

8th Meeting of the Compliance Committee (CC8) and 11th Meeting of the Parties (MoP11)

Seoul, Republic of Korea, 26–28 June 2024 and 01-05 July 2024

CC-08-03

New CCPs Compliance Report Template

SIOFA Secretariat

Meeting	Compliance Committee ✓ Meeting of the Parties □
Document type	working paper 🗸 information paper 🗆
Distribution	Public ✓ Restricted ¹ □ Closed session document ² □

Abstract

Following discussions at the Seventh Meeting of the Compliance Committee of the Southern Indian Ocean Fisheries Agreement (CC07), the CC and the MoP agreed to continue discussions related to a new CCP Compliance Report (CCR) Template intersessionally based on the two templates that were presented to the committee. To recap, there were two proposed methods for the assessment of some of the obligations in the SIOFA CMMs, which suggests the establishment of relevant domestic measures by CCPs to ensure compliance by intended subjects (vessels, nationals, ports, etc..). CCPs may further recall discussions related to the assessment criteria for these obligations, where there was no agreed consensus on the assessment criteria, and consequently the CCR template to be used for the collection of data on compliance, for assessment by the Compliance Committee.

Following this, CCPs convened twice to discuss and agree on a new CCR template for use during the next compliance assessment process. The proposed templates draws from comments received during and after the two meetings. The template being proposed to the CC08 should maintain the current level of reporting for obligations that requires implementation of domestic measures, eliminates the need to report on such measures annually unless there has been changes to these measures or to the CMM, and other improvements suggested by CCPs

Recommendations (for proposals and working papers only)

• That the CC08 notes and endorses the new CCR template, to be used for the next compliance assessment cycle of 2025.

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Template for the CCP Compliance Report (CCR) For the Implementation of SIOFA Conservation and Management Measures

Assessment Period 1 January – 31 December 2024

In accordance with <u>CMM 11 (2020) (Compliance Monitoring Scheme)</u>, CCPs are invited to prepare a CCP Compliance Report (CCR) based on this template, including a preliminary self-assessment of its compliance status for each assessed obligation.

Statements of implementation should briefly summarise their contents and relevance including a citation where necessary.

CCPs that have not updated national binding measures since the last compliance assessment are not required to report these measures but to select "*Measures have not been updated since last compliance assessment*".

CCPs' self-assessments should be supported by some evidence or documentation where relevant.

For clarity, all references to "Previous Calendar Year" refer to the calendar year preceding the Assessment Period.

Submission Deadline: DD-MMM-2025

Name of CCP: Choose an item. Date of Report Submission: Click or tap to enter a date.



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Implementation of the Southern Indian Ocean Fisheries Agreement

Article 10(2): (For Coastal States only) Indicate measures (if any) taken to manage straddling stocks occurring in waters under your	Preliminary Self- Assessment
jurisdiction adjacent to the SIOFA Area.	
Indicate measures here	Choose an item.
\Box Measures have not been updated since the last compliance	
assessment.	
□ No measures established	
□ Not applicable	

-	:): Submission of National Report concerning the dar year that conforms to the requirements of f CMM 02	Preliminary Self- Assessment
□ Yes □ No	Date of Submission: Click or tap to enter a date.	Choose an item.

Implementation of CMM 01 (2023) (Interim Management of Bottom Fishing)

General provisions and definitions

Para 2: Have vessel(s) flying your flag engaged in bottom fishing in the agreement area during the assessment Period?

□Yes

 \Box No. Please skip to the next CMM if vessel(s) flying your flag has not engaged in bottom fishing during the assessment period

Duties of Contracting Parties, CNCPs, and PFEs undertaking bottom fishing activity in the Agreement Area

Please fill this table if you have fished more than 40 days in a single year in the Agreement Area at the time this CMM was first adopted (2016)

□ Not Applicable

Para 10(1)(a): Measures established and applied to limit the level and	Preliminary Self-
spatial extent of bottom fishing efforts of vessel(s) flying your flag	assessment
Catch and/or Effort limits established and applied	Choose an item.
\Box No catch and/or effort limits established and applied	
□ Constraints on the spatial distribution of bottom fishing effort	Choose an item.
established and applied.	
No constraints on the spatial distribution of bottom fishing effort established and applied	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

□ Provisions established and applied to ensure bottom fishing does not significantly impact VMEs.	Choose an item.
\Box No provisions established and applied	
Provisions established and applied to ensure that any vessel flying your flag is not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing	Choose an item.
□ No provisions established and applied.	

Please fill this table if you have fished less than 40 days in a single year in the Agreement Area at the time this CMM was first adopted (2016)

□ Not Applicable

Para 10(1)(b) : Measures established and applied to limit the level and spatial extent of bottom fishing efforts of vessel(s) flying your flag:	Preliminary Self- assessment
□ Limits on bottom fishing effort and/or catch and spatial distribution established and applied.	Choose an item.
□ No limits on bottom fishing effort and/or catch, and spatial distribution established and applied.	
Provisions established and applied to ensure that bottom fishing does not significantly impact VMEs.	Choose an item.
□ No provisions established and applied.	
 Provisions established and applied to ensure that any vessels flying your flag that are not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing. 	Choose an item.
□ No provisions established and applied.	

Para 10(3): Secretariat notified within 30 days of any revisions or amendments to measures established in accordance with the requirements of paragraph 10(1)	Preliminary Self- Assessment
□ Yes	Choose an item.
□ No	
Not applicable	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Para 11: Vessel Authorization for bottom fishing	Preliminary Self- Assessment
For CCPs that have not submitted measures required pursuant to Paragraph 10 (1) did you authorise any vessel(s) flying your flag to bottom fish in the agreement area Yes If yes, please indicate the number of vessel(s) Authorized: Click or tap here to enter text. No No applicable	Choose an item.

Vulnerable Marine Ecosystems

Para 12: Threshold level for Vulnerable Marine Ecosystems (VME) applied to flagged vessel(s) as prescribed by paragraph 12 of this CMM	Preliminary Self- Assessment
Indicate measures established and applied to ensure compliance of vessels(s) flying your flag with established threshold levels prescribed in Paragraph 12 of this CMM, for encounter with VMEs: <i>Indicate measures here</i> .	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures established. Not applicable 	

Para 13: Requirements established to cease bottom fishing upon evidence of VME encounter	Preliminary Self- Assessment
Indicate measures to implement requirements of paragraph 13: Indicate measures here.	Choose an item.
\Box Measures have not been updated since the last compliance assessment.	
□ No measures established	
□ Not applicable	
Have evidence of VME encounters reported in National Reports to the	Choose an item.
Scientific Committee, following guidelines established in Annex 2	
□ Yes	
□No	
□ Not applicable	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Provision of data by, and interim bottom fishing measures for, new CCPs

Paragraph 27 Not Applicable

Para 27: Requirements related to States or fishing entities that became CCP after the Meeting of Parties in 2017	Preliminary Self- Assessment
□ Meeting of the Parties has been advised of measures the CCP intends to take under paragraph s 10 (1) a i., ii., iii., and iv.	Choose an item.
□ Meeting of Parties the has not been advised of measures the CCP intends to take pursuant to paragraphs 10 (1) a i., ii., iii., and iv.	
□ Data and BFIA submitted to SC as <i>required</i> by paragraphs 20 and 21, respectively.	Choose an item.
\Box Data (bottom fishing effort and VMEs) and BFIA not submitted to SC	

Scientific observer coverage

Para 39 & 45 (b): Scientific Observer Coverage	Preliminary Self- Assessment
Indicate observer level (in percentage) for: Vessel(s) using trawl gears: Click or tap here to enter text. Vessel(s) using any other fishing gears: Click or tap here to enter text.	Choose an item.
Vessel(s) that operated in Interim Protected Areas (IPA) on Annex 3: Click or tap here to enter text.	Choose an item.

Interim Protected Areas (IPA)

Para 45 (a): Prohibition of bottom fishing, excluding line and trap methods in IPA designated in Annex 3	Preliminary Self- Assessment
Did you prohibit bottom fishing (excluding line and trap methods) by vessels flying your flag in the IPAs listed in Annex 3 of this CMM?	Choose an item.
□ Yes	
□ Not applicable	
If yes, please indicate how the prohibition is being provisionally applied Indicate measures here:	
□ Measures have not been updated since the last compliance assessment.	





Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Implementation of CMM 02 (2023) (Data Standards)

Application

Have any vessels flying your flag been engaged in fishing ¹ in the Agreement Area during the assessment period?	
YES NO	
NO	\Box If no, please skip paragraphs 4 to 8 and move directly to subsequent questions.

Vessel Catch and Effort Data

Para 4 & 5: Collection of Data	Preliminary Self- Assessment
Indicate measures established and applied to ensure that data on fishing activities, including for target, non-target and associated and dependent species such as marine mammals, marine reptiles, seabirds or 'other species of concern', are collected in accordance with the relevant sections of Annex A of this CMM <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures established Not applicable 	
Indicate measures established and applied to ensure that vessels collect catch and effort on a haul-by-haul basis, with the exception of hand line fishing where data shall be collected on an operational basis Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures established Not applicable 	

Para 6,7 and 19: Data Submission	
Did you submit all data on fishing activities, and catch and effort data by the 31 st May, in accordance with formats prescribed in corresponding annexes	Choose an item.
\Box Yes	
□ No	
Did you submit by the 31 st May your annual catch summary for all species/	Choose an item.
groups caught during the previous calendar year	
□ Yes	
□ No	

¹ As defined by Article 1(g) of the Agreement.



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Did you submit all data in accordance with the formats described in this	Choose an item.
CMM, including its annexes.	
🗆 Yes	
□ No	

Para 8: On-board FAO identification guide to the deep-sea cartilaginous fishes of the IO	Preliminary Self- Assessment
 For vessels that engaged in, or intended to engage in, fishing pursuant to CMM 01 (2023), are these vessels required to carry the FAO Identification guide to the deep—sea cartilaginous fishes of the Indian Ocean? Yes No Not applicable 	Choose an item.

National Report

Para 9, 14, and 18 (c): Annual National Report of fishing research and management activity to SC	Preliminary Self- Assessment
Report Submitted	Choose an item.
\Box Report included annual observer program implementation report. ²	
Report included annual data verification report. ³	
\square No report submitted to the Scientific Committee	

Scientific Observer Data

Para 12 & 15: Scientific Observer Program	Preliminary Self- Assessment
Was there a Scientific Observer Program Implemented, during the assessment period? Yes No Not Applicable	Choose an item.
If yes, were the data collected in accordance with relevant sections of Annex B Yes No Not Applicable	Choose an item.

² For CCPs with vessels on the RAV.

³ For CCPs with vessels on the RAV, irrespective of fishing activity during assessment period.



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Data Verification

Para 18: Data verification mechanism	Preliminary Self- Assessment
Do you have a data verification mechanism in place?	Choose an item.
If yes, indicate the types of data verification mechanisms in place (<i>Tick as appropriate</i>)	
\Box Position verification through VMS	
Independent Monitoring (scientific observer and/ or approved EMS program)	
Vessel trip, landing, and transhipment reports	
 Port Sampling Others (Please elaborate): 	
□ No data verification mechanism in place	
□ Not applicable	

Implementation of CMM 04 (2016) (Vessels Without Nationality)

Para 5: Report of sightings of fishing vessel(s) without nationality	Preliminary Self-
	Assessment
Did vessel(s) flying your flag report sightings of fishing vessels suspected or confirmed to be to be without nationality that may be fishing in the Agreement	Choose an item.
Area?	
□ Yes	
□ No sightings reported by vessels (Obligation Not Applicable)	
If yes, were these reports sent to the Secretariat	
☐ Yes. Number of reports submitted: Click or tap here to enter text.	
No reports sent to Secretariat	

Implementation of CMM 05 (2016) (Pelagic Driftnets and Deepwater Gillnets)

Para 1: Prohibition of largescale pelagic driftnets in Agreement Area	Preliminary Self- Assessment
Are vessels flying your flag prohibited from using large-scale driftnets in the Agreement Area:	Choose an item.
□ Yes	
□ No	
If yes Indicate measures in place to prohibit the use of large-scale pelagic	
driftnets by vessels flying your flag in the Agreement Area: Indicate measures here	
\Box Measures have not been updated since the last compliance assessment.	



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Accord relatif aux Pêches dans le Sud de l'Océan Indien

Implementation of CMM 06 (2022) (IUU Vessels List)

Transmission of information to establish the Draft IUU Vessel List

Para 2 & 3: Transmission of Information on vessel presumed to have	Preliminary Self-
engaged in IUU fishing in the Agreement Area	Assessment
Did you have any information on vessels presumed to have engaged in IUU	Choose an item.
fishing?	
□ Yes	
\Box No (Not Applicable)	
If yes, please indicate if:	
Report (s) were submitted to the Secretariat	
□ Yes	
□ No	
Did you provide a copy of this information to the flag state prior to, or at the	Choose an item.
same time as, reporting it to the Secretariat?	
□ Yes	
□ No	
🗆 Not Applicable	

IUU Vessel List

Para 19: Measures to Prevent, Deter and Eliminate IUU Fishing	Preliminary Self- Assessment
Please indicate measures taken under your national legislation to:	
eliminate IUU activities, including if necessary, the withdrawal of the fishing licences, authorisations or permits granted to vessels included in the IUU Vessel List, and the refusal of the fishing licences, authorisations or permits to such vessels: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures established 	
 ensure that vessel(s) flying your flag do not participate in any transshipment or other operations such as joint-fishing, transfers at sea of fuel, crew, gear or any other supplies either as an unloading or receiving vessel, with vessels included in the IUU Vessel List: <i>Indicate measures here</i> <i>Measures have not been updated since the last compliance assessment.</i> 	Choose an item.
\Box No measures established	
ensure that vessels on the IUU Vessel List are denied access to ports unless for the purpose of inspecting them and taking other appropriate actions in conformity with international law which are at least as effective as denial of port entry in preventing, deterring and eliminating IUU fishing: Indicate measures here	Choose an item.
□ Measures have not been updated since the last compliance assessment. □ No measures established	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

give priority to the inspection of vessels on the IUU Vessel List, if such vessels are found in your ports: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures established 	
prohibit the chartering of vessels on the IUU Vessel List: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures established 	
refuse to grant your flag to vessels on the IUU Vessel List: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures established 	
prohibit commercial transactions, such as imports, exports or re-exports, landings and transshipments of fisheries resources covered by the Agreement, as well as other operations involving such fisheries resources, from vessels on the IUU Vessel List: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures established 	
prohibit change of crew on board of vessels on the IUU Vessel List: Indicate measures here	Choose an item.
 □ Measures have not been updated since the last compliance assessment. □ No measures established 	
collect and promptly exchange with other Contracting Parties, CNCPs and PFEs, any appropriate relevant information on vessels included in the IUU Vessel List: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures established 	

Designation of National Contact Points

Para 31: Designation of National Contact Points	Preliminary Self-
	Assessment
Have you designated National contact points for the purposes of Paragraph	Choose an item.
31 of this CMM?	
□ Yes	
□ No	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Implementation of CMM 07 (2022) (Vessel Authorization)

Para 1: Vessel Authorization	Preliminary Self- Assessment
Did any vessel(s) flying your flag not included on the SIOFA Record of Authorized Vessels (RAV) fish, retain onboard, tranship, or land fishery	Choose an item.
resources in the Agreement Area?	
☐ Yes If yes, please elaborate as necessary (Including name, date and time, species by quantity, and any other relevant information):	
□ No	

Para 4: Notification of Modification regarding Vessel Data	Preliminary Self-
	Assessment
Were there any modifications to data of vessels flying your flag authorised to	Choose an item.
fish in the agreement Area?	
Yes I If yes, was the secretariat informed within 15 days of such modification.	
Yes 🗆	
No: 🗆	
No modifications to vessel data (Not Applicable)	

Para 6 (b-d): Vessel authorisations to operate in the Agreement Area	Preliminary Self- Assessment
Please indicate measures to ensure that vessel(s) flying your flag:	
comply with all relevant SIOFA CMMs: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures established Not applicable 	
that are on the SIOFA RAV keep onboard valid certificates of vessel registration and valid authorisation to fish and/or to undertake fishing-related activities: <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures established Not applicable 	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

that are on the SIOFA RAV Vessel(s) have no history of IUU fishing, or, if those vessel(s) have such history, the new owners have provided sufficient evidence demonstrating that the previous owners and operators have no legal, beneficial or financial interest in or control over those vessel(s), or that have taken into account all relevant facts, your vessel(s) are not engaged in or associated with IUU fishing: <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures established Not applicable 	

Para7: Vessel Authorization	Preliminary Self- Assessment
Indicate measures under your national legislation to prohibit fishing and fishing-related activities on fishery resources covered by the Agreement by vessels not registered in the SIOFA Record of Authorized Vessels: <i>Indicate measures here</i>	Choose an item.
 □ Measures have not been updated since the last compliance assessment. □ No measures in place under applicable legislation 	

Para 8: Notice to Secretariat of Fishing Activity by unregistered Vessel	Preliminary Self- Assessment
Did you have evidence showing that there were reasonable grounds for suspecting vessel(s) <i>not</i> registered on the SIOFA RAV had operated in the Agreement Area Yes No (Not Applicable) If yes, has the Secretariat been notified as required by this paragraph Yes In Yes	Choose an item.
L No	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Implementation of CMM 08 (2020) (Port Inspection)

Application

Para 31: Are you a coastal state and a port state with areas of national jurisdiction adjacent to the Agreement Area?Yes. Please fill this section of the CCR template as applicable

□ **No.** *Please skip to Paragraph 26*

Designation of ports

Para 2: Designation of ports	Preliminary Self-
	•
	Assessment
Have you designated, publicized, and notified of the Ports where foreign vessel(s) may request entry :	Choose an item.
□ Yes	
Were there changes to the designated ports during the assessment period?	Choose an item.
□ Yes	
If yes, was the Secretariat notified <i>at least</i> 30 days before the changes took	
effect:	
□ Yes	

Para 5: Notification Period	Preliminary Self-
	Assessment
Indicate measures in place to ensure foreign vessel(s) calling into your ports	Choose an item.
submit information specified in Annex I of this CMM (at minimum) at least	
48 hours before the estimated time of arrival. Indicate measures here	
□ Measures have not been updated since the last compliance assessment.	
□ No measures in place	
If you have a notification period other than 48hrs, have the secretariat been	Choose an item.
informed without delay:	
🗆 Yes	
□ No	
□ No alternate notification period (Obligation Not Applicable)	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

 Have there been any changes in the notification period prior to the estimated time of arrival? Yes No (Obligation Not Applicable) 	Choose an item.
If yes, have the secretariat been notified of these changes <i>at least</i> 30 days before the changes took effect: Yes. No	

Port entry, authorization or denial of foreign vessels / Use of ports by foreign vessels

Paras 8, 9, 12 & 14: Denial of entry and/or use of port	Preliminary Self- Assessment
 Have any foreign vessels engaged in IUU fishing sought to enter one of your designated ports during the assessment period? Yes No (Not Applicable) 	Choose an item.
If yes, were these vessels denied entry into the designated port(s) Yes No 	
Were the flag state (s) and Secretariat notified of the decision(s) to deny port entry to these vessels Yes No Not Applicable	
Where vessel(s) engaged in IUU fishing were allowed to enter your ports for any reason, did you deny the use of port(s) for landing, transhipment, packaging and processing of fisheries resources and other port services by these vessels? Yes No No Not Applicable	Choose an item.
Were the flag state and the Secretariat notified of decisions to deny the use of port Yes No Not Applicable	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Inspections

Paras 17, 19,21, 22, 23, 24 & 25: Inspections	Preliminary Self- Assessment
Are all Port Inspectors duly authorized, trained and familiar with the Agreement and all of its relevant CMMs Yes No	Choose an item.
Were all inspections carried out, at a minimum, following the procedures set out in Annex III Yes No	Choose an item.
Were inspections carried out following international law, conducted within 72hrs of port entry, and carried out in an expeditious fashion Yes No	Choose an item.
 Dissostichus ssp Did you carry out inspections on all fishing vessel(s) carrying or landing Dissotichus spp, that entered your port(s) carrying or landing Dissostichus ssp Yes No 	Choose an item.
 No vessels carrying or landing <i>Dissostichus ssp.</i> called into your ports(s) (Obligation Not Applicable) If yes please indicate: 	
Number of vessels carrying or landing <i>Dissostichus ssp</i> that called into your port: Click or tap here to enter text. Number of vessel(s) carrying or landing <i>Dissostichus ssp.</i> inspected: Click or tap here to enter text.	
 Did you receive requests from another CCP, RFMO or CCAMLR pursuant to paragraph 23 (a) of this CMM Yes If yes, please indicate Number of requests received: Click or tap here to enter text. Number of vessels inspected pursuant to such requests: Click or tap here to enter text. No requests received from another CCP, RFMO or CCAMLR pursuant to paragraph 23 (a) (Obligation Not Applicable) 	Choose an item.



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

 Did any vessel fail to provide the information required by paragraph 5 □ Yes <i>If yes, please indicate</i> Number of such vessel(s): Click or tap here to enter text. Number of such vessel(s) inspected: Click or tap here to enter text. □ No vessel(s) failed to provide the information required in paragraph 5 (Obligation Not Applicable) 	Choose an item.
Did all inspection reports contain, at least, the information set out in Annex IV: Yes No No SIOFA vessels called into designated ports (obligation not applicable)	Choose an item.
 Where compliance issues warranted the attention of the competent authority, were these inspection reports forwarded to the competent authority and the Secretariat <i>within</i> 30 days following completion of such inspection or as soon as possible Yes No Not Applicable 	Choose an item.
If any reports could not be submitted within the specified time period, was the Secretariat within that same time period informed of the potential delay, including reasons for such, and when the report will be submitted: Yes If yes, indicate the number of reports submitted after the specified time period: Click or tap here to enter text. No All reports were submitted within the specified time period (Obligation Not Applicable)	

Role of Contracting Parties, CNCPs and PFEs in relation to vessel(s) flying their flags

Para 26: Flag CCPs obligations	Preliminary Self- Assessment
Indicate measures to ensure vessel(s) flying your flag cooperate with port state inspections carried out pursuant to this CMM: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Para 27 - 30: Flag state obligations	Preliminary Self-
	Assessment
Have there been any grounds to suspect any vessel(s) flying your flag have engaged in IUU fishing? Yes No	Choose an item.
If yes, please indicate if you requested a CCP where the vessel sought entry or was in the port, to carry out inspection (s) on such vessel(s) or to take other adequate measures. Yes No	
Obligation Not Applicable	
 Following such inspections, and where there were clear grounds that a vessel flying your flag has engaged in IUU fishing, was the matter fully investigated Yes If yes please elaborate on enforcement actions taken against such vessel: No 	Choose an item.
No vessels found to have conducted IUU fishing after such inspections (Obligation Not Applicable, skip to next CMM)	
Was the secretariat duly informed on any action taken against such vessel(s) Yes No 	Choose an item.
Did you provide a report to the Compliance Committee of actions taken under paragraph 27 of this CMM? Yes No	Choose an item.



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Accord relatif aux Pêches dans le Sud de l'Océan Indien

Implementation of CMM 09 (2022) (Control)

Co-operation and Contact Points

Para 2: Designation of Contact Points	Preliminary Self- Assessment
Have you designated the competent authority(ies) that shall act as the Contact Point for the purpose of receiving reports and notifications and issuing authorizations in accordance with the relevant SIOFA CMM, 30 days after the adoption of this CMM Yes No Have you notified the Secretariat of any subsequent changes to the list, without delay? Yes No No changes to the list (Obligation Not Applicable)	Choose an item.

Vessel Requirements

Para 3: Onboard Documents	Preliminary Self- Assessment
Indicate measures to ensure that vessel(s) flying your flag: Carry onboard the current valid documents issued by its competent authority that are contained in paragraph 6(c) of CMM 07 (2022): Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	
Carry onboard valid documents issued by its competent authority containing the up-to-date information listed in paragraph 2 of CMM 07 (2022) of vessel registration and valid authorisation to fish and/or undertake fishing-related activities and keeps onboard valid documents issued by their competent authority containing up-to-date information listed in paragraph of CMM 07: <i>Indicate measures here</i>	
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	
If no such measures are in place, have you agreed for the Secretariat to make this information available upon request for the purposes of Control Yes No	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Para 4: Vessel markings	Preliminary Self- Assessment
 Are vessel(s) flying your flag and authorized to operate in the Agreement Area marked in such a way that they can be easily identified? Yes No Not applicable 	Choose an item.
If yes, indicate measures to ensure that vessel(s) flying your flag and authorized to operate in the Agreement Area are marked in such a way that they can be easily identified: Indicate measures here	
□ Measures have not been updated since the last compliance assessment.	

Marking of fixed gear

Para 5 & 6: Marking of Gears	Preliminary Self-
And all fixed accurately have a solution for a second and in accordance	Assessment
Are all fixed gears used by vessel(s) flying your flag marked in accordance	Choose an item.
with paragraph 5 of this CMM	
∐Yes	
□Not Applicable	
Indicate measures to ensure that fixed gears used by vessel(s) flying your	
flag are marked in accordance with paragraph 5 of this CMM: Indicate	
measures here	
□ Measures have not been updated since the last compliance assessment.	
Has the secretariat been notified of the information regarding the marking	Choose an item.
of fixed gear?	
□Yes	
□No	
□Not Applicable	

Retrieval of abandoned, lost or otherwise discarded fishing gear

Para 7: Retrieval of abandoned, lost or otherwise discarded fishing gear	Preliminary Self- Assessment
Number of abandoned/lost gears by vessel(s) flying your flag: Click or tap here to enter text. Number of ALDFG retrieved by vessel(s) flying your flag: Click or tap here to enter text.	
Did vessel (s) flying your flag abandon lost gears only after having made every reasonable attempt to retrieve it as soon as possible Yes No Not Applicable	Choose an item.



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Except for safety reasons, notably vessels in distress and/or life in danger, did vessel(s) flying your flag deliberately abandon fishing gears Yes No Not Applicable	Choose an item.
Did vessels flying your flag promptly notify their competent authority when gears could not be retrieved(with the information specified in paragraph 7 d) Yes No Not Applicable	Choose an item.
Did vessels flying your flag promptly notify their competent authority following retrieval of any ALDFG (with the information specified in paragraph 7 e) Yes No Not Applicable	Choose an item.
Was the Secretariat promptly notified of information referred to in sub- paragraphs (d) and (e). Yes Obligation not applicable	Choose an item.

Discard of Plastics

Para 8 & 9: Discard of plastics ⁴	Preliminary Self- Assessment
Indicate measures in place to ensure that vessel(s) flying your flag are required to store onboard all plastics, until they can be discarded at adequate port facilities, in accordance with paragraphs 8 and 9 of this CMM: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not Applicable 	

Labelling of frozen products of fishery resources

Para 10: Labelling of frozen products of Fishery resources	Preliminary Self-
	Assessment
Indicate measures in place to ensure that vessel(s) fishing for fishery	Choose an item.
resources comply with the requirements of paragraph 10 of this CMM:	
Indicate measures here	
□ Measures have not been updated since the last compliance assessment.	

⁴ Applicable to all flag CCPs



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

□No measures in place

□Not Applicable

Scientific Observer Programme

Para 11: Qualification and Authorization of Scientific Observers	Preliminary Self- Assessment
Are scientific observers qualified and authorized to perform their tasks and record any requested data Yes 	Choose an item.
 No Not applicable 	
If yes please elaborate on how this is implemented: Indicate measures here:	
\square Measures have not been updated since the last compliance assessment.	

Sightings and identifications of non-Contracting Party, non-CNCP and non-PFE vessel(s)

Para 12: Vessel Sightings	Preliminary Self- Assessment
Are vessel(s) required to report any presumed fishing as defined in the Agreement, including transhipment, by vessel(s) flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement in the Agreement Area:	Choose an item.
 ☐ Yes ☐ No ☐ Not applicable 	
If yes, please indicate measures established to this end: Indicate measures here:	
\Box Measures have not been updated since the last compliance assessment.	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Para 13: Reports of fishing, including transhipments by vessel not flying the flag of a CCP	Preliminary Self- Assessment
 Were there any reported sightings of fishing, including transhipments by vessel not flying the flag of a CCP Yes No (Obligation Not Applicable) 	Choose an item.
If yes, were these reports submitted to the Secretariat as soon as practical Yes No	
 Please indicate: Number of sightings reported by vessel(s) flying your flag: Click or tap here to enter text. Number of such sightings reported to the Secretariat: Click or tap here to enter text. 	

Implementation of CMM 10 (2023) (Monitoring)

Information on fishing activities

Para 2 & 3: Fishing logbook	Preliminary Self- Assessment
Are vessel(s) required to maintain a bound fishing logbook or an electronic fishing logbook containing the information relevant for compliance with the data collection submission requirements of CMM 02 with consecutively numbered page Yes No requirements for maintaining a logbook (bound or electronic) Not applicable	Choose an item.
If yes, please indicate measures established to this end: Indicate measures here:	
Indicate measures in place to ensure:	Choose an item.
 Submission of logbook data within 30 days of the completion of fishing trip: 	choose an item.
 Logbook data are submitted in accordance with CMM 02 and maintained in accordance with CMM 03, and: 	
 The quantities of catch recorded on vessel(s) (flying your flag) corresponds to the quantities of catch kept onboard Indicate measures here 	
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Para 4: Obligation to cooperate	Preliminary Self- Assessment
 Did you receive any request from a CCP for information contained in fishing logbooks from the preceding 12 months Yes No (Obligation Not Applicable) 	Choose an item.
If yes, did you cooperate with such requests Yes No	

Vessel Monitoring System

Paras 5-7, 9-11: Requirements for vessel(s) to be fitted with an automatic location communicator (ALC)	Preliminary Self- Assessment
Indicate measures to ensure that vessel(s) flying your flag that are operating in the Agreement Area are fitted with an operational ALC, and reporting back to its competent authority: <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	
Indicate measures in place to ensure that ALC units on vessel(s) flying your flag remain operational at all times while in the agreement area: <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	
Have systems been developed, implemented, and improved to maintain a record of all vessel positions reported through VMS and logbooks? Yes If yes, please provide information on how this is implemented:	Choose an item.
□No	





Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

	-
 Are VMS position reports transmitted at least once every two (2) hours from each fishing vessel flying your flag and included in the SIOFA RAV while operating in the Agreement Area Yes No 	Choose an item.
Are vessel(s) position reports transmitted in accordance with the standard established in paragraph 9 of this CMM? Yes No	
Indicate measures in place to ensure that vessel(s) do not enter the Agreement Area and commence operations with a defective ALC: Indicate measures here	
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	
Indicate measures in place to ensure that vessel(s) follow procedures established by paragraph 10, in the event of a technical failure or non- operation of the ALC fitted onboard a vessel: <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	
Indicate measures in place to ensure that the ALCs fitted onboard vessel(s) flying your flag are tamper resistant, i.e., located within a sealed unit and be protected by official seals (or mechanisms) of a type that will indicate whether the unit has been accessed or tampered with: <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Entry / Exit Reports

Para 15: Entry / Exit Notifications	Preliminary Self- Assessment
Indicate measures in place to ensure that the secretariat is notified of each	Choose an item.
entry into and each exit from the Agreement Area by vessel(s) flying your	
flag, authorized to fish for species managed by SIOFA, in accordance with	
CMM 07:	
Indicate measures here	
□ Measures have not been updated since the last compliance assessment.	
□ No measures in place	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

 \Box Not applicable

Interim Measures for at sea transhipments and transfers

Para 17: Transhipment of Fishery resources subject to flag CCPs authorization.	Preliminary Self- Assessment
Indicate measures in place to ensure vessel(s) flying your flag conduct transhipments at sea of fishery resources <i>only</i> after having received prior authorization from their competent authority <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Para 18: Prohibition of transhipment of SIOFA Fishery resources with vessels not included on the SIOFA RAV.	Preliminary Self- Assessment
Indicate measures in place to ensure vessel(s) flying your flag conduct transhipments at sea of fishery resources with other vessel(s) on the SIOFA RAV: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Para 19: Prohibition of transhipment of SIOFA Fishery resources with vessels included in the IUU vessels list of an RFMO or CCAMLR.	Preliminary Self- Assessment
Indicate measures in place to prohibit vessel(s) flying your flag from conducting transhipments at sea of fishery resources with any vessels included in the IUU vessels list established by an RFMO or CCAMLR: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Para 20: Procedures for undertaking Transhipment at sea	Preliminary Self- Assessment
Indicate measures in place to ensure vessel(s) flying your flag conducted transhipments following procedures established in paragraph 20 of CMM 10: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Para 21: Procedures for undertaking Transfers at sea	Preliminary Self- Assessment
Indicate measures in place to ensure vessel(s) flying your flag conducted any at-sea transfers following procedures established in paragraph 21 a-d: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Para 23: Information verification process	Preliminary Self- Assessment
Indicate measures in place to ensure that all information received in accordance with paragraphs 20 and 21 are verified for accuracy Indicate measures here+	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Para 24: Receipt of fishery resources from more than one unloading vessel	Preliminary Self- Assessment
Indicate measures in place to ensure that vessel(s) flying your flag receiving fishery resources at sea:	
stores the fishery resources and related documentation from each unloading vessel separately, allowing to distinguish from which unloading vessel each part of the fishery resources on board comes, including through the use of canvas or nets to separate fishery resources on board and/or appropriate labelling for boxes: <i>Indicate measures here</i>	Choose an item.
 <i>Measures have not been updated since the last compliance assessment.</i> No measures in place Not applicable 	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

maintains an up-to-date stowage plan and other documents showing the location and quantities of species received from each unloading vessel and retains these on board until the vessel has been unloaded completely: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	
makes available the information referred to in sub-paragraph b. to its competent authority and to the relevant port State when required: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Monitoring of transhipments in ports

Para 26 – 28, 30: Procedures for undertaking transhipment in port Indicate measures in place to ensure vessel(s) flying your flag and carrying SIOFA fishery resources conducted transhipments in port in accordance with the following requirements:	Preliminary Self- Assessment
Ensuring that vessels tranship in a port only if it has prior authorisation from its competent authority and the port State: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	
Prohibition to undertake transshipments in port of fishery resources with vessels included in the IUU vessel lists established by CCAMLR or any regional fisheries management organization <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	
Submission of notification, at least 24hrs prior to transhipment information required by paragraph 28, to the port state and the competent authority of the receiving vessel: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	
Submission of transhipment declaration (Annex IV) to the competent authority of the flag state, the port state and the receiving vessel within 24hrs of the transhipment: <i>Indicate measures here</i>	Choose an item.



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

□ Measures have not been updated since the last compliance assessment.	
\Box No measures in place	
🗆 Not applicable	

Para 32: Information verification process	Preliminary Self- Assessment
Indicate measures in place to verify the accuracy of information received in accordance with paragraphs 28 -31: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Para 33: Report on transhipments and at sea transfers	Preliminary Self- Assessment
Did you complete and submit the information required under paragraph 33 (a) – (e), as applicable?	Choose an item.
□ Yes □ No	
□ No vessel transhipped SIOFA fishery resources at sea and / or conducted at sea transfer	

Implementation of CMM 11 (2020) (Compliance Monitoring Scheme)

Para 12: Completion and Submission of this CCR Template	Preliminary Self- Assessment
Did you complete and submit your CCP Compliance Report (CCR) before the deadline, i.e. no later than 60 days before the commencement of the Meeting of Parties?	Choose an item.
□ Yes □ No	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Implementation of CMM 12 (2023) (Sharks)

Did vessel(s) flying your flag engage in fishing operations in the SIOFA Agreement Area \Box Yes

 \Box No Please skip to the next CMM if vessel(s) flying your flag has not engage in fishing operations during the assessment period

Para 2: Prohibition on the targeting of sharks	Preliminary Self- Assessment
Indicate measures to prohibit the targeting of any deep-sea shark species listed in Annex 1 of this CMM, by vessel(s) flying your flag: <i>Indicate measures</i> <i>here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Para 5: Reporting of Portuguese dogfish catch in subarea 2	Preliminary Self- Assessment
Did your vessel catch Portuguese dogfish in Subarea 2?	Choose an item.
□ No (Obligations Not Applicable)	
If yes, were catch reports submitted monthly or weekly as applicable, to the Secretariat? Yes No	
Were the submitted reports submitted within the stipulated timelines, i.e. by the twentieth day of the following month for monthly reports and/or by close of business Wednesday for the preceding seven days for weekly reports	Choose an item.

Para 7: Reporting requirements related to the catching of deep-sea shark species	Preliminary Self- Assessment
Indicate measure to ensure vessel(s) flying your flag records and submits all reporting requirements, as per CMM 02, for all deep-sea shark species to the lowest taxonomical level possible when engaged in fishing for fishery resources: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	



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Implementation of CMM 13 (2022) (Mitigation of Seabird Bycatch)

Application and geographic scope

Para 1: Did vessel (s) flying your flag engage in fishing operations in the SIOFA agreement Area for Fishery Resources, as defined in Article 1(f) of the Agreement, south of 25°S.

🗆 Yes

□ **No** *CMM* not applicable. Please skip to the next CMM, if not applicable. No submissions are required in the Compliance Report Section for this CMM.

General provisions for demersal and pelagic longliners and other demersal fishing gears

Para 2: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self- Assessment
Indicate national binding measures to ensure that vessel(s) flying your flag	Choose an item.
using <i>demersal or pelagic longlines or other demersal fishing gears</i> apply all	
the mitigation measures provided in paragraph 2: Indicate measures here	
□ Measures have not been updated since the last compliance assessment.	
□ No measures in place	
□ Not applicable	

Provisions applying to demersal longliners

Para 3 & 4: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self- Assessment
Indicate national binding measures to ensure that <i>demersal longliners</i> flying your flag, apply all the mitigations measures provided in paragraph 3 while operating south of 25°S: <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	
Indicate national binding measures to ensure that <i>demersal longliners of</i> <i>less than 25m</i> apply <i>at least one</i> of the mitigations measures prescribed in paragraph 4 while operating south of 25°S: <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Provisions applying to other demersal fishing gears

Para 5: Application of mitigation measures to reduce incidents of seabird bycatch	Preliminary Self- Assessment
Indicate national binding measures to ensure that vessel(s) flying your flag using demersal pots or traps ensures the cleanliness of the traps and pots so as not to attract birds, and ensures that buoy lines are not left floating at the surface, while operating south of 25°S: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Provisions applying to pelagic longliners

Para 6: Application of mitigation measures to reduce incidents of seabird	Preliminary Self- Assessment
bycatch	Assessment
Indicate measures to ensure that <i>pelagic longliners</i> flying your flag use at least two of the three mitigation measures outlined in Annex 3: <i>Indicate</i>	Choose an item.
measures here	
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	



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Implementation of CMM 14 (2021) (High Seas Boarding and Inspection Procedures)

General Provisions

Paras 6: General Provisions	Preliminary Self- Assessment
Are masters of fishing vessel(s) flying your flag required to accept and facilitate boarding and inspection pursuant to this measure	Choose an item.
□ No □ Not applicable	
If yes, indicate measures in place to this end:	

Paras 7 – 9: General Provisions	Preliminary Self- Assessment
Were boardings between a contracting party and a participating fishing entity conducted after a notification was sent to the Meeting of the Parties, at least 90 days prior to the boarding and inspection Yes No Not Applicable	Choose an item.
 Are vessel(s) flying your flag provided a copy or a translation of this measure Yes No Not applicable 	Choose an item.
Are Authorized Inspectors required to comply with this measure in the conduct of any boarding and inspection activities undertaken under these procedures Yes No No Not applicable	Choose an item.



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Notification requirements

Para 10: Designation of contact points	Preliminary Self- Assessment
 Have contact points been designated for the purposes of receiving and sending notifications and reports to and from authorities of the fishing vessel(s) Yes No Not Applicable Have contact points been designated for the purposes of receiving and sending notifications and reports to and from the authorities of the inspecting vessel(s) Yes No 	Choose an item.
□ Not Applicable	
Were there any changes in the designated contact points? Yes No 	Choose an item.
If yes, was the Secretariat notified of these changes?	

Participation

Para 12 & 13: Notification of intention to carry out boarding and inspectors	Preliminary Self- Assessment
Did you notify the Secretariat of your intention to carry out boarding	Choose an item.
pursuant to this measure	
🗆 Yes	
□ No	
Not Applicable	
If yes, please indicate if you provided all relevant information with respect	
to:	
Each Authorized Inspection Vessel, as required by paragraph 12.a. ⁵	
🗆 Yes	
🗆 No	
Each Authorized Inspectors, as required by paragraph 12.b. ⁶	

⁵ Details of vessel, (name, description, photograph, registration number, port of registry (and, if different from the port of registry, port marked on the vessel hull), international radio call sign), except where not applicable for military vessels and notification that the inspection vessel is clearly marked and identifiable as being on government service and by clearly flying a SIOFA inspection flag.

⁶ i. the name(s) of the authorities responsible for boarding and inspection;



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

□ Yes □ No	
 Were there any changes to information provided pursuant to Paragraph 12 Yes No Not Applicable 	Choose an item.
If yes, was the Secretariat informed of these changes Yes No	

Para 15: Boardings by military vessel(s)	Preliminary Self- Assessment
 Did you carry out boarding and inspections using military vessel(s) Yes No (Obligation Not Applicable) If yes, indicate if Boardings were carried out by inspectors fully trained in fisheries enforcement procedures and duly authorised for this purpose by national laws. Boardings conformed to the procedures contained within this measure. 	Choose an item.

Cooperative activities

Para 18: Bilateral or Multilateral Arrangements	Preliminary Self- Assessment
Did you notify the Secretariat of any bilateral or multilateral arrangements referred to in paragraph 16 of this CMM? Yes No	Choose an item.
No bilateral or multilateral arrangements in effect (Obligation Not Applicable)	

ii. an example of the credentials issued to its Authorised Inspectors;

iii. notification that such Authorised Inspectors are fully familiar with the species and fishing activities to be inspected and the relevant provisions of the Agreement and CMMs in force;

iv. notification that the Authorised Inspectors have received and completed training to safely carry out boarding and inspection activities at sea. Such training should include instruction on overcoming communication barriers and on de-escalation techniques; and

v. notification that any Authorised Inspectors carrying arms have received and completed appropriate levels of training on the use of those arms.



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Procedures

Para 19 – 26, 30-32: Boarding and Inspection procedures by Authorized	Preliminary Self-
Inspectors	Assessment
Indicate measures in place to ensure that Authorized Inspectors comply with	Choose an item.
boarding procedures established in paragraphs 19 – 26 and 30-32 of this	
CMM: Indicate measures here	
□ Measures have not been updated since the last compliance assessment.	
□ No measures in place	
\Box Not applicable	

Para 27 – 29: Responsibility of Master and Crew of the fishing vessel during boarding and Inspection	Preliminary Self- Assessment
Indicate measures in place to ensure that Master and Crew of the fishing vessel comply with boarding procedures established in paragraphs 27 – 29 of this CMM: <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Inspection Reports

Para 33: Reports of Authorized Inspectors	Preliminary Self- Assessment
 Did Authorized inspectors prepare a full report on each boarding and inspection they carried out under this measures, including any supporting information, using the data fields in the <i>Boarding and Inspection Reporting</i> <i>Form (Annex 1 of this CMM)</i> □Yes □No □Not Applicable 	Choose an item.





Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Were copies of all boarding and inspection reports (in electronic format)	Choose an item.
transmitted to the Authorities of the Fishing Vessel, and to the Executive	
Secretary, within three (3) working days of the completion of the boarding	
and inspection	
□Yes	
□No	
□Not Applicable	
In cases where the reports could not be submitted within the specified	
timeframe, were the concerned parties notified of such, while specifying the	
time period within which the report will be provided?	
□Yes	
□No	
□Not Applicable	
Did all reports referred in paragraph 33 of this CMM include the name(s) and	
authority of the Authorized inspector(s)	
□Yes	
□No	
Did all reports clearly identify any observed activity or conditions that the	
Authorized Inspectors believed to be a violation of the agreement of CMMs	
in force and indicated specific factual evidence relating to each alleged	
violation	
□Yes	
□No	
□ Not Applicable	

Serious violations

Para 35, 37, and 39: Responsibilities of the Authority(ies) of Inspection Vessel(s) in relation to serious violations	Preliminary Self- Assessment
Were there any serious violations observed by your Authorized Inspectors during the assessment period?	
Yes If yes, indicate number of serious violations observed: Click or tap here to enter text.	
\Box No (Obligations Not Applicable, please skip to next applicable obligation)	
Were the Authorities of the inspected vessel immediately notified, directly and through the Executive Secretary of the serious violation(s) observed? Yes No	Choose an item.
In cases where the Authorities of the Fishing Vessel have indicated they will investigate the alleged serious violation(s), were they provided with the specific evidence collected by the Authorized Inspectors, as soon as practicable? Yes No	Choose an item.



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In cases where the Authorities of the Inspection vessel have decided to	Choose an item.
undertake investigation(s) of alleged serious violations(s), were the specific	
evidence collected by the Authorised Inspectors, along with the results of	
any investigation, provided to the Authorities of the Fishing Vessel and the	
Executive Secretary immediately upon completion of the investigation and in	
any case no later than 6 (six) months after the date of the inspection?	
□Yes	
□No	

Para 36, 38 and 40 Responsibilities of the Authority(ies) of Fishing Vessel(s) in relations to serious violations	Preliminary Self- Assessment
Were there any serious violation(s) observed onboard vessel(s) flying your flag Inspectors during the assessment period? Yes No (Obligations Not Applicable, please proceed to paragraph 43)	
If yes, indicate number of serious violations observed: Click or tap here to enter	r text.
 Did you provide an initial response to the notification(s) of the alleged serious violation(s) without delay and in any case no later than three (3) working days, to the Authorities of the Inspection Vessel and the Executive Secretary? Yes No 	Choose an item.
In cases where you indicated that you would investigate the alleged serious violation(s), did you provide reports of the investigation to the Executive Secretary and the Authorities of the Inspection vessel within two (2) months of the notification in paragraph 36	Choose an item.
 Did you take any enforcement action against fishing vessel(s) found to have committed serious violations(s) Yes No Not Applicable 	
If yes, were the Authorities of the Inspection Vessel, as well as the Executive Secretary notified of any such enforcement action within six (6) months of the date of notification in paragraph 36 Yes No	
Did you receive any request from a CCP to investigate an alleged serious violation? Yes No (Obligation Not Applicable)	Choose an item.



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

If yes, did you provide a reply, including details of any action taken or proposed to be taken in relation to the alleged serious violation, to all Contracting Parties as soon as practicable and in any case within two (2) months of such a request. Yes No	
Upon completion of such investigations, did you provide a report on the outcome of the investigation to the Executive Secretary for circulation to all CCPs for consideration at the next Meeting of the Parties Yes No	

Enforcement

Para 43: Interference by a fishing vessel or its master or crew with an Authorised Inspector or an Authorised Inspection Vessel.	Preliminary Self- Assessment
Indicate measures in place to ensure that you can effectively respond to any interference by a fishing vessel flying your flag, or its master or crew, with an Authorised Inspector, or an Authorised Inspection Vessel: Indicate measures here	Choose an item.
 <i>Measures have not been updated since the last compliance assessment.</i> No measures in place Not applicable 	

Annual Reports

Para 44: Reports of action against vessel(s) that committed serious violations	Preliminary Self-
	Assessment
Did you report to the Meeting of the Parties through your implementation	Choose an item.
report required under Article 10(2) or under CMM 11 (Compliance	
Monitoring Scheme) on the boarding and inspections carried out by your	
Authorised Inspection Vessel(s), as well as upon possible violations	
observed.	
□ Yes	
□ No boarding and inspection conducted (Obligation Not Applicable)	

Para 45: Reports of Action against vessel(s) that committed serious violations	Preliminary Self- Assessment
If evidence warranted, indicate actions taken against such vessel(s) found to	Choose an item.
have committed serious violations: Indicate measures here	
□ No vessel found to have committed serious violations (Obligation Not	
Applicable)	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Provisions relating to non-CCPs

Para 46 - 48: Provisions relating to non-CCPs	Preliminary Self- Assessment
 Did your Authorized Inspection vessel(s) identify unidentified or unauthorized vessel(s) of non-CCPs that were fishing in the agreement Area? Yes No Not Applicable (<i>Please skip to next CMM</i>) If yes, indicate the number of vessel(s) identified: Click or tap here to enter text. Were these vessel(s) reported to the Executive Secretary Yes No 	Choose an item.
Did your Authorized Inspection Vessel attempt to inform any fishing vessel identified pursuant to paragraph 46 that it has been seen or identified as potentially engaging in fishing that undermines the effectiveness of the Agreement or CMMs. Yes No If yes was this information included in the report(s) sent to the Executive Secretary, as well as to the authorities of the relevant non-CCP	Choose an item.
 Yes No Were you allowed to board vessel(s) of non-CCP identified pursuant to paragraph 46 Yes 	Choose an item.
 No If yes, indicate the number of vessel(s) boarded and inspected: Click or tap here to enter text. Did you report findings of such inspections to the Executive Secretary Yes No 	



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Implementation of CMM 15 (2023) (Management of Demersal Stocks)

General provisions

Para 2: Have vessel(s) flying your flag engaged in bottom fishing in the agreement area during the assessment Period?
□Yes
□No (CMM Not Applicable. Please skip this CMM)

Para 12: Prohibition on fishing in depths less than 500m	Preliminary Self-
	Assessment
Indicate measures implemented and applied to prohibit fishing with	Choose an item.
demersal longlines in depths shallower than 500m: Indicate measures here	
□ Measures have not been updated since the last compliance assessment.	
□ No measures in place	
□ Not applicable	

Para 13: Requirement for mandatory observer coverage for vessel(s)	Preliminary Self-
participating in the <i>Dissostichus</i> spp. fishery. ⁷	Assessment
Indicate the level of:	Choose an item.
Observer coverage for all fishing activity: Click or tap here to enter text.	
Minimum of 25% of hooks haul per line observed over the duration of all fishing deployments Yes No 	

⁷ Applicable as of the 1st December 2023



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1. Management & research measures for toothfish in the Del Cano Area

Effort/catch and operational limits

□ No vessel fished for Dissostichus spp. in the Del Cano Rise area (*Please skip to 20 - 21, then proceed to paragraph 34*).

Para 16: Dissostichus spp.	Preliminary Self- Assessment
Please indicate: Your total quota for the season of 1 December 2023 to 30 November 2024 (after any transfers) for <i>Dissostichus</i> spp.: Click or tap here to enter text. Annual catch of <i>Dissostichus</i> spp. for the Fishing Season of December 2023 to November 2024: Click or tap here to enter text.	Choose an item.
Indicate measures to manage your available catch of <i>Dissostichus spp</i> .: Indicate measures here	
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Para 17: Monthly Dissostichus spp. catch report	Preliminary Self- Assessment
 Have all monthly reports of your <i>Dissostichus spp.</i> catches been sent to the Secretariat during the assessment period? Yes No 	Choose an item.

Para 18: Fishing Activity Reports	Preliminary Self-
	Assessment
Indicate measures to ensure that your fishing vessel(s) transmits their daily	Choose an item.
reports in accordance with paragraph 18: Indicate measures here	
□ Measures have not been updated since the last compliance assessment.	
🗆 No measures in place	
Not applicable	

Para 19: Effort Limitations	Preliminary Self-
	Assessment
Did fishing vessel(s) flying your flag, using longlines:	Choose an item.
Exceed 3000 hooks per line	
🗆 Yes	
🗆 Not applicable	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Set lines at minimum 3 nautical miles from each other	Choose an item.
□ Yes	
🗆 No	
□ Not applicable	

Bycatch Limits

Para 20 - 21: Bycatch Limits	Preliminary Self-
	Assessment
Did any vessel not targeting Dissostichus ssp. catch more than 0.5t of Disso	ostichus ssp during the
season	
🗆 Yes	
🗆 No (Not Applicable)	
If yes please indicate the number of vessel(s) that had bycatch of Dissostichus ssp. that exceeded the limit of 0.5t per season: Click or tap here to enter text.	
Were those vessel(s) prohibited from engaging in any further fishing in	Choose an item.
the Del Cano area for the rest of that season:	
□ Yes	

VMS polling

Para 25: VMS location report interval period	Preliminary Self- Assessment
Indicate measures in place to ensure that your flagged vessel(s) fishing for Dissostichus spp.in the Del Cano Rise area reports VMS data automatically to their FMC at least every hour when they are present in the Del Cano area: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Observers

Para 26: Requirement for mandatory observer coverage for vessel(s) participating in the <i>Dissostichus</i> spp. fishery. (Del Cano Rise area)	Preliminary Self- Assessment
Indicate level of: observer coverage for all fishing activity: Click or tap here to enter text. Did scientific observers onboard vessels observed 25% of hooks haul per line observed over the duration of the fishing deployment. Yes No	Choose an item.



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Tagging and release

Para 27: Tag and release	Preliminary Self- Assessment
Indicate measures to ensure that vessel(s) flying your flag tags and releases <i>Dissostichus</i> spp. specimens at a rate of at least 5 fish per tonne green weight caught, while applying a minimum overlap statistic of at least 60% for tag release, once 30 or more Dissostichus spp. have been caught: <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

2. Management & research measures for toothfish in Williams Ridge [FAO Subarea 57.4]

 \Box No vessel fished for *Dissostichus* spp. in Williams Ridge (*Please go to paragraphs 44 - 45, then the end of this CCR*)

Para 34: Total Annual Research Catch of *Dissostichus spp.*

Please indicate:

Annual research catch of *Dissostichus* spp. for the last fishing season of 1 December 2023 to 30 November 2024: Click or tap here to enter text.

Para 35: Daily catch report	Preliminary Self- Assessment
Indicate measures to ensure vessel(s) flying your flag reports all daily reports of their <i>Dissostichus</i> spp. to the secretariat, using Annex III for template, also informing the secretariat on start and end points of set long lines, using the template at Annex IV: <i>Indicate measures here</i>	Choose an item.
 <i>Measures have not been updated since the last compliance assessment.</i> No measures in place Not applicable 	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Para 37: Grid Cell Entry Notification requirements	Preliminary Self- Assessment
Indicate measures in place to ensure that vessel(s) flying your flag informs the secretariat as soon as they enter a grid cell to fish for <i>Dissostichus</i> ssp., or send an advanced notification prior to entering a grid cell for fishing, outside of secretariat business hours. <i>Indicate measures here</i>	Choose an item.
 <i>Measures have not been updated since the last compliance assessment.</i> No measures in place Not applicable 	

Para 38: Notification Requirements	Preliminary Self- Assessment
Indicate measures in place to ensure that vessel(s) flying your flag <i>does not</i> fish in a cell until the Secretariat confirms that two lines have not been set in a grid during that season and that the grid was not being fished by another vessel. Indicate measures here	Choose an item.
 <i>Measures have not been updated since the last compliance assessment.</i> No measures in place Not applicable 	
Please indicate number of vessel(s), <i>if any</i> , that have failed to comply with the above requirements of paragraph 38: Click or tap here to enter text.	

Para 39: Grid Cell Exit Notification Requirements	Preliminary Self- Assessment
Indicate measures in place to ensure that vessel(s) flying your flag informs the Secretariat as soon as they exit the grid cell as specified in paragraph. 39 while also informing the Secretariat of the number of lines, if any, they have set and/or hauled in that grid cell: Indicate measures here	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	
Please indicate number of vessel(s), if any, that have failed to comply with the above requirements of paragraph 39: Click or tap here to enter text.	



Southern Indian Ocean Fisheries Agreement Accord relatif aux Pêches dans le Sud de l'Océan Indien

Para 41: Limits on number of lines set per season, per grid cells	Preliminary Self- Assessment
Indicate measures in place to ensure that there are no more than two lines in total that are set per grid cell for the duration of a fishing season of <i>Dissostichus</i> ssp.: <i>Indicate measures here</i>	Choose an item.
 Measures have not been updated since the last compliance assessment. No measures in place Not applicable 	

Para 43: Other effort limits	Preliminary Self-
	Assessment
Indicate measures in place to ensure that vessel(s) flying your flag, and fishing	Choose an item.
for Dissostichus ssp. apply a break of a minimum of 30 days between	
consecutive fishing trips to William Ridge: Indicate measures here	
□ Measures have not been updated since the last compliance assessment.	
□ No measures in place	
Not applicable	

Bycatch Limits

Para 44 & 45: Bycatch Limits	Preliminary Self-	
	Assessment	
Did any vessel not targeting Dissostichus ssp. catch more than 0.5t of Dissostichus ssp during the		
season		
□ Yes		
\Box No (Not Applicable)		
If yes please indicate the number of vessel(s) that had bycatch of Dissostichus ssp. that exceeded the limit of 0.5t per season. Click or tap here to enter text.		
Were those vessel(s) prohibited from engaging in any further fishing in	Choose an item.	
the Williams Ridge area for the rest of that season:		
□ Yes		
□No		

----End of CCP Compliance Report---