**Template for the CCP Compliance Report (CCR) for the Implementation of SIOFA Conservation and Management Measures**

*Assessment Period 1 January – 31 December 2022.[[1]](#footnote-2)*

In accordance with [CMM 2020/11 (Compliance Monitoring Scheme)](https://siofa.org/sites/default/files/documents/cmm/CMM-2020-11-Compliance-Monitoring-Scheme.pdf), CCPs are invited to prepare a CCP Compliance Report (CCR) on the basis of this template, including a preliminary self-assessment of its compliance status for each assessed obligation.

CCPs’ self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation.

***Deadline for Submission*:4 May 2023.**

Name of CCP: Choose an item.

Date of Report Submission: Click or tap to enter a date.

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### Implementation of the [Southern Indian Ocean Fisheries Agreement](https://siofa.org/sites/default/files/documents/SIOFA-Agreement-Digital-ENG.pdf)

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| **Article** | **Questions** | | | | |
| Article 10 (2) | (For Coastal States only) Indicate measures (if any) taken to manage straddling stocks occurring in waters under your jurisdiction adjacent to SIOFA Area. *(Leave blank if Not Applicable)* | | | | |
|  | Answer: | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘**X’**). Please leave blank if self-assessment is non-applicable | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |  |
| Article 11 3. (c) | Did you submit in 2022 a National Report concerning the calendar year of 2022, that conforms to the requirements of paragraph 9 of CMM 2022/02? | | | | |
| Answer:  YES  NO  Date Submitted: Click or tap to enter a date. | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is not-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |

### Implementation of [CMM 2020/01](https://siofa.org/sites/default/files/documents/cmm/CMM-2020-01-Interim-Bottom-Fishing-Measures.pdf) (Interim Management of Bottom Fishing)

***CMM Not Applicable***

*This CMM applies to all fishing vessels flying the flag of a CCPs to the Agreement engaging or intending to engage in bottom fishing in the Agreement Area.*

**10(1)(a) For CCPs that have fished more than 40 days in a single year in the Agreement Area at the time this CMM was adopted (2016) (*for other CCPs, leave blank)* :**

***Para 10 (1) (a) Not Applicable***

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| --- | --- | --- | --- | --- | --- |
| **Para** | **Questions** | | | | |
| 10(1) (a) i | Have measures been established and applied to limit bottom fishing effort and/or catch in order to avoid exceeding the average annual levels. | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable. | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |
| 10(1) (a) ii | Have measures been established and applied to constraints the spatial distribution of bottom fishing, excluding line and trap methods, to recently fished areas. | | | | |
|  | Answer:  YES  NO | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |  |
| 10(1) (a) iii | Have measures been established and applied to include provisions to ensure that bottom fishing incurs no significant adverse impacts on VMEs? | | | | |
| Answer:  YES  NO | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |  |
| 10(1) (a) iv | Have measures been established and applied to ensure vessels are not authorised to fish in any areas closed to fishing by the Meeting of the Parties? (*Subject to if closed measures were established by the MoP*) | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |

**10(1)(b) For CCPs that have fished less than 40 days in a single year at the time this CMM was adopted (2016) (*for other CCPs, leave blank)* :**

***Paragraph 10 (1)(b) Not Applicable***

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| **Para** | **Questions** | | | | | | | |
| 10(1)(b)i | Have measures been implemented and applied to limit bottom fishing effort and/or catch and spatial distribution in accordance with 10(2)? | | | | | | | |
| Answer:  YES  NO | | | | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | | |
| Compliant | Non-Compliant | Critically non-compliant | | Not Assessed | | No compliance status assigned | |
|  |  |  | |  | |  | |
| 10(1)(b)ii | Have measures been implemented and applied to ensure bottom fishing incurs no significant adverse impacts on VMEs in accordance with paragraph 10(1)(a)(iii)? | | | | | | | |
| Answer:  YES  NO | | | | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | | Not Assessed | | No compliance status assigned | |
|  |  |  |  | |  | |  | |
| 10(1)(b)iii | Have measures been implemented and applied to ensure vessels are not authorised to fish in any areas that the Meeting of the Parties has decided to close to fishing in accordance with paragraph 10(1)(a)(iv)? (*Subject to if closed measures were established by the MoP*) | | | | | | | |
|  | Answer:  YES  NO  NOT APPLICABLE | | | | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | | |
|  | Compliant | | Non-Compliant | Critically non-compliant | | Not Assessed | | No compliance status assigned |
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**For all CCPs that engaged in bottom fishing in the Agreement Area (AA):**

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| 10(3) | Was the Secretariat notified within 30 days of any revised or amendments to measures established in accordance with the requirements of paragraph 10(1)?  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 12 | Have you applied the following threshold levels for VME encounter: the catch/recovery of 10 or more VME-indicator units of species listed in Annex 1 in a single line segment for longline fishing, and more than 60 kg of live corals and/or 300 Kg of sponges in any tow for trawl gears? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 13 | Have you applied the relevant fishing restrictions (ceasing of bottom fishing activities) upon evidence of VME encounter above the established threshold levels established in Paragraph 12, in the course of fishing operations | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 13 | Where evidence of a VME is encountered during fishing operations, that exceed the threshold levels established under paragraph 12, have such encounters been reported in National Reports to the Scientific Committee in accordance with the guidelines provided in Annex 2? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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**Paragraph 27 is applicable to CCPs that became a CCP after the meeting of Parties of 2017, and their vessels has undertaken bottom fishing in the Agreement Area**

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| 27(a) | If not already submitted in the past, was the Meeting of the Parties (MoP) advised in 2022 of the measures you intended to take pursuant to para 10(1)(a)(i),(ii),(iii) and (iv), *prior* to authorizing any fishing vessel under your flag to bottom fish in the Agreement Area | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |
| 27(b) | If you are a State or fishing entity that has become a CCP to SIOFA after the Meeting of the Parties in 2017 did you provide, 30 days before the next ordinary meeting of the Scientific Committee, data related to para 20 and a BFIA as outlined in para 21? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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**General Management and Conditions for Bottom Fishing in the Agreement Area**

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| 39(a) | If you have vessels fishing with trawl gear in the Agreement Area have you achieved 100% scientific observer coverage on these vessels? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| If, due to constraints related to COVID-19, you have benefited in 2022 from a temporary suspension of Observer embarkation, have you put in place alternative data collection measures?  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable. | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 39(b) | If you have vessels fishing with any other bottom fishing gear in the Agreement Area, have you achieved 20% scientific observer coverage per annum on these vessels? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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**Interim Protected Area Designation**

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| 45(a) and (b) | In case of fishing activities conducted by vessels flying your flag within the areas listed in Annex 3, have you applied the measures listed in paragraphs 45 (a) and (b) as applicable? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 45(b) | Were scientific observers deployed at all times, during fishing trip conducted by vessel(s) flying your flag, within the areas listed in Annex 3? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| As required by the CC06, CCPs self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation. To this end, please indicate measures taken to implement this CMM. You may include other relevant information and documents relevant to support the proposed self-assessments. |
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### Implementation of [CMM 2022/02](https://siofa.org/sites/default/files/documents/cmm/CMM-2022-02-Data-Standards_0.pdf) and CMM 2021/02 (Data Standards)

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| **Have any vessel flying your flag been engaged in fishing[[2]](#footnote-3) in the Agreement Area during the assessment period ?**  YES  NO  *lf no, please skip questions 4 to 8 and can move directly to subsequent questions.* |

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| **Para** | **Questions** | | | | |
| 4 | During this assessment period was data on fishing activities collected in accordance with the relevant sections of Annex A? | | | | |
| Answer:  YES  NO | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 5 | Did you collect data on a haul-by-haul basis, or on an operational basis, as applicable[[3]](#footnote-4)? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 6 | Have you submitted your 2021 vessel catch and effort data to Secretariat by 31 May 2022 and included all information in accordance with Annex A with the format prescribed in Annex C? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 7 | Have you submitted your 2021 annual catch summary by 31 May 2022, including minimum information required by paragraph 7(a) - (e)? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 8 | Did you implement that on-board all fishing vessels flying your flag the FAO Identification guide to the deep–sea cartilaginous fishes of the Indian Ocean? (Only applicable if any vessel flying flag of CCP *engaged, or intended to engage in* bottom fishing pursuant to CMM 2020/01) | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 9 | Did you submit a National Report on your fishing, research, and management activities for the calendar year 2020 at least thirty days prior to the ordinary SC meeting of 2022, taking into account the guidelines prepared by the Scientific Committee for the preparations of such report? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 12 | Have you implemented national scientific observer programmes, to collect information required by this paragraph? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 14 | Did you include in the annual national report an annual observer programme implementation report? | | | | |
| YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable. | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 15 | Did you collect[[4]](#footnote-5), and submit to the secretariat by the 31st of May 2022, all data in accordance with the relevant sections of Annex B Scientific Observer? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 18 (a) (b) | Did you ensure that fishery data are verified through an appropriate system of data verification mechanism, including the development of such mechanism? | | | | | | | |
| Answer: YES  NO | | | | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | | |
| Compliant | Non-Compliant | | Critically non-compliant | Not Assessed | | | No compliance status assigned |
|  |  | |  |  | | |  |
| 18 (c) | Did you include in the annual national report[[5]](#footnote-6) an annual data verification report? | | | | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | | |
| Compliant | | Non-Compliant | Critically non-compliant | | Not Assessed | No compliance status assigned | |
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| 19-20 | Have data been submitted in accordance to Para 19 and 20 of this CMM? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| As required by the CC06, CCPs self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation. To this end, please indicate measures taken to implement this CMM. You may include other relevant information and documents relevant to support the proposed self-assessments. |
|  |

### Implementation of [CMM 2016/04](https://siofa.org/sites/default/files/documents/cmm/CMM%202016_04%20Vessels%20without%20Nationality%20%282016.10.18%29%20edited%202017.pdf) (Vessels Without Nationality)

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| --- | --- | --- | --- | --- | --- |
| 5 | Did you report to the Secretariat, as soon as possible, any sightings of fishing vessels that were suspected of, or confirmed as being, without nationality that may be fishing in the high seas of the Agreement Area? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |  |

### Implementation of [CMM 2016/05](https://siofa.org/sites/default/files/documents/cmm/CMM%202016_05%20Pelagic%20Driftnets%20and%20Deepwater%20Gillnets%20%282016.10.18%29%20edited%202017.pdf) (Pelagic Driftnets and Deepwater Gillnets)

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| **Para** | **Questions** | | | | |
| 1 | Is the use of large-scale pelagic driftnets (more than 2.5km in length) in the Agreement Area by national vessels prohibited? | | | | |
| Answer:  YES  NO | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| As required by the CC06, CCPs self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation. To this end, please indicate measures taken to implement this CMM. You may include other relevant information and documents relevant to support the proposed self-assessments. |
|  |

### Implementation of [CMM 2022/06](https://siofa.org/sites/default/files/documents/cmm/CMM-2022-06-IUU-Vessel-List.pdf) and 2018/06 (IUU Vessel List)

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| **Para** | **Questions** | | | | |
| 2 | For CCPs that reported vessels presumed to have been engaged in IUU fishing IUU fishing in 2022, did you submit this information to the Secretariat, at least 90 days prior to the meeting of the parties, in accordance to paragraph 2 of this CMM. | | | | |
|  | Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 3 | If you submitted information on vessels presumed to have been engaged in IUU fishing to the Secretariat, was the responsible flag State notified prior to submission or at the same time? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 19 | Have measures been taken, pursuant to paragraph 19 (a) to (j) under your applicable legislation and pursuant to paragraphs 56 and 66 of the FAO International Plan of Action to Prevent, Deter, and Eliminate Illegal, Unreported and Unregulated Fishing (IPOA-IUU)? | | | | |
| Answer:  YES NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 30 | (a) Did you take measures to verify if any of your nationals or any natural or legal persons subject to your jurisdiction are engaged in IUU fishing?  (b) Did you take measures to verify if any of your nationals or any natural or legal persons subject to your jurisdiction are responsible for, benefiting from, supporting or engaging in the activities described in paragraph 5 (e.g. as operators, effective beneficiaries, owners, logistics and service providers, including insurance providers and other financial service providers)? | | | | | |
|  | Answer:  YES  NO | | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned | |
|  |  |  |  |  |  | |
|  | Was appropriate action taken in response to any verified activities referred to in sub paragraphs 30 (a) and (b)? If no such activities were verified, please tick ‘Not applicable’. | | | | | |
|  | Answer:  YES  NO  NOT APPLICABLE ☐ | | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | |
|  | Compliant | | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 31 | Have you designated a contact point to exchange information on IUU activities described in paragraph 30 (a) (b) with other CCPs? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- |
| 32 | Please describe all actions and measures taken in accordance with this CMM not already described, as required by paragraph 32 of this CMM. |
|  |

### Implementation of [CMM 2022/07](https://siofa.org/sites/default/files/documents/cmm/CMM-2022-07-Vessel-Authorisation.pdf) and 2019/07 (Vessel Authorisation)

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| --- | --- | --- | --- | --- | --- |
| **Para** | **Questions** | | | | |
| 4[[6]](#footnote-7) | Have you informed the Executive Secretary of any modification regarding vessel data for vessels flying your flag authorised to fish in the Agreement Area (including authorisation status of current fishing vessels and any new vessels), within 15 days after such modification? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- | --- | --- |
| 6(a) | Have you authorised only vessels flying your flag to operate in the Agreement Area, that met all the requirements and responsibilities under the Agreement, and all relevant SIOFA CMMs? | | | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | |
| Compliant | Non-Compliant | | Critically non-compliant | Not Assessed | | No compliance status assigned |
|  |  | |  |  | |  |
| 6(b) | Has there been any changes to measures adopted pursuant to Paragraph 6(b):  YES  NO  If yes, please indicate these measures below: | | | | | | |
| Answer: | | | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | |
| Compliant | Non-Compliant | Critically non-compliant | | | Not Assessed | No compliance status assigned |
|  |  |  | | |  |  |
| 6(c) | Has there been any changes to measures adopted pursuant to Paragraph 6(c):  YES  NO  If yes, please indicate these measures below: | | | | | | |
| Answer: | | | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | |
| Compliant | Non-Compliant | | Critically non-compliant | Not Assessed | | No compliance status assigned |
|  |  | |  |  | |  |
| 6(d) | Have you ensured that the vessels flying your flag on the SIOFA Record of Authorised Vessel have no history of IUU fishing, or, if those vessels have such history, that the new owners have provided sufficient evidence demonstrating that the previous owners and operators have no legal, beneficial or financial interest in, or control over those vessels, or that having taken into account all relevant facts, your vessels are not engaged in or associated with IUU fishing? | | | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | |
| Compliant | Non-Compliant | | Critically non-compliant | Not Assessed | | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 8 | If you had any evidence showing that there were reasonable grounds for suspecting that vessels not registered on the SIOFA Record of Authorised Vessels were operating in the Agreement Area, did you notify the Secretariat of any such evidence ? | | | | |
| YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |
| As required by the CC06, CCPs self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation. To this end, please indicate measures taken to implement this CMM. You may include other relevant information and documents relevant to support the proposed self-assessments. | | | | | |
|  | | | | | |

### Implementation of [CMM 2020/08](https://siofa.org/sites/default/files/documents/cmm/CMM%202020_08%20Port%20Inspection.pdf) (Port Inspection)

***CMM Not Applicable****[[7]](#footnote-8)*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Para** | **Questions** | | | | |
| 1 | Did you maintain an effective system of port State control? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |

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| --- | --- | --- | --- | --- | --- |
| 2 | Have you notified the Secretariat of designated ports to which foreign vessels may request entry in accordance with the requirements of paragraph 2? | | | | |
| Answer:  YES  NO | | | | |
| Were the changes to your designated ports notified within 30 days before the change took effect? | | | | |
| Answer:  YES  NO NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 5 | Have you implemented the minimum standard to collect foreign vessel information 48h before the estimated time of arrival in your port described in paragraph 5 and Annex I | | | | |
| Answer:  YES  NO | | | | |
| Please specify if you have prescribed a notification period other than 48 hours, and advised the secretariat *without delay* of such, including why this was required.  YES  NO  NOT APPLICABLE ☐ | | | | |
| Were the changes to these requirements (if any) notified to the secretariat at least 30 days before they became effective? | | | | |
|  | Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 8 | Were decisions to deny a vessel in port (if any) communicated to the Secretariat and the flag state of the vessel? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 9 | Have you denied port entry to any vessels, for which you had sufficient proof that it had engaged in IUU fishing? | | | | |
|  | Answer:  YES  NO | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 11 | Where a vessel referred to in paragraphs 9 or 10 was in port for any reason, did you deny such vessel the use of your ports for landing, transhipping, packaging, and processing of fishery resources, and for other port services including, inter alia, refuelling and resupplying, maintenance and dry docking? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 12 | Did you deny the use of port services to any foreign flagged vessel pursuant to paragraph 12? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 14 | If you denied the use of your port to any foreign vessel in accordance with paragraph 12, were the flag State and Secretariat notified promptly? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 17 | Are your port inspectors duly authorised, trained and familiar with the Agreement and all of its relevant CMMs, with the national training programme taking into account the guidelines elements set out in Annex II? | | | | |
| Answer:  YES NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 19 | Were vessel inspections carried out, at a minimum, in accordance with the procedures set out in Annex III? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 21 | Did you ensure that all inspections were carried out in accordance with international law, conducted within 72 hours of port entry, and carried out in an expeditious fashion? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 22 | Did you undertake inspections of **all** fishing vessels carrying or landing *Dissostichus* spp which entered your ports? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 23 (a) | In cases where you received a request from another CCP, any RFMO or CCAMLR to inspect a particular vessel not entitled to fly your flag, were port inspections effectively carried out ? | | | | |
|  | Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 23 (b) | In cases where vessel(s) not flying your flag entered your port(s) while failing to provide information required in accordance with paragraph 5, where such vessel inspected? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 24 | Did all written inspection reports produced include, at a minimum, the information set out in Annex IV? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Were all inspection reports produced forwarded to the competent authority of the inspected vessel and the Secretariat? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 25 | Were inspection reports forwarded to the competent authority of the inspected vessels and to the Secretariat within 30 days, following the date of the inspection, or as soon as possible where compliance issues warranting the attention of the competent authority arose? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| If you were unable to transmit within this period, was the Secretariat notified of the reasons for the delay and informed when the report would be submitted by? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 26 | Are vessels flying you flag required to comply with the port State in relation to inspections carried out under CMM 2020/08? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 27 | If there were clear grounds to believe that one of your vessels had engaged in IUU fishing and was seeking entry to, or was in the port of another Contracting Party, CNCP or PFE, were there requests made to the concerned Contracting Party, CNCP or PFE to inspect the vessel or take appropriate measures? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 28 | Were there investigations, following a port State inspection whereby an inspection report indicated clear grounds that a vessels flying your flag had engaged in IUU fishing? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| If sufficient evidence was found, did you ensure that enforcement action was taken without delay in accordance with your relevant laws and regulation? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 29 | Did you report to the Secretariat on actions taken in respect of vessels flying your flag that, as a result of port State measures taken pursuant to this CMM, have been determined to have engaged in IUU fishing. ? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Compliant | Compliant | Compliant | Compliant |
|  |  |  |  |  |

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| --- | --- | --- | --- | --- | --- |
| 30 | Did you provide a report to each ordinary meeting of the Compliance Committee of actions that you have taken pursuant to paragraph 27 ? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Compliant | Compliant | Compliant | Compliant |
|  |  |  |  |  |

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| --- |
| As required by the CC06, CCPs self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation. To this end, please indicate measures taken to implement this CMM. You may include other relevant information and documents relevant to support the proposed self-assessments. |
|  |

### Implementation of CMM [2022/09](https://siofa.org/sites/default/files/documents/cmm/CMM-2022-09-Control.pdf) (Control)

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| --- | --- | --- | --- | --- | --- |
| 2 | Have you designated the competent authority or authorities which shall act as the Contact Point for the purposes of receiving reports and notifications and issuing authorisations in accordance with the relevant SIOFA CMMs and provided the contacts to the Secretariat | | | | |
| Answer:  YES  NO | | | | |
| Have you notified the Secretariat *without delay* any subsequent changes to these contacts made during this assessment period? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |

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| --- | --- | --- | --- | --- | --- |
| 4 | Did you ensure that vessels flying your flag, and authorised to operate in the Agreement Area, are marked in such a way that they can be readily identified and where possible marked with generally accepted international standards? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |

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| --- | --- | --- | --- | --- | --- |
| 5 | Did you ensure that fixed gear used by vessels flying your flag, and authorised to operate in the Agreement Area, are marked following the requirements of paragraph 5? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |

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| --- | --- | --- | --- | --- | --- |
| 6 | Have you notified the Secretariat of the information regarding the marking of fixed gear used by vessels flying your flag and authorised to operate in the Agreement Area?  If you have already notified the information to the Secretariat, this measure is only applicable if there were changes during the assessment period to be reported. | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 7 | If a **gear could not be retrieved**, was the competent authority notified without delay of all the information listed in 7(d)? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |
| If a **gear was retrieved**, was the competent authority notified without delay of all the information listed in 7(e)? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |
| Was the Secretariat notified, *without delay* when you were notified by one of your vessels pursuant to paragraphs 7(d) and/or 7(e)? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 8 | Is the discard of all plastics[[8]](#footnote-9) by vessels flying your flag prohibited, with the requirement for all plastics to be stored onboard the vessel until they can be discharged at adequate port reception facilities?[[9]](#footnote-10) | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |

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| --- | --- | --- | --- | --- | --- |
| 10 | Did you ensure that labelling and storage of frozen products of fishery resources is achieved in accordance with the specifications listed in paragraph 10 (a)-(e) ? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |

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| --- | --- | --- | --- | --- | --- |
| 11 | Are scientific observers carried by vessels flying your flag operating in the Agreement Area, qualified and authorised to perform their tasks and record any requested data? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |
| 12 | Did you ensure that any vessels flying your flag reports any presumed fishing as defined in the Agreement, including transhipment, by vessels flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement in the Agreement Area ? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |

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| --- | --- | --- | --- | --- | --- |
| 13 | Have any of your flagged vessels reported any presumed fishing by non-CCP vessels? | | | | |
| Answer:  YES  NO  If **yes**, was all the information listed in paragraph 12 submitted to the Secretariat?  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |

|  |
| --- |
| As required by the CC06, CCPs self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation. To this end, please indicate measures taken to implement this CMM. You may include other relevant information and documents relevant to support the proposed self-assessments. |
|  |

### Implementation of CMM [2019/10](https://siofa.org/sites/default/files/documents/cmm/CMM-2019-10-Monitoring.pdf) (Monitoring)

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| --- | --- | --- | --- | --- | --- |
| **Para** | **Questions** | | | | |
| 1 | Do the vessels flying your flag maintain either an electronic fishing logbook or a bound fishing logbook? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
|  |  |  |  |  |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 2 (a) |  | | | | | | | | |
| Did you ensure that vessels flying your flag submit their fishing logbook data within 30 days of the completion of a fishing trip in the Agreement Area to their Competent Authority ? | | | | | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | | | |
| Compliant | Non-Compliant | | | Critically non-compliant | Not Assessed | | | No compliance status assigned |
|  |  | | |  |  | | |  |
| 2(b) | Did you ensure that data referred to in sub paragraph (a) are submitted in accordance with CMM 2022/02 and maintained in accordance with CMM 2016/03 ? | | | | | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | | | | | |
|  | Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | | | |
| Compliant | | Non-Compliant | | Critically non-compliant | | Not Assessed | | No compliance status assigned |
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| 2(c) | Did you ensure that the quantities of catch recorded on vessels flying your flag correspond to the quantities of catch kept on board ? | | | | | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | | | |
| Compliant | | | Non-Compliant | Critically non-compliant | Not Assessed | | No compliance status assigned | |
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| 4 & 5 | Are all fishing vessels flying your flag and operating in the Agreement Area fitted with an operational automatic location communicator (ALC) unit reporting back to your competent authority, and that such ALCs remains operational at all times while in the Agreement Area | | | | |
| Answer:  YES NO  NOT APPLICABLE | | | | |
|  | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 6 | Have systems been developed, implemented and improved to maintain a record of all vessel position information reported through VMS and logbooks in accordance with paragraph 6? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 8 (a)-(c) | Are VMS position reports transmitted in accordance to the technical standard established in paragraph 8 a. and b., and that VMS position report are transmitted at least once every 2 hours? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Are there measures in place to ensure that vessels flying your flag and included in the SIOFA Record of Authorized Vessels does not enter the Agreement Area and commence operations with a defective ALC? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 9 | Are measures in place to satisfy requirement of paragraph 9[[10]](#footnote-11) of this CMM? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 10 | Are measures in place to satisfy requirement of paragraph 10[[11]](#footnote-12) of this CMM? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 11 | In the event that any VMS reports and messages were transmitted to the secretariat, were such reports and message in accordance with data exchange format in accordance to Annex C of CMM 2022/02? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 14 | Are *vessels or relevant authorities* required to notify the Secretariat, by email or other means, within 24 hours, in the format provided in Annex I, of each entry to or exit from the Agreement Area of vessels authorised to fish for species managed by SIOFA flying its flag, in accordance with CMM 2022/07? : | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 15 | Are measures in place to require and ensure that vessels flying your flag only undertake transhipments at sea for fishery resources, with other vessels included on the SIOFA Record of Authorized Vessels? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 16 | Did you ensure that, all vessels flying your flag and included in the SIOFA Record of Authorised Vessels followed the obligations listed in paragraph 16 (a)-(h) when undertaking an at sea transhipment operation? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 17 | Did you ensure that all vessels flying your flag undertaking an at sea transfer operation of fuel, crew, gear or any other supplies, notifies its competent authority at least 24 hours in advance of the planned transfer, using all information available required in Annex V?[[12]](#footnote-13) | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| If the competent authority was notified of the information of paragraphs 17 (a-b), was the Secretariat notified without delay? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Did you ensure that the vessel(s) notified all of the operational details to their competent authority, as specified in Transfer Declaration Annex VI, within 24 hours following the transfer ? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 19 | Are appropriate measures in place to verify the accuracy of the information received in accordance with Paragraph 16 and 17? |
| Answer:  YES  NO  NOT APPLICABLE |

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| 20 | Are measures in place to ensure that a vessel flying its flag that is carrying fishery resources shall only tranship in a port if it has prior authorisation from its competent authority and the port State | | | | |
| YES ☐ NO ☐ NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 21 | For each transhipment of fishery resources in port, did the competent authority of the CCP of the unloading vessel notify, at least 24 hours in advance, the information provided in paragraph 21 (a)-(d) to the competent authority of the port State and, if known, the competent authority of the receiving vessel? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 20-24 | Were all transhipments in port, by vessels flying your flag, and carrying fishery resources conducted in accordance to procedures established in paragraph 20-24 of this CMM? | | | | |
| Answer:  YES ☐ NO ☐ NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 25 | Please describe the arrangements and measures you have implemented to verify the accuracy of the information received in accordance with paragraphs 21 to 24. | | | | |
| Answer: | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 26 | Have you submitted to the Secretariat a report in relation to each transhipment and at sea transfer by vessels flying your flag, in accordance to Paragraphs 15-25?  *(CCPs are encouraged to use template attached to this CCR for this report and downloadable on SIOFA website)* | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| As required by the CC06, CCPs self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation. To this end, please indicate measures taken to implement this CMM. You may include other relevant information and documents relevant to support the proposed self-assessments. |
|  |

### Implementation of CMM [2020/11](https://siofa.org/sites/default/files/documents/cmm/CMM-2020-11-Compliance-Monitoring-Scheme.pdf) (Compliance Monitoring Scheme)

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| **Para** | **Questions** | | | | |
| 12 | Have you submitted in 2022 a CCP Compliance Report (CCR) in full accordance with paragraph 12 and return it to the Secretariat no later than 60 days before the commencement of the Meeting of the Parties ? | | | | |
| Answer :  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 16 | Did you provide comments on your respective section of the Draft SIOFA Compliance Report prepared by the Secretariat no later than 30 days before the seventh ordinary Meeting of the Parties in accordance with paragraph 16 and provided information listed in paragraph 16(a) to (f) as appropriate? | | | | |
| Answer :  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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### Implementation of CMM [2022/12](https://siofa.org/sites/default/files/documents/cmm/CMM-2022-12-Sharks.pdf) and 2019/12 (Sharks)

***CMM Not Applicable***

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| **Para** | **Questions** | | | | |
| 2 | Are measures implemented to ensure that fishing vessels flying your flag do not target any deep-sea shark species listed in Annex 1, within the Agreement Area. | | | | |
| Answer: | | | | |
| Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 3 | Are measures implemented to ensure that fishing vessels flying your flag record and submit all reporting requirements as per CMM 2022/02 (Data Standards) for all deep-sea sharks to the lowest taxonomical level possible when engaged in fishing for fishery resources. | | | | |
| Answer: | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 5 | Have information of research conducted pursuant to Paragraph 5, been provided to the Scientific Committee? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 6 | Have information of research conducted pursuant to Paragraph 6, been provided to the Scientific Committee? | | | | |
| YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- |
| As required by the CC06, CCPs self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation. To this end, please indicate measures taken to implement this CMM. You may include other relevant information and documents relevant to support the proposed self-assessments. |
|  |

### Implementation of CMM [2022/13](https://siofa.org/sites/default/files/documents/cmm/CMM-2022-13-Seabirds.pdf) and 2019/13 (Mitigation of Seabirds Bycatch)[[13]](#footnote-14)

***CMM Not Applicable***

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| **Para** | **Questions** | | | | |
| 2 | Are vessels flying your flag using demersal or pelagic long line, or other demersal fishing gears and operating in the Area South of 25° S, required to apply the mitigation measures listed in paragraph 2? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 3(a) | Are demersal long liners flying your flag and operating in the Agreement Area South of 25°S, required to apply measures, in Paragraph 3? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 4 | Are demersal long-liners of less than 25 m, *required* to apply at least one of the measures listed in paragraph 4 | | | | |
| Answer:  YES  NO NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 5 | Are fishing vessel (s) flying your flag and operating in the Agreement Area south of 25°S using demersal pots or traps, *required* to ensure the cleanliness of the traps and pots not to attract birds, and to ensure that buoy lines shall not be left floating at the surface? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 6 | Are pelagic longliners flying your flag and operating in the Agreement Area south of 25°S, *required* to use at least 2 out of the 3 mitigations measures outline in Annex 3. | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| As required by the CC06, CCPs self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation. To this end, please indicate measures taken to implement this CMM. You may include other relevant information and documents relevant to support the proposed self-assessments. |
|  |

### Implementation of CMM [2021/14](https://siofa.org/sites/default/files/documents/cmm/CMM-2021-14-High-Sea-Boarding-and-Inspection-Procedures.pdf) (High Seas Boarding and Inspection Procedures)

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| 6 | Are the masters of fishing vessels flying your flag required to accept and facilitate boarding and inspections carried out pursuant to this measure? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 7 | Did you engage in boarding and inspection activities in the Agreement Area between a Contracting party and a participating Fishing entity? | | | | |
| Answer:  YES  NO  NOT APPLICABLE  If **yes**, did you transmit a notification to that effect to the Meeting of the Parties 90 days prior to the boarding and inspection?  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 8 | Are translated copies of this measure provided to all vessels flying your flag? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 9 | Are authorized inspectors required to comply with this measure in the conduct of any boarding and inspection activities undertaken under these procedures? | | | | |
| Answer:  YES  NO  NOT APPLICABLE | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 10 | Did you notify the Executive Secretary of two contact points (including name, telephone, fax number and e-mail address) for the purposes of receiving and sending notifications and reports to and from its Authorities of the Fishing Vessel pursuant to this CMM? | | | | |
| Answer:  YES  NO | | | | |
| If any change occurred after the notification was sent, did you notify the Secretariat promptly of these changes? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 12& 13 | Were the secretariat notified of your intention to carry out inspection, pursuant to para If you carried out boarding and inspection activities under this measure, did you notify the Executive Secretary of this intention and provide the information listed in paragraph 12? | | | | |
| Answer:  YES  NO | | | | |
| If any change occurred in the information you provided under paragraph 12, did you promptly notify the Executive Secretary of these changes? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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|  | For inspections conducted by a military vessels, were such inspection conducted in a manner to ensure, ensure that the boarding from such military vessels by Authorised Inspectors conforms to the procedures contained within this measure? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 18 | For CCPs that engaged in bilateral, or multilateral arrangement encouraged in paragraph 16, was the Executive Secretary of this bilateral or multilateral arrangement? | | | | |
| Answer:  YES ☐ NO ☐ NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 19 – 26, 31-32. | Where Authorized inspection Vessel(s) inspections were conducted, where they in accordance to procedures set out in paragraphs 19-26, and 31-32? | | | | |
| Answer :  YES ☐ NO☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 27 – 29. | During the conduct of boarding and inspection activities of a vessel flying your flag, did the master and crew of the fishing vessel flying your flag comply with the requirements of paragraph 27 – 29? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| If there were incidents referred in paragraph 29, were the establish procedures established in paragraph 29 implemented? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 31 | Were all incident(s) involving the use of force in relation to boarding and inspection under this measure immediately reported to the Authorities of the Fishing Vessel, the Authorities of the Inspection Vessel, as well as to the Executive Secretary? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 33 & 34 | Are authorized inspectors required to prepare and submit reports on each boarding, pursuant to procedures established by paragraph 33 and 34? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 35 | Were the Authorities of the Fishing Vessel notified directly and through the Executive Secretary after Authorised Inspectors under your authority observed an activity or condition of a fishing vessel that may constitute a serious violation within the meaning of paragraph 41(alleged serious violation)? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 36 | Are authorities of fishing vessels flying your flag required to provide, without delay and in any case no later than within 3 (three) full working days an initial response to the Authorities of the Inspection Vessel and the Executive Secretary in accordance with paragraph 36?. | | | | |
| Answer:  YES ☐ NO ☐ NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 37 | In the case of paragraph 36(a), are measures implemented to ensure that the Authorities of the Inspection Vessel provide to the Authorities of the Fishing Vessel, as soon as practicable, the specific evidence collected? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 38 | In case of a serious violation, was a report of the investigation communicated by the Authorities of the Fishing Vessel to the Executive Secretary and the Authorities of the Inspection Vessel within 2 (two) months of the notification in paragraph 36? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| If the evidence warranted, did you take enforcement action against the fishing vessel in question and notify the Authorities of the Inspection Vessel, as well as the Executive Secretary of any such enforcement action within 6 (six) months of the date of notification at paragraph 36? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 39 | In the case of paragraph 36(b), please describe the arrangements and measures you have implemented to ensure that the specific evidence collected by the Authorised Inspectors, along with the results of any investigation, is provided to the Authorities of the Fishing Vessel and the Executive Secretary immediately upon completion of the investigation and in any case no later than 6 (six) months after the date of the inspection. | | | | |
| Answer: | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 43 | Please describe the arrangements and measures you have implemented to ensure that you can effectively respond to any interference by a fishing vessel flying your flag, or its master or crew, with an Authorised Inspector, or an Authorised Inspection Vessel. | | | | |
| Answer: | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 44 & 45 | Did you report to the Meeting of the Parties through your implementation report required under Article 10(2) or under CMM 2018/11(Compliance Monitoring Scheme) on the boarding and inspections carried out by your Authorised Inspection Vessels, as well as upon possible violations observed? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Did you include in your implementation report actions that you have taken in response to boarding and inspections that resulted in observation of alleged violations in relation to fishing vessels flying your flag or your nationals, including any proceedings instituted and sanctions applied? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 46- 48 | Where Authorized inspectors had identified unauthorized or unidentified vessels, fishing in the Agreement Area, were these sighting reported to the Executive Secretary | | | | | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐  If **yes,** were these vessels reported to the Secretariat?  YES  NO | | | | | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | | | |
| Compliant | | Non-Compliant | | Critically non-compliant | | Not Assessed | | No compliance status assigned |
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| Did the Inspection Vessel under your authority attempt to inform the fishing vessel identified pursuant to paragraph 46 that it has been seen or identified as potentially engaging in fishing that undermines the effectiveness of the Agreement or CMMs? | | | | | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐  If **yes**, was this information sent to the Executive Secretary?  YES  NO | | | | | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | | | |
| Compliant | Non-Compliant | | Critically non-compliant | | Not Assessed | | No compliance status assigned | |
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| Did the Authorised Inspectors request permission from the fishing vessel to board the vessel identified pursuant to paragraph 46? If the vessel master or the authorities consented to a boarding, were the findings of the subsequent inspection transmitted to the Executive Secretary? | | | | | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | | | | | |
| Compliant | Non-Compliant | | Critically non-compliant | | Not Assessed | | No compliance status assigned | |
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| As required by the CC06, CCPs self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation. To this end, please indicate measures taken to implement this CMM. You may include other relevant information and documents relevant to support the proposed self-assessments. |
|  |

### Implementation of CMM [2021/15](https://siofa.org/sites/default/files/documents/cmm/CMM%202021_15%20Management%20of%20Demersal%20Stocks_1.pdf)(Management of Demersal Stocks)[[14]](#footnote-15)

*This CMM is only applicable to CCPs that fish for the species and in the areas covered by it.*

***CMM Not Applicable***

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| **Para** | **Questions** | | | | |
| 12 | Are measures implemented to ensure that any fishing with demersal longlines were prohibited in depths shallower than 500m? | | | | |
| Answer:  YES  NO | | | | |
| Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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**Management measures for toothfish in the Del Cano Area**

**This part applies to the EU, FR-OT and Japan ONLY.**

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| 15 | Did you ensure that the annual catches of *Dissostichus spp.* in the Del Cano Rise area did not exceed your share of 55 tonnes? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 16 | Have all monthly reports (including nil reports), in the form of Annex 1, of your *Dissostichus spp.* Catches, been submitted to the Secretariat during the assessment period? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 17 | Have you ensured that fishing vessels have informed the Secretariat daily of the start and end points of set longlines, in accordance with the template provided in Annex II? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 18 | Have you ensured that longlines do no exceed 3000 hooks per line, and are set, at a minimum, 3 nautical miles from each other? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- | --- | --- | --- | --- | --- |
| 19 | Have measures been implemented to ensure that vessels not targeting *Dissostichus spp.* do not exceed 0.5t per season of *Dissostichus spp?* | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 24 | Have you ensured that vessels fishing for *Dissostichus* spp. in the Del Cano Area reported their VMS data automatically to their FMC at least every hour? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
|  | Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 25 | Did each vessel participating in the toothfish fishery in Del Cano area have at least one scientific observer on board throughout the fishing period with the target of observing 25% of hooks hauled per line? | | | | |
|  | Answer:  YES  NO  NOT APPLICABLE ☐  If **not**, what prevented you from achieving this? | | | | |
|  | Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 27 | Were vessels required to tag and release *Dissostichus spp.* at a rate of at least 5 fish per tonne green weight caught? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Once 30 or more *Dissostichus* sppspecimens were caught, was a minimum overlap statistic of at least 60% applied for tag release in Del Cano area? | | | | |
|  | Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
|  | Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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**Management and research measures for toothfish in Williams Ridge**

**Apply only to SIOFA CCP fishing in William Ridge**

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| 33 | Were vessels required to tag and release *Dissostichus spp.* at a rate of at least 5 fish per tonne green weight caught? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Once 30 or more *Dissostichus* sppspecimens were caught, was a minimum overlap statistic of at least 60% applied for tag release in Williams Ridge? | | | | |
|  | Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
|  | Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 36 | Did vessels fishing for *Dissostichus spp.* in Williams Ridge send daily reports of their *Dissostichus spp.* catches to the Secretariat using the template provided in Annex III? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Did vessels inform the Secretariat daily on start and end points of set longlines in Williams Ridge, using the template in Annex IV? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
|  | Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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|  | Did you ensure that fishing vessels did not fish in a grid cell before receiving confirmation from the Secretariat in accordance with paragraph 40? | | | | |
|  | Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 38-40 | Was the Secretariat notified as soon as vessels entered or exited a grid cell to fish for *Dissostichus spp.,* using the entry notification template at Annex V, and in accordance with paragraph 38? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Did you ensure that fishing vessels did not fish in a grid cell before receiving confirmation from the Secretariat in accordance with paragraph 39? | | | | |
|  | Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
|  | Was the Secretariat notified as soon as vessels exited the grid cell in accordance to paragraph 40, using the template provided in Annex VII? | | | | |
|  | Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
|  | Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
|  | Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 41 | Did you ensure that longlines did not exceed 6250 hooks per line, and were not set across grid cells in Williams Ridge? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 42 | Did you ensure that no more than two lines in total were set per grid for the duration of the fishing season of *Dissostichus spp*.? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 43 | Did vessels inform the Secretariat daily on start and end points of set longlines in Williams Ridge ? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate assessment with ‘X’). If question is N/A leave blank. | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 44 | Did you ensure that vessels fishing for *Dissostichus spp.* in Williams Ridge applied a break of a minimum of 30 days between consecutive trips to Williams Ridge? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 45 | Did you ensure that vessels not targeting *Dissostichus spp.* did not exceed a catch of 0.5 tonnes of *Dissostichus spp.* per season in Williams Ridge? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| 49 | Did you ensure that vessels participating in the fishery had at least one scientific observer on board throughout all fishing activities within the fishing period, with the target of observing 25% of hooks hauled per line over the duration of the fishing deployment? | | | | |
| Answer:  YES  NO  NOT APPLICABLE ☐ | | | | |
| Preliminary CCP self-assessment (indicate status with ‘X’). Please leave blank if self-assessment is non-applicable | | | | |
| Compliant | Non-Compliant | Critically non-compliant | Not Assessed | No compliance status assigned |
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| --- |
| As required by the CC06, CCPs self-assessments should be supported by clear statements of implementation and, where relevant, some evidence or documentation. To this end, please indicate measures taken to implement this CMM. You may include other relevant information and documents relevant to support the proposed self-assessments. |
|  |

### Annex I – Annex 1 of CMM 2020/11 (Compliance Monitoring Scheme)

**Compliance Categories**

1. For the purposes of this CMM, “Compliance Status” refers to a CCP’s compliance with an obligation contained in the Agreement or a SIOFA CMM. ‘Criteria’ provides guidance to CCPs, the Compliance Committee and the Meeting of the Parties on how to determine which Compliance Status should be assigned in respect of a given compliance issue. ‘Follow up action/Response’ sets out possible actions or responses that may be proposed or recommended to be taken in respect of a compliance issue. Such actions and responses shall take account of the relevant CCP’s responses and corrective actions to address any compliance issues identified.
2. Remedial or corrective actions that may be considered as part of follow up actions could include:
   1. Reviewing or clarifying issues, which could include requesting additional information to resolve an information gap, clarifying existing information, specifying a question where further information is needed or seeking an explanation of the compliance issue within a given timeframe.
   2. Requesting that the relevant CCP cease the non-compliant conduct, in general or specific terms, and providing a clear deadline by which time compliance is to be achieved and information demonstrating compliance provided to the Meeting of the Parties.
   3. Cooperation and capacity building to address non-compliance where a State may not have the capacity to ensure compliance with SIOFA measures.
   4. Institutional responses to provide an effective deterrent for non-compliance, including, for example or limiting access to fisheries resources until the issue is addressed and resolved to the satisfaction of the Meeting of the Parties. Such recommendations shall take account of the relevant CCP’s responses

| Compliance Status | Criteria | Follow up action / Response |
| --- | --- | --- |
| Compliant | This compliance rating may be used where, following review, it is determined that there is no compliance issue with respect to the relevant obligation, including meeting-related deadlines, all requested information has been submitted in the appropriate formats and/or having investigated and appropriately addressed any alleged violations. | No further action required. |
| Non-compliant | This compliance rating may be used for cases such as:   1. Information or data has been submitted or reported in a way that is incomplete, incorrect, wrongly formatted or is otherwise insufficient. This could also refer to inadequate responses to the CCR which compromise the effectiveness of the CMS; 2. Failure to meet reporting or submission deadlines; 3. Failure to meet a SIOFA obligation, including implementation deadlines, which does not fall into the category of ‘critically non-compliant’. 4. Other actions or omissions that constitute an infringement of relevant obligations; | Determine if the relevant CCP has already taken appropriate action and/or if action is required.  If necessary, the Meeting of the Parties may make a recommendation on any action that may be required to respond to and rectify non-compliance, and/or improve implementation of relevant obligations. The Meeting of the Parties may also consider technical assistance or capacity building may be required. The CCP shall report on follow-up actions taken in advance of the next ordinary Meeting of the Parties. The relevant CCP shall commit to addressing the identified issue for the next compliance assessment period. |
| Critically non-compliant | This compliance rating may be used for cases such as:   1. Engaging in fishing in any areas closed to fishing by the Meeting of the Parties; 2. Repeated rating of non-compliant with the same obligation for the second consecutive year; 3. Failure to comply with previous CMS recommendations adopted by the Meeting of the Parties for two or more consecutively assessed years; 4. Repeated non-compliance with an obligation for two or more consecutively assessed years; 5. Exceeding the catch or effort limits established pursuant to paragraph 10(1) of CMM 2020/01, or any other catch or effort limits established by the Meeting of the Parties; 6. Failure to provide its annual CCR required by Article 10(2) of the Agreement or the National Report required by paragraph 9 of CMM 2022/02. | The Meeting of the Parties identifies remedial or follow-up actions to address instances of seriously non-compliance taking into account paragraph 2 of this Annex. The CCP shall report on follow-up actions taken to in advance of the next ordinary meeting of the Compliance Committee or Meeting of the Parties as appropriate. |
| Not assessed | This compliance rating may be used for cases where there is ambiguity in the relevant obligation, or that there is a technical impediment to compliance. | Meeting of the Parties to review relevant obligation, clarify the obligation and, if necessary, amend relevant provisions. |
| No compliance status assigned | This compliance rating may be used for cases of emergency relating to the safety of a ship and those on board, or safety of life at sea, which resulted in the a compliance issue. | No further action required. |

1. CMMs 2022/02, 2022/06, 2022/07, 2022/09, 2022/12, and 2022/13 came into force on the 7 October 2022. Therefore implementation of CMMs 2022/02, 2022/06, 2022/07, 2022/09, 2022/12, and 2022/13 must be assessed from the 1 January 2022 to the 6 October 2022, while the new CMMs must be considered from the 7 October 2022 to the 31 of December 2022. [↑](#footnote-ref-2)
2. As defined by Article 1(g) of the Agreement. [↑](#footnote-ref-3)
3. Collection of catch and effort data on an operational basis applicable only for handline fishing. [↑](#footnote-ref-4)
4. Data collected during Assessment period. [↑](#footnote-ref-5)
5. National Report provided to the Scientific Committee for its 2022 ordinary meeting. [↑](#footnote-ref-6)
6. Paragraph 3 of CMM 2019/07 [↑](#footnote-ref-7)
7. Applicable to all Contracting Parties, CNCPs and PFEs within the coastal States, which have areas of national jurisdiction adjacent to the Agreement Area and CCPs without ports for foreign vessels [↑](#footnote-ref-8)
8. including but not limited to synthetic ropes, synthetic fishing nets, plastic garbage bags and incinerator ashes from plastic products [↑](#footnote-ref-9)
9. Except as provided by paragraph 9 of this CMM [↑](#footnote-ref-10)
10. In the event of a technical failure or non-operation of the ALC fitted on board a vessel:

    a. the device shall be repaired or replaced within a month. After this period, the vessel shall not be authorised to begin a new trip with a defective ALC. If the trip is lasting more than one month, the repair or the replacement shall take place as soon as practicable after the vessel enters a port. If the ALC has not been repaired or replaced within 90 days, the CCP shall order the vessel to cease fishing, stow all fishing gear and return immediately to port in order to undertake repairs. The vessel shall not be authorised to begin a new trip without a ALC having been repaired or replaced; and

    b. the master of the fishing vessel shall manually communicate to its Fisheries Monitoring Centre (FMC), at least every four hours, reports by other means of communication (such as email, facsimile, telex, telephone message or radio). Such reports must include, *inter alia,* information required in paragraph 8 b. [↑](#footnote-ref-11)
11. Each CCP shall ensure that the ALCs fitted on board vessels flying its flag are tamper resistant, that is, are of a type and configuration that prevent the input or output of false positions, and that they are not capable of being over-ridden, whether manually, electronically or otherwise. To this end, the ALC must:

    a. be located within a sealed unit; and

    b. be protected by official seals (or mechanisms) of a type that will indicate whether the unit has been accessed or tampered with. [↑](#footnote-ref-12)
12. Applicable only where one or both vessels has been involved or intends to undertake fishing for fishery resource in the Agreement area during that trip, other than in the case of emergencies. [↑](#footnote-ref-13)
13. Applies to all fishing vessels of CCP, engaged in fishing operations in the SIOFA Area of Application (Agreement Area) for fishery resources as defined in article 1(f) of the Agreement, south of 25°S. [↑](#footnote-ref-14)
14. Applicable to all Contracting Parties, CNCPs and PFEs within the coastal States, which have areas of national jurisdiction adjacent to the Agreement Area and CCPs without ports for foreign vessels [↑](#footnote-ref-15)