# Fisheries Operation Plan Checklist for the Compliance Committee

## SUCCINCT DESCRIPTION

Any CP or CNCP or PFE (CCP) seeking to permit a vessel that flies its flag to fish in an exploratory fishery, or to fish in an exploratory fishery with a gear type that has not been used in that fishery for the previous ten years to submit no less than 40 days prior to the next annual meeting of the Compliance Committee (CC) a detailed description of their intended Fisheries Operation Plan for evaluation by the CC.

|  |  |
| --- | --- |
| **CCP** |  |
| **Area** |  |
| **Target Species** |  |
| **Proposed Methods of Fishing** |  |
| **Proposed Maximum Catch / Effort Limit** |  |
| **Expected Operation Period** |  |
| **Submission date** |  |

## COMPLIANCE COMMITTEE ASSESSMENT CHECKLIST AND RECOMMENDATIONS

This checklist is for the Compliance Committee to assess a submitted Fisheries Operations Plan (FOP) and the Data Collection and Analysis Plan against requirements established under CMM 17 (2024) […]

To assist the Compliance Committee with their deliberations, please pre-fill the Rationale column with a brief justification of how your Fisheries Operation Plan and Data Collection and Analysis Plan address the Compliance Committee consideration. The Compliance Committee will complete the Assessment column.

When conducting its assessment, the Compliance Committee shall also consider advise of the Scientific Committee

The CC assigns a status of either Yes, No, or Partial when evaluating the proponent’s submission.

### Fisheries Operation Plan checklist

The assessment by the CC will categorised as:

1. **Meets the criteria** – all criteria met.
2. **Partially meets the criteria** - (proposed plan will meet the criteria if implemented, but no fishing data have been reported yet).
3. **May not be achieved** – Some elements are included but improvements are required.
4. **Does not meet the criteria**.
5. **Not applicable**

| **Fisheries Operation Plan Considerations** | **Response from proponent** | **Assessment by CC** |
| --- | --- | --- |
| **Does your FOP adequately include the information below (to the extent it is available):** | | |
| a. a description of the proposed new and exploratory fishery, including the vessel to be used, area, target species, proposed methods of fishing, proposed maximum catch/effort limits and any distribution of that catch limit among areas or species |  |  |
| b. an explicit target and limit and/or threshold reference points and a mechanism to adjust any proposed catch limit |  |  |
| c. specification and full description of the types of fishing gear to be used, including any modifications to gear intended to mitigate the effects of the proposed fishing on non-target and associated or dependent species or the marine ecosystem in which the fishery occurs; |  |  |
| d. the intended time period covered by the FOP, up to a maximum period of three years; |  |  |
| e. any biological information on the target species from accepted research such as distribution, abundance, demographic data including genetics, longevity and maturity, and information on stock identity; |  |  |
| f. details of potential interaction with non-target and associated or dependent species and the marine ecosystem in which the fishery occurs, the extent to which these would be likely to be affected by the proposed fishing activity and any measures that will be taken to mitigate these effects; |  |  |
| g. the anticipated cumulative impacts of all fishing activity in the area of the proposed new and exploratory fishery if applicable; |  |  |
| h. information from other fisheries in the region or similar fisheries elsewhere that may assist in the evaluation of the proposed fishery’s potential yield, to the extent that the CCP is able to provide this information |  |  |
| i. if the proposed fishing activity is bottom fishing, as defined in paragraph [3.b.] of CMM 01(2024), the assessment of the impact of the proposed fishing activity, prepared pursuant to the SIOFA Bottom Fishing Impact Assessment Standard (BFIAS), as defined in paragraph 3.e. of CMM 01(2024); has been submitted and accepted by the SC. |  |  |
| j. where the target species is also managed by an adjacent Regional Fisheries Management Organisation or similar organisation, a description of that neighbouring fishery sufficient to allow the Scientific Committee to formulate its advice and recommendations in accordance with paragraph 8. And assurance supplied that the correct reporting of these species is in place. |  |  |
| Does your FOP include all elements included in Annex 2 of CMM 17 (2024) |  |  |

### Data Collection and Analysis Plan checklist

| **Data Collection Plan considerations** | **Response from proponent** | **Assessment by CC** |
| --- | --- | --- |
| Is your FOP accompanied by a Data Collection and Analysis Plan (DCAP) for the proposed fishery ? |  |  |
| ***If yes, does your DCAP identifie;*** | | |
| Commitments to the collection of data needed and any operational research actions needed to obtain data from the proposed fishery are included to enable an assessment of the stock. |  |  |
| Demonstration of the feasibility of establishing a fishery and the impact of the proposed fishing activities on non-target, associated or dependent species and the marine ecosystem in which the fishery occurs. |  |  |
| ***Does you DCAP require:*** | | |
| a. a description of the catch, effort and related biological, ecological and environmental data required to undertake the evaluations described in paragraph 20 of CMM 17 (2024) |  |  |
| b. The dates by which the data must be provided to the Secretariat are included. |  |  |
| c. a plan for directing fishing effort to allow for the acquisition of relevant data to evaluate the fishery potential and the ecological relationships among harvested, non-target, and associated and dependent populations and the likelihood of adverse impacts is included; |  |  |
| d. a plan is included for the acquisition of any other research data obtained by fishing vessels, including activities that may require the cooperative activities of scientific observers and the vessel, as may be required by the Scientific Committee to evaluate the fishery potential and the ecological relationships among harvested, non-target, and associated and dependent populations and the likelihood of adverse impacts; |  |  |
| e. an evaluation of the time scales involved in determining the responses of harvested, dependent and related populations to fishing activities; |  |  |
| f. details on the analyses that will be used to evaluate population trends, key biological parameters, and to assess sustainability and the fishery impacts |  |  |

### Scientific Committee Advice on FOP

[Insert SC’s Advice on the FOP here]

### Compliance Committee recommendations (CC to complete)

The CC discussed the [CCP] Fisheries Operational Plan and Data Collection and Analysis plan and **agreed** that the approach outlined in the Fisheries Operation Plan is [compliant|non-compliant] with the requirements of CMM 17 (2024).

The CC discussed the [CCP] Fisheries Operational Plan and Data Collection and Analysis plan and **agreed** that DCAP is [compliant|non-compliant] with and [likely | unlikely] to meet the requirements of CMM 02 (2023).