p><input type="checkbox"/> Yes If yes, indicate number of serious violations observed: Click or tap here to enter text.</p> <p><input type="checkbox"/> No (<i>Obligations Not Applicable, please skip to next applicable obligation</i>)</p>	
<p>Were the Authorities of the inspected vessel immediately notified, directly and through the Executive Secretary of the serious violation(s) observed?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.
<p>In cases where the Authorities of the Fishing Vessel have indicated they will investigate the alleged serious violation(s), were they provided with the specific evidence collected by the Authorized Inspectors, as soon as practicable?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.
<p>In cases where the Authorities of the Inspection vessel have decided to undertake investigation(s) of alleged serious violation(s), were the specific evidence collected by the Authorised Inspectors, along with the results of any investigation, provided to the Authorities of the Fishing Vessel and the Executive Secretary immediately upon completion of the investigation and in any case no later than 6 (six) months after the date of the inspection?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Para 36, 38 and 40 Responsibilities of the Authority(ies) of Fishing Vessel(s) in relations to serious violations	Preliminary Self-Assessment
<p>Were there any serious violation(s) observed onboard vessel(s) flying your flag, by Authorized Inspectors during the assessment period?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No (<i>Obligations Not Applicable, please proceed to paragraph 43</i>)</p> <p>If yes, indicate number of serious violations observed: Click or tap here to enter text.</p>	
<p>Did you provide an initial response to the notification(s) of the alleged serious violation(s) without delay and in any case no later than three (3) working days, to the Authorities of the Inspection Vessel and the Executive Secretary?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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<p>In cases where you indicated that you would investigate the alleged serious violation(s), did you provide reports of the investigation to the Executive Secretary and the Authorities of the Inspection vessel within two (2) months of the notification in paragraph 36</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did you take any enforcement action against fishing vessel(s) found to have committed serious violations(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p> <p>If yes, were the Authorities of the Inspection Vessel, as well as the Executive Secretary notified of any such enforcement action within six (6) months of the date of notification in paragraph 36</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>
<p>Did you receive any request from a CCP to investigate an alleged serious violation?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No (<i>Obligation Not Applicable</i>)</p> <p>If yes, did you provide a reply, including details of any action taken or proposed to be taken in relation to the alleged serious violation, to all Contracting Parties as soon as practicable and in any case within two (2) months of such a request.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Upon completion of such investigations, did you provide a report on the outcome of the investigation to the Executive Secretary for circulation to all CCPs for consideration at the next Meeting of the Parties</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>

Annual Reports

Para 44: Reports of action against vessel(s) that committed serious violations	Preliminary Self-Assessment
<p>Did you report to the Meeting of the Parties through your implementation report required under Article 10(2) or under CMM 11 (Compliance Monitoring Scheme) on the boarding and inspections carried out by your Authorised Inspection Vessel(s), as well as upon possible violations observed.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No boarding and inspection conducted (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>



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Para 45: Reports of Action against vessel(s) that committed serious violations	Preliminary Self-Assessment
<p>If evidence warranted, indicate actions taken against such vessel(s) found to have committed serious violations: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No vessel found to have committed serious violations (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Provisions relating to non-CCPs

Para 46 - 48: Provisions relating to non-CCPs	Preliminary Self-Assessment
<p>Did your Authorized Inspection vessel(s) identify unidentified or unauthorized vessel(s) of non-CCPs that were fishing in the agreement Area?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable (<i>Please skip to next CMM</i>)</p> <p>If yes, indicate the number of vessel(s) identified: <i>Click or tap here to enter text.</i></p> <p>Were these vessel(s) reported to the Executive Secretary</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Did your Authorized Inspection Vessel attempt to inform any fishing vessel identified pursuant to paragraph 46 that it has been seen or identified as potentially engaging in fishing that undermines the effectiveness of the Agreement or CMMs.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes was this information included in the report(s) sent to the Executive Secretary, as well as to the authorities of the relevant non-CCP</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were you allowed to board vessel(s) of non-CCP identified pursuant to paragraph 46</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, indicate the number of vessel(s) boarded and inspected: <i>Click or tap here to enter text.</i></p> <p>Did you report findings of such inspections to the Executive Secretary</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.



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Implementation of CMM 15 (2023) (Management of Demersal Stocks)

General provisions

Para 2: Have vessel(s) flying your flag engaged in bottom fishing in the agreement area during the assessment Period?

Yes

No (CMM Not Applicable. Please skip this CMM)

Para 13: Requirement for mandatory observer coverage for vessel(s) participating in the <i>Dissostichus</i> spp. fishery. ⁹	Preliminary Self-Assessment
<p>Indicate the level of: Observer coverage for all fishing activity: Click or tap here to enter text.</p> <p>Minimum of 25% of hooks haul per line observed over the duration of all fishing deployments</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

1. Management & research measures for toothfish in the Del Cano Area

Effort/catch and operational limits

No vessel fished for *Dissostichus* spp. in the Del Cano Rise area (Please skip to 20 - 21, then proceed to paragraph 34).

Para 17: Monthly <i>Dissostichus</i> spp. catch report	Preliminary Self-Assessment
<p>Have all monthly reports of your <i>Dissostichus</i> spp. catches been sent to the Secretariat during the assessment period?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Para 19: Effort Limitations	Preliminary Self-Assessment
<p>Did fishing vessel(s) flying your flag, using longlines: Exceed 3000 hooks per line</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Set lines at minimum 3 nautical miles from each other</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

⁹ Applicable as of the 1st December 2023



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Bycatch Limits

Para 20 - 21: Bycatch Limits	Preliminary Self-Assessment
<p>Did any vessel not targeting <i>Dissostichus</i> spp. catch more than 0.5t of <i>Dissostichus</i> spp during the season</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes please indicate the number of vessel(s) that had bycatch of <i>Dissostichus</i> spp. that exceeded the limit of 0.5t per season: Click or tap here to enter text.</p>	
<p>Were those vessel(s) prohibited from engaging in any further fishing in the Del Cano area for the rest of that season:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>

Observers

Para 26: Requirement for mandatory observer coverage for vessel(s) participating in the <i>Dissostichus</i> spp. fishery. (Del Cano Rise area)	Preliminary Self-Assessment
<p><i>Indicate level of:</i> observer coverage for all fishing activity: Click or tap here to enter text. Did scientific observers onboard vessels observed 25% of hooks haul per line observed over the duration of the fishing deployment.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>

2. Management & research measures for toothfish in Williams Ridge [FAO Subarea 57.4]

No vessel fished for *Dissostichus* spp. in Williams Ridge (*Please go to paragraphs 44 - 45, then the end of this CCR*)

Para 34: Total Annual Research Catch of <i>Dissostichus</i> spp.
<p>Please indicate:</p> <p>Annual research catch of <i>Dissostichus</i> spp. for the last fishing season of 1 December 2023 to 30 November 2024: Click or tap here to enter text.</p>



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Bycatch Limits

Para 44 & 45: Bycatch Limits	Preliminary Self-Assessment
<p>Did any vessel not targeting <i>Dissostichus</i> ssp. catch more than 0.5t of <i>Dissostichus</i> ssp during the season</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>If yes please indicate the number of vessel(s) that had bycatch of <i>Dissostichus</i> ssp. that exceeded the limit of 0.5t per season: Click or tap here to enter text.</p>	
<p>Were those vessel(s) prohibited from engaging in any further fishing in the Williams Ridge area for the rest of that season:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>

---End of CCP Compliance Report---

Annex B - CCP Compliance Report Template Focusing on the Assessment of Vessels compliance with SIOFA CMMs.



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Template for the CCP Compliance Report (CCR) For the Implementation of SIOFA Conservation and Management Measures

ASSESSMENT PERIOD 1 JANUARY – 31 DECEMBER 2024

In accordance with [CMM 11 \(2020\) \(Compliance Monitoring Scheme\)](#), CCPs are invited to prepare a CCP Compliance Report (CCR) based on this template, including a preliminary self-assessment of its compliance status for each assessed obligation.

CCPs' self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation.

For clarity, all references to "Previous Calendar Year" refer to the year preceding the Assessment Period.

Submission Deadline: DD-MMM-2025

Name of CCP: Choose an item.

Date of Report Submission: Click or tap to enter a date.



Report of Compliance with SIOFA Conservation and Management Measures

Implementation of the Southern Indian Ocean Fisheries Agreement

Article 11.3. (c): Submission of National Report concerning the previous calendar year that conforms to the requirements of paragraph 9 of CMM 02	Preliminary Self-Assessment
<input type="checkbox"/> Yes Date of Submission: Click or tap to enter a date. <input type="checkbox"/> No	Choose an item.

Implementation of CMM 01 (2023) (Interim Management of Bottom Fishing)

General provisions and definitions

Para 2: Have vessel(s) flying your flag engaged in bottom fishing in the agreement area during the assessment Period?

Yes

No. Please skip to the next CMM if vessel(s) flying your flag has not engaged in bottom fishing during the assessment period

Duties of Contracting Parties, CNCPs, and PFEs undertaking bottom fishing activity in the Agreement Area

Please fill this table if you have fished more than 40 days in a single year in the Agreement Area at the time this CMM was first adopted (2016)

Not Applicable

Para 10(1)(a): Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag	Preliminary Self-assessment
<input type="checkbox"/> Catch and/or Effort limits established and applied <input type="checkbox"/> No catch and/or effort limits established and applied <input type="checkbox"/> Constraints on the spatial distribution of bottom fishing effort established and applied. <input type="checkbox"/> No constraints on the spatial distribution of bottom fishing effort established and applied <input type="checkbox"/> Provisions established and applied to ensure bottom fishing does not significantly impact VMEs. <input type="checkbox"/> No provisions established and applied	Choose an item.



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<input type="checkbox"/> Provisions established and applied to ensure that any vessel flying your flag is not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing	
<input type="checkbox"/> No provisions established and applied.	

Please fill this table if you have fished less than 40 days in a single year in the Agreement Area at the time this CMM was first adopted (2016)

Not Applicable

Para 10(1)(b): Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag:	Preliminary Self-assessment
<input type="checkbox"/> Limits on bottom fishing effort and/or catch and spatial distribution established and applied.	Choose an item.
<input type="checkbox"/> No limits on bottom fishing effort and/or catch, and spatial distribution established and applied.	
<input type="checkbox"/> Provisions established and applied to ensure that bottom fishing does not significantly impact VMEs.	
<input type="checkbox"/> No provisions established and applied.	
<input type="checkbox"/> Provisions established and applied to ensure that any vessels flying your flag that are not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing.	Choose an item.
<input type="checkbox"/> No provisions established and applied.	

Para 10(3): Secretariat notified within 30 days of any revisions or amendments to measures established in accordance with the requirements of paragraph 10(1) <i>Not applicable if no revisions were made to established and applied measures</i>	Preliminary Self-Assessment
<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i>	Choose an item.



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Para 11: Vessel Authorization for bottom fishing	Preliminary Self-Assessment
<p><i>For CCPs that have not submitted measures required pursuant to Paragraph 10 (1) did you authorise any vessel(s) flying your flag to bottom fish in the agreement area</i></p> <p><input type="checkbox"/> Yes</p> <p><i>If yes, please indicate the number of vessel(s) Authorized: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Vulnerable Marine Ecosystems

Para 12: Threshold level for VMEs applied to flagged vessel(s) as prescribed by paragraph 12 of this CMM	Preliminary Self-Assessment
<p>Did you apply to vessels flying your flag threshold levels for encounters with VMEs, as prescribed in paragraph 12?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Para 13: Requirements established to cease bottom fishing upon evidence of VME encounter	Preliminary Self-Assessment
<p>Did any vessel flying your flag encounter evidence of a VME, above the the threshold levels established under paragraph 12 of this CMM during the course of fishing operations?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>If yes, did these vessels cease bottom fishing after such encounters</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Did you report any such encounter in your National Report to the Scientific Committee, following guidelines established in Annex 2 of this CMM</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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Provision of data by, and interim bottom fishing measures for, new CCPs

Did you become a CCP after the Meeting of the Parties in 2017

- Yes** *Complete Paragraph 27*
 No *Obligation not Applicable, proceed to next paragraph*

OR

- Paragraph 27 Not Applicable** – *Please provide Explanation:*

Para 27: Requirements related to States or fishing entities that became CCP after the Meeting of Parties in 2017	Preliminary Self-Assessment
<input type="checkbox"/> Meeting of the Parties has been advised of measures the CCP intends to take under paragraphs 10 (1) a i., ii., iii., and iv.	Choose an item.
<input type="checkbox"/> Meeting of Parties the has not been advised of measures the CCP intends to take pursuant to paragraphs 10 (1) a i., ii., iii., and iv.	
<input type="checkbox"/> Data and BFIA submitted to SC as <i>required</i> by paragraphs 20 and 21, respectively.	
<input type="checkbox"/> Data (bottom fishing effort and VMEs) and BFIA not submitted to SC	

Scientific observer coverage

Para 39 & 45 (b): Scientific Observer Coverage	Preliminary Self-Assessment
Indicate observer level (in percentage) for: Vessel(s) using trawl gears: <i>Click or tap here to enter text.</i> Vessel(s) using any other fishing gears: <i>Click or tap here to enter text.</i>	Choose an item.
Vessel(s) that operated in Interim Protected Areas (IPA) on Annex 3: <i>Click or tap here to enter text.</i>	Choose an item.

Interim Protected Areas (IPA)

Para 45 (a): Prohibition of bottom fishing, excluding line and trap methods in IPA designated in Annex 3	Preliminary Self-Assessment
Did vessels(s) flying your flag engaged in bottom fishing in the IPA <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.



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Implementation of CMM 02 (2023) (Data Standards)

Application

Have any vessels flying your flag been engaged in fishing¹ in the Agreement Area during the assessment period?

YES

NO *If no, please skip paragraphs 4 to 8 and move directly to subsequent questions.*

Vessel Catch and Effort Data

Para 4 & 5: Collection of Data	Preliminary Self-Assessment
<p>Did you collect data on fishing activities, including for target, non-target and associated and dependent species such as marine mammals, marine reptiles, seabirds or 'other species of concern'</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, were those data collected in accordance with the relevant sections of Annex A of this CMM?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were vessel catch and effort data collected on a haul-by-haul basis or for hand line fishing on an operational basis?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Para 6,7 and 19: Data Submission	
<p>Did you submit all data on fishing activities, and catch and effort data by the 31st May, in accordance with formats prescribed in corresponding annexes</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Did you submit by the 31st May your annual catch summary for all species/ groups caught during the previous calendar year</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Did you submit all data in accordance with the formats described in this CMM, including its annexes.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

¹ As defined by Article 1(g) of the Agreement.



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Para 8: On-board FAO identification guide to the deep-sea cartilaginous fishes of the IO	Preliminary Self-Assessment
<p>For vessels that engaged in, or intended to engage in, fishing pursuant to CMM 01 (2023), are these vessels required to carry the FAO Identification guide to the deep-sea cartilaginous fishes of the Indian Ocean?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessel engaged in or intended to engage in fishing under CMM 01 (2023) (<i>Obligation Not Applicable</i>)</p>	Choose an item.

National Report

Para 9, 14, and 18 (c): Annual National Report of fishing research and management activity to SC	Preliminary Self-Assessment
<p><input type="checkbox"/> Report Submitted</p> <p style="padding-left: 20px;"><input type="checkbox"/> Report included <i>annual observer program implementation report</i>.²</p> <p style="padding-left: 20px;"><input type="checkbox"/> Report included <i>annual data verification report</i>.³</p> <p><input type="checkbox"/> No report submitted to the Scientific Committee</p>	Choose an item.

Scientific Observer Data

Para 12 & 15: Scientific Observer Program	Preliminary Self-Assessment
<p>Was there a Scientific Observer Program Implemented, during the assessment period?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.
<p>If yes, were the data collected in accordance with relevant sections of Annex B</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

² For CCPs with vessels on the RAV.

³ For CCPs with vessels on the RAV, irrespective of fishing activity during assessment period.



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Data Verification

Para 18: Data verification mechanism	Preliminary Self-Assessment
<p>Do you have data verification mechanism in place</p> <p><input type="checkbox"/> Yes</p> <p style="padding-left: 20px;">If yes , indicate the types of data verification mechanisms in place <i>(Tick as appropriate)</i></p> <p style="padding-left: 40px;"><input type="checkbox"/> Position verification through VMS</p> <p style="padding-left: 40px;"><input type="checkbox"/> Independent Monitoring (scientific observer and/ or approved EMS program)</p> <p style="padding-left: 40px;"><input type="checkbox"/> Vessel trip, landing, and transshipment reports</p> <p style="padding-left: 40px;"><input type="checkbox"/> Port Sampling</p> <p style="padding-left: 40px;"><input type="checkbox"/> Others <i>(Please elaborate):</i></p> <p><input type="checkbox"/> No data verification mechanism in place</p> <p><input type="checkbox"/> Data verification provision not applicable (No Vessel on the RAV)</p>	<p>Choose an item.</p>

Implementation of CMM 04 (2016) (Vessels Without Nationality)

Para 5: Report of sightings of fishing vessel(s) without nationality	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag reported sightings of fishing vessels suspected or confirmed to be to be without nationality, that may be fishing in the Agreement Area?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No sightings reported by vessels <i>(Obligation Not Applicable)</i></p> <p>If yes, where these reports sent to the Secretariat</p> <p style="padding-left: 20px;"><input type="checkbox"/> Yes. Number of reports submitted: Click or tap here to enter text.</p> <p style="padding-left: 20px;"><input type="checkbox"/> No reports sent to Secretariat</p>	<p>Choose an item.</p>

Implementation of CMM 05 (2016) (Pelagic Driftnets and Deepwater Gillnets)

Para 1: Prohibition of largescale pelagic driftnets in Agreement Area	Preliminary Self-Assessment
<p>Did any vessel(s) flying your flag use large-scale driftnets in the Agreement Area during the assessment period:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>



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Implementation of CMM 06 (2022) (IUU Vessels List)

Transmission of information to establish the Draft IUU Vessel List

Para 2 & 3: Transmission of Information on vessel presumed to have engaged in IUU fishing in the Agreement Area	Preliminary Self-Assessment
<p>Did you have any information on vessel presumed to have engaged in IUU fishing?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><i>If yes, please indicate if:</i></p> <p>Report (s) were submitted to Secretariat</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Copy of Information provided to flag state at or prior to reporting to Secretariat</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Designation of National Contact Points

Para 31: Designation of National Contact Points	Preliminary Self-Assessment
<p>Have you designated National contact points for the purposes of Paragraph 31 of this CMM?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Implementation of CMM 07 (2022) (Vessel Authorization)

Para 1: Vessel Authorization	Preliminary Self-Assessment
<p>Did any vessel(s) flying your flag not included on the SIOFA Record of Authorized Vessels (RAV) fish, retain onboard, tranship, or land fishery resources in the Agreement Area?</p> <p><input type="checkbox"/> Yes</p> <p><i>If yes, please elaborate as necessary (Including name, date and time, species by quantity, and any other relevant information):</i></p> <p><input type="checkbox"/> No</p>	Choose an item.



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Para 4: Notification of Modification regarding Vessel Data	Preliminary Self-Assessment
<p>Were there any modifications to data of vessels flying your flag authorised to fish in the agreement Area?</p> <p>Yes <input type="checkbox"/> <i>If yes, was the secretariat informed within 15 days of such modification.</i></p> <p style="padding-left: 20px;">Yes <input type="checkbox"/></p> <p style="padding-left: 20px;">No: <input type="checkbox"/></p> <p><input type="checkbox"/> No modifications to vessel data</p>	Choose an item.

Para 6: Vessel authorizations to operate in the Agreement Area	Preliminary Self-Assessment
<p>Please indicate as appropriate:</p> <p><input type="checkbox"/> Vessel(s) were authorized to operate in the Agreement Area, only if they were able to fulfil the requirements and responsibilities under the agreement and all relevant SIOFA CMMs</p> <p><input type="checkbox"/> Vessel(s) flying your flag have complied with all relevant SIOFA CMMs during the assessment period.</p> <p><input type="checkbox"/> All vessel(s) flying your flag that is on the SIOFA RAV keep onboard valid certificates of vessel registration, and valid authorization to fish and/or to undertake fishing-related activities</p> <p><input type="checkbox"/> Vessel(s) flying your flag and on the SIOFA RAV have no history of IUU fishing, or, if those vessel(s) have such history, the new owners had provided sufficient evidence demonstrating that the previous owners and operators have no legal, beneficial or financial interest in or control over those vessel(s), or that having taken into account all relevant facts, your vessel(s) are not engaged in or associated with IUU fishing</p>	Choose an item.

Para 7: Vessel Authorization	Preliminary Self-Assessment
<p>Are there measures in place under your national legislation to prohibit the fishing and fishing-related activities on fishery resources covered by the Agreement by vessels that are not registered in the SIOFA RAV.</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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Para 8: Notice to Secretariat of Fishing Activity by unregistered Vessel	Preliminary Self-Assessment
<p>Did you have evidence showing that there were reasonable grounds for suspecting vessel(s) not registered on the SIOFA RAV had operated in the Agreement Area</p> <p><input type="checkbox"/> Yes <i>If yes, has the Secretariat been notified as required by this paragraph</i></p> <p style="padding-left: 40px;"><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> No</p>	Choose an item.

Implementation of CMM 08 (2020) (Port Inspection)

Application

Para 31: Are you a coastal state and a port state with areas of national jurisdiction adjacent to the Agreement Area?
<p><input type="checkbox"/> Yes. <i>Please fill this section of the CCR template as applicable</i></p> <p><input type="checkbox"/> No <i>Please skip paragraphs 2 – 25 and complete subsequent questions.</i></p>

Designation of ports

Para 2: Designation of ports	Preliminary Self-Assessment
<p>Have you designated, publicized, and notified of the Ports where foreign vessel(s) may request entry :</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Were there changes in the designated ports</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>If yes, was the Secretariat notified to the secretariat <i>at least</i> 30 days before the changes took effect:</p> <p><input type="checkbox"/> Yes. <i>If yes, please indicate</i></p> <p><input type="checkbox"/> No</p>	Choose an item.



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Para 5: Notification Period	Preliminary Self-Assessment
<p>Were foreign vessel(s) calling into your ports required to submit information specified in Annex I of this CMM (at minimum), <i>at least 48hrs before the estimated time of arrival</i>.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If you have a notification period other than 48hrs, have the secretariat been informed without delay:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No alternate notification period (<i>Obligation Not Applicable</i>)</p> <p>Have changes of notification period prior to the estimated time of arrival been notified to the secretariat <i>at least 30 days</i> before the changes took effect:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No changes notification period prior to the estimated time of arrival during the assessment period (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>

Port entry, authorization or denial of foreign vessels / Use of ports by foreign vessels

Paras 8, 9, 11, 12 & 14: Denial of entry and/or use of port	Preliminary Self-Assessment
<p>Have any vessels been denied entry into the designated port(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, please indicate number of Vessel(s) denied entry into port: Click or tap here to enter text.</p> <p>Were the flag state and Secretariat notified of decision(s) to deny port entry to these vessels</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>
<p>Have any vessels been denied use of port(s) for landing, transshipment, packaging and processing of fisheries resources and other port services</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, please indicate number of Vessel(s) denied use of port(s): Click or tap here to enter text.</p> <p>Were the flag state and the Secretariat notified of decisions to deny use of port</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>



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Inspections

Paras 17, 19,21, 22, 23, 24 & 25: Inspections	Preliminary Self-Assessment
<p>Are all Port Inspectors duly authorized, trained and familiar with the Agreement and all of its relevant CMMs</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were all inspections carried out, at a minimum, following the procedures set out in Annex III</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>Were inspections carried out following international law, conducted within 72hrs of port entry, and carried out in an expeditious fashion</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p><i>Dissostichus ssp</i></p> <p>Did you carry out inspections on all fishing vessel(s) carrying or landing <i>Dissostichus ssp</i>, that entered your port(s) carrying or landing <i>Dissostichus ssp</i></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessels carrying or landing <i>Dissostichus ssp</i>. called into your ports(s) <i>(Obligation Not Applicable)</i></p> <p>If yes please indicate: Number of vessels carrying or landing <i>Dissostichus ssp</i> that called into your port: Click or tap here to enter text. Number of vessel(s) carrying or landing <i>Dissostichus ssp</i>. inspected: Click or tap here to enter text.</p>	Choose an item.
<p>Did you receive requests from another CCP, RFMO or CCAMLR pursuant to paragraph 23 (a) of this CMM</p> <p><input type="checkbox"/> Yes <i>If yes, please indicate</i> Number of requests received: Click or tap here to enter text. Number of vessels inspected pursuant to such requests: Click or tap here to enter text.</p> <p><input type="checkbox"/> No requests received from another CCP, RFMO or CCAMLR pursuant to paragraph 23 (a) <i>(Obligation Not Applicable)</i></p>	Choose an item.
<p>Did any vessel fail to provide the information required by paragraph 5</p> <p><input type="checkbox"/> Yes <i>If yes, please indicate</i> Number of such vessel(s): Click or tap here to enter text. Number of such vessel(s) inspected: Click or tap here to enter text.</p> <p><input type="checkbox"/> No vessel(s) failed to provide the information required in paragraph 5 <i>(Obligation Not Applicable)</i></p>	Choose an item.



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<p>Did all inspection reports contained, at least, the information set out in Annex IV:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> <i>No SIOFA vessels called into designated ports (obligation not applicable)</i></p>	Choose an item.
<p>Where compliance issues warranted the attention of the competent authority, were these inspection reports forwarded to the competent authority and the Secretariat <i>within</i> 30 days, following completion of such inspection, or as soon as possible</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p><i>If any reports could not be submitted within the specified time period, was the Secretariat within that same time period informed of the potential delay, including reasons for such, and when the report will be submitted:</i></p> <p><input type="checkbox"/> Yes <i>If yes, indicate the number of reports submitted after the specified time period: Click or tap here to enter text.</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> All reports were submitted within the specified time period (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Role of Contracting Parties, CNCPs and PFEs in relation to vessel(s) flying their flags

Para 26 Flag state obligations	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag cooperate with all port state inspection(s) carried out by a CCP other than its flag state</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Para 27 - 30: Flag state obligations	Preliminary Self-Assessment
<p>Have there been any grounds to suspect any vessel(s) flying your flag have engaged in IUU fishing?</p> <p><input type="checkbox"/> Yes</p> <p><i>If yes, please indicate if you requested a CCP where the vessel sought entry or was in the port, to carry out inspection (s) on such vessel(s) or to take other adequate measures.</i></p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Obligation Not Applicable. <i>Please provide explanation:</i></p>	Choose an item.



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<p>Following such inspections, and where there were clear grounds that a vessel flying your flag has engaged in IUU fishing, was the matter fully investigated</p> <p><input type="checkbox"/> Yes <i>If yes please elaborate on enforcement actions taken against such vessel:</i></p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessels found to have conducted IUU fishing after such inspections <i>(Obligation Not Applicable, skip to next CMM)</i></p>	Choose an item.
<p>Was the secretariat duly informed on any action taken against such vessel(s)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.
<p>Did you provide a report to the Compliance Committee of actions taken under paragraph 27 of this CMM?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Implementation of CMM 09 (2022) (Control)

Co-operation and Contact Points

Para 2: Designation of Contact Points	Preliminary Self-Assessment
<p>Have you designated the competent authority(ies) which shall act as the Contact Point for the purpose of receiving reports and notifications and issuing authorizations in accordance with the relevant SIOFA CMM, 30 days after the adoption of this CMM</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Have you notified the Secretariat of any subsequent changes to the list, without delay?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No changes to the list <i>(Obligation Not Applicable)</i></p>	Choose an item.

Vessel Requirements

Para 3: Onboard Documents	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag carry onboard the current valid documents issued by its competent authority that are contained in paragraph 6(c) of CMM 07 (2022)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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<p>Did vessel(s) flying your flag keep onboard valid documents issued by their competent authority containing up-to-date information listed in paragraph 2 of CMM 07</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If vessels are not required to keep onboard the above-mentioned documents (paragraph 3 (b) of this CMM), have you agreed for the Secretariat to make this information available upon request for the purposes of Control?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
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Para 4: Vessel markings	Preliminary Self-Assessment
<p>Were all vessel(s) flying your flag and authorized to operate in the Agreement Area marked in such a way that they can be easily identified:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessels authorized to operate in the Agreement Area (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>

Marking of fixed gear

Para 5 & 6: Marking of Gears	Preliminary Self-Assessment
<p>Are all fixed gears used by vessel(s) flying your flag marked in accordance with paragraph 5 of this CMM</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessels used fixed gears in the Agreement Area (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>
<p>Has the secretariat been notified of the information regarding the marking of fixed gear?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>



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Retrieval of abandoned, lost or otherwise discarded fishing gear

Para 7: Retrieval of abandoned, lost or otherwise discarded fishing gear	Preliminary Self-Assessment
<p>Number of abandoned/lost gears by vessel(s) flying your flag: Click or tap here to enter text.</p> <p>Number of ALDFG retrieved by vessel(s) flying your flag: Click or tap here to enter text.</p> <p>Did vessel (s) flying your flag abandon lost gears only after having made every reasonable attempt to retrieve it as soon as possible</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Except for safety reasons, notably vessels in distress and/or life in danger, did vessel(s) flying your flag deliberately abandon fishing gears</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Did vessels flying your flag promptly notify their competent authority when gears could not be retrieved(with the information specified in paragraph 7 d)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Did vessels flying your flag promptly notify their competent authority following retrieval of any ALDFG(with the information specified in paragraph 7 e)</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not Applicable</p> <p>Was the Secretariat promptly notified of information referred to in sub-paragraphs (d) and (e).</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Obligation not applicable</p>	<p>Choose an item.</p>



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Discard of Plastics

Para 8 & 9: Discard of plastics	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag store onboard all plastics, until they can be discarded at adequate port facilities, in accordance with paragraphs 8 and 9 of this CMM</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Labelling of frozen products of fishery resources

Para 10: Labelling of frozen products of fishery resources	Preliminary Self-Assessment
<p>Did vessels fishing for fishery resources comply with the requirements of paragraph 10 of this CMM, with regard to the packing and labelling of frozen fishery products:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessels fishing for fishery resources, or no fishery products kept frozen by fishing vessels (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Scientific Observer Programme

Para 11: Qualification and Authorization of Scientific Observers	Preliminary Self-Assessment
<p>Were all Scientific observers deployed during the assessment period, qualified and authorized to perform their tasks and record any requested data</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No Scientific Observer programs (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Sightings and identifications of non-Contracting Party, non-CNCP and non-PFE vessel(s)

Para 12: Vessel Sightings	Preliminary Self-Assessment
<p>Are vessel(s) required to report any presumed fishing as defined in the Agreement, including transshipment, by vessel(s) flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement in the Agreement Area,</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.



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Para 13: Reports of fishing, including transshipments by vessel not flying the flag of a CCP	Preliminary Self-Assessment
<p>Were there any reported sightings of fishing, including transshipments by vessel not flying the flag of a CCP</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, where these reports submitted to the Secretariat as soon as practical</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please indicate: Number of sightings reported by vessel(s) flying your flag: Click or tap here to enter text. Number of such sightings reported to the Secretariat: Click or tap here to enter text.</p>	<p>Choose an item.</p>

Implementation of CMM 10 (2023) (Monitoring)

Please select and proceed to paragraph 4 if vessel(s) flying your flag does not undertake fishing in the Agreement Area

Information on fishing activities

Para 2 & 3: Fishing logbook	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag maintain a bound fishing logbook or an electronic fishing logbook, containing the information relevant for compliance with the data collection and submission requirements of CMM 02, with consecutively numbered pages</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>
<p>Did vessel(s) flying your flag: Submit logbook data within 30 days of the completion of fishing trip:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Submit logbook data in accordance with CMM 02 and maintain in accordance with CMM 03</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did the quantities of catch recorded on vessel(s) flying your flag correspond to the quantities of catch kept onboard</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>



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Para 4: Obligation to cooperate	Preliminary Self-Assessment
<p>Did you receive any request from a CCP for information contained in fishing logbooks from the preceding 12 months</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>If yes, did you cooperate with such requests</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Vessel Monitoring System

Paras 5-7, 9-11: Requirements for vessel(s) to be fitted with an automatic location communicator (ALC)	Preliminary Self-Assessment
<p>Were all vessels flying your flag that operated in the Agreement Area, fitted with an operational ALC that reported back to its competent authority</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessel on the SIOFA RAV (<i>Obligation not applicable</i>)</p>	Choose an item.
<p>Did ALC units on vessel(s) flying your flag remain operational at all times while in the agreement area</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessel on the SIOFA RAV (<i>Obligation not applicable</i>)</p>	Choose an item.
<p>Have systems been developed, implemented, and improved to maintain a record of all vessel positions reported through VMS and logbooks?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.
<p>Were VMS position reports transmitted at least once every 2 hours from each fishing vessel flying your flag and included in the SIOFA RAV while operating in the Agreement Area</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Were vessel(s) position reports transmitted in accordance with standards established in Paragraph 9 of this CMM?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Did any vessel(s) flying your enter the Agreement Area and commence operations with a defective ALC</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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<p>Did vessel(s) follow procedures established by paragraph 10, in the event of a technical failure or non-operation of the ALC fitted onboard a vessel</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.
<p>Are ALCs fitted onboard vessel(s) flying your flag tamper resistant, i.e., located within a sealed unit and be protected by official seals (or mechanisms) of a type that will indicate whether the unit has been accessed or tampered with.</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Entry / Exit Reports

Para 15: Entry / Exit Notifications	Preliminary Self-Assessment
<p>Was the Secretariat notified of all entry into and each exit from the Agreement Area by vessel(s) flying your flag, authorized to fish for species managed by SIOFA</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Interim Measures for at sea transshipments and transfers

Para 17: Transhipment of Fishery resources subject to flag CCPs authorization.	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag conduct transshipments at sea of fishery resources, <i>only</i> after having received prior authorization from their competent authority</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Para 18: Prohibition of transhipment of SIOFA Fishery resources with vessels not included on the SIOFA RAV.	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag conduct transshipments at sea of fishery resources, <i>only</i> with other vessel(s) included on the SIOFA RAV</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.



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Para 19: Prohibition of transshipment of SIOFA Fishery resources with vessels included in the IUU vessels list of an RFMO or CCAMLR.	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag conduct transshipments at sea of fishery resources with any vessels included in the IUU vessels list of an RFMO or CCAMLR</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i></p>	Choose an item.

Para 20: Procedures for undertaking Transshipment at sea	Preliminary Self-Assessment
<p>For each vessel flying your flag that undertook an at sea transshipment operation, did such vessel(s);</p> <p><input type="checkbox"/> Notify its competent authority at least 7 days in advance of a 14 day period during which the at sea transshipment is scheduled to occur</p> <p><input type="checkbox"/> Notify its competent authority 24 hours in advance of the estimated time during which the at sea transshipment occur</p> <p>Did the notifications above include the relevant information available regarding the at sea transshipment, in accordance with Transshipment Notification Annex II of this CMM</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Did the competent authority of such vessels, transmit all notifications received pursuant to sub-paragraph a. and b. to the Secretariat within 7 days</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Were impartial and qualified observers (or electronic monitoring) deployed on board every receiving vessel(s) to monitor transshipments</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Did assigned observer(s) collect and record the information of each transshipment operation as indicated in the Transshipment Logsheet in annex III of this CMM</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Did masters provide the observer onboard access to all operational areas of the vessel necessary to complete their duties, including the fish holds, production areas, crew, nets or other gear, equipment, and any other document relates with the catch retained onboard</p> <p><input type="checkbox"/> Yes</p>	Choose an item.



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<p><input type="checkbox"/> No</p> <p>Did observer(s) provide copy(ies) of information collected as indicted in the Transshipment Logsheet, to the competent authority of the observed vessel</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did the competent authority submit all observer data referred to in sub-paragraph f. to the Secretariat, no later than 15 days after debarkation of observer(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did the vessel (s) notify all operational details to its competent, using the Transshipment Declaration in Annex IV, within 24 hours following the transshipment</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did the competent Authority of the vessel transmit the Transmission Declaration referred to in sub-paragraph j. to the Secretariat within 30 days</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Paragraph 20 not applicable - <i>[Please provide an explanation]:</i></p>	
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Para 21: Procedures for undertaking Transfers at sea	Preliminary Self-Assessment
<p>For each vessel flying your flag that undertook an at sea transfer operation, did such vessel(s):</p> <p><input type="checkbox"/> Notify its competent authority at least 24 hours in advance of the planned transfer</p> <p>Did the notification(s) include the relevant information available regarding the transfer in accordance with Transfer Notification Annex V</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did the competent authority transmits the notification to the Secretariat without delay</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did the vessel notify all of the operational details to its competent authority, as specified in Transfer Declaration Annex VI, within 24 hours following the transfer</p> <p><input type="checkbox"/> Yes</p>	<p>Choose an item.</p>



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<input type="checkbox"/> No. <input type="checkbox"/> Not applicable – <i>[Please provide an explanation]:</i>	
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Para 23: Information verification process	Preliminary Self-Assessment
<p>Did you verify accuracy of information received in accordance with paragraphs 20 and 21?</p> <p> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable – <i>[Please provide an explanation]:</i> </p> <p>Did you take appropriate follow-up actions in the event that an observer reported discrepancies in the data reported by the masters of the unloading or receiving vessels or in the event of possible non-compliance with SIOFA CMMs, including coordination with the Port State where the receiving vessel is to unload, if it considers that an inspection of the unload is necessary</p> <p> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable – <i>[Please provide an explanation]:</i> </p>	<p>Choose an item.</p>

Para 24: Receipt of fishery resources from more than one unloading vessel	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag receive fishery resources a sea from more than one unloading vessel</p> <p> <input type="checkbox"/> Yes <input type="checkbox"/> No </p> <p>If yes, did such vessel(s);</p> <p> <input type="checkbox"/> store the fishery resources and related documentation from each unloading vessel separately, allowing to distinguish from which unloading vessel each part of the fishery resources on board comes, including through the use of canvas or nets to separate fishery resources on board and/or appropriate labelling for boxes. </p> <p> <input type="checkbox"/> maintain an up-to-date stowage plan and other documents showing the location and quantities of species received from each unloading vessel and retains these on board until the vessel has been unloaded completely. </p> <p> <input type="checkbox"/> make available the information referred to in sub-paragraph b. to its competent authority and to the relevant port State when required. </p>	<p>Choose an item.</p>



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Monitoring of transhipments in ports

No vessel transhipped (unloaded and /or received) SIOFA fishery resources in port *(Please skip to next applicable paragraph, or next CMM as applicable)*

Para 26 – 28, 30: Procedures for undertaking transhipment in port <i>Did vessel(s) flying your flag and carrying SIOFA fishery resource conduct transhipments in port, in accordance with the following procedures:</i>	Preliminary Self-Assessment
Transhipped in a port only if it had prior authorisation from its competent authority and the port State <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
Did not undertake transhipment in port of fishery resources with vessels included in the IUU vessels list established by an RFMO or CCAMLR <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
Submission of notification, at least 24hrs prior to transhipment information required by paragraph 28, to the port state and to the competent authority of the receiving vessel <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
Submission of transhipment declaration (Annex IV) to the competent authority of the flag state, the port state and the receiving vessel within 24hrs of the transhipment <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.

Para 32: Information verification process	Preliminary Self-Assessment
Did you verify the accuracy of information all information received in accordance with paragraphs 28 -31? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i> Did you take appropriate follow-up actions in the event of discrepancies in the data reported by the masters of the unloading or receiving vessels or in the event of possible non-compliance with SIOFA CMM <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable - <i>[Please provide an explanation]:</i>	Choose an item.



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Para 33: Report on transshipments and at sea transfers	Preliminary Self-Assessment
Did you complete and submit the information required under paragraph 33 (a) – (e), as applicable? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No vessel transhipped SIOFA fishery resources at sea and / or conducted at sea transfer	Choose an item.

Implementation of CMM 11 (2020) (Compliance Monitoring Scheme)

Para 12: Completion and Submission of this CCR Template	Preliminary Self-Assessment
Did you complete and submit your CCR template before the deadline, i.e. no later than 60 days before the commencement of the Meeting of Parties <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.

Implementation of CMM 12 (2023) (Sharks)

Did vessel(s) flying your flag engage in fishing operations in the SIOFA Agreement Area <input type="checkbox"/> Yes <input type="checkbox"/> No <i>Please skip to the next CMM if vessel(s) flying your flag has not engage in fishing operations during the assessment period</i>

Para 2: Prohibition on the targeting of sharks	Preliminary Self-Assessment
Did vessel (s) flying your flag target deep-sea shark species listed in Annex 1 of this CMM <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.



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Para 5: Reporting of Portuguese dogfish catch in subarea 2	Preliminary Self-Assessment
<p>Did your vessel catch Portuguese dogfish in Subarea 2?</p> <p><input type="checkbox"/> Yes.</p> <p>If yes, were catch reports submitted monthly or weekly as applicable, to the Secretariat?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Were the submitted reports submitted within the stipulated timelines, i.e. by the twentieth day of the following month for monthly reports and/or by close of business Wednesday for the preceding seven days for weekly reports</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Obligations Not Applicable</p>	Choose an item.

Para 7: Reporting requirements related to the catching of deep-sea shark species	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag fulfil all reporting requirements, as per CMM 02 for all deep-sea shark species to the lowest taxonomical level possible when engaged in fishing for fishery resources</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Implementation of CMM 13 (2022) (Mitigation of Seabird Bycatch)

Application and geographic scope

Para 1: Did vessel (s) flying your flag engage in fishing operations in the SIOFA agreement Area for Fishery Resources, as defined in Article 1(f) of the Agreement, south of 25°S.
<p><input type="checkbox"/> Yes <input type="checkbox"/> No <i>CMM not applicable. Please skip to the next CMM</i></p>

General provisions for demersal and pelagic longliners and other demersal fishing gears

Para 2: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag using demersal or pelagic longlines or other demersal fishing gears, apply all of the measures provided in paragraph 2 while operating south of 25°S:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.



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Provisions applying to demersal longliners

Para 3: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag using demersal longlines, apply all of the measures provided in paragraph 3 while operating south of 25°S:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No demersal longliners flying your flag (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Para 4: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag using demersal longliners of less than 25m apply <i>at least one</i> of the mitigations measures prescribed in paragraph 4 while operating south of 25°S:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No demersal longliners of less than 25m flying your flag (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Provisions applying to other demersal fishing gears

Para 5: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag that use demersal pots or traps ensure the cleanliness of the traps and pots so as not to attract birds, and ensure that buoy lines were not left floating at the surface, while they operated south of 25°S</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No vessels using pots or traps flying your flag (<i>Obligation Not Applicable</i>)</p>	Choose an item.

Provisions applying to pelagic longliners

Para 6: Application of mitigations measures to reduce incidents of seabird bycatch	Preliminary Self-Assessment
<p>Did pelagic longliners flying your flag use at least two of the three mitigation measures outlined in Annex 3</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> No pelagic longliners flying your flag (<i>Obligation Not Applicable</i>)</p>	Choose an item.



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Implementation of CMM 14 (2021) (High Seas Boarding and Inspection Procedures)

General Provisions

Para 6: General Provisions	Preliminary Self-Assessment
<p>Were vessel(s) flying your flag boarded and inspected during the assessment period?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, did masters of these fishing vessel(s) accept and facilitate boarding and inspections pursuant to this measure</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Paras 7 – 9: General Provisions	Preliminary Self-Assessment
<p>Were boardings between a contracting party and a participating fishing entity conducted after a notification was sent to the Meeting of the Parties, at least 90 days prior to the boarding and inspection</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p>	Choose an item.
<p>Are vessel(s) flying your flag provided a copy or a translation of this measure</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p>	Choose an item.
<p>Are Authorized Inspectors required to comply with this measure in the conduct of any boarding and inspection activities undertaken under these procedures</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p>	Choose an item.



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Notification requirements

Para 10: Designation of contact points	Preliminary Self-Assessment
<p>Have contact points been designated for the purposes of receiving and sending notifications and reports to and from authorities of the fishing vessel(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p> <p>Have contact points been designated for the purposes of receiving and sending notifications and reports to and from the authorities of the inspecting vessel(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p> <p>Were there any changes in the designated contact points?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If yes, was the Secretariat notified of these changes?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>

Participation

Para 12 & 13: Notification of intention to carry out boarding and inspectors	Preliminary Self-Assessment
<p>Did you notify the Secretariat of your intention to carry out boarding pursuant to this measure</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable <i>Please explain why not applicable</i></p> <p>If yes, please indicate if you provided all relevant information with respect to:</p> <p>Each Authorized Inspection Vessel, as required by paragraph 12.a.⁴</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Each Authorized Inspectors, as required by paragraph 12.b.⁵</p>	<p>Choose an item.</p>

⁴ Details of vessel, (name, description, photograph, registration number, port of registry (and, if different from the port of registry, port marked on the vessel hull), international radio call sign), except where not applicable for military vessels and notification that the inspection vessel is clearly marked and identifiable as being on government service and by clearly flying a SIOFA inspection flag.

⁵ i. the name(s) of the authorities responsible for boarding and inspection;



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<input type="checkbox"/> Yes <input type="checkbox"/> No	
Were there any changes to information provided pursuant to Paragraph 12 <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable If yes, was the Secretariat informed of these changes <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.

Para 15: Boardings by military vessel(s)	Preliminary Self-Assessment
Did you carry out boarding and inspections using military vessel(s) <input type="checkbox"/> Yes <input type="checkbox"/> No (<i>Obligation Not Applicable</i>) If yes, indicate if <input type="checkbox"/> Boardings were carried out by inspectors fully trained in fisheries enforcement procedures and duly authorised for this purpose by national laws. <input type="checkbox"/> Boardings conformed to the procedures contained within this measure.	Choose an item.

Cooperative activities

Para 18: Bilateral or Multilateral Arrangements	Preliminary Self-Assessment
Did you notify the Secretariat of any bilateral or multilateral arrangements referred to in paragraph 16 of this CMM? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No bilateral or multilateral arrangements in effect (<i>Obligation Not Applicable</i>)	Choose an item.

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- ii. an example of the credentials issued to its Authorised Inspectors;
 - iii. notification that such Authorised Inspectors are fully familiar with the species and fishing activities to be inspected and the relevant provisions of the Agreement and CMMs in force;
 - iv. notification that the Authorised Inspectors have received and completed training to safely carry out boarding and inspection activities at sea. Such training should include instruction on overcoming communication barriers and on de-escalation techniques; and
 - v. notification that any Authorised Inspectors carrying arms have received and completed appropriate levels of training on the use of those arms.



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Procedures

Para 19 – 26, 30-32: Boarding and Inspection procedures by Authorized Inspectors	Preliminary Self-Assessment
<p>Did Authorized Inspectors comply with boarding procedures established in paragraphs 19 – 26 and 30-32 of this CMM</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p>	Choose an item.
<p>Indicate number of incidents involving the use of force in relation to boarding and inspections under this measure: Click or tap here to enter text.</p> <p>Were all (if any) such incident(s) reported to the Authorities of the Fishing Vessel, the Authority of the Inspection Vessel, as well as Executive Secretary.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p>	Choose an item.

Para 27 – 29: Responsibility of Master and Crew of the fishing vessel during boarding and Inspection	Preliminary Self-Assessment
<p>Did Master and Crew of fishing vessel (s) comply with boarding procedures established in paragraphs 27 – 29 of this CMM</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p>	Choose an item.

Inspection Reports

Para 33: Reports of Authorized Inspectors	Preliminary Self-Assessment
<p>Did Authorized inspectors prepare a full report on each boarding and inspection they carried out under this measures, including any supporting information, using the data fields in the <i>Boarding and Inspection Reporting Form (Annex 1 of this CMM)</i></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p>	Choose an item.



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<p>Were copies of all boarding and inspection reports (in electronic format) transmitted to the Authorities of the Fishing Vessel, and to the Executive Secretary, within three (3) working days of the completion of the boarding and inspection</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p> <p>In cases where the reports could not be submitted within the specified timeframe, were the concerned parties notified of such, while specifying the time period within which the report will be provided?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p>	Choose an item.
<p>Did all reports referred in paragraph 33 of this CMM include the name(s) and authority of the Authorized inspector(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did all reports clearly identify any observed activity or conditions that the Authorized Inspectors believed to be a violation of the agreement of CMMs in force and indicated specific factual evidence relating to each alleged violation</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p>	

Serious violations

Para 35, 37, and 39: Responsibilities of the Authority(ies) of Inspection Vessel(s) in relation to serious violations	Preliminary Self-Assessment
<p>Were there any serious violations observed by your Authorized Inspectors during the assessment period?</p> <p><input type="checkbox"/> Yes If yes, indicate number of serious violations observed: Click or tap here to enter text.</p> <p><input type="checkbox"/> No (<i>Obligations Not Applicable, please skip to next applicable obligation</i>)</p>	
<p>Were the Authorities of the inspected vessel immediately notified, directly and through the Executive Secretary of the serious violation(s) observed?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.
<p>In cases where the Authorities of the Fishing Vessel have indicated they will investigate the alleged serious violation(s), were they provided with the specific evidence collected by the Authorized Inspectors, as soon as practicable?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.



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<p>In cases where the Authorities of the Inspection vessel have decided to undertake investigation(s) of alleged serious violations(s), were the specific evidence collected by the Authorised Inspectors, along with the results of any investigation, provided to the Authorities of the Fishing Vessel and the Executive Secretary immediately upon completion of the investigation and in any case no later than 6 (six) months after the date of the inspection?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>
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Para 36, 38 and 40 Responsibilities of the Authority(ies) of Fishing Vessel(s) in relations to serious violations	Preliminary Self-Assessment
<p>Were there any serious violation(s) observed onboard vessel(s) flying your flag, by Authorized Inspectors during the assessment period?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No (<i>Obligations Not Applicable, please proceed to paragraph 43</i>)</p> <p>If yes, indicate number of serious violations observed: Click or tap here to enter text.</p>	
<p>Did you provide an initial response to the notification(s) of the alleged serious violation(s) without delay and in any case no later than three (3) working days, to the Authorities of the Inspection Vessel and the Executive Secretary?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>
<p>In cases where you indicated that you would investigate the alleged serious violation(s), did you provide reports of the investigation to the Executive Secretary and the Authorities of the Inspection vessel within two (2) months of the notification in paragraph 36</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Did you take any enforcement action against fishing vessel(s) found to have committed serious violations(s)</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable</p> <p>If yes, were the Authorities of the Inspection Vessel, as well as the Executive Secretary notified of any such enforcement action within six (6) months of the date of notification in paragraph 36</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>Choose an item.</p>
<p>Did you receive any request from a CCP to investigate an alleged serious violation?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>



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<p>If yes, did you provide a reply, including details of any action taken or proposed to be taken in relation to the alleged serious violation, to all Contracting Parties as soon as practicable and in any case within two (2) months of such a request.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Upon completion of such investigations, did you provide a report on the outcome of the investigation to the Executive Secretary for circulation to all CCPs for consideration at the next Meeting of the Parties</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
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Annual Reports

Para 44: Reports of action against vessel(s) that committed serious violations	Preliminary Self-Assessment
<p>Did you report to the Meeting of the Parties through your implementation report required under Article 10(2) or under CMM 11 (Compliance Monitoring Scheme) on the boarding and inspections carried out by your Authorised Inspection Vessel(s), as well as upon possible violations observed.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No boarding and inspection conducted (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>

Para 45: Reports of Action against vessel(s) that committed serious violations	Preliminary Self-Assessment
<p>If evidence warranted, indicate actions taken against such vessel(s) found to have committed serious violations: <i>Indicate measures here</i></p> <p><input type="checkbox"/> No vessel found to have committed serious violations (<i>Obligation Not Applicable</i>)</p>	<p>Choose an item.</p>

Provisions relating to non-CCPs

Para 46 - 48: Provisions relating to non-CCPs	Preliminary Self-Assessment
<p>Did your Authorized Inspection vessel(s) identify unidentified or unauthorized vessel(s) of non-CCPs that were fishing in the agreement Area?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable (<i>Please skip to next CMM</i>)</p> <p>If yes, indicate the number of vessel(s) identified: Click or tap here to enter text.</p> <p>Were these vessel(s) reported to the Executive Secretary</p> <p><input type="checkbox"/> Yes</p>	<p>Choose an item.</p>



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<input type="checkbox"/> No	
<p>Did your Authorized Inspection Vessel attempt to inform any fishing vessel identified pursuant to paragraph 46 that it has been seen or identified as potentially engaging in fishing that undermines the effectiveness of the Agreement or CMMs.</p> <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
<p>If yes was this information included in the report(s) sent to the Executive Secretary, as well as to the authorities of the relevant non-CCP</p> <input type="checkbox"/> Yes <input type="checkbox"/> No	
<p>Were you allowed to board vessel(s) of non-CCP identified pursuant to paragraph 46</p> <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.
<p>If yes, indicate the number of vessel(s) boarded and inspected: Click or tap here to enter text.</p> <p>Did you report findings of such inspections to the Executive Secretary</p> <input type="checkbox"/> Yes <input type="checkbox"/> No	

Implementation of CMM 15 (2023) (Management of Demersal Stocks)

General provisions

<p>Para 2: Have vessel(s) flying your flag engaged in bottom fishing in the agreement area during the assessment Period?</p> <input type="checkbox"/> Yes <input type="checkbox"/> No <i>(CMM Not Applicable. Please skip this CMM)</i>

Para 12: Prohibition on fishing in depths less than 500m	Preliminary Self-Assessment
<p>Did vessel flying you flag, fishing with demersal longliner fished in depths shallower than 500m :</p> <input type="checkbox"/> Yes <input type="checkbox"/> No	Choose an item.



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Para 13: Requirement for mandatory observer coverage for vessel(s) participating in the <i>Dissostichus</i> spp. fishery. ⁶	Preliminary Self-Assessment
<p>Indicate the level of: Observer coverage for all fishing activity: Click or tap here to enter text.</p> <p>Minimum of 25% of hooks haul per line observed over the duration of all fishing deployments</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

1. Management & research measures for toothfish in the Del Cano Area

Effort/catch and operational limits

No vessel fished for *Dissostichus* spp. in the Del Cano Rise area (Please skip to 20 - 21, then proceed to paragraph 34).

Para 16: <i>Dissostichus</i> spp.	Preliminary Self-Assessment
<p>Please indicate: Your total quota for the season of 1 December 2022 to 30 November 2023 (after any transfers) for <i>Dissostichus</i> spp.: Click or tap here to enter text.</p> <p>Annual catch of <i>Dissostichus</i> spp. for the Fishing Season of December 2022 to November 2023: Click or tap here to enter text.</p>	Choose an item.

Para 17: Monthly <i>Dissostichus</i> spp. catch report	Preliminary Self-Assessment
<p>Have all monthly reports of your <i>Dissostichus</i> spp. catches been sent to the Secretariat during the assessment period?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Para 18: Fishing Activity Reports	Preliminary Self-Assessment
<p>Did your fishing vessel(s) inform the secretariat daily of all start and end points of set longlines, using the template in Annex II?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

⁶ Applicable as of the 1st December 2023



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Para 19: Effort Limitations	Preliminary Self-Assessment
<p>Did fishing vessel(s) flying your flag, using longlines:</p> <p>Exceed 3000 hooks per line</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Set lines at minimum 3 nautical miles from each other</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

Bycatch Limits

Para 20 - 21: Bycatch Limits	Preliminary Self-Assessment
<p>Did any vessel not targeting <i>Dissostichus</i> spp. catch more than 0.5t of <i>Dissostichus</i> spp during the season</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>If yes please indicate the number of vessel(s) that had bycatch of <i>Dissostichus</i> spp. that exceeded the limit of 0.5t per season: Click or tap here to enter text.</p>	
<p>Were those vessel(s) prohibited from engaging in any further fishing in the Del Cano area for the rest of that season:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.

VMS polling

Para 25: VMS location report interval period	Preliminary Self-Assessment
<p>Were VMS from each fishing vessel flying your flag position reports transmitted every hour, while they were operating in the Del Cano Rise area</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	Choose an item.



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Observers

Para 26: Requirement for mandatory observer coverage for vessel(s) participating in the <i>Dissostichus</i> spp. fishery. (Del Cano Rise area)	Preliminary Self-Assessment
<p><i>Indicate level of:</i> observer coverage for all fishing activity: Click or tap here to enter text. Did scientific observers onboard vessels observed 25% of hooks haul per line observed over the duration of the fishing deployment.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

Tagging and release

Para 27: Tag and release	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag tag and release <i>Dissostichus</i> spp. specimens at a rate of at least 5 (five) fish per tonne green weight caught, while applying a minimum overlap statistic of at least 60% for tag release, once 30 or more <i>Dissostichus</i> spp. had been caught</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.

2. Management & research measures for toothfish in Williams Ridge [FAO Subarea 57.4]

No vessel fished for *Dissostichus* spp. in Williams Ridge (*Please go to paragraphs 44 - 45, then the end of this CCR*)

Para 34: Total Annual Research Catch of <i>Dissostichus</i> spp.
<p>Please indicate:</p> <p>Annual research catch of <i>Dissostichus</i> spp. for the last fishing season of 1 December 2023 to 30 November 2024: Click or tap here to enter text.</p>

Para 35: Daily catch report	Preliminary Self-Assessment
<p>Did your vessel(s) send all daily reports of their <i>Dissostichus</i> spp. to the secretariat, using Annex III for template, also informing the secretariat on start and end points of set long lines, using the template provided by Annex IV:</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	Choose an item.



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Para 37: Grid Cell Entry Notification requirements	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag inform the secretariat as soon as they entered a grid cell to fish for <i>Dissostichus</i> spp., or send an advanced notification prior to entering a grid cell for fishing, outside of secretariat business hours.</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>
Para 38: Notification Requirements	Preliminary Self-Assessment
<p>Did any vessel flying your flag fish in a grid cell, <i>before</i> receiving confirmation from the secretariat that two lines have not already been set in a grid during that season and that the grid was not being fished by another vessel.</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Please indicate number of vessel(s), if any, that have failed to comply with the above requirements: Click or tap here to enter text.</p>	<p>Choose an item.</p>
Para 39: Grid Cell Exit Notification Requirements	Preliminary Self-Assessment
<p>Did vessel(s) flying your flag inform the Secretariat as soon as they exit the grid cell specified in paragraph 39 while also informing the Secretariat of the number of lines, if any, they have set and/or hauled in that grid cell:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 39: Click or tap here to enter text.</p>	<p>Choose an item.</p>
Para 40: Effort (Number of Hooks) Limitations	Preliminary Self-Assessment
<p>Did longline vessel(s) exceed 6250 hooks per line and/or set lines across grid cells:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	<p>Choose an item.</p>



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Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 40: [Click or tap here to enter text.](#)

Para 41: Limits on number of lines set per season, per grid cells

Preliminary Self-Assessment

Did your vessel set any lines in a grid that already had two lines in total set in that grid during a fishing season

- Yes
 No

Choose an item.

Para 43: Other effort limits

Preliminary Self-Assessment

Did vessel(s) flying your flag, and fishing for *Dissostichus* ssp. apply a break of a minimum of 30 days between consecutive fishing trips to William Ridge.

- Yes
 No

Choose an item.

Bycatch Limits

Para 44 & 45: Bycatch Limits

Preliminary Self-Assessment

Did any vessel not targeting *Dissostichus* ssp. catch more than 0.5t of *Dissostichus* ssp during the season

- Yes
 No

If yes please indicate the number of vessel(s) that had bycatch of *Dissostichus* ssp. that exceeded the limit of 0.5t per season: [Click or tap here to enter text.](#)

Were those vessel(s) prohibited from engaging in any further fishing in the Williams Ridge area for the rest of that season:

- Yes
 No

Choose an item.

---End of CCP Compliance Report---