

Report of the Ninth Meeting
of the Parties to the
Southern Indian Ocean Fisheries Agreement
(SIOFA)

Hotel le Récif, Saint-Gilles les Bains, la
Réunion / hybrid format

4 – 8 July 2022

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Agenda item 1 – Opening of the session

1. The SIOFA Chairperson, Mr Roy Clarisse (Seychelles), opened the meeting at 04:00 UTC and welcomed all delegates. The meeting was held in a hybrid format, with delegates attending in-person in Saint-Gilles les Bains, la Réunion, at the Hotel le Récif, or via videoconference.
2. The Chairperson invited Mr Éric Mévélec, Directeur de la mer Sud Océan Indien, representative of the Préfet de la région Réunion, to make a welcoming statement (Annex A).
3. The Chairperson made an opening statement (Annex B).
4. The Chairperson opened the floor for delegation introductions. The list of participants is available in Annex C.

Agenda item 1.1. Opening statements

5. Delegations were invited to provide opening statements. The opening statement provided by the Southern Indian Ocean Deepsea Fishers Association (SIODFA) is outlined in Annex D.

Agenda item 1.2. Practical arrangements for the meeting

6. The Executive Secretary explained the practical arrangements for the meeting.

Agenda item 1.3. Admission of observers

7. The Meeting of the Parties welcomed Comoros as a CNCP, and observers from India, South Africa, the United States of America, the Deep-Sea Conservation Coalition (DSCC), the Indian Ocean Commission (IOC) / Ecofish, the Joint Commission of the Seychelles-Mauritius Joint Management Area (JMA), and SIODFA.

Agenda item 1.4. Status of the Agreement

8. The Food and Agriculture Organisation of the United Nations (FAO) is the Depository of the Agreement. The FAO has provided an update on the Status of the Agreement in MoP-09-INFO-12 (Annex E), which confirms that there are 10 Contracting Parties, 1 Participating Fishing Entity and 5 signatories, including 1 Cooperating non-Contracting Party.
9. **The Meeting of the Parties NOTED the Status of the Agreement.**

Agenda item 1.5. Participation in decision-making

10. The Chairperson reminded the Meeting of the Parties that in accordance with Rule 14 of the Rules of Procedure, a contributor to the budget of the Meeting of the Parties, who at the time of the meeting is in arrears and that amount is equal to or exceeds the amount of contributions due from it for the preceding two full years or more, may not participate in the taking of decisions.
11. The Executive Secretary reported that all Contracting Parties and Participating Fishing Entities have paid their contribution for 2022. Therefore, all Contracting Parties and Participating Fishing Entities were entitled to participate in the taking of decisions during this meeting.

Agenda item 2 – Administrative arrangements

Agenda item 2.1. Adoption of the agenda

12. The Secretariat presented the revised provisional agenda (MoP-09-ADM-06). The Meeting of the Parties provided comments, based on which the Secretariat finalised the agenda. The agenda was adopted by the Meeting of the Parties (Annex F).
13. The Meeting of the Parties agreed to hold discussions under agenda item 6.3 on the cybersecurity audit, as well as the parts pertaining to the cybersecurity audit under agenda item 6.4 on the data and security-related workplan, as a closed session. The Meeting of the Parties agreed to hold discussions under agenda items 11.3.1, 11.4, and part of 11.5 on financial matters as a closed session.

Agenda item 2.2. Confirmation of Meeting Documents

14. The Executive Secretary advised that all meeting documents were available on the website and that the list of meeting documents is presented in MoP-09-ADM-05-rev7 (Annex G).
15. The European Union noted that the documents produced by the Compliance Committee and several documents related to administrative or financial matters had been labelled as restricted or confidential. The European Union noted that the Rules of Procedure do not include any provisions to suggest that documents produced by the Compliance Committee should be restricted and that, while Rule 20.2 of the Rules of Procedure provide that financial and administrative matters are to be discussed in a closed session, it does not require that the related documents be treated as restricted or confidential.
16. The Meeting of the Parties agreed, for the sake of transparency, to make publicly available a number of documents related to financial and administrative matters that were initially restricted (MoP-09-01 – 02; MoP-09-05 – 06; MoP-09-INFO-01 – 03; MoP-09-INFO-11; MoP-09-INFO-13), as they do not contain any sensitive information.

Agenda item 2.3. Appointment of rapporteurs

17. The Executive Secretary proposed Mr Alexander Meyer (Urban Connections, Tokyo) as rapporteur for the meeting.
18. The Meeting of the Parties agreed to appoint Mr Alexander Meyer as rapporteur.

Agenda item 3 – Intersessional decision-making

Agenda item 3.1. Review of intersessional decisions taken since MoP8

19. The Chairperson noted that, in accordance with Rule 13(12) of the Rules of Procedure, if any decision is taken intersessionally, the Executive Secretary shall include an agenda item on decisions taken at the following Meeting of the Parties. The decisions that were taken intersessionally were outlined in MoP-09-INFO-05.
20. **The Meeting of the Parties NOTED the summary of intersessional decisions contained in MoP-09-INFO-05.**

21. Japan and the Cook Islands thanked the Meeting of the Parties for its cooperation and understanding for the extension of the derogation from the requirements of scientific observer coverage stipulated in CMM 2020/01 (Interim management of bottom fishing), para 39 (a).

Agenda item 3.2. Standardised template for the reporting of intersessional decisions

22. The Executive Secretary presented MoP-09-03, which outlined a draft standardised template for reporting intersessional decisions developed by the Secretariat as requested in paragraph 22 of the Report of the 8th Meeting of the Parties. The template includes information on how many Contracting Parties and Participating Fishing Entities took part in the decision, an aggregation of replies received, and the outcome of the decision.
23. The Meeting of the Parties provided comments and the Secretariat presented a revised version (MoP-09-03-rev1).
24. **The Meeting of the Parties ADOPTED the standardised template for reporting intersessional decisions (Annex H).**

Agenda item 4 – Report of the Sixth Compliance Committee meeting

25. The Compliance Committee Chairperson, Mr Johnny Louys (Seychelles), presented a summary of the report of the sixth meeting of the Compliance Committee (CC6), which was held in a hybrid format on 29 June – 1 July 2022.
26. The Meeting of the Parties reviewed the CC6 report.
27. Concerning paragraph 25 of the CC6 report, the Secretariat presented the overall compliance status of the implementation of each obligation by CCPs, as requested by the Compliance Committee. The Meeting of the Parties considered the information provided and determined that, while it was useful to conduct the exercise as a trial, summarising the overall compliance status for each CMM as a percentage did not provide enough context and was not worthwhile conducting on a regular basis going forward.
28. **The Meeting of the Parties NOTED paragraph 26 of the CC6 report and considered the provisional Compliance Report (pSCR; MoP-09-02) under agenda item 4.1.**
29. **The Meeting of the Parties NOTED paragraphs 27 and 33-39 of the CC6 report regarding proposed amendments to CMMs and considered the proposals forwarded by the Compliance Committee under agenda item 8.**
30. **The Meeting of the Parties NOTED paragraph 41 of the CC6 report regarding high seas boarding inspection (HSBI) reports from France Territories.**
31. **The Meeting of the Parties NOTED paragraph 54 of the CC6 report regarding the divergent views of CCPs on the applicability of CMM 2021/14 and the Compliance Committee's request for guidance.**
32. The Meeting of the Parties held further discussions on the applicability of CMM 2021/14. Some CCPs were of the view that the measure applies to all CCPs' vessels engaged or suspected to be engaged in fishing activities in the SIOFA Area, and

suggested, as a way forward, to amend the HSBI questionnaire to address the practical concerns of CCPs regarding conducting HSBI for vessels not targeting species under SIOFA's competence in the SIOFA Area. Other CCPs explained that amending the HSBI questionnaire would not address their concerns, which were of a more fundamental nature, namely that, as expressed at CC6, CMM 2021/14 only applies to those vessels targeting species under SIOFA's competence in the SIOFA Area and to those registered on the SIOFA authorised vessels list and that it does not and cannot apply to tuna vessels targeting tuna which are listed on the IOTC vessel registry and operating within the framework of IOTC.

33. **The Meeting of the Parties NOTED paragraph 58-60 of the CC6 report regarding the IMULA 1655 MTR and the IMULA 1783 MTR, paragraph 62 regarding the IMULA 1844 MTR, paragraph 64 regarding the Mariam 1, and paragraph 66 regarding the El Shaddai, and held further discussions under agenda item 4.2.**
34. **The Meeting of the Parties NOTED paragraph 68 of the CC6 report regarding the current SIOFA IUU vessel list and the list of cross-listed IUU vessels from other RFMOs, and that the Compliance Committee had not made any recommendations to the Meeting of the Parties to remove any vessel from the current IUU vessel list.**
35. **The Meeting of the Parties NOTED paragraph 69 of the CC6 report regarding the provisional SIOFA IUU Vessel List and the recommendation to include the vessels from the provisional IUU vessel list (MoP-09-01) to the SIOFA IUU vessel list, and held further discussions under agenda item 4.2.**
36. **The Meeting of the Parties NOTED paragraph 70 of the CC6 report stating that there were no reports of sightings of vessels without nationality to the Secretariat.**
37. **The Meeting of the Parties NOTED paragraph 73 of the CC6 report regarding the port inspections summary.**
38. **The Meeting of the Parties NOTED paragraph 76-77 of the CC6 report regarding entry/exit notifications and ENDORSED the recommendation to adopt a reporting period for entry/exit notifications that is aligned with the compliance assessment period, i.e. based on the calendar year.**
39. **The Meeting of the Parties NOTED paragraphs 78-79 of the CC6 report regarding transshipment and at-sea transfer reports and ENDORSED the recommendation to adopt a reporting period for transshipment and at-sea transfers that is aligned with the compliance assessment period, i.e. based on the calendar year.**
40. **The Meeting of the Parties NOTED paragraph 82 of the CC6 report regarding Comoros' request for renewal of CNCP status and held further discussions under agenda item 13.1.**
41. **The Meeting of the Parties NOTED paragraph 85 of the CC6 report regarding India's application for CNCP status and held further discussions under agenda item 13.2. The Meeting of the Parties NOTED India's presence at the meeting.**
42. Concerning paragraph 87 of the CC6 report regarding the vacancy of the position of the Vice Chairperson of the Compliance Committee, Japan proposed Mr Ichiro Nomura for the position until the conclusion of the 11th Meeting of the Parties.

43. **The Meeting of the Parties ENDORSED the proposal and AGREED to appoint Mr Ichiro Nomura (Japan) as Vice Chairperson of the Compliance Committee.**
44. **The Meeting of the Parties NOTED paragraph 90 of the CC6 report regarding potential collaboration between SIOFA and the IOC.**
45. The Executive Secretary informed the Meeting of the Parties that the IOC has shared its strategic plan with the Secretariat, as requested by the Compliance Committee, and that the Secretariat has distributed this information to participants of the Meeting of the Parties via email.
46. **The Meeting of the Parties ADOPTED the report and recommendations of the CC6.**
47. **The Meeting of the Parties thanked Mr Johnny Louys for his smooth chairing of the meeting and his contributions to the work of the Compliance Committee.**

Agenda item 4.1. SIOFA Compliance Report (SCR)

48. The Chairperson noted that under paragraph 22 of CMM 2020/11 (Compliance Monitoring Scheme), the Meeting of the Parties is annually required to consider the pSCR and any comments from CCPs on their respective sections of the pSCR. The Meeting of the Parties shall adopt by consensus a Final SIOFA Compliance Report (fSCR) at its ordinary meeting in accordance with Article 8 of the Agreement and Rule 12 of the Rules of Procedure. The fSCR shall be based on the template at Annex III of CMM 2020/11 and shall include the elements listed in paragraphs 22(a)-(g) of CMM 2020/11.
49. The Secretariat presented the pSCR adopted by the Compliance Committee in CC6 Report Annex E outlining the decisions of the CC6 on the provisional compliance status for each CCP, for review by the Meeting of the Parties.
50. Regarding the assessment of the status of Chinese Taipei's compliance with the obligations stipulated in paragraph 14 of CMM 2019/10 (Monitoring), Chinese Taipei explained that it did provide entry/exit notifications within 24 hours as required by the CMM but there were anomalies in its entry/exit notifications due to a technical issue caused by the service provider for the automatic notification system used by Chinese Taipei's vessels. Chinese Taipei further explained that it took immediate action to resolve the issue once it became aware of it but that it was not made aware of it until much later and was therefore unable to provide the corrected entry/exit notifications via an alternative method. The Meeting of the Parties recognised that the anomaly was a technical issue, but several CCPs were of the view that a flag CCP is responsible for ensuring that any issues with the services it has contracted do not prevent it from complying with its obligations and that CCPs could not relinquish this responsibility to their service providers. Furthermore, they pointed out that Chinese Taipei had other means of fulfilling the obligation in question, even if there were issues with the automatic notification system. Based on the discussion, Chinese Taipei accepted a status of "non-compliant" on this matter. The Meeting of the Parties recognised that Chinese Taipei's response, once it became aware of the issue, was exemplary as it took action promptly, made every effort to address the issue, and has fully resolved it. **The Meeting of the Parties AGREED that the provisions of CMM 2020/11 (Compliance Monitoring Scheme) should be applied consistently going forward and that if any CCPs face issues of a similar nature and circumstances in future, they should be assessed as being non-compliant.**

51. Regarding the assessment of the status of Chinese Taipei's compliance with the obligations stipulated in paragraph 16 of CMM 2019/10 (Monitoring), Chinese Taipei explained that, when its longline fishing vessels operating in the Indian Ocean conduct at-sea transshipment with carrier vessels, there are IOTC observers onboard the carrier vessels, the observers submit their report to the IOTC Secretariat, and the IOTC Secretariat then distributes them to the flag CCPs concerned, which means it takes longer than 15 days to submit observer reports to the SIOFA Secretariat. Several CCPs pointed out the importance of applying the provisions of CMM 2020/11 consistently and that as this is a repeated instance of non-compliance over several years, the compliance status should be "critically non-compliant". Chinese Taipei explained that it had proposed a status of "non-compliant" in its self-assessment as there have been many similar instances in the past where, despite repeated instances of non-compliance, CCPs were deemed to have faced special circumstances and assessed a status of "non-compliant" rather than "critically non-compliant". However, based on the discussion, it accepted a status of "critically non-compliant" on this matter. **The Meeting of the Parties NOTED that Chinese Taipei's non-compliance was only with subparagraph g of paragraph 16. The Meeting of the Parties AGREED to consistently assess the status of "critically non-compliant" for cases of "repeated non-compliance with an obligation for two or more consecutively assessed years", as provided in CMM 2020/11, without exception going forward.**
52. **The Meeting of the Parties ADOPTED the fSCR outlined in Annex I.**

Agenda item 4.2. Listing of IUU fishing vessels

53. The Secretariat presented the Provisional IUU Vessel List (MoP-09-01) that was adopted by the Compliance Committee and noted there were two vessels on that list, the IMULA 1844 MTR and the El Shaddai, for consideration by the Meeting of the Parties.
54. Regarding the IMULA 1844 MTR, some CCPs were of the view that there was a lack of evidence to indicate that the vessel targeted SIOFA fishery resources, that Sri Lanka has taken effective action by prosecuting the vessel, and that the vessel should remain on the Draft SIOFA IUU Vessel List to give Sri Lanka more time to provide further information to SIOFA. Other CCPs were of the view that it is the responsibility of the flag State to prove that a vessel suspected of IUU fishing has not done so, that Sri Lanka has not provided adequate information to SIOFA despite being given much time to do so, that it is not clear if the vessel is being prosecuted in relation to the presumed case of IUU fishing or an unrelated matter, and that the vessel has not met the conditions for removal from the Provisional IUU Vessel List as provided in paragraphs 14 and 15 of CMM 2018/06 (IUU Vessel List).
55. The Meeting of the Parties requested the Secretariat to contact Sri Lanka and encourage it to provide the relevant information.
56. The Secretariat contacted Sri Lanka and received the reply that Sri Lanka was unable to attend the meeting because of a scheduling conflict and that the charge that has been brought against the IMULA 1844 MTR is "Conducting fishing operations without a valid fishing operation in high seas".
57. Some CCPs were in favour of including the vessel on the final SIOFA IUU Vessel List. One CCP objected to the inclusion.

58. **The Meeting of the Parties was unable to reach consensus to include the IMULA 1844 MTR on the final SIOFA IUU Vessel List.**
59. **The Meeting of the Parties noted that the IMULA 1844 MTR would remain on the Draft SIOFA IUU Vessel List for consideration by the Compliance Committee at its next meeting.**
60. **The Meeting of the Parties AGREED to include the El Shaddai on the final SIOFA IUU Vessel List.**
61. The Secretariat presented the current SIOFA IUU Vessel List.
62. **The Meeting of the Parties AGREED not to remove any vessel from the current SIOFA IUU Vessel List.**
63. **The Meeting of the Parties ADOPTED the SIOFA IUU Vessel List outlined in Annex J.**

Agenda item 5 – Scientific Committee (SC) and Report of the SC7

Agenda item 5.1. Presentation of the SC7 report

64. The Scientific Committee Chairperson, Mr Alistair Dunn, presented a summary of the report of the seventh Scientific Committee meeting (SC7), which was held virtually on 21 – 25 March 2022. The SC7 report is available as MoP-09-INFO-08.

Agenda item 5.2. Recommendations from SC7

65. The Meeting of the Parties reviewed the advice and recommendations of the SC7 report.
66. **The Meeting of the Parties ENDORSED the recommendations in paragraph 63 of the SC7 report regarding the annual national reports.**
67. Mauritius stated that it submitted its 2021 annual national report following SC7. Mauritius expressed its commitment to submit other outstanding reports as soon as possible. The Secretariat informed the Meeting of the Parties that it has received the 2021 annual national report from Mauritius.
68. **The Meeting of the Parties ENDORSED the recommendations in paragraph 64 of the SC7 report regarding the Overview of SIOFA fisheries and the fishery and ecosystem summary reports.**
69. The Secretariat presented MoP-09-15-rev1, which provided the updated Overview of SIOFA fisheries. The Overview of SIOFA fisheries summarises the last years of available fisheries data, as well as illustrates broad temporal trends in the main fisheries within the SIOFA area. It contains confidential information that has been highlighted and that will be removed from the publicly available version of the document.
70. **The Meeting of the Parties NOTED the progress with the Overview of SIOFA fisheries document.**
71. **The Meeting of the Parties NOTED the proposal of the SC that two versions are created, a public and a restricted one.**

72. **The Meeting of the Parties revised and ENDORSED the document (MoP-09-15-rev2) and the dissemination of the public version of the Overview.**
73. **The Meeting of the Parties ENDORSED paragraph 65 of the SC7 report regarding the independent audit of data security covering access, dissemination, and presentation of data. Upon considering CMM 2016/03 (Data Confidentiality), the Meeting of the Parties determined that the CMM may not need to be revised to enable the provision of finer-scale data for the purpose of scientific analyses, as it already defines finer-scale data and provides for the use of these data for the work of the SC and its working groups in paragraph 2e.**
74. **The Meeting of the Parties ENDORSED the recommendations in paragraph 171 of the SC7 report regarding the improvement of internal processes to ensure that consultants are provided with more up-to-date data and more time to conduct their work.**
75. **The Meeting of the Parties NOTED paragraph 172 of the SC7 report regarding Saya de Malha Bank.**
76. Mauritius and the Seychelles, as well as the JMA, reminded the Meeting of the Parties of the provisions of Article 77 of the United Nations Convention on the Law of the Sea (UNCLOS) as follows: “The coastal State exercises over the continental shelf sovereign rights for the purpose of exploring it and exploiting its natural resources. [These] rights are exclusive in the sense that if the coastal State does not explore the continental shelf or exploit its natural resources, no one may undertake these activities without the express consent of the coastal State. ... The natural resources in [Article 77] consist of the mineral and other non-living resources of the seabed and subsoil together with living organisms belonging to sedentary species, that is to say, organisms which, at the harvestable stage, either are immobile on or under the seabed or are unable to move except in constant physical contact with the seabed or the subsoil.” Mauritius and the Seychelles stated that, based on the above Article, bottom trawling, which seriously impacts on the sedentary species, corals and seagrass on the seabed or in the subsoil, is not permitted to take place on the Saya de Malha Bank without the express approval of the JMA, through which Mauritius and the Seychelles exercise their sovereign rights over the continental shelf.
77. Several CCPs disagreed with the assertion by Mauritius and the Seychelles that they have the right to approve or veto bottom fishing over the extended continental shelf of the Saya de Malha Bank. They reminded the Meeting of the Parties that Article 78 of UNCLOS states: “The rights of the coastal State over the continental shelf do not affect the legal status of the superjacent waters. The exercise of the rights of the coastal State over the continental shelf must not infringe or result in any unjustifiable interference with ... rights and freedoms of other States.” These CCPs pointed out that, therefore, when Mauritius and the Seychelles claim rights to allow or disallow bottom fishing over the Saya de Malha Bank, it would affect the legal status of the superjacent water and interfere with the right to fish on the high seas. They further stated that the legitimate exercise of rights over the extended continental shelf should not preclude the legitimate right of SIOFA CCPs to access the high seas of the SIOFA Area in accordance with the established measures and that SIOFA has the mandate to manage fisheries in this area.
78. Mauritius stated that the rights in Article 78 of UNCLOS should not be read as conflicting with the rights of Mauritius and Seychelles as per Article 77 of UNCLOS,

which rights have been confirmed by the United Nations Commission on the Limits of the Continental Shelf.

79. The JMA emphasised that it was not claiming rights over the superjacent waters, only the continental shelf and subsoil.
80. Thailand highlighted the importance of documenting species and fisheries effort distributions more precisely, both historically and in future, as well as understanding key elements of the ongoing fishing operations, to allow for evidence-based management decision-making and the formulation and implementation of appropriate management actions in the Saya de Malha Bank area, as endorsed by the SC.
81. The DSCC repeated its concern over the Saya de Malha Bank area, which is an ecologically important area having been designated as an ecologically or biologically significant area (EBSA) by the Convention on Biological Diversity.
82. **The Meeting of the Parties ENDORSED the recommendations in paragraph 173 of the SC7 report regarding seabird bycatch.**
83. The Meeting of the Parties discussed a proposal from France Territories for amending CMM 2019/13 (Mitigation of Seabirds Bycatch) under Agenda Item 8. This proposal aimed to reflect the recommendation by the SC that pelagic longliners operating in the SIOFA Area follow IOTC Resolution 12/06 on reducing the incidental bycatch of seabirds in (pelagic) longline fisheries.
84. **Regarding paragraphs 174-175 of the SC7 report on the Important Marine Mammal Area (IMMA) designation process and the obtaining of shape files for the IMMA regions, the Meeting of the Parties requested the Secretariat to seek further information about the required steps for participation in the IMMA designation process and present the information to the SC8 meeting and the 10th Meeting of the Parties.**
85. The Science Officer informed the Meeting of the Parties that it has obtained the shape files for IMMA regions as requested by the SC, and that these files are available to CCPs upon request.
86. **The Meeting of the Parties ENDORSED the recommendations in paragraph 176 of the SC7 report regarding defining fisheries, target species and bycatch species.**
87. **The Meeting of the Parties ENDORSED the recommendations in paragraph 177 of the SC7 report regarding deep-water sharks.**
88. Australia and the Cook Islands expressed concern regarding the very high level of shark bycatch occurring in the Agreement Area, particularly in sub-area 2. They highlighted the urgent need for action, noting that deepwater sharks taken in demersal longline fisheries included the greatest number of high-risk species, that shark bycatch is much higher than the catch of reported target species, and that there appears to be deliberate targeting of sharks. Australia thanked the European Union for offering to host a workshop on deep-water sharks and stated its expectation that the outcomes of the workshop would be urgently implemented at the Meeting of the Parties to drastically reduce the amount of shark bycatch. Australia and the Cook Islands noted that the SC report calls on CCPs to consider implementing interim measures in areas where vessels are experiencing high shark bycatch and the Cook Islands encouraged the adoption of legally binding, rather than voluntary, measures.

89. The European Union stated that it shared the concerns of Australia and the Cook Islands regarding the high level of shark bycatch. The European Union explained that it is implementing voluntary measures to address the issue and intends to strengthen measures further, as described in MoP-09-INFO-15, through enhanced data collection, precautionary measures, and the funding of additional research. The EU called on all CCPs to make their shark catch data available for this purpose.
90. The Cook Islands noted that MoP-09-INFO-15 did not include information about two measures that most studies indicate are the most effective way to reduce shark bycatch, namely the use of non-wire traces on longline snoods, as discussed also at the SC, and the use of non-fish bait. The Cook Islands encouraged the European Union to include these methods in future analyses.
91. The European Union explained that it had given consideration to potential gear modifications but that it would not be feasible to implement such measures in the timeframe envisaged in MoP-09-INFO-15. The European Union stated that potential gear modifications that could mitigate shark bycatch should be examined at next year's workshop on deep-water sharks given that the SC had noted that more detailed discussions need to be held on this issue.
92. The DSCC echoed the concerns expressed by Australia and the Cook Islands regarding the level of shark bycatch and expressed its support for the proposal that the European Union's vessel use non-wire traces, such as nylon ones, which is a proven measure for reducing shark bycatch that is used in other fisheries.
93. **The Meeting of the Parties ENDORSED the recommendations in paragraph 178 of the SC7 report regarding ecological risk assessment.**
94. **The Meeting of the Parties NOTED paragraph 193 of the SC7 report regarding vulnerable marine ecosystem (VME) encounter thresholds.**
95. **The Meeting of the Parties ENDORSED the recommendations in paragraph 194 of the SC7 report regarding VME mapping consultancy.**
96. **The Meeting of the Parties NOTED paragraph 199 of the SC7 report regarding the trawl and longline cumulative bottom fishing impact assessment (BFIA).**
97. **The Meeting of the Parties NOTED paragraph 220 of the SC7 report regarding annual catch and effort data submission.**
98. **The Meeting of the Parties NOTED paragraph 221 of the SC7 report regarding electronic monitoring.**
99. **The Meeting of the Parties ENDORSED the requests in paragraph 222 of the SC7 report regarding the development of a SIOFA Observer Code of Conduct.**
100. **The Meeting of the Parties discussed the scope of the SIOFA Observer Code of Conduct that is to be developed by the SC and AGREED that it would apply to scientific observer duties and not any compliance-related duties, which would fall within the remit of the Compliance Committee.**
101. **The Meeting of the Parties ENDORSED the recommendations in paragraph 223 of the SC7 report regarding the harmonisation of scientific observers' programmes.**
102. **The Meeting of the Parties NOTED paragraph 224 of the SC7 report regarding the holding of discussions on data reporting related to seabird bycatch**

mitigation measures at the proposed seabird data collection and bycatch mitigation measures workshop.

103. **The Meeting of the Parties NOTED paragraphs 231-232 of the SC7 report regarding the Monaco Explorations scientific expedition.**
104. The Executive Secretary informed the Meeting of the Parties that the research would be conducted by the AGULHAS-II, a vessel flagged to South Africa.
105. Mauritius informed the Meeting of the Parties that Mauritius and the Seychelles would engage in joint research with Monaco Explorations in October and November 2022.
106. **The Meeting of the Parties welcomed and expressed its support for the scientific expedition.**
107. **The Meeting of the Parties NOTED paragraph 254 of the SC7 report regarding the European Union's offer to host the SC8 and related meetings.**
108. **The Meeting of the Parties welcomed the offer and thanked the European Union.**
109. China suggested that, in light of continued uncertainty around the global COVID-19 situation, the meeting should be held in a hybrid format.
110. The Secretariat informed the Meeting of the Parties that the possibility of a hybrid format has been taken into account and is included in the funding considerations.

5.2.1. SIOFA bottom fishing footprint

111. **The Meeting of the Parties NOTED paragraphs 183-184 of the SC7 report regarding the SIOFA bottom fishing footprint**
112. The Science Officer presented MoP-09-12, which provided a historical and recent footprint map at 20' + 30' square resolution, which SC7 recommended the Meeting of the Parties to endorse in the interim, as well as another footprint map, obtained after checking CCPs footprints, as encouraged by SC7, and integrating these footprints with the existing map. The paper is intended to inform any additional discussion by the Meeting of the Parties on how to consider new fishing once the footprint map is agreed upon.
113. **The Meeting of the Parties ENDORSED the footprint recommended by SC7 in the interim.**
114. **The Meeting of the Parties REQUESTED that the SC work intersessionally to verify the footprint and resolve any potential discrepancies between the footprint produced by the Secretariat and the individual footprints produced by CCPs, discuss the results of the verification work at SC8, and present an updated footprint to the 10th Meeting of the Parties if necessary.**
115. **The Meeting of the Parties AGREED that any update of the footprint would include data up to and not beyond 2020.**
116. Mauritius expressed concern that its data were not incorporated in the footprint. The Science Officer clarified that the Compliance Committee determined that Mauritius' handline fishing does not constitute bottom fishing.
117. Mauritius, Seychelles, and the Joint Commission proposed that the area of the JMA should be displayed in the footprint. Support for the proposal was not received from other CCPs.

118. **The Meeting of the Parties AGREED to hold intersessional discussions on the policy aspects of operationalising the footprint and NOTED that the Cook Islands offered to lead the discussions.**
119. **The Meeting of the Parties AGREED to invite observers to participate in the discussions.**
120. The DSCC welcomed the development of the footprint. It urged CCPs to only undertake bottom fishing within the footprint. The DSCC also called on the Meeting of the Parties to develop a measure for new and exploratory fishing and to not allow any proposed bottom fishing outside the footprint until such a measure is in place.

5.2.2. Transparency and distribution of meeting documents

121. **The Meeting of the Parties NOTED paragraph 66 of the SC7 report regarding the proposed process for assessing and distributing SC meeting documents.**
122. The Meeting of the Parties considered MoP-09-10 which covered a broad range of issues associated with the distribution, access and publicization of Scientific Committee papers, including work prepared by consultants for the Scientific Committee.
123. The MoP welcomed the initiative to both improve the transparency of SC documents, to formalise the processes to request SC documents and to formalise the processes surrounding consultant scientific papers to ensure consistency with SIOFA's data confidentiality requirements.
124. **The MoP NOTED that any effort to operationalise access to SC papers needed to be consistent with CMM 2016-03. In that respect, the MoP NOTED that CMM 2016-03 on Data Confidentiality defined 'public domain data' and 'public domain catch and effort data', and agreed that, provided the conditions of paragraph 2(c) are met, any papers which displayed data meeting these definitions should be open to the public, publicly available on the SIOFA website and discussed in an open session. However, the MoP also NOTED that CMM 2016-03 requires that any papers displaying data at a finer scale of stratification would require permission before it could be displayed.**
125. **The MoP NOTED that finer scale data would be used for scientific analysis, and that the papers and presentation emanating from these analyses would need to display data at different scales, including through maps or images. The MoP also NOTED ongoing SC work concerning access to data. The MoP further NOTED that economic information or other sensitive information (such as data security information) may from time to time be presented in SC papers. The MoP AGREED it was important to balance transparency and data confidentiality and integrity.**
126. **The MoP AGREED it would be useful to develop a classification system for SC documents, as well as for other documents handled by the MoP more generally (including sensitive information or financial information) to determine how to handle documents of a more sensitive nature. However, the MoP also AGREED it was premature to determine which types of information or data would need to have restricted or confidential handling without advice from the SC.**
127. **Accordingly, the MoP AGREED to seek the following advice from the SC:**
 - 1) **Is the current definition of 'public domain data' and 'public domain catch and effort data' appropriate for public information, or could it be reduced to**

a finer scale (eg 1x1 degrees providing it is not possible to identify a single set)?

- 2) Is there merit in displaying finer levels of stratification, economic information with different controls – for example, different limitations on who can access papers that display finer scale data, where it is publicised or where it is discussed (open/closed sessions). What would the appropriate classifications be in this regard? Eg

Classification	Type of information or data	Access	Website access	Display in open or closed session?
<i>Tier 1: Public</i>	<i>Public domain data / public domain catch and effort data as defined in CMM 2016-03 Country-level economic information</i>	<i>Open access</i>	<i>Publicly available on SIOFA website</i>	<i>Open session</i>
<i>Tier 2: Restricted</i>	<i>1x1 degrees and does not identify a single fishing event;</i>	<i>CCPs? CCPs + Observers? External scientists under conditions?</i>	<i>Publicly available on SIOFA website? Restricted section of SIOFA website</i>	

- 3) Can economic information at the country-level be displayed provided it doesn't identify an individual company or other proprietary information?
- 4) What does the SC need to do with finer-scale data displayed in its Working Papers and Information Papers?
- 5) What problems, if any, has the SC or its observers encountered in terms of accessing SC papers or in the presentation and display of data in its papers?

128. The MoP **AGREED** to consider reviewing CMM 2016/03 at MoP10 on the basis of this advice. The MoP further **AGREED** that premise of Annexes A, C and G of MoP-09-10 could be revisited, if needed, in the context of the CMM review.

129. The MoP **ENDORSED** Annexes B, D, E and F of MoP-09-10, **NOTING** that these may also need to be reviewed in 2023.

5.2.3. Harvest Strategies

130. The Meeting of the Parties **ENDORSED** the recommendations in paragraph 170 of the SC7 report regarding the development of a harvest strategy roadmap.

131. The Meeting of the Parties **AGREED** that the holding of the harvest strategy pre-assessment workshop, as well as other workshops, should be done in a hybrid format to enable maximum participation, including by observers.

5.2.4. Management of Demersal Stocks

132. The Meeting of the Parties **NOTED** paragraph 92 of the SC7 report regarding the Walter's Shoal Ridge (WSR).

133. The Meeting of the Parties **NOTED** paragraph 93 of the SC7 report regarding the orange roughy stock assessments.

134. The Meeting of the Parties held further discussions on the stock assessment for the Long Eastern Ridge region.
135. Several CCPs noted that the next orange roughy stock assessment is scheduled to be held in the next 3-5 years and proposed holding the next stock assessment in 3 years' time.
136. France Territories expressed concerns and proposed that it would be important to also establish a catch limit for the management units in the Long Eastern Ridge region as a precautionary measure in the interim period before the next stock assessment.
137. The Cook Islands pointed out that the SC did not have confidence in the stock assessment for the Long Eastern Ridge region due to a lack of data. The Cook Islands further noted that in the WSR, the assessment and the future projections suggest that the stock status is and will continue to be healthy if catches remain at or below recent levels. It also pointed out that the fishery in the Long Eastern Ridge consists of only two vessels. The Cook Islands was therefore of the view that measures to constrain fishing levels are not urgently needed.
138. Japan expressed support for the Cook Islands' intervention.
139. **The Meeting of the Parties AGREED to hold the next orange roughy stock assessment in three years' time. With regard to the Long Eastern Ridge, the Meeting of the Parties agreed that the assessment should provide advice on whether and to what level fishing activity should be constrained, and whether catch and/or effort should be constrained.**
140. France Territories stated that the absence of data should not prevent the setting of limits on fishing levels as a precautionary measure.
141. The DSCC expressed its view that SIOFA should set precautionary catch limits for orange roughy until a reliable stock assessment is carried out and that there are options for setting precautionary catch limits based on the information presented in the SC report.
142. The Cook Islands informed the Meeting of the Parties that it has already changed the observer data collection protocols to collect additional age and growth data in this area (Long East Ridge) and suggested that the collection of such data over a few more years would hopefully provide better insight into the biology of the stock in that region and help to resolve some of the issues experienced in the 2022 assessment.
143. **The Meeting of the Parties NOTED paragraph 94 of the SC7 report regarding the orange roughy otolith-based age estimation work.**
144. **The Meeting of the Parties ENDORSED the recommendations in paragraph 95 of the SC7 report regarding the orange roughy growth, length-at-age, and maturity estimates.**
145. **The Meeting of the Parties ENDORSED paragraph 96 of the SC7 report regarding the orange roughy acoustic data processing project.**
146. **The Meeting of the Parties ENDORSED paragraph 108 of the SC7 report regarding the William's Ridge (WR) toothfish fishery.**
147. **The Meeting of the Parties NOTED paragraph 109 of the SC7 report regarding Del Cano Rise.**

148. Several CCPs expressed concern regarding the occurrence of toothfish fishing outside the Del Cano Rise management area that is effectively unmanaged and stressed the need to assess catch outside the management area and to set catch limits for SIOFA Area 3b.
149. The European Union stated that paragraph 10 of CMM 2020/01 (Interim management of bottom fishing) does not impose any restrictions on the spatial distribution of bottom fishing effort by longline vessels and that the toothfish fishery outside the Del Cano Rise applies the same management measures as those inside the Del Cano Rise. The European Union was of the view that it would be useful to develop a more streamlined approach to managing toothfish across the Area. The European Union also informed the Meeting of the Parties that it has made available a grant to support the SC's work related to Patagonian toothfish population spatial structure.
150. The United States and the DSCC echoed the concerns expressed by several CCPs regarding reports of toothfish harvest occurring in areas outside the toothfish management areas of SIOFA. The United States pointed out that harvest in areas with no established catch limits has implications for stock management within SIOFA as well as transboundary stock management with the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR). The United States and the DSCC hoped that the Meeting of the Parties and the SC would take up this issue and establish management measures for the relevant areas.
151. **The Meeting of the Parties NOTED paragraph 110 of the SC7 report regarding the SC's intention to consider management arrangements for toothfish at SC8.**
152. **The Meeting of the Parties NOTED paragraph 111 of the SC7 report regarding the CCAMLR-SIOFA workshop on the exchange of scientific Patagonian toothfish data, and ENDORSED the recommendations of the conveners' report (MoP-09-13) and the process for the exchange of scientific toothfish data between SIOFA and CCAMLR (Annex A and Annex B of MoP-09-13).**
153. The United States welcomed the holding of the workshop and its outcomes, and stated that it looked forward to productive exchanges between SIOFA and CCAMLR.
154. **The Meeting of the Parties ENDORSED the recommendation in paragraph 112 of the SC7 report and ENDORSED the toothfish tagging protocol outlined in MoP-09-INFO-09.**
155. **The Meeting of the Parties ENDORSED the recommendation in paragraph 114 of the SC7 report regarding alfonsino.**
156. France Territories expressed concern regarding the recent increase in the catch of alfonsino. France Territories highlighted the need to conduct a stock assessment as soon as possible and proposed implementing a catch limit or other management measures as a precautionary measure in the interim.
157. The Cook Islands pointed out that, while the recent increase in catch appears to be large, it has simply reverted from a historical low, when one vessel was fishing for only half a year, back to average levels. The Cook Islands agreed with conducting a stock assessment as soon as possible but did not think it was necessary to set a catch limit or other management measures.
158. Japan expressed support for the Cook Islands' intervention.

159. **The Meeting of the Parties AGREED to conduct a stock assessment of alfonso at SC8.**

Agenda item 5.3. Grants applications and other funding for SIOFA scientific work

160. The Secretariat presented the results of the application for EU funding to support the SC's activities. Two grants to support scientific research and the organisation of the 8th meeting of the SC were recently awarded to SIOFA, and the corresponding contracts signed between the Secretariat and the European Union. The Meeting of the Parties thanked the European Union for the support and funding.

Agenda item 5.4. Scientific Committee work plan and budget

161. The SC Chairperson presented MoP-09-11, which provided the draft SC workplan for 2022-2023, along with project priority scores assigned by SC Heads of Delegation.
162. The Meeting of the Parties reviewed and revised the draft SC workplan.
163. **The Meeting of the Parties ENDORSED the SC Workplan as outlined in MoP-09-11-rev1.**
164. The DSCC welcomed the discussion on the intersessional work for the coming year but expressed concern that greater priority was not being placed on work related to VMEs.
165. The Meeting of the Parties discussed the arrangement of the SC and future schedule for SC meetings in light of the fact that the positions of Chair and Vice-Chair of the Stock and Ecological Risk Assessment Working Group (SERAWG) and Vice-Chair of the Protected Areas and Ecosystems Working Group (PAEWG) remain vacant.
166. The Cook Islands proposed incorporating the discussions of the SERAWG and the PAEWG into a combined SC meeting in 2023 on a trial basis. Such a structure is employed by some other regional fisheries management organisations (RFMOs) and could be a more efficient process. It would also address the issue of the vacant Chair and/or Vice-Chair positions of the two working groups. Several CCPs expressed support for the proposal. The European Union and France Territories expressed hesitation as the proposal had not first been discussed by the SC. They requested that the SC assess this new arrangement and report on its effectiveness to the next Meeting of the Parties. The Cook Islands agreed that the SC's review would be important.
167. **The Meeting of the Parties AGREED to incorporate the discussions of the SERAWG and the PAEWG into a combined and extended meeting of the SC in 2023 on a trial basis. The Meeting of the Parties REQUESTED the SC to assess this alternative arrangement for organising its meetings, consider whether this or any other alternative arrangements without formal working groups may work more efficiently than the existing arrangement, and provide advice to the next Meeting of the Parties.**
168. **The Meeting of the Parties NOTED that the Secretariat had already received an EU grant to fund the 2023 SC meetings and REQUESTED that the Secretariat confirm with the EU whether the revised meeting schedule could still be covered by the aforementioned grant.**
169. The European Union expressed concern regarding the lack of engagement of CCPs in the work of the SC. While the new arrangement may address the issue of the

vacant Chair and/or Vice-Chair positions in the SERAWG and PAEWG, it would not solve the more fundamental issue of how to enhance the involvement of CCPs in the work of the SC. The European Union encouraged all CCPs to participate actively in the work of the SC.

170. The Cook Islands observed that SIOFA is a small RFMO, which limits how much small delegations can realistically achieve, but what is important is to ensure that delegations can contribute substantively to the science. The Cook Islands suggested that an effective way forward may be to stagger or reduce the number of ongoing scientific processes.
171. Based on a request from the Meeting of the Parties, the SC Chairperson presented a summary of proposed Scientific Committee intersessional workshops for 2022 with tentative dates, leads, and workshop chairs (Annex K).
172. The Meeting of the Parties agreed to hold further discussions on the dates, leads and workshop chairs intersessionally.
173. **The Meeting of the Parties ENDORSED the recommendation in paragraph 257 of the SC7 report regarding extending the term of Mr Alistair Dunn as SC Chairperson.**
174. **The Meeting of the Parties NOTED information paper MoP-09-INFO-10, which provided an update on progress from the SC on its advice to the Meeting of the Parties as required by SIOFA CMMs.**
175. **Following its review, the Meeting of the Parties ADOPTED the report of the 7th meeting of the Scientific Committee (MoP-09-INFO-08).**
176. **The Meeting of the Parties expressed its thanks to the SC Chairperson, Mr Alistair Dunn, the SC Vice-Chairperson, Dr Sebastián Rodríguez Alfaro (European Union), and the Chair of the PAEWG, Mr Patrice Pruvost (France Territories).**
177. **The Meeting of the Parties also expressed special thanks to Dr Tom Nishida (Japan) for his many years of contribution to SIOFA as a member of the SC, formerly as the Vice-Chairperson of the SC, and as the Chair of the SERAWG and the former Stock Assessment Working Group.**

Agenda item 6 – Data and Security

Agenda item 6.1. Annual data submission report

178. The Data Officer presented MoP-09-INFO-07 regarding the data submitted by CCPs in 2021 (for 2020 fishing activities) according to the requirements of CMM 2021/02 on data standards.
179. **The Meeting of the Parties NOTED information paper MoP-09-INFO-07.**

Agenda item 6.2. Examination of audit report on “SIOFA Access, dissemination and presentation of data”

180. The Consultant, Ross Analytics, presented MoP-09-08, which provided the results of the SIOFA independent audit of data security: access, dissemination and presentation

of data, and MoP-09-16, which included a draft standard operating procedure for data use and data requests.

181. The Meeting of the Parties held further discussions on the findings and recommendations of the audit report (MoP-09-08) during the drafting of the data and security work plan under agenda item 6.4.
182. The Meeting of the Parties reviewed the advice for a SIOFA standard operating procedure for data use and data requests.
183. **The Meeting of the Parties ADOPTED a SIOFA standard operating procedure for data use and data requests (Annex L).**

Agenda item 6.3. Examination of audit report on “SIOFA Cybersecurity”

184. Orange Cyber Defense presented the cybersecurity audit report (MoP-09-09a), the proposed cybersecurity audit restitution plan (MoP-09-09b-rev1), the proposed SIOFA information security system protocol (MoP-09-09c), and the proposed procedure for securing SIOFA IT assets (MoP-09-09d).
185. The Meeting of the Parties held further discussions on the findings and recommendations of the cybersecurity audit (MoP-09-09a, MoP-09-09b-rev1, MoP-09-09c, MoP-09-09d) during the drafting of the data and security work plan under agenda item 6.4.

Agenda item 6.4. Data and security related draft work plan

186. The Data Officer presented MoP-09-07, which provided a data and security related draft work plan based on the findings of the data and cybersecurity audits, and the associated budget considerations.
187. The Meeting of the Parties reviewed and revised the data and security related draft work plan (MoP-09-07-rev1). **The Meeting of the Parties ADOPTED the finalised data and security-related work plan.**

Agenda item 7 – Interim bottom fishing measures

Agenda item 7.1. States or fishing entities that became CCPs before The Meeting of the Parties in 2017 –revision to measures established under paragraph 10 of 2020/01

Agenda item 7.2. States or fishing entities that became CCPs after The Meeting of the Parties in 2017 – approval of measures pursuant to paragraph 28 of 2020/01

188. No interim bottom fishing measures were submitted under agenda item 7.
189. The DSCC urged the Meeting of the Parties to replace the interim measure with a permanent measure that is aligned with United Nations General Assembly Resolution 61/105 and the FAO’s latest guidelines on VME.

Agenda item 8. Review and amendment of Conservation Management Measures (CMMs) currently in force

Agenda item 8.1. Amendment to CMM 2019/10 (Monitoring) proposed by Chinese Taipei

190. The Meeting of the Parties noted that Chinese Taipei had withdrawn its proposal during the discussions at CC6.

Agenda item 8.2. Amendments to CMM 2021/02 (Data Standards)

191. The Secretariat explained that the Compliance Committee had proposed amendments to both paragraph 5 and paragraph 8 of CMM 2021/02 and had merged these into one document, Annex F of the CC6 Report. The Compliance Committee recommended that the Meeting of the Parties adopt the proposed amendments to paragraph 8 and continue work on the proposed amendments to paragraph 5.
192. **The Meeting of the Parties reviewed and revised the proposed amendments, and ADOPTED the amendments to CMM 2021/02 (Annex M).**

Agenda item 8.3. Amendment to CMM 2019/07 (Vessel Authorisation) proposed by Korea

193. Korea presented MoP-09-18-rev1, its proposal to amend CMM 2019/07 (Vessel Authorisation). Korea noted that the Compliance Committee recommended that the Meeting of the Parties continue work on the proposed amendments.
194. **The Meeting of the Parties reviewed and revised the proposed amendments, and ADOPTED the amendments to CMM 2019/07 (Annex N).**

Agenda item 8.4. Other amendments and recommendations to CMMs following CC6 discussion

195. **The Meeting of the Parties ADOPTED the amendment to CMM 2018/06 (IUU Vessel List) outlined in Annex G of the CC6 Report (Annex O).**
196. **The Meeting of the Parties ADOPTED the amendments to CMM 2018/09 (Control) outlined in Annex H of the CC6 Report (Annex P).**
197. **The Meeting of the Parties ADOPTED the amendments to CMM 2019/12 (Sharks) outlined in Annex J of the CC6 Report (Annex Q).**

Agenda item 8.5. Amendment to CMM 2019/13 (Seabirds)

198. France Territories presented Annex K of the CC6 Report, a proposal to amend CMM 2019/13 (Mitigation of Seabirds Bycatch) to reflect the recommendation made by the SC (SC7 Report, para 141) that “pelagic longliners operating in the SIOFA Area follow IOTC Resolution 12/06 on reducing the incidental bycatch of seabirds in longline fisheries”. France Territories noted that the Compliance Committee recommended that the Meeting of the Parties adopt the proposed amendments.
199. **The Meeting of the Parties reviewed and revised the proposed amendments, and ADOPTED the amendments to CMM 2019/13 (Annex R).**

Agenda item 9 – New CMMs

200. No proposals for new CMMs were received.

Agenda item 10 – Performance review of SIOFA

201. The European Union and the Cook Islands presented MoP-09-20, a joint proposal for a first review of SIOFA's performance over its first ten years, including terms of reference, to ensure that it achieves the objectives set out in Article 2 of the Agreement. The review is to be conducted in the 2022-2023 intersessional period. The European Union indicated that it would be able to provide funding for conducting the Performance Review, if it is approved by the Meeting of the Parties.
202. The DSCC welcomed the performance review process but suggested the process would be improved with the engagement of SIOFA observers through nominees on the review panel. The DSCC noted that the recent performance reviews undertaken by CCAMLR and the South Pacific Regional Fisheries Management Organisation (SPRFMO) had included a nominee on each organisation review panel. The nominees were each jointly nominated by the observers at each organisation. The proponents confirmed that MoP-09-20 already provided a way for observers to participate in the process.
203. **The Meeting of the Parties AGREED to conduct the first Performance Review of SIOFA.**
204. **The Meeting of the Parties revised and ADOPTED the terms of reference for the first Performance Review of SIOFA as outlined in MoP-09-20-rev1 (Annex S).**
205. **The Meeting of the Parties thanked the European Union for providing funding for the Performance Review.**

Agenda item 11 – Secretariat administration

Agenda item 11.1. Report on the Secretariat activities (since MoP8)

206. The Executive Secretary presented a report (MoP-09-INFO-01) on staff resources and Secretariat activities prepared in accordance with Rule 8.1(f) and 8.1(g).
207. **The Meeting of the Parties NOTED the report on the Secretariat activities in MoP-09-INFO-01.**
208. The Meeting of the Parties expressed its appreciation to the Secretariat for its great efforts and high-quality work over the past year.

11.1.1. New premises for the Secretariat

209. The Executive Secretary presented MoP-09-INFO-04, which provided a report on potential new premises for the SIOFA Secretariat.
210. **The Meeting of the Parties NOTED the report on potential new premises for the SIOFA Secretariat in MoP-09-INFO-04 and encouraged the Secretariat to**

continue to work with authorities from the host country to identify suitable new premises as soon as possible.

Agenda item 11.2. Long-term plan scenarios for the structured development of the Secretariat's capabilities and budget

211. The Executive Secretary presented MoP-09-05, which provided a long-term plan for the structured development of the Secretariat's capabilities, as requested by the eighth Meeting of the Parties.
212. **The Meeting of the Parties NOTED the long-term plan for the structured development of the Secretariat's capabilities in MoP-09-05 and held further discussions under agenda item 11.4.**
213. **The Meeting of the Parties AGREED to retain the Data Officer position and strengthen the Secretariat by adding a Compliance Officer.**
214. **The Meeting of the Parties AGREED to strengthen the Secretariat with 6-month internships.**

Agenda item 11.3. Financial Status

11.3.1. Update on the 2020 fraud

215. The Executive Secretary informed the Meeting of the Parties that China has paid its contribution for 2022 and continues to work with the Secretariat and the authorities to recover the funds for its 2019 and 2020 contributions and remit them to SIOFA.
216. China reiterated its commitment to work closely with the Secretariat to resolve the situation, which is progressing slowly due to the unprecedented and judicial procedures involved. China stated that it would notify the Secretariat once it has been able to recover the funds and remit them to the Secretariat as soon as possible. China stated that it would keep the Meeting of the Parties up to date on the situation and thanked it for its kind understanding. China informed the Meeting of the Parties that further details could be found in information paper MoP-09-INFO-14.

11.3.2. Financial report

217. The Executive Secretary presented a report on financial resources (outlined in MoP-09-INFO-02) to the Meeting of the Parties with an overview of the budget position, annual financial statements and any funds held in reserve (outlined in MoP-09-INFO-03), in accordance with Regulation 9.1 of the Financial Regulations and Rule 8(1)(f).
218. **The Meeting of the Parties NOTED the Report on Financial Resources provided by the Secretariat in MoP-09-INFO-02.**

11.3.3. External Auditor report

219. The external auditor, Mr Arnaud Fayol, confirmed that, in all material respects, SIOFA's financial position as at 31 December 2021 and its financial performance for the year then ended are in compliance with SIOFA Financial Regulations.
220. **The Meeting of the Parties NOTED the annual financial statements and the Auditor's report presented in MoP-09-INFO-03.**

Agenda item 11.4 SIOFA Budget

11.4.1. Mid-year budget tracking paper with the actual expenditure

221. The Secretariat was requested, at the eighth Meeting of the Parties, to prepare a mid-year budget tracking paper with the actual expenditure at future Meetings of the Parties.
222. **The Meeting of the Parties NOTED the mid-year budget report provided by the Secretariat in MoP-09-INFO-11.**
223. **During its discussions on the mid-year budget report, the Meeting of the Parties NOTED the need for greater clarity on how entitlements and allowances for staff members who remain in a duty station but under a separate contractual arrangement should be treated, and the potential need to amend the SIOFA Staff Regulations to clarify any ambiguity on this matter.**
224. **The Meeting of the Parties AGREED to hold further discussions intersessionally.**
225. **The Meeting of the Parties REQUESTED the Executive Secretary to consult with the United Nations Secretariat regarding United Nations practice in such cases and to provide advice to inform the Meeting of the Parties' intersessional discussions.**

11.4.2. 2023 provisional budget

226. The Secretariat presented the draft SIOFA 2023 budget in MoP-09-06-rev2. CCPs requested clarifications and modifications to the draft 2023 budget, and the Meeting of the Parties incorporated those changes in the 2023 budget as outlined in Annex T.
227. With regard to the calculation of the annual contribution, one CCP pointed out that when a CCP does not provide any catch information, the Secretariat should consider its catch based on the average of its previous four years or the catch in the previous year.

11.4.3. 2024 forecast budget

228. The Secretariat presented the 2024 forecast budget in MoP-09-06-rev2. CCPs requested clarifications and modifications to the 2024 forecast budget, and the Meeting of the Parties incorporated those changes in the 2024 forecast budget as outlined in Annex T.

11.4.4. Adoption of the budget

229. **The Meeting of the Parties ADOPTED the 2023 budget (Annex T), in accordance with Financial Regulation 3.7.**

Agenda item 11.5. Procedure for the appointment of SIOFA Executive Secretary

230. The European Union and the Cook Islands presented MoP-09-21-rev1, a joint proposal for establishing a procedure for the appointment of a SIOFA Executive Secretary.
231. **The Meeting of the Parties reviewed and revised the proposal as outlined in MoP-09-21-rev2. The Meeting of the Parties ADOPTED the procedure for the appointment of a SIOFA Executive Secretary (Annex U).**
232. Following the adoption of the procedure, the Meeting of the Parties held a closed session attended only by Heads of Delegation to engage in preliminary discussions on reappointing the incumbent Executive Secretary or initiating the process as agreed for appointing the Executive Secretary.

The Chairperson of the Meeting of the Parties confirmed that, after discussions, the Executive Secretary indicated his interest for a second term.

233. **The Meeting of the Parties AGREED to reappoint the incumbent Executive Secretary, Mr Thierry Clot, for another term.**
234. The Executive Secretary sincerely thanked the Meeting of the Parties for his reappointment. He also expressed his gratitude for the support he had received during his current term from CCPs and the Secretariat, as well as the temporary staff who had assisted with the organising of the CC6 and the ninth Meeting of the Parties.

Agenda item 11.6. Discussion on SIOFA Staff Regulation

235. The Executive Secretary noted an error in the statutory holidays described in Annex A of the Staff Regulations and proposed that they be amended. Specifically, Annex A suggests that Ascension Day falls on May 8, when in fact Ascension Day and May 8 are separate holidays and should be listed as such.
236. **The Meeting of the Parties ENDORSED the proposed amendment to the Staff Regulations (Annex V).**
237. The Executive Secretary sought clarification from the Meeting of the Parties that Regulation 11 of the Staff Regulations allows him, with the approval of the Meeting of the Parties or the SIOFA Chairperson, to recruit temporary personnel to conduct a specific task and that such tasks need not be related to the holding of meetings.
238. The Meeting of the Parties advised that Regulation 11 permits both the hiring of temporary staff to support the Secretariat and the contracting of services from service providers, and that there needs to be a consistent and clear understanding of the distinction between the two. The Meeting of the Parties suggested that, if further clarity is needed, it could review and consider amending the Regulation at its next meeting.

Agenda item 12 – Cooperation with other RFMOs, international bodies and other relevant matters

Agenda item 12.1. Southwest Indian Ocean Fisheries Commission (SWIOFC)

239. The Executive Secretary informed the Meeting of the Parties that the SIOFA Secretariat attends meetings of SWIOFC where possible. At a recent plenary meeting of SWIOFC, the SIOFA Executive Secretary and the SWIOFC Executive Secretary invited SWIOFC participants who are also coastal states of the SIOFA Area to participate in SIOFA.

Agenda item 12.2. CCAMLR

240. The Executive Secretary informed the Meeting of the Parties that collaboration between SIOFA and CCAMLR continues to be active, including the holding of a joint workshop on the exchange of scientific toothfish data as outlined in MoP-09-13.

Agenda item 12.3. IOTC

241. The Executive Secretary presented MoP-09-22, a draft instrument for collaborative arrangements between the IOTC and the SIOFA Meeting of the Parties received from the IOTC.
242. **The Meeting of the Parties reviewed the document and expressed disappointment that it does not align with its intention to conclude a non-legally binding arrangement and does not contain the content included in the letter of intent (LOI) that the Secretariat sent to the IOTC following the eighth Meeting of the Parties (Annex P, MoP8 Report).**
243. **The Meeting of the Parties AGREED to seek to conclude a cooperative arrangement with the IOTC, and not the FAO, that is aligned with the aforementioned LOI or a Memorandum of Understanding (MOU) that is similar to the MOUs that SIOFA has concluded with CCAMLR and the Agreement on the Conservation of Albatrosses and Petrels (ACAP), i.e. an equal partnership.**
244. **The Meeting of the Parties AGREED to work intersessionally with the IOTC on the draft text and tasked the Secretariat to liaise with the IOTC Secretariat to this end.**

Agenda item 12.4. FAO ABNJ Deep-seas Project

245. The Executive Secretary informed the Meeting of the Parties that the Secretariat is in communication with the FAO ABNJ Deep-seas Project and staying up to date on the latest developments.

Agenda item 12.5. FAO Regional Fishery Body Secretariats' Network (RSN)

246. The Executive Secretary informed the Meeting of the Parties that the Secretariat will attend the 9th meeting of RSN in September 2022.

Agenda item 12.6. FIRMS

247. The Data Officer informed the Meeting of the Parties that he attended a Fisheries and Resources Monitoring System (FIRMS) technical workshop virtually and that work to define the data provision arrangements between SIOFA and FIRMS is ongoing.

Agenda item 13 –Cooperating non-Contracting Parties

248. The Meeting of the Parties annually considers applications for the status of cooperating non-Contracting Party (CNCP), consistent with Rule 17 of the SIOFA Rules of Procedure, including to determine whether existing CNCPs qualify to retain their status. The Compliance Committee also ordinarily reviews these applications.
249. In 2021, consistent with Rule 17(1), the Executive Secretary wrote to the following non-Contracting Parties who undertake fishing activities in the Agreement Area to invite them to cooperate with the Meeting of the Parties by acceding to the Agreement or applying to the Meeting of the Parties for the status of a CNCP: Tanzania, Somalia, Mozambique, Madagascar, Kenya, Maldives, and South Africa.

250. The Meeting of the Parties acknowledged that Comoros had written to the Secretariat on 10 May 2022 to apply to retain its CNCP status (MoP-09-14).
251. **The Meeting of the Parties AGREED that Comoros qualifies to retain its CNCP status in 2022. The Meeting of the Parties requested Comoros to strengthen its efforts to fulfil the SIOFA reporting and other requirements.**
252. Comoros thanked the Meeting of the Parties for renewing its CNCP status and expressed its intention to strengthen its efforts to fulfil SIOFA requirements, as requested by the Meeting of the Parties. Comoros also expressed its appreciation for the Secretariat's support and welcomed suggestions from CCPs for ways in which it can strengthen its compliance with the Agreement and the CMMs.
253. The Meeting of the Parties acknowledged that India had written to the Secretariat on 2 May 2022 to apply for CNCP status (MoP-09-25). The Compliance Committee noted that India did not participate in the CC6 meeting and could not respond to questions regarding its application. The Compliance Committee was therefore unable to assess the application.
254. India took the opportunity to make an opening statement (Annex D).
255. In response to a query from the European Union, India explained that it intends to fish for SIOFA fishery resources in the future, but has not determined which species would be targeted and currently lacks the capacity to do so.
256. **The Meeting of the Parties AGREED that India qualifies for CNCP status in 2022. The Meeting of the Parties welcomed India's participation in SIOFA as a CNCP and encouraged it to make thorough efforts to fulfil the obligations under the Agreement and the SIOFA CMMs.**
257. India thanked the Meeting of the Parties and reiterated its commitment to fulfilling the obligations under the Agreement and the SIOFA CMMs.

Agenda item 14 – Application of Art.13.4(e) of the Agreement

258. The Cook Islands presented MoP-09-23, a proposal for an amendment to Regulation 3.4 and a new Annex I to the Financial Regulations to enable the adoption of the guidelines referred to in Financial Regulation 7.9 to administer funds dedicated for the purposes outlined in Article 13.4 of the Agreement.
259. The Meeting of the Parties reviewed and revised the proposal. **The Meeting of the Parties ENDORSED the amendment to Regulation 3.4 and the addition of a new Annex I to the SIOFA Financial Regulations (Annex W).**

Agenda item 15 – Future Chairing arrangements

Agenda item 15.1. Chairperson Scientific Committee and co-Chairpersons of its working groups

260. **The Meeting of the Parties AGREED to extend the term of Mr Alistair Dunn as SC Chairperson.**

Agenda item 15.2. Chairperson and Vice-Chairperson of the Compliance Committee

- 261. **The Meeting of the Parties NOTED that Compliance Committee Chairperson, Mr Johnny Louys, is entering the second year of his second term.**
- 262. **The Meeting of the Parties AGREED to appoint Mr Ichiro Nomura as Vice Chairperson of the Compliance Committee.**

Agenda item 15.3. Chairperson and Vice-Chairperson of the Meeting of the Parties

- 263. **The Meeting of the Parties AGREED that Mrs Geereesha Topsy-Sonoo (Mauritius) would serve as its Chairperson and Ms Soobin Shim (Korea) would serve as its Vice-Chairperson from the conclusion of the ninth Meeting of the Parties to the conclusion of the 10th Meeting of the Parties.**

Agenda item 16 – Any other business

- 264. China raised a question regarding the boundaries of the SIOFA Area and reiterated its interest in bringing its fleets operating in the Sea of Arabia, just north of the SIOFA Area, under the management of an RFMO in order to ensure long-term conservation and sustainable utilisation of fishery resources in this region.
- 265. The Meeting of the Parties suggested that China submit a more detailed inquiry in writing.

Agenda item 17 – 2023 meeting arrangements

- 266. **The Meeting of the Parties AGREED that the seventh meeting of the Compliance Committee will take place from 28 June to 30 June 2023 and the 10th Meeting of the Parties will take place from 3 to 7 July 2023.**
- 267. **Mauritius confirmed its intention to host the seventh meeting of the Compliance Committee and the 10th Meeting of the Parties.**
- 268. **The Meeting of the Parties AGREED that the joint MoP-SC workshop on harvest strategy pre-assessment will take place from 17 to 18 March 2023, the workshop on deepwater sharks in the SIOFA Area will take place from 20 to 21 March 2023, and the eighth meeting of the SC will take place from 22 to 31 March 2023, in Tenerife, Spain.**

Agenda item 18 – Adoption of the report

- 269. **The Meeting of the Parties ADOPTED the report of its ninth ordinary meeting at 12:35 p.m. UTC, 8 July 2022.**

Agenda item 19 – Meeting closure

270. The Meeting of the Parties recognised the exemplary work of the rapporteur and the interpreters for their contribution to the success of this meeting. The Meeting of the Parties thanked the Secretariat for the organisation and the smooth running of the meeting. The Meeting of the Parties thanked the Chairperson for his excellent chairing of the meeting.
271. The meeting was closed at 12:35 p.m. UTC, 8 July 2022.

Discours d'ouverture de la 9^{ème} Réunion des Parties de l'Accord des pêches du sud de l'océan Indien (APSOI-SIOFA)

Eric Mévélec, directeur de la mer sud océan Indien,
représentant Monsieur le préfet de La Réunion

Saint-Gilles – lundi 4 juillet 2022

Monsieur le président de la réunion des parties, (Roy Clarisse, Seychelles)

Mesdames et Messieurs les chefs de délégations,

Monsieur le secrétaire exécutif, cher Thierry,

Mesdames et Messieurs,

Au nom du Gouvernement français et du préfet de La Réunion Jacques Billant, j'ai l'honneur et le grand plaisir de vous souhaiter la bienvenue sur l'île de La Réunion pour cette 9^{ème} réunion des parties de l'APSOI. Grand plaisir de voir reprendre, après ces deux années compliquées par le COVID 19, un cycle de réunions de travail et de rencontrer les délégués qui ont pour certains fait un long voyage pour arriver à La Réunion. Je pense par exemple au délégué des îles Cook ; ayant moi-même eu la chance de travailler précédemment dans l'océan Pacifique, je me figure parfaitement ce que représente un tel voyage.

La France s'est proposée en 2015 d'héberger le siège de l'APSOI et a déjà pu accueillir la réunion des parties en 2016. Je félicite le secrétariat exécutif pour la parfaite gestion logistique de cette réunion des parties et du comité de conformité qui s'est tenu la semaine dernière. Cela est déjà la marque d'un fonctionnement bien rodé et d'une organisation pleinement efficace.

C'est aussi un grand honneur de pouvoir accueillir cette réunion car elle se tient à un moment important de la vie de l'organisation. Nous venons de fêter le 10^{ème} anniversaire de l'entrée en vigueur de l'accord, le 21

juin 2012, suite à la ratification de l'accord par l'Australie, dont je salue la délégation par visioconférence.

Le moment est également important car il s'inscrit dans un agenda international particulièrement intense sur les questions de gouvernance des océans et de gestion de leurs ressources.

Plusieurs rendez-vous récents ont rappelé que nous vivons un moment sans doute historique de transition vers davantage de durabilité et de respect de l'environnement.

Je pense en premier lieu au rapport de la FAO sur la situation mondiale des pêcheries, qui rappelle la situation globale de surcapacité des flottes de pêches et de surexploitation des ressources, et appelle à engager la « transformation bleue », c'est-à-dire une accélération et une intensification des actions pour un secteur des pêches qui soit durable et inclusif, pour contribuer sur le long terme à la sécurité alimentaire du monde et œuvrer à la restauration et à la conservation des habitats et de la biodiversité marine.

La conférence des Nations-Unies sur les océans qui s'est tenue la semaine dernière à Lisbonne, à la suite de celle de Brest, en France, en février, a également permis de porter quelques messages forts dans l'agenda international. Permettez-moi de reprendre les propos du président de la République français et les ambitions qu'il a pu partager:

- pour la conservation des écosystèmes marins les plus fragiles comme les herbiers et les coraux et la protection de 30 % de la surface des océans,
- pour la lutte contre la pêche illégale, non déclarée et non réglementée dite INN sur tous les océans, véritable fléau, connexe ou parfois générateur d'autres trafics de nombreuses natures, également répréhensibles ;
- pour la réduction résolue des pollutions plastiques,
- pour la décarbonation rapide des différentes activités maritimes, dont bien sûr la pêche.

L'actualité récente nous ramène également à l'accord de l'OMC, le 17 juin, sur les subventions à la pêche, le premier Accord de l'OMC qui s'articule autour d'un objectif essentiellement environnemental. Il décline, enfin, l'ODD 14.6. Après plus de 20 ans de négociations, les Membres sont convenus de réduire les subventions publiques annuelles, estimées à 22 milliards de dollars US, qui contribuent à l'épuisement des ressources marines. L'accord interdit ainsi les subventions qui contribuent à la pêche INN, à la pêche en haute mer non réglementée et à la pêche visant les stocks surexploités.

Ces exemples nous rappellent que ce qui est fait au sein de l'APSOI se situe à un niveau élevé dans les priorités de nos dirigeants et répond à un large consensus sur l'orientation à donner au secteur des pêches, vers davantage de coopération entre les parties prenantes, une meilleure prise en compte de la biodiversité marine et de son écosystème ainsi que des communautés côtières. Ces ambitions renouvelées appellent des résultats concrets et rapides.

Le travail conduit par l'APSOI lors de ces dernières années est déjà exemplaire, et pour cela, je vous en félicite. La jeunesse de l'organisation lui aura peut-être permis d'avancer résolument alors que les discussions peuvent être plus difficiles dans d'autres enceintes. Je salue cet esprit de recherche du consensus qui vous anime.

L'ordre du jour de cette session est particulièrement riche. Je ne doute pas que les travaux aboutiront cette année à des avancées. Quelques points ont retenu mon attention.

Sur le plan de la connaissance de la ressource et des écosystèmes, je tiens à saluer l'engagement de chaque partie contractante à améliorer de manière continue la qualité des données transmises, à faire progresser le taux de couverture des opérations de pêche par des observateurs ainsi que l'identification des espèces indicatrices d'écosystèmes marins vulnérables. Les choix de précaution faits collectivement pour préserver les écosystèmes benthiques peu connus, en gelant l'empreinte des engins en contact avec le fond, sont les bons

et sont sans doute à confirmer dans des mesures de protection pérennes et des programmes de connaissance et de suivi.

Je salue aussi le travail de l'APSOI pour renforcer les mesures de gestion permettant de minimiser l'impact environnemental des pêcheries, par exemple sur les captures accidentelles d'oiseaux ou de requins. Le partage d'expérience, l'innovation technique et organisationnelle permet très souvent d'apporter des réponses et rien ne saurait justifier de se satisfaire de pratiques non durables.

Je souhaite m'arrêter enfin sur la question des régimes de surveillance et de contrôle. Les parties prenantes de l'APSOI ont fait preuve en 2019 d'un sens aigu de la responsabilité en adoptant un régime d'inspection et de contrôle en haute mer. Le patrouilleur français des affaires maritimes OSIRIS II, a eu l'opportunité de réaliser en novembre 2021 ses premières inspections dans ce cadre. Démontrer à toute la société que nous œuvrons collectivement pour que les mesures de conservation et de gestion soient bien mises en place est à mes yeux essentiel et il me semble indispensable que nous fassions usage de cette possibilité d'inspection. Vous le savez, nous avons une longue tradition de coopération au sein de la Commission de l'océan Indien en matière de surveillance des pêches en assurant des patrouilles conjointes sur plus de 6 millions de kilomètres carrés. Pourquoi ne pas étendre ce dispositif en dehors de nos ZEE, avec toutes les parties prenantes intéressées ?

Vous l'aurez donc constaté, la France est profondément attachée à l'APSOI. Nous sommes pleinement convaincus que les mesures et orientations que vous adopterez auront un impact bénéfique sur l'avenir de la pêche dans le sud de l'océan Indien. Nous vous sommes reconnaissants de vos efforts conjugués, sous la houlette du secrétaire exécutif.

Je suis certain que vous œuvrerez tout au long de cette semaine pour contribuer à la réalisation des objectifs de développement durable et pour un océan Indien plus résilient, plus préservé, plus productif et, in fine, plus profitable pour les pêcheurs.

SIOFA Chairperson Opening Speech

Distinguished Heads of Delegation, and all participants to the 9th Meeting of the Parties.

It is my pleasure and with great honour that I welcome you all to the Ninth Meeting of the Parties of the Southern Indian Ocean Fisheries Agreement.

After two years of not being able to convene our annual meetings in a physical setting, now that the COVID-pandemic which has set us all aback is progressively being contained, I am particularly pleased that that we are once again able to gather in-person as we used to, for conducting our very important work.

I acknowledge the presence of other participants including two of our Contracting Parties that for reasons beyond their control have not been able to travel to La Reunion. I also extend the same welcome to our Cooperating Non-Contracting Parties and observers in attendance.

Je tiens également à remercier le autorité françaises de nous avoir accueillis, ainsi que le Secrétariat pour l'excellente préparation de la réunion et des installations. Cela dit, je tiens à saluer la présence de la representant de la Précture de La Réunion. Sela fait en grand honour an reconnaisane de lemport travail entretenir par l'APSOI.

Hosting the meeting in a hybrid format remains challenging for technical reasons, as we have experienced intermittently last week during the Compliance Committee. Regardless, I remain confident that we shall be able to make good progress towards the achievement of the objective of the Agreement during this week of our meeting.

I take note of the very challenging work and heavy agenda we have ahead of us this week, nonetheless, as such I would request that we use our time wisely and constructively.

In the same spirit of cooperation as guided by our Agreement in which have engaged so far, I would invite all Parties to as much as possible, exert flexibility in your deliberations, with a view of reaching consensus in all of our decisions for the benefit of our organisation.

I would like to take this opportunity to recognise and thank the Compliance Committee and its Chair for the good work concluded last week, and also the Scientific Committee and its Chair for the equally good work concluded during the course of the year, including the works of the working groups.

The subsidiary bodies as established by the Meeting of the Parties have over the years contributed greatly to the work of the MoP and I would like to acknowledge this.

Furthermore, I would also urge the Contracting Parties, during the consideration of their respective recommendations brought before us by the Committees, to

ANNEX B

also ensure that we give due consideration to the stability and continuity of the works of these subsidiary bodies. I note that the Compliance Committee has been unable to elect a vice-chair, and that the position of the vice-chair has remained vacant for a whole year. We are SIOFA, so I urge us all play to play our part in the upkeep of our organisation. Our Scientific Committee also need stability, and I will invite you to give due consideration to the proposals before you.

I would also like to thank the Secretariat under the leadership of Mr. Thierry Clot for the continuous improvement in the quality of the work that the Secretariat has delivered during the years.

The arrival of the Science Officer has given a great boost to the Secretariat with regards to the scientific work undertaken by the organisation, nonetheless, we remain cognisant of the challenges still faced by the Secretariat in terms of the increasing workload and capacity.

In view of the current economic situation worldwide, we are all constrained in a way or another, however, we also have the responsibility to ensure that we find the most economical and effective ways of strengthening our organisation, and I am positive that through our deliberation on the long-term plan for the structured development of the Secretariat's capabilities and budget, we be able to achieve this objective.

In concluding, in accordance with the Rules of Procedures, Seychelles will vacate the office as Chairperson of the Meeting of the Parties after the adoption of the report of this meeting, I thus wish the very best to Mauritius as successor to the chair and with this, I declare the Ninth Meeting of the Parties open.

List of MoP9 Meeting Registered Participants

Delegation	Title	Name	Function	Contact
MEETING CHAIRPERSON				
	Mr	Roy Clarisse	MoP Chairperson	rclarisse@gov.sc
SIOFA CCPs				
Australia	Ms	Sally Truong	Head of Delegation	sally.truong@awe.gov.au
Australia	Mr	Adam Camilleri	Alternate	adam.camilleri@awe.gov.au
Australia	Ms	Merryn Cavenagh	Adviser	Merryn.Cavenagh@ag.gov.au
Australia	Mr	Viv Fernandes	Adviser	viv.fernandes@afma.gov.au
Australia	Ms	Marguerite Tarzia	Adviser	marguerite.tarzia@afma.gov.au
Australia	Mr	Paul Rickard	Adviser	paul.rickard@afma.gov.au
Australia	Mr	Trent Timmis	Expert	trent.timmiss@agriculture.gov.au
Australia	Ms	Lyn Goldsworthy	Adviser	lynda.goldsworthy@utas.edu.au
Australia	Ms	Fiona Hill	Adviser	fiona.hill@afma.gov.au
Australia	Ms	Claire Wallis	Adviser	claire.wallis@afma.gov.au
Australia	Mr	Nigel Abery	Adviser	nigel.abery@afma.gov.au
Australia	Dr	Ian Butler	Adviser	ian.butler@agriculture.gov.au
China	Mr	Haiwen Sun	Head of Delegation	bofdwf@126.com
China	Mr	Sun Chong	Alternate	sunchong@cofa.com.cn
China		Gang Zhao	Delegate	zhaogang@cofa.net.cn
China		Yan Sun	Delegate	bofdwf@126.com
China		Xuejian Chen	Delegate	chenxuejian@cofa.net.cn
China		Mengjie Xiao	Advisor	xiaomengjie@cofa.net.cn
China	Dr	Heng Zhang	Advisor	zhangziqian0601@163.com
China	Dr	Zhou Fang	Advisor	zfang@shou.edu.cn
Comoros	Mr	Mohamed Ali Mohamed	Head of Delegation	rachadmohamedali@gmail.com
Comoros	Ms	Assoumani Asna	Alternate	assoumaniasna27@gmail.com
Comoros	Mr	Nasserdine Hassani	Representative	naspao@hotmail.fr
Cook Islands	Ms	Kerrie Robertson	Head of Delegation	k.robertson@mmr.gov.ck
Cook Islands	Dr	Steve Brouwer	Alternate	steve@saggitus.co.nz
European Union	Ms	Fiona Harford	Head of Delegation	fiona.harford@ec.europa.eu
European Union	Ms	Laura Marot	Alternate	laura.MAROT@ec.europa.eu
European Union	Mr	Benoît Marcoux	Adviser	benoit.marcoux@ext.ec.europa.eu
European Union	Mrs	Paulien Depickere	Adviser	Paulien.DEPICKERE@ec.europa.eu
European Union	Ms	Camille Servetto	Adviser	camille.servetto@outre-mer.gouv.fr
European Union	Ms	Teresa Molina	Adviser	tmolina@mapa.es
European Union	Ms	Elena Consuegra	Adviser	econsuegra@mapa.es
European Union	Ms	Margarita Mancebo	Adviser	cmancebo@mapa.es
European Union	Mr	Jonathan Vila	Adviser	jvila@pesqueravila.com
European Union	Mr	Edelmiro Ulloa	Adviser	edelmiro@arvi.org
European Union	Ms	Angela Cortina	Adviser	angela@arvi.org

Delegation	Title	Name	Function	Contact
European Union	Dr	Sebastian Rodriguez Alfaro	Adviser	sebastian_chano@hotmail.com
European Union	Dr	Roberto Sarralde Vizuete	Adviser	roberto.sarralde@ieo.es
France OT	Mr	Matthieu Piron	Head of Delegation	matthieu.piron@agriculture.gouv.fr
France OT	Mr	Philippe Lintanf	Alternate	philippe.lintanf@agriculture.gouv.fr
France OT	Mr	Patrice Pruvost	Expert	patrice.pruvost@mnhn.fr
France OT	Dr	Jules Selles	Expert	jules.selles@mnhn.fr
France OT	Ms	Delphine Ciolek	Expert	dciolek@sarpc.fr
France OT	Ms	Armelle Denoize	Expert	adenoize@sapmer.com
France OT	Ms	Emilie Richard	Expert	emilie.richard@mousquetaires.com
France OT	Ms	Julie Matanowski	Expert	jmatanowski@legarrec.fr
Japan	Mr	Ichiro Nomura	Head of Delegation	inomura75@gmail.com
Japan	Mr	Hiroto Nakamoto	Alternate	hiroto_nakamoto890@maff.go.jp
Japan	Mr	Masahiro Akiyama	Alternate	masahiro_akiyama170@maff.go.jp
Japan	Mr	Satoshi Matsunaga	Advisor	satoshi_matsunaga010@maff.go.jp
Japan	Dr	Takehiro Okuda	Advisor	okudy@affrc.go.jp
Japan	Mr	Naohisa Miyagawa	Advisor	n-miyagawa@maruha-nichiro.co.jp
Japan	Mr	Junichiro Okamoto	Advisor	jokamoto@jdsta.or.jp
Japan	Mr	Toshihisa Fujiwara	Advisor	toshihisa.fujiwara@mofa.go.jp
Japan	Mr	Yasuyuki Minagawa	Advisor	y-minagawa@maruha-nichiro.co.jp
Japan	Mr	Shogo Ueki	Advisor	s-ueki@maruha-nichiro.co.jp
Japan	Mr	Sachio Hagiya	Advisor	s-hagiya@maruha-nichiro.co.jp
Japan	Mr	Kyo Uehara	Advisor	k-uehara@maruha-nichiro.co.jp
Japan	Mr	Susumu Oikawa	Delegate	s-oikawa@maruha-nichiro.co.jp
Korea	Ms	Soobin Shim	Head of Delegation	sbin8shim@korea.kr
Korea	Ms	Soomin Kim	Alternate	soominkim@kofci.org
Mauritius	Mrs	Geereesha Topsy-Sonoo	Head of Delegation	gtopsy@govmu.org
Mauritius	Mrs	Meera Koonjul	Alternate	mkoonjul@govmu.org
Mauritius	Mr	Vikash Munbodhe	Expert	vmunbodhe@gmail.com
Mauritius	Ms	Doorvanand Kawol	Expert	dokawol@govmu.org
Seychelles	Mr	Philippe Michaud	Head of Delegation	philippe.michaud@statehouse.gov.sc
Seychelles	Mr	Johnny Louys	Alternate	jlouys@sfa.sc
Seychelles	Ms	Sheriffa Morel	Expert	sheriffamorel@gov.sc
Seychelles	Ms	Stephanie Radegonde	Expert	sradegonde@gov.sc
Seychelles	Dr	Ameer Ebrahim	Expert	aebrahim@sfa.sc
Chinese Taipei	Mr	Tsu-Kang (Albert) Wen	Head of Delegation	tsukang1008@ms1.fg.gov.tw
Chinese Taipei	Mr	Yen-Kai Chen	Alternate	ykchen@mofa.gov.tw
Chinese Taipei	Ms	Li-Wei Chow	Delegate	lwchow@mofa.gov.tw
Chinese Taipei	Mr	Chia-Chun Wu	Delegate	jiachun@ms1.fg.gov.tw
Chinese Taipei	Mr	Chia-Chi (Joseph) Fu	Delegate	joseph@ofdc.org.tw
Chinese Taipei	Ms	Chia-Ti Li	Delegate	jodie@ofdc.org.tw
Chinese Taipei	Dr	Wen-Ning Chang	Advisor	cwenning@mail.ntou.edu.tw
Chinese Taipei	Dr	Huang-Chih Chiang	Advisor	hcchiang@ntu.edu.tw
Chinese Taipei	Mr	Tse-Yung Hsu	Advisor	R08A21088@ntu.edu.tw

Delegation	Title	Name	Function	Contact
Chinese Taipei	Ms	Wei-Yi Sun	Advisor	R10A21089@ntu.edu.tw
Chinese Taipei	Mr	Kuan-Ting Lee	Advisor	simon@tuna.org.tw
Chinese Taipei	Ms	Chiao-Lin Chan	Advisor	connie@tuna.org.tw
Chinese Taipei	Mr	Chien-Yi Yan	Advisor	kenichifish@gmail.com
Thailand	Mr	Bancha Sukkaew	Head of Delegation	banchas@fisheries.go.th
Thailand	Ms	Sampan Panjarat	Alternate	spanjarat@yahoo.com
Thailand	Ms	Kanonporn Kessuwan	Adviser	academic@hotmail.com
Thailand	Ms	Kanyarat Woraprayoth	Adviser	kookky0053@gmail.com
Thailand	Mr	Pavarot Noranarttragoon	Adviser	pavarotn@gmail.com
Thailand	Mr	Weerapol Thitipongtrakul	Adviser	weerapol.t@gmail.com
Thailand	Ms	Thanyalak Ratanadilok Na Phuket	Adviser	trthanya@gmail.com
Thailand	Ms	Doungporn Na Pombejra	Adviser	doungpombej@gmail.com
Thailand	Mr	Piyachoke Sinanun	Adviser	ptsinanun@yahoo.com
Thailand	Ms	Jaruwan Songphatkaew	Adviser	conyakkee@gmail.com
Thailand	Mr	Pornpanus Chidthid	Adviser	fpotech.group@gmail.com
Thailand	Mr	Aekkarat Wongkeaw	Adviser	aekfish@hotmail.com
Thailand	Mrs	Chuanpid Chantarawarathit	Adviser	chuanpidc@gmail.com
Thailand	Ms	Tirabhorn Yothakong	Adviser	tirabhorn@gmail.com
Thailand	Ms	Nootchaya Karnjanapradit	Adviser	phoenix_noon@hotmail.com
Thailand	Ms	Supaporn Samosorn	Adviser	anongnang_59@hotmail.com
OBSERVERS				
DSCC	Mr	Duncan Currie	Head of Delegation	duncanc@globelaw.com
DSCC	Mr	Barry Weeber	Alternate	baz.weeber@gmail.com
India	Dr	Jujjavarapu Balaii	Head of Delegation	jsfy@nic.in
India	Mr	Manoj Kumar Pandey	Delegate	ds.ip2@mea.gov.in
India	Dr	Sanjay Pandey	Delegate	sanjay.rpandey@gov.in
IOC/Ecofish	Mr	Jude Talma	Head of Delegation	jtalma@gov.sc
IOC/Ecofish	Mr	Tiana Randriambola	Alternate	tiana.randriambola@coi-ioc.org
SIODFA	Dr	Ross Shotton	Head of Delegation	r_shotton@hotmail.com
SIODFA	Mr	Brian Flanagan	Alternate	brian@theflanagans.co.za
SIODFA	Mr	Charles Heaphy	Delegate	charles.heaphy@sealord.co.nz
SIODFA		Tim Silverstone	Delegate	tim.silverstone@sealord.co.nz
South Africa	Mr	Saasa Pheeha	HoD	spheeha@dffe.gov.za
South Africa	Mr	Thabiso Maratsane	Alternate	TMaratsane@dffe.gov.za
United States of America	Dr	Lauren Fields	Head of Delegation	lauren.fields@noaa.gov
United States of America	Dr	C Colin Brinkman	Alternate	brinkmancc@state.gov

Delegation	Title	Name	Function	Contact
CONSULTANTS				
Orange Cyber Defense	Mr	Sébastien Herniote	Consultant	sebastien.herniote@orange.com
Orange Cyber Defense	Mr	Theo Jund	Consultant	theo.jund@orange.com
Ross Analytics	Dr	Keith Reid	Consultant	keith.reid@rossanalytics.com.au
SIOFA SECRETARIAT AND ASSISTANTS				
SIOFA	Dr	Alistair Dunn	SC Chairperson	alistair.dunn@oceanenvironmental.co.nz
SIOFA	Mr	Johnny Louys	CC Chairperson	jlouys@sfa.sc
Secretariat	Mr	Thierry Clot	Executive Secretary	thierry.clot@siofa.org
Secretariat	Dr	Marco Milardi	Science Officer	marco.milardi@siofa.org
Secretariat	Mr	Pierre Périès	Data Officer	pierre.peries@siofa.org
Secretariat	Ms	Laura Osborne	Assistant	laura.osborne@siofa.org
Assistant	Mr	Alex Meyer	Rapporteur	meyer@urbanconnections.jp
Assistant	Mr	James Christie	Interpreter	james.christie.cit@gmail.com
Assistant	Mr	Jean-Luc Clairambault	Interpreter	jlc974@wanadoo.fr

I

**SIODFA verbal presentation for opening of MoP9
Reunion 4 July 2022**

Chair and Parties, once again the Southern Indian Ocean Deepsea Fishers Association is grateful for the opportunity to participate in the annual meeting of the Parties of SIOFA.

SIODFA members are primary stakeholders in their dependence on SIOFA achieving its objectives. Without sustained fish resources there can be no profitable fisheries and thus – ‘no us’. We depend on fishing effort being in balance with the productivity of the resource and its capacity to reproduce itself. Our members’ endeavours provide food, livelihoods and create wealth - positive outcomes which are also primary objectives of the UN Sustainability Development Goals – of relevance given the large number of our crews from developing countries. We have a clear vested interest in ensuring these outcomes.

There is one SIOFA innovation our members particularly welcome - industry participation in pre-assessment meetings. In many effective fisheries management jurisdictions, industry participation provides a critical contribution to management success. The knowledge and experience of the industry can ensure that assumptions made about the fishery are the best possible, and we can help ensure the best available information is provided, which will reflect the at-sea experience of our vessel officers. Our experience and knowledge of the benthopelagic fisheries can be critical in achieving meaningful management results.

Flaws in management processes can arise from a number of sources, not least lack of, or inaccurate data, regulatory implementation uncertainty and especially model error, i.e. failure or weak applicability of the management models for particular situations. We believe that our vessels’ catch and effort data are as ‘spot on’ as possible, and confidence in fishery population parameters exists or is close. Most important, industry being able to contribute to pre-assessment meetings will help the consultants, who are usually unfamiliar with our particular fisheries. Of particular potential benefit is knowledge of sources of ‘best available information’ which may not yet be part of the formal standard record.

For maximum benefit, this participation by industry needs to extend to comment and review of the terms of reference of the consultants who are routinely contracted to undertake SIOFA’s work. On several occasions, alas, consultants have not been provided with appropriate or all relevant information. Quite often with e.g., World Bank or EU consultancies, the existence of an *inception process* allows weaknesses or deficiencies in the terms of reference to be identified and rectified. We believe that there would be benefits from adopting a similar process for SIOFA’s contracted work.

Along similar lines, I note our frustration that, in our opinion, poorly conceived proposals continue to pop up, requiring meeting time and subsequent consideration, despite well-justified concern that some such proposed procedures won’t work. For example, SIODFA have

ANNEX D – Statements

continually stressed that acoustic methods will not give the required results for alfonso assessments. There is no reason to fund consultants to inform members on this issue and further time should not be wasted on such endeavours. This we have failed to achieve in previous Scientific Committee meetings. We hope this, in particular, is put to rest at MoP9.

Finally, we continue to stress the need for vigilance in avoiding an expansion in fishing effort, which could be dangerous, e.g. the entry into the benthopelagic fishery of a single vessel comparable to those now in the fishery would result in a possible one third increase in fishing effort, more than enough to collapse of the fishery. We note that the SIO fisheries for benthopelagic fishes is one of the few such high-seas 'boutique' fisheries that continues to operate within acceptable bounds.

We wish members a very successful meeting and stress our availability to assist in whatever manner we can.

Thank you Chair.

II

Statement of India on the Occasion of Joining SIOFA

Chairperson of SIOFA; Heads of Delegations; Delegates and Representatives of the Contracting Parties; Contracting Participating Fishing Entities; Cooperating Non-Contracting Parties; Executive Secretary of SIOFA; Observers; Experts; Scientists; Ladies and Gentlemen;

2.0 At the outset, India would like to congratulate the Southern Indian Ocean Fisheries Agreement (SIOFA) on its tenth anniversary and will look forward to the continuation of the excellent work that the Organization has been doing so far.

Excellencies;

3.0 India is honored to join for the first time in this 9th Meeting of Parties (MoP9) of the SIOFA being held in the beautiful Island of La Réunion. India sincerely extends its thanks to the members of SIOFA for accepting India's proposal for joining the MoP9. India also thanks the Overseas Department and Region of France and the SIOFA Secretariat for making excellent arrangements for the conduct of the MoP9.

4.0 India's tryst with sustainability in the fisheries sector is historical. The country's first legislation on fisheries management dates back to 1897. The development of the sector has always been based on sound planning, never losing the foresight that the health and well-being of the resources within our Exclusive Economic Zone is vital for the health and vitality of the Oceans at large. Acknowledging that the fisheries resources of the Oceans are not infinite, India adopted the practice of closed season for two months from the mid-nineties, a practice which is now being followed by many countries of our region. India's strength also lies in having a strong scientific base in marine fisheries, dedicated chain of Institutions engaged in marine fisheries research and exploratory surveys, and an equally dedicate fishing community.

5.0 India has been a strong votary of international agreements and arrangement, be it the 1982 United Nations Convention on the Law of the Sea, the 1985 Code of Conduct for Responsible Fisheries, FAO's Voluntary Guidelines for Securing Sustainable Small-Scale Fisheries in the Context of Food Security and Poverty Eradication, and the United Nations Sustainable Development Goals. India also considers the role of the Regional Fisheries Management Organizations (RFMO) and the Regional Fisheries Bodies (RFBs) of paramount importance in carrying forward the task of the international agreements and arrangements.

Excellencies;

6.0 In posterity, the year 2022 will be remembered for many significant developments in the fisheries sector. The historic agreement on the Illegal, Unreported and Unregulated (IUU) fishing and Overfished Stocks by the World Trade Organization reaffirms the commitment of the global community that Oceans have to be managed with shared responsibility. The UN Oceans Conference has taken the agenda of sustainability a step further by resolving to conserve and sustainably use

the oceans, seas and marine resources for sustainable development and agreeing to scaling up ocean action based on science and innovation for the implementation of UN SDG 14: stocktaking, partnerships and solutions.

7.0 While the global community is making significant moves in improving the fisheries health of the Oceans, the present situation still remains grim. According to the 2022 report of the FAO-The State of World Fisheries and Aquaculture (SOFIA), the sustainability of marine fishery resources remains of significant concern, with the percentage of sustainably fished stocks falling to 64.6 percent in 2019, a 1.2 percent decline from 2017, which is largely due to overfishing, pollution and poor management. Fishing is one of the largest maritime industries, involving an estimated 59.51 million people, of which about 97 percent are living in the developing countries. Securing their livelihoods and building their resilience is key to sustainability and equitable development.

8.0 The small-scale and artisanal fisheries, which provide about 40 percent of global fish catch and employ more than 90 percent of people working in fisheries value chains, face significant challenges in accessing marine resources and markets. There is need to promote small-scale and artisanal fishers (SSAF), building their capacities and encouraging them to go beyond their traditional fishing areas and to engage and undertake deep-sea and high sea fishing. India is committed to promoting small-scale and artisanal fishers (SSAF) in line with the UN-SDG14.B, so as to provide them greater access to marine resources and markets and in the process of improving their socio-economic status.

Excellencies;

9.0 India is committed to achieving the objectives of the SIOFA Agreement, and all other decisions and resolutions adopted pursuant to the SIOFA Agreement through cooperation with the Contracting Parties in the Area of the Agreement, taking into account the needs of the developing coastal States that are Parties to the Agreement, in particular the least developed among them and the Small Island Developing States.

10.0 India is confident that its association with SIOFA would be fruitful through data and knowledge sharing and active cooperation in all spheres of its mandate. India is also looking forward to engaging with SIOFA for becoming a Contracting Party in the near future by ratifying, acceding or approving the Agreement and hereby solicit the support of the MoP9 towards this endeavor.

SIOFA STATUS

منظمة
الأغذية والزراعة
للأمم المتحدة

联合国
粮食及
农业组织

Food and Agriculture
Organization of the
United Nations



Organisation des
Nations Unies pour
l'alimentation et
l'agriculture

Продовольственная и
сельскохозяйственная
организация
Объединенных Наций

Organización de las
Naciones Unidas para la
Alimentación y la
Agricultura

Viale delle Terme di Caracalla, 00153 Rome, Italy

Fax: +39 0657053152

Tel: +39 0657051

www.fao.org

Our Ref.:

Your Ref.:

Rome, 17 May 2022

Dear Mr Clot,

I confirm that, as of today, 17 May 2022, the status of participation in the Southern Indian Ocean Fisheries Agreement (SIOFA) is correctly reflected on the FAO website. Please find attached for your easy reference the information contained in the above-mentioned website.

Yours sincerely,

Donata Rugarabamu
Legal Counsel

Mr Thierry CLOT
Executive Secretary
SIOFA

Annex I

**SOUTHERN INDIAN OCEAN FISHERIES AGREEMENT (SIOFA)
adopted by the Conference of Plenipotentiaries in Rome, Italy on 7 July 2006**

Registered with the United Nations under N° 49647 on 21 June 2012

Countries	Signed	Ratification	Acceptance	Approval	Accession
Australia	29 Dec 2006	23 Mar 2012			
China					23 Oct 2019
Comoros	7 Jul 2006				
Cook Islands					5 Jun 2008
European Union	7 Jul 2006			15 Oct 2008	
France	7 Jul 2006	25 Jan 2013			
Japan			17 Jun 2014		
Kenya	7 Jul 2006				
Madagascar	4 Oct 2006				
Mauritius	5 July 2007	20 Dec 2010			
Mozambique	7 Jul 2006				
New Zealand	7 Jul 2006				
Republic of Korea					29 Oct 2014
Seychelles	7 July 2006	5 Nov 2007			
Thailand					21 Apr 2017

MoP9 Adopted Agenda

1. Opening of the meeting

- 1.1. Opening statements
- 1.2. Practical arrangements for the meeting
- 1.3. Admission of observers
- 1.4. Status of the Southern Indian Ocean Fisheries Agreement
- 1.5. Participation in decision-making

2. Administrative arrangements

- 2.1. Adoption of the agenda
- 2.2. Confirmation of meeting documents
- 2.3. Appointment of rapporteurs

3. Intersessional Decision-Making

- 3.1. Review of intersessional decisions taken since MoP8
- 3.2. Standardised template for the reporting of intersessional decisions

4. Report of the Sixth Compliance Committee meeting

Discussion of the CC recommendations to the MoP

4.1. SIOFA Compliance Report (SCR)

The Meeting of the Parties shall consider the Provisional SIOFA Compliance Report (pSCR) prepared by the CC and any comments from CCPs on their respective sections of the pSCR. The Meeting of the Parties shall adopt by consensus a Final SIOFA Compliance Report (fSCR) at its ordinary meeting in accordance with Article 8 of the Agreement and Rule 12 of the Rules of Procedure (ref. CMM 2020/11 para 22)

4.2. Listing of IUU fishing vessels

The Meeting of the Parties shall review the Provisional IUU Vessel List, considering any new suitably documented information [...] and adopt a new IUU Vessel List (ref CMM 2018/06 para 16)

5. Scientific Committee (SC) and Report of the SC7

5.1 Presentation of the SC7 report

5.2 Recommendations from SC7

- 5.2.1 SIOFA bottom fishing footprint
- 5.2.2 Transparency and distribution of meeting documents
- 5.2.3 Harvest Strategies
- 5.2.4 Management of Demersal Stocks

5.3 Grants applications and other funding for SIOFA scientific work

5.4 Scientific Committee work plan and budget

6. Data and Security

6.1. Annual data submission report

According to CMM 2021/02 para 6,7 and 15, CCPs shall submit catch and effort and observer data to the Secretariat by 31 May each year. This item is an overview of the quality of the submission of data that has been provided in 2021.

6.2. Examination of audit report on “SIOFA Access, dissemination and presentation of data”

6.3. Examination of audit report on “SIOFA Cybersecurity” (closed session)

6.4. Data and security related draft work plan

ANNEX F

7. Interim bottom fishing measures

- 7.1. States or fishing entities that became CCPs before the MOP in 2017 – revision to measures established under paragraph 10 of CMM 2020/01
- 7.2. States or fishing entities that became CCPs after the MOP in 2017 – approval of measures pursuant to paragraph 28 of CMM 2020/01.

8. Review and amendment of Conservation Management Measures (CMMs) currently in force

Standing agenda item for the presentation of reviews or proposed amendments to existing CMMs and possible adoption

- 8.1. Amendment to CMM 2019/10 (Monitoring) proposed by Chinese Taipei
- 8.2. Amendment to CMM 2021/02 (Data Standards)
- 8.3. Amendment to CMM 2019/07 (Vessel Authorisation) proposed by Korea
- 8.4. Other amendments and recommendations to CMM following CC6 discussion
- 8.5. Amendment to CMM 2019/13 (Seabirds)

9. New Conservation and Management Measures (CMMs)

Standing agenda item for the presentation of any proposals of new CMMs and possible adoption

10. Performance review of SIOFA

As 2022 will mark the 10th anniversary of the entry into force of the SIOFA Agreement and therefore ten years of operation of SIOFA, it is appropriate to assess the performance of the organisation to ensure that it achieves the objectives set out in Article 2 of the Agreement. The Meeting of the Parties discuss and approve terms of reference for the first Performance Review of SIOFA, to be conducted in the 2022-2023 intersessional period.

11. Secretariat administration

- 11.1. Report on the Secretariat activities (since MoP8)
 - 11.1.1. New premises for the Secretariat
- 11.2. Long-term plan scenarios for the structured development of the Secretariat's capabilities and budget
- 11.3. Financial status
 - 11.3.1. Update on the 2020 fraud
 - 11.3.2. Financial report
 - 11.3.3. External Auditor report
- 11.4. SIOFA Budget (closed session)
 - 11.4.1. Mid-year budget tracking paper with the actual expenditure
 - 11.4.2. Provisional 2023 budget
 - 11.4.3. 2024 forecast budget
 - 11.4.4. Adoption of the budget
- 11.5. Procedure for the appointment of SIOFA Executive Secretary

Rule 7 of the SIOFA Rules of Procedure provide for the appointment of an Executive Secretary by the Meeting of the Parties on such terms as the Meeting of the Parties may determine in accordance with the Agreement, with due regard to principles of economy and efficiency. The Executive Secretary shall hold office for a period of four years from the date of appointment and shall be eligible for re-appointment by the Meeting of the Parties for one additional term. The term of office of the current Executive Secretary expires in December 2023 and SIOFA does not have a procedure in place to ensure the renewal of the incumbent or to appoint a different person. In order to avoid any interruption, we propose that the Meeting of the Parties discuss and adopt a procedure for the appointment of the Executive Secretary.
- 11.6. Discussion on SIOFA Staff Regulation

The Staff Regulation may require update following the discussions on the appointment of the Executive Secretary (regulation 6), on the temporary staff recruitment (regulation 11) and a review of public holidays in Reunion (Annex A)

ANNEX F

12. Cooperation with other RFMOs, international bodies and other relevant matters

12.1. Southwest Indian Ocean Fisheries Commission (SWIOFC)

12.2. CCAMLR

Joint workshop on exchange of toothfish data between CCAMLR and SIOFA

12.3. IOTC

Examination and approval of the Memorandum of Understanding between SIOFA and IOTC (initially Letter of Intent reviewed at MoP8)

12.4. FAO ABNJ Deep-Sea Fisheries Project

12.5. FAO Regional Fishery Body Secretariats' Network (RFBSN)

12.6. FIRMS

13. Cooperating non-Contracting Parties

13.1. Renewal of Comoros' CNCP status

13.2. Application of India for CNCP status to SIOFA

14. Application of Art.13.4(e) of the Agreement

The chair of the MoP highlighted the need to guide the application of financial support to developing states bordering the Area for attending SIOFA meetings

15. Future Chairing arrangements

15.1. Chairperson Scientific Committee and co-Chairpersons of its working groups

The SC recommended that the MoP extend the term of the current SC Chairperson for one year. No nomination has been received at SC7 for the SERAWG Chairperson.

15.2. Chairperson and Vice-Chairperson of the Compliance Committee

The current CC Chairperson's second term will end at the close of the CC of 2023 and cannot be renewed (Rule 5.3 of the RoP). There is currently no CC Vice-Chairperson. CCPs are invited to nominate candidates for the position of Vice-Chairperson.

15.3. Chairperson and Vice-Chairperson of the Meeting of the Parties

in accordance with Rule 5 of the RoP, the Chair of the Meeting of the Parties will be held by the Republic of Mauritius, and the Vice-Chair of the MoP will be held by the Republic of Korea for the 2022-2023 period.

16. Any other business

17. 2023 meeting arrangements

The 2023 SC will take place in Spain (Tenerife, Canary Islands). In line with RoP 1.2 the next meeting of the CC and the MoP and MoP will be held in Mauritius.

18. Report adoption

19. Meeting closure

Table of agenda items and related papers for MoP9

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2. Administrative arrangements 2.1. Adoption of the agenda 2.2. Confirmation of meeting documents 2.3. Appointment of rapporteurs	MOP-09-ADM-09 Revised Provisional Agenda and Meeting schedule MOP-09-ADM-04-rev4 Table of Agenda Items and related papers (this) MoP-09-ADM-05-rev4 List of meeting document MoP-09-ADM-07 Meeting registered participants
3. Intersessional Decision-Making 3.1. Review of intersessional decisions taken since MoP8 3.2. Standardised template for the reporting of intersessional decisions	MoP-09-INFO-05 Report on 2021-2022 Intersessional decisions to the MoP MoP-09-03 Standardised template for the reporting of intersessional decisions
4. Report of the Sixth Compliance Committee meeting 4.1. SIOFA Compliance Report (SCR) 4.2. Listing of IUU fishing vessels	MoP-09-02 Provisional SIOFA Compliance Report MoP-09-01 SIOFA Provisional IUU Vessel List
5. Scientific Committee (SC) and Report of the SC7 5.1. Presentation of the SC7 report 5.2. Recommendations from SC7 5.3. Grants applications and other funding for SIOFA scientific work 5.4. Scientific Committee work plan and budget	MoP-09-INFO-08 Report of the 7th Scientific Committee MoP-09-10 Transparency and distribution of documents MoP-09-12 SIOFA bottom fisheries footprint (restricted) MoP-09-15-rev1 Overview of SIOFA fisheries (restricted) MoP-09-INFO-09 Toothfish tagging protocol MoP-09-INFO-10 Progress of SC advice on SIOFA CMMs MoP-09-INFO-15 EU Measures to reduce sharks' bycatch and collect robust data MoP-09-11 SC Workplan (including prioritization)
6. Data and Security 6.1. Annual data submission report 6.2. Examination of audit report on "SIOFA Access, dissemination and presentation of data" 6.3. Examination of audit report on "SIOFA Cybersecurity" 6.4. Data and security related draft work plan	MoP-09-INFO-07 Annual data submission report (restricted) MoP-09-08 SIOFA-data-audit-access-dissemination-and-presentation-of-data (restricted) MoP-09-16 Proposed-SIOFA-standard-operating-procedure-for-data-use-and-data-requests MoP-09-09a SIOFA-Cybersecurity-Audit-Report-OCD (confidential) MoP-09-09b-rev1 SIOFA-Cybersecurity-Audit-Restitution-OCD (confidential) MoP-09-09c SIOFA-ISSP-OCD (confidential) MoP-09-09d Procedure-for-hardening-IT-assets-OCD (confidential) MoP-09-07 Draft data related work plan (restricted)

7. Interim bottom fishing measures 7.1. States or fishing entities that became CCPs before the MOP in 2017 – revision to measures established under paragraph 10 of CMM 2020/01 7.2. States or fishing entities that became CCPs after the MOP in 2017 – approval of measures pursuant to paragraph 28 of CMM 2020/01.	
8. Review and amendment of Conservation Management Measures (CMMs) currently in force 8.1. Amendment to CMM 2019/10 (Monitoring) proposed by Chinese Taipei 8.2. Amendment to CMM 2021/02 (Data Standards) paragraph 5 8.3. Amendment to CMM 2019/07 (Vessel Authorisation) proposed by Korea 8.4. Other amendments and recommendations to CMMs following CC6 discussion	MoP-09-INFO-06 HSBI questionnaire (translated by CCPs) MoP-09-24 Amendment to CMM 2019-10 Chinese Taipei MoP-09-17 Amendment to paragraph 5 of CMM 2021/02 (Data Standards) MoP-09-18 Korea Proposal-to-amend-CMM-2019-07 MoP-09-19 FR(OT) Proposal-to-amend-CMM-2019-13 MoP-09-INFO-06 HSBI questionnaire (translated by CCPs)
9. New Conservation and Management Measures	
10. Performance review of SIOFA	MOP-09-20 Performance-review-of-SIOFA
11. Secretariat administration 11.1. Report on the Secretariat activities (since MoP8) 11.2. Long-term plan scenarios for the structured development of the Secretariat’s capabilities and budget 11.3. Financial status 11.4. SIOFA Budget 11.5. Procedure for the appointment of SIOFA Executive Secretary	MoP-09-INFO-01 Report on Staff resources and Secretariat activities MoP-09-INFO-04 New premises for the SIOFA Secretariat MoP-09-INFO-13 Update on the email sending issues MoP-09-05 Long-term plan scenarios for the structured development of the Secretariat’s capabilities and budget MoP-09-INFO-02 Financial report MoP-09-INFO-03 External Auditor Report MoP-09-INFO-11 Mid-Year Budget Report MoP-09-INFO-14 China's Contribution Fraud Update Report (confidential) MoP-09-06 Draft Budget 2023 MoP-09-21-rev1 Procedure-for-the-appointment-of-SIOFA-Executive-Secretary
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13. Cooperating non-Contracting Parties 13.1. Renewal of Comoros’ CNCP status 13.2. Application of India for CNCP status to SIOFA	MoP-09-14 Comoros-Letter-for-renewing-its-CNCP-status MoP-09-25 India Application for CNCP status
14. Application of Art.13.4(e) of the Agreement	MoP-09-23 Guidelines for the implementation of Article 13.4 proposed by CKI
15. Future Chairing arrangements	
16. Any other business	

Template for reporting intersessional decisions

Background

In line with Rule 13 of SIOFA's Rules of Procedures, the Meeting of the Parties may take decisions intersessionally by electronic means (e.g., email, secure website) or by other means of communication in accordance with this Rule.

According to paragraph 10 of Rule 13, at the end of the decision period the Executive Secretary shall promptly inform Official Contacts of the outcome of the process. If any explanations of views are received, these shall also be transmitted to all Official Contacts. In accordance with Rule 12(4) of the Rules of Procedure, if the decision is adopted, it shall be binding ninety (90) calendar days after the date of transmittal, unless otherwise specified in the decision.

Consistent with article 8 of the Agreement, intersessional decisions adopted by the Meeting of the Parties on matters of substance shall be taken by consensus and intersessional decisions on other matters shall be taken by a simple majority.

As requested in paragraph 22 of the Report of the 8th Meeting of the Parties, the Secretariat has developed a standardised template for reporting intersessional decisions. The template includes information on how many CCPs took part in the decision, an aggregation of the CCP's replies, and the outcome of the decision.

TEMPLATE FOR REPORTING INTERSESSIONAL DECISIONS

SIOFA Circular no. XX

Subject: Outcome of Intersessional Decision 20XX-XX¹ (title of intersessional decision)

Dear Official Contacts,

Please find below the outcome of the Intersessional Decision 20XX-XX. A summary of responses received may be found in **Table 2**.

Text of the Intersessional Decision:

--

Table 1. Decision summary

Intersessional Decision No.	
Status (<i>substantive matter or other matter</i>)	
Deadline (<i>standard, extended or shortened, with explanations</i>)	

Table 2. Summary of results

Positive Responses Received	
Negative Responses Received	
Abstentions	
Quorum achieved? (<i>Yes or No</i>)	

Due to the responses received, the following intersessional decision has been **adopted/rejected²**.

Yours sincerely,

Thierry Clot

Executive Secretary

SIOFA/APSOI

¹ Intersessional Decisions shall be labelled based on the following format: Year-intersessional decision number (in Roman Numerals)

² In line with Rule 13.11 of the SIOFA Rules of Procedure, a proposal that has been rejected by intersessional decision shall not be reconsidered until the following Meeting of the Parties

ANNEX I

SIOFA Compliance Report (SCR)

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1. Compliance Assessment Period

January 2021-December 2021

2. CMMs assessed in accordance with this CMM

All CMMs in force during the Compliance Assessment Period with the exception of CMM 2016/03 on Data Confidentiality.

3. Technical Impediments to compliance identified

4. Amendments to existing CMMs

(Raised by the CC during its provisional compliance report building session and adopted by the MoP)

CMM	Proposals
2020/01	
2021/02	Para 08. Review of applicability of para 8 because it relates to data collection in deep-sea fisheries
2016/04	
2016/05	
2018/06	Para 31. Review the non-mandatory requirement to provide contact points to be mandatory
2019/07	
2020/08	
2018/09	Para 7a. To be reviewed for the exclusion of the retrieval requirement for hand line fishing gears.
2020/11	Review compliance status to enable a better assessment than non-compliant status when corrective actions have been already undertaken
2019/12	Para 3. Wording of "in SIOFA fisheries" to be improved/clarified in the CMM
2019/13	
2021/14	
2021/15	

5. Priority obligations to be monitored and reviewed

(List, if applicable)

CMM	Paragraph	Comments

6. Additional obligations to be included within the scope of the CMS (list if applicable):

Obligations	Reference

7. Response to the Compliance Committee's assessment

8. Compliance Committee Assessment

Implementation of the Southern Indian Ocean Fisheries Agreement

Table 1 Implementation of the Southern Indian Ocean Fisheries Agreement

CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
KOR	10 (2) Each Contracting Party shall make available to the Meeting of the Parties a statement of implementing and compliance measures, including imposition of sanctions for any violations, it has taken in accordance with this article and, in the case of coastal States that are Contracting Parties to this Agreement, as regards the conservation and management measures they have taken for straddling stocks occurring in waters under their jurisdiction adjacent to the Area.	Compliant		A Compliance report has been provided in 2021	Compliant		Compliant	
MAU	10 (2) Each Contracting Party shall make available to the Meeting of the Parties a statement of implementing and compliance measures, including imposition of sanctions for any violations, it has taken in accordance with this article and, in the case of coastal States that are Contracting Parties to this Agreement, as regards the conservation and management measures they have taken for straddling stocks occurring in waters under their jurisdiction adjacent to the Area.	Critically Non-Compliant	Compliant Mauritius is a Contracting Party to the IOTC and complies with all conservation and management measures including the resolutions are applicable. Mauritius also issues fishing licences to foreign fishing vessels targeting tuna and pelagic fish. The fishing licences contain conditions relevant to all conservation and management measures with regard to pelagic fish	This report covers the period between 1st January 2021 to 31st December 2021. Compliance report was not submitted by Mauritius in 2021. The Secretariat notes that Mauritius provides a Compliance Report in 2022.	Critically Non-Compliant		Critically Non-Compliant	
MAU	11.3 (c) Each Contracting Party shall in conformity with the rules determined by the Meeting of the Parties, make available to each annual Meeting of the Parties a report on its fishing activities in the Area	Critically Non-Compliant	Non-Compliant	No National Report has been provided in 2021. The Secretariat notes that Mauritius provided a National Report in 2022.	Critically Non-Compliant		Critically Non-Compliant	

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CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
SYC	11.3 (c) Each Contracting Party shall in conformity with the rules determined by the Meeting of the Parties, make available to each annual Meeting of the Parties a report on its fishing activities in the Area	Compliant	Not Assessed	Seychelles submitted a National Report in 2021. The compliance status would be compliant.	Compliant		Compliant	

Implementation of SIOFA CMMs

Table 2: Implementation of CMM 2020/01 and CMM 2019/01 (Interim Management of Bottom Fishing)

CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
CN	20. CCPs shall, at least 30 days prior to the commencement of the ordinary meeting of the Scientific Committee in 2018, submit to the Secretariat: a. relevant data on the spatial extent of its historical bottom fishing effort in the Agreement Area expressed as grid blocks of at least 20 minutes resolution or, if available, a finer scale; and b. any other data the Scientific Committee may consider to be useful in developing the SIOFA BFIA referred to in paragraph 7b., including data relating to recorded encounters with VMEs or indicators of VMEs.	Not Assessed	"Not Assessed. China was not a Contracting Party in 2018. China had been engaging in demersal trawling from 2000 to 2002. As there were no specific requirements for fishing record at time, for example what specific items shall be recorded in the logbooks, the vessel operators recorded the catch in their own designed fishing logbooks for their own purposes. Besides in current SIOFA Area, the fishing company engaged in demersal trawling almost 20 years ago and at that time there were no domestic regulations or laws requiring Chinese fishing companies to store their fishing logbooks for a certain period. As the result the fishing logbooks have not been filed. Then SIOFA came into force in June 2012 and the first CMM for demersal stocks had been adopted in 2016. Therefore it is really beyond China's capacity to offer such more refined data as those tow-by-tow in terms of demersal trawling and only the total catch, main target species and total fishing hours were collected through the thorough efforts by both Chinese scientists and the fishing company, and submitted to SIOFA in China's national reports.		Not Assessed		Not Assessed	

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CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
JPN	10 (1) (a) Until such time as the Meeting of the Parties has acted in accordance with paragraph 9 on the advice of the Scientific Committee provided in accordance with paragraph 7, each CCP shall, unless otherwise approved by the Meeting of the Parties, establish and apply specific measures to limit the level and spatial extent of the bottom fishing effort of vessels flying their flag. In particular, such measures shall include: a. for CCPs that have fished more than 40 days in a single year, in the Agreement Area, at the time this CMM was adopted: iii. provisions to ensure its bottom fishing will not have significant adverse impacts on VMEs and, where applicable, shall take into account its BFIA prepared and submitted pursuant to paragraph 21 or 22, and any areas identified under paragraph 18 where VMEs are known to occur, or are likely to occur;	Compliant	Not Applicable Not applicable(N/A). The Japanese fishing vessel used the mid water trawl.	Clarification is required on the fact that mid water trawling is not bottom fishing	Not assessed	This status should be 'Compliant'. National regulations allows all Japanese vessels to fish within SIOFA Area provided that they follow each provision of SIOFA CMM.	Compliant	
KOR	20. CCPs shall, at least 30 days prior to the commencement of the ordinary meeting of the Scientific Committee in 2018, submit to the Secretariat: a. relevant data on the spatial extent of its historical bottom fishing effort in the Agreement Area expressed as grid blocks of at least 20 minutes resolution or, if available, a finer scale; and b. any other data the Scientific Committee may consider to be useful in developing the SIOFA BFIA referred to in paragraph 7b., including data relating to recorded encounters with VMEs or indicators of VMEs.	Compliant	Not Applicable	Finer level scale data relevant to historical fishing has been provided for 2009-2013 period in 2021.	Compliant		Compliant	
MAU	10 (1) b. Until such time as the Meeting of the Parties has acted in accordance with paragraph 9 on the advice of the Scientific Committee provided in accordance with paragraph 7, each CCP shall, unless otherwise approved by the Meeting	Critically Non-Compliant	Not Applicable	Bottom fishing is defined by para 3 c of CMM 2020/01: 'bottom fishing' means fishing using any gear type likely to come in contact with the seafloor or	Not assessed	MAU	Not applicable	

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CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
	of the Parties, establish and apply specific measures to limit the level and spatial extent of the bottom fishing effort of vessels flying their flag. In particular, such measures shall include: b. for CCPs that have not fished more than 40 days in a single year, in the Agreement Area, at the time this CMM was adopted: i. limits on its bottom fishing effort and/or catch, and spatial distribution, as disclosed to the Meeting of the Parties in accordance with paragraph 10(2); and ii. provisions as referred to in subparagraphs 10(1) a. iii. and iv.			benthic organisms during the normal course of operations. Does Mauritius handline fishing gears are likely to come in contact with the seafloor (and being bottom fishing) or not?				

Table 3: Implementation of CMM 2021/02 and CMM 2019/02 (Data Standards)

CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
JPN	15. CCPs shall, for all observed trips, collect observer data in accordance with the relevant sections of Annex B. All observer data collected by CCPs shall be reported to the Secretariat by 31 May each year for the previous calendar year.	Compliant	Compliant	There has been no information provided about seabirds' abundance in the observer data submitted in 2021. CMM 02 annex B require the observers to record birds' presence and abundance.	Not assessed	"The status should be 'compliant'. ""Estimated species abundance around fishing vessel"" is listed under the item ""Incidental bycatch of seabirds, mammals, turtles or 'other species of concern' "" in CMM 2021/02 Annex B. For this item, as a result of lengthy discussion at the MoP8, the requirement in the relevant CMM(Annex B of CMM 2021/02) is became qualified by ""as much as possible"", as indicated in the text of this item. Japan's understanding is that submission of presence and estimated number of seabirds is not mandatory."	Compliant	

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CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
KOR	10. To assist with the development of a bottom fishing footprint and stock assessments, each CCP shall provide the Secretariat with all historical catch and effort, and if available observer data for vessels flying their flag and fishing in the Agreement Area at any time during period 2000-2015 and any previous years where available in accordance with annex A and annex B to the extent applicable. Any State or fishing entity that becomes a Party to the Agreement, a CNCP or PFE after the date this CMM is adopted shall provide their historical data to the Secretariat within 12 months of becoming Party to the Agreement, or becoming a CNCP or PFE.	Non-Compliant	Compliant		Compliant		Compliant	
MAU	5. CCPs shall collect vessel catch and effort data on a haul-by-haul basis.	Critically Non-Compliant	Not Assessed	Haul by haul data collection does not apply to handlines: CMM 02 uses the word "operation" for handline fishing. (Not Applicable)			Not assessed	Para 5 of CMM 2021/051 to be revised
MAU	6. CCPs shall report to the Secretariat, by 31 May each year, the data collected under paragraphs 4 and 5 for the previous calendar year, in accordance with the format prescribed in the corresponding annexes.	Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non-Compliant		Critically Non-Compliant	
MAU	8. To assist in data collection CCPs shall implement on-board all fishing vessels flying their flag the FAO Identification guide to the deep-sea cartilaginous fishes of the Indian Ocean. Where available the use of Smartforms may be considered.	Critically Non-Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non-Compliant		Not applicable No deep-sea cartilaginous species targeted	
MAU	9. Following the entry into force of this CMM, CCPs shall provide to the Scientific Committee, at least 30 days prior to the commencement of each ordinary meeting, an annual National Report of their fishing, research and management activities in accordance with the following: a. For the first report: the National	Critically Non-Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non-Compliant		Critically Non-Compliant	

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CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
	Report shall include details of activities of the previous five calendar years; b. For all reports thereafter: the National Report shall include details of activities of the previous calendar year; and c. In either case, the National Report shall take into account the guidelines prepared by the Scientific Committee for the preparation of such reports							
MAU	10. To assist with the development of a bottom fishing footprint and stock assessments, each CCP shall provide the Secretariat with all historical catch and effort, and if available observer data for vessels flying their flag and fishing in the Agreement Area at any time during period 2000-2015 and any previous years where available in accordance with annex A and annex B to the extent applicable. Any State or fishing entity that becomes a Party to the Agreement, a CNCP or PFE after the date this CMM is adopted shall provide their historical data to the Secretariat within 12 months of becoming Party to the Agreement, or becoming a CNCP or PFE.	Critically Non-Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non-Compliant		Critically Non-Compliant	
MAU	12. All CCPs shall implement national scientific observer programs to collect from activities undertaken by vessels flying their flag: a. Vessel information, effort and catch data for its fishing activities in the Agreement Area, including target, non-target and associated and dependent species including marine mammals, marine reptiles, seabirds or 'other species of concern'; b. Biological or other data and information relevant to the management of fishery resources in the Agreement Area, as specified in this CMM, or as identified from time to time by the Scientific Committee or through processes identified by the	Critically Non-Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non-Compliant		Critically Non-Compliant	

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CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
	Meeting of the Parties; and c. Relevant scientific information related to the implementation of the provisions of the CMMs adopted by the Meeting of the Parties.							
MAU	18. CCPs shall: a. ensure that fishery data are verified through an appropriate system of data verification mechanisms; b. develop, implement, and improve data verification mechanisms, which may include: i. Position verification through vessel monitoring systems; ii. Independent monitoring, including scientific observer programs and approved electronic observer programs, to verify industry data on catch, effort, catch composition (target and non-target), discards and other details of fishing operations; iii. Vessel trip, landing and transshipment reports; and iv. Port sampling. c. provide to the Scientific Committee, through their National Report, an annual data verification report which should provide information regarding their development and implementation of data verification mechanisms.	Critically Non-Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non-Compliant		Critically Non-Compliant	
COM	8. To assist in data collection CCPs shall implement on-board all fishing vessels flying their flag the FAO Identification guide to the deep-sea cartilaginous fishes of the Indian Ocean. Where available the use of Smartforms may be considered.	Critically Non-Compliant		No information provided (the ship uses a Mauritian logbook)	Not Assessed		Not Applicable No deep-sea cartilaginous species targeted	
COM	10. To assist with the development of a bottom fishing footprint and stock assessments, each CCP shall provide the Secretariat with all historical catch and effort, and if available observer data for vessels flying their flag and fishing in the Agreement Area at any	Critically Non-Compliant	"Compliant The ship owner has not recorded this data in a clear format"	The format could be investigated and effort done to restore any data of value	Not Assessed	we are waiting for the data from the ship awner to make the study	Not Assessed	Mauritius and Comoros to work on the data availability (information to be provided within 30 days after the end of the CC6 meeting)

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MAU	30. Without prejudice to the primacy of the responsibility of the flag State, each Contracting Party, CNCP and PFE shall take appropriate measures, subject to, and in accordance with, their applicable laws and regulations: (a) to verify if any of their nationals or any natural or legal persons subject to their jurisdiction are engaged in the activities described in paragraph 5; (b) to verify if any of their nationals or any natural or legal persons subject to their jurisdiction are responsible for, benefiting from, supporting or engaging in the activities described in paragraph 5 (e.g. as operators, effective beneficiaries, owners, logistics and service providers, including insurance providers and other financial service providers); (c) to take appropriate action in response to any verified activities referred to in sub-paragraphs 30 (a) and (b). Such action may include measures to effectively deprive any of the participants in such activities of the benefits obtained and effectively dissuade the actors of further illegal activities.	Critically Non-Compliant	Compliant	Based on CCR 2021 received from Mauritius	Compliant		Compliant	
MAU	32. To assist with the implementation of this CMM, Contracting Parties, CNCPs and PFEs shall include in their annual implementation reports of the actions and measures taken in accordance with this CMM.	Critically Non-Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non-Compliant		Critically Non-Compliant	

Table 7: Implementation of CMM 2019/07 (Vessel Authorisation)

CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
CT	6. (e) Each CCP shall ensure, to the extent possible under domestic law, that the owners and operators of its registered vessels on the SIOFA Record of Authorised Vessels are not engaged in or associated with fishing activities conducted in the Agreement Area by vessels not registered in the SIOFA Record of Authorised Vessels	Compliant	Compliant We have taken necessary measures including VMS and transshipment monitoring mechanism to ensure that our fleets are not engaged in or associated with fishing activities carried out by vessels not on the	CCP shall ensure that the owner or operator of its registered vessels are not engaged in or associated with fishing activities conducted in the Agreement Area by vessels not registered in the SIOFA record of authorised vessel. Fishing activities associated with IOTC authorised vessels, that are not on the SIOFA record of authorised	Not Assessed	1. Indeed, as the comment made by the Secretariat, there is no provision in the CMM regulating the fishing activities associated with the IOTC authorized vessels. Nevertheless, according to our domestic regulations, the fishing vessel listed on SIOFA RAV can	Compliant	

Table 9: Implementation of CMM 2018/09 (Control)

CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
AUS	7 (f) Each Contracting Party, CNCP and PFE shall ensure that its competent authority shall without delay notify the Secretariat of the information referred to in sub-paragraphs (d) and (e). Where the Contracting Party, CNCP or PFE provides consent, the Secretariat shall put this information on the SIOFA website.	Compliant	Non-Compliant (Two Australian flagged vessels lost gear during this assessment period. Both vessels reported gear loss to the competent authority without delay. The competent authority reported initial incidents of gear loss by one vessel to the Secretariat without delay, and in the other case the lost gear has not yet been reported to the Secretariat. A full report of all gear lost by both vessels will be submitted to the Secretariat directly and as part of the Annual Data Submission (31st May).)		Non-Compliant	Australia will provide the complete list of lost gear events as part of its annual data submission.	Non-Compliant	Australia provided a full report of lost gear in June 2022.
MAU	2. Contracting Parties, CNCPs and PFEs shall designate the competent authority or authorities which shall act as the Contact Point for the purposes of receiving reports and notifications and issuing authorisations in accordance with the relevant SIOFA CMMs. Each Contracting Party, CNCP or PFE shall send to the Secretariat the name, telephone number, e-mail address and fax number of at least two designated contact points before 30 days have passed following the adoption of this CMM. Any subsequent changes to the list shall be notified to the Secretariat without delay. The Secretariat shall put the details of the Contact Points and any changes thereto on the SIOFA website without delay.	Critically Non-Compliant	Compliant	The Secretariat did not receive the Contact Point for two or more consecutive years.	Critically Non-Compliant		Compliant	Permanent secretary is in charge of communications relevant to this CMM. Mauritius will provide this information to the ES before the end of the CC6 meeting.
MAU	7 (a). Each Contracting Party, CNCP and PFE shall ensure that vessels flying its flag operating with any gear on board where possible have equipment on board to retrieve abandoned, lost or	Critically Non-Compliant	Non-Compliant <i>Information not available</i>	Repeated non-compliance with an obligation for two or more consecutively assessed years;	Critically Non-Compliant		Not assessed	The CC propose to review this paragraph for consideration of hand line fishing.

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CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
	otherwise discarded fishing gear (ALDFG) and training available to facilitate the recovery of ALDFG.							
MAU	10. Each Contracting Party, CNCP and PFE shall ensure that: (a) when frozen, all fishery resources or fishery resource products derived from fishing caught and retained onboard shall be identified by a clearly legible label or stamp. The label or stamp, on each box, carton, container, bag or block (hereafter 'package') of frozen fishery resources or fishery resource products derived from fishing, shall indicate the species (e.g. common name/scientific name/FAO 3-Alpha code/codes as defined by the Scientific Committee), presentation, production date, and vessel identification number of the catching vessel. Where a package contains multiple species, the label or stamp shall indicate all of the species contained in the package and its quantity in kilograms; (b) labels are securely affixed, stamped, pre-printed or written on packaging at the time of stowage and be of a size that can be clearly read by inspectors in the normal course of their duties; (c) labels are marked in ink on a contrasting background; and (d) each package shall contain only one species (common name/scientific name/FAO 3-Alpha code or codes as defined by the Scientific Committee) unless the package: i. contains small quantities of mixed species intended for human consumption, and that do not exceed 25 kg of any single species by haul, or ii. contains fishery resources intended for use other than human consumption (such as, for example, fish meal). The words « not for human consumption » shall appear on the label, (e) Packages referred in sub-paragraph (d) will be stored onboard the fishing vessel in a manner that allows observers and	Critically Non-Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years;	Critically Non-Compliant		Compliant	

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CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
	inspectors to perform their respective tasks. Observers, when they are on board, shall record weight and species composition in packages containing multiple species. (f) The provisions under sub-paragraph (d) shall not constrain the collection and reporting of data required under Annex A of CMM 2021/02.							
MAU	12. Each Contracting Party, CNCP or PFE shall ensure that vessels flying its flag report any presumed fishing as defined in the Agreement, including transshipment, by vessels flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement in the Agreement Area. Each Contracting Party, CNCP or PFE shall ensure that reports from vessels flying its flag contain, to the extent possible, the following information: (a) name of vessel; (b) registration number/call sign of the vessel (c) flag State of the vessel; (d) date, time and position of sighting consistent with the standards for specification of data described in CMM 2021/02; and (e) any other relevant information regarding the sighted vessel, including photographs.	Critically Non-Compliant	Compliant		Compliant		Compliant	
COM	2. Contracting Parties, CNCPs and PFEs shall designate the competent authority or authorities which shall act as the Contact Point for the purposes of receiving reports and notifications and issuing authorisations in accordance with the relevant SIOFA CMMs. Each Contracting Party, CNCP or PFE shall send to the Secretariat the name, telephone number, e-mail address and fax number of at least two designated contact points before 30 days have passed following the adoption of this CMM. Any subsequent changes to the list shall be notified to the Secretariat without delay. The Secretariat shall put the details of the Contact Points and	Non-Compliant	Compliant	The contacts have been designated and provided to the Secretariat	Compliant		Compliant	

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CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
	any changes thereto on the SIOFA website without delay.							

Table 10: Implementation of CMM 2019/10 (Monitoring)

CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
MAU	10. Each CCP shall ensure that the ALCs fitted on board vessels flying its flag are tamper resistant, that is, are of a type and configuration that prevent the input or output of false positions, and that they are not capable of being overridden, whether manually, electronically or otherwise. To this end, the ALC must: a. be located within a sealed unit; and b. be protected by official seals (or mechanisms) of a type that will indicate whether the unit has been accessed or tampered with.	Critically Non-Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years;	Critically Non-Compliant		Compliant	No further action required
MAU	14. CCPs shall require their vessels or relevant authorities to notify the Secretariat, by email or other means, within 24 hours, in the format provided in Annex I, of each entry to or exit from the Agreement Area of vessels authorised to fish for species managed by SIOFA flying its flag, in accordance with CMM 2019/07.	Critically Non-Compliant	Non-Compliant	No notification received by Secretariat, for two or more consecutive years	Critically Non-Compliant		Critically Non-Compliant	Mauritius to provide soon the entry/exit reports to the Secretariat
CT	14. CCPs shall require their vessels or relevant authorities to notify the Secretariat, by email or other means, within 24 hours, in the format provided in Annex I, of each entry to or exit from the Agreement Area of vessels authorised to fish for species managed by SIOFA flying its flag, in accordance with CMM 2019/07.	Compliant	Compliant	The entry-exit reports are provided to the Secretariat but the sequence of entries and exit as received at the Secretariat is not consistent for many vessels. The issue has already been reported in 2021, and also in 2022 to C.T.	Not Assessed	1. During the CC5 meeting last year, the Secretariat raised the issue of the anomalies of entry and exit reports of our fishing vessels. 2. In order to address this issue, we contacted the mobile communication service provider (CLS), trying to understand the reasons behind the anomalies. After investigation, it turned out that CLS failed to provide the services for a few times last year, affecting many fishing vessels worldwide. 3. Whereas the incident was caused by technical failure, we have revised our automatic reporting system in August 2021, so as to prevent any	Non-compliant	The issue has been resolved. No further actions required.

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CCP	Obligation (include paragraph number, summary description)	2020 Compliance Status	Current 2021 assessment and Supporting info				Compliance Status	Follow up responsive or corrective action proposed to be undertaken
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP		
						<p>further disruption from mobile communication service providers.</p> <p>4. With the assistance of the Secretariat, it is confirmed that our entry/exit reports after August 2021 have been working well without further anomaly.</p> <p>5. Given that the issue of the anomalies have been solved and we have transmitted the entry/exit reports to the Secretariat within 24hrs regularly, we suggest that this item to be amended as “compliant.”</p>		
CT	15. Each CCP shall ensure that vessels flying its flag only undertake transshipments at sea of fishery resources, with other vessels included on the SIOFA Record of Authorised Vessels.	Non-Compliant	Compliant		Compliant		Compliant	
CT	16. Each CCP shall ensure, for each vessel flying its flag undertaking an at sea transshipment operation, that: [...] g. its competent authority submits the observer data on the Transshipment Logsheet referred to in sub-paragraph f. to the Secretariat, no later than 15 days from debarkation of the observer.	Non-Compliant	<p>Non-Compliant</p> <p>When our longline fishing vessels operating in the Indian Ocean conduct at-sea transshipment with carrier vessels, there will be IOTC ROP observers onboard the carrier vessels. The observers will submit the report to the IOTC Secretariat, and the IOTC Secretariat will then distribute them to the flag States concerned, which means it would take us longer than 15 days to submit observer reports to our Secretariat. We are proposing</p>		Non-Compliant		Critically Non-Compliant	



SIOFA IUU vessel list
(updated 08 July 2022)

Dates	Update
2021-07-08	MoP9 update and adoption

Note that the list is divided into two parts:

PART 1 –Current SIOFA IUU vessels list

PART 2 –Cross-listed IUU vessels from other RFMOs and CCAMLR

PART 1: Current SIOFA IUU vessels list

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Date	Suspected Activity	ref documentation	restricted file
ABISHAK PUTHA 3		not known		4SFXXXX	417000878		2020-08-14	Engaged in fishing for fishery resources in the Agreement Area and are not on the SIOFA Record of Authorized Vessels	apsoi.org/meetings/cc4	Draft SIOFA IUU vessel - Abishak Putha 3.pdf
EL SHADDAI		South Africa		ZR6358	8025082	Braxton Security Services CC	2020-07-08	Engaged in fishing for fishery resources in the Agreement Area and are not on the SIOFA Record of Authorized Vessels	apsoi.org/meetings/cc6	MoP-09-01-Provisional-IUU-vessel-list.pdf

PART 2: Cross-listed IUU vessels from other RFMOs

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
ABISHAK PUTHA 3		not known		4SFXXXX	417000878		2020-08-14	Engaged in fishing for fishery resources in the Agreement Area and are not on the SIOFA Record of Authorized Vessels	apsoi.org/meetings/c4	SIOFA
ABUNDANT 1	YI HONG 06	UNK/INC		CPA 226	UNK/INC	Huang Jia Yi,C/O Room 18-E Tze Wei Commercial Building, No.8 6 Th Road Lin Ya District, Kaohsiung, Chinese Taipei	Mr. Hatto Daroi,UNK/INC	Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	2021-04-05	IOTC -ICCAT
ABUNDANT 12	YI HONG 106	UNK/INC		CPA 202	UNK/INC	Huang Jia Yi,C/O Room 18-E Tze Wei Commercial Building, No.8 6 Th Road Lin Ya District, Kaohsiung, Chinese Taipei	Mr. Mendez FranciscoDelos Reyes,UNK/INC	Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2021-04-05	IOTC -ICCAT
ABUNDANT 3	YI HONG 16	UNK/INC		CPA 201	UNK/INC	Huang Jia Yi,C/O Room 18-E Tze Wei Commercial Building, No.8 6 Th Road Lin Ya District, Kaohsiung, Chinese Taipei	Mr. Huang Wen Hsin,UNK/INC	Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2021-04-05	IOTC -ICCAT
ABUNDANT 6	YI HONG 86	UNK/INC		CPA 221	UNK/INC	Huang Jia Yi,C/O Room 18-E Tze Wei Commercial Building, No.8 6 Th Road Lin Ya District, Kaohsiung, Chinese Taipei	Mr. Huang Wen Hsin,UNK/INC	Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2021-04-05	IOTC -ICCAT
ABUNDANT 9	YI HONG 116	UNK/INC		CPA 222	UNK/INC	Huang Jia Yi,C/O Room 18-E Tze Wei Commercial Building, No.8 6 Th Road Lin Ya District, Kaohsiung, Chinese Taipei	Mr. Pan Chao Mao,UNK/INC	Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2021-04-05	IOTC -ICCAT
ACROS NO 2		Unknown	Honduras						2006	ICCAT GFCM IOTC

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
ACROS NO 3		Unknown	Honduras						2006	ICCAT GFCM IOTC
AL AMIR MUHAMMAD		Egypt							2018-10	GFCM IOTC
ALBORAN II	White enterprise [NAFO/NEAFC] / White, Enterprise, Enxembre, Atalaya, Reda IV, AtalayaDel Sur [SEAFO]	Unknown	Panama, St. Kitts & Nevis	Unknown	7306570		Unknown	Last known location: Gibraltar Port(31 March 2009)	2014-05	GFCM ICCAT IOTC NAFO-NEAFC SEAFO
AMORINN	Iceberg II, Noemi, Lome	Unknown	Togo, Belize	SVAN9	7036345	Unknown	Unknown (Infitco Ltd Ocean Star Maritime CO,(Seric Business S.A.)	Sighted 58.5.1 (11 Oct 2003), Sighted 58.4.2 (23 Jan 2004)		CCAMLR GFCM ICCAT IOTCSEAFO
ANEKA 228		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de larésolution dela CTOI 11/03	2020-08-12	IOTC - ICCAT
ANEKA 228; KM.		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC - ICCAT
ANTONY	Urgora, Atlantic Oji Maru No. 33 -Oji Maru No. 33	Unknown	Venezuela, Honduras, Panama, Belize, Indonesia	PQMG	7236634		Atlanti Pez, (Urgora S deRL), (World Ocean Fishing SL)	Supporting IUU-listed vessel (3March 2016)	2020-08-12	CCAMLR ICCAT IOTC SEAFO
ASIAN WARRIOR	Kunlun, Taishan, Chang Bai, Hongshui, Huang He22, Sima Qian Baru 22, Corvus, Galaxy, Ina Maka, Black Moon, Red Moon, Eolo, Thule, Magnus, Dorita [CCAMLR/IOTC]	Unknown	Indonesia, Tanzania, Korea DPRK, Panama, Sierra Leone, EquatorialGuinea, Uruguay	J8B5336, 3CAG	7322897	Stanley Management Inc,UNK/INC	High Mountain OverseasS.A., (Navalmar S.A.), (Meteora Development Inc), (Vidal Armadores S.A.), (Rajan Corporation), (Rep Line Ventures S.A.), (Stanley	Sighted 58.5.2 (31 Jan 2004), Sighted 58.5.1 (10 May 2006), Sighted 58.4.1 (21 Jan 2010), Sighted 58.4.1 (13 Feb 2011), Towing Baiyangdian 57 (01 Apr 2012), Sighted 58.6 (01 Jul 2012), Sighted 58.4.2 (28 Jan 2013),	2020-08-11	IOTC – CCAMLR (2003)

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
ATLANTIC WIND	Zemour 2, Luampa, Yongding, Jiangfeng, Chengdu, Shaanxi Henan 33, Xiong Nu Baru 33, Draco I, Liberty, Chilbo San 33, Hammer, Seo Yang No. 88, Carran [CCAMLR]	Unknown	Tanzania, Equatorial Guinea, Indonesia, Cambodia, Panama, Sierra Leone, Korea (DPRK), Togo, Uruguay	SIM813, 3CAE	9042001	High Mountain Overseas SA (Viarsa Fishing Company/Navalmar S.A., Global Intercontinental Services, Rajan Corporation, Redlines Ventures S.A.)	High Mountain Overseas S.A., UNK/INC	Undocumented landing Malaysia (01 Aug 2004), Fishing 58.4.3a (22 Feb 2005), Fishing 58.4.3a (28 Apr 2005), Fishing 58.4.3b (16 Dec 2005), Fishing 58.4.3b (01 Jul 2009), Fishing 58.4.2 (27 Jan 2010), Fishing 58.4.3b (04 Apr 2010),	2020-08-11	IOTC – CCAMLR (2004)
BAROON	Lana, Zeus, Triton-1 [CCAMLR]	Unknown	Nigeria, Mongolia, Togo, Sierra Leone, Tanzania	SIM376	9037537	Vero Shipping Corporation (Punta Brava Fishing S.A.)		Fishing 58.4.1 (19 Mar 2007), Sighted 88.1 (15 Jan 2008), Sighted 57 (19 Dec 2010), Sighted 57 (05 Oct 2012), Sighted 57 (24 Mar 2013), Sighted 57 (03 Sep 2013), Sighted 57 (19 Nov 2013), Sighted 57 (14 Feb 2014)	2007-11	CCAMLR GFCM ICCAT IOTCSEAFO
BHASKARA NO 10		Unknown							2020-12-04	IATTC ICCAT IOTC GFCM
BHASKARA NO 9		Unknown							2020-12-04	IATTC ICCAT IOTC GFCM
BIGEYE		Unknown							2006	ICCAT IOTC- GFCM
BRAVO		Unknown							2004	ICCAT GFCM ICCAT IOTC
CAMELOT		Unknown							2005-06-28	GFCM IATTC ICCAT IOTC
CHALLENGE	Mila, Perserverance [CCAMLR] / Mila, Isla, Montana Clara, Perseverance [SEAFO]	Unknown	Panama, Equatorial Guinea, United Kingdom	HO5381	6622642	Advantage Company S.A. (rion Ltd, Vidal Armadores S.A., Mar de Neptuno S.A., Argibay Perez J.A.		Sighted 58.4.3b (14 Feb 2006), Sighted 58.4.3b (22 May 2006), Sighted 58.4.3b (10 Dec 2006), Sighted 58.4.3b (08 Feb 2008)	2008-11	CCAMLR GFCM ICCAT IOTCSEAFO

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
CHI TONG		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC
CHIA HAO NO 66		Unknown							2006-03-31	IATTC IOTC- GFCM
CHOTCHAINAVEE 35	CARRAN	UNK/INC	DJIBOUTI	UNK/INC	UNK/INC	GREEN LAUREL INTERNATIONAL SARL,UNK/INC	MASTER/PATRON: Mr PRAWIT KERDSUWAN,UNK/INC	Engaged in fishing or fishing related activities in waters of a coastal State without permission or authorisation./S'est engagé dans lapêche ou des activités liées à la pêche dans des eaux d'un État côtier sans la permission ou	2019-06-17	IOTC
COBIJA	Cape Flower, Cape Wrath II	Unknown	Bolivia 04/2014, Sao Tome and Principe 01/2014, Unknown 06/2013, South Africa04/1998, Canada 11/1973	CPB3000	7330399		Unknown, Express Financial Ventures GroupInc	Fishing inside FAO Area 47 in 2016. Investigation initiated by Ecuadorian Fisheries Authority Boarded in the High Seas of IndiansOcean (15°05'1.20"S and 088°28'24.66"E) by Australia on 18	2020-08-12 _ (2017)	IOTC (SEAFO)
DANIAA	Carlos	Unknown								ICCAT IOTC GFCM
DRAGON III		Unknown								IATTC ICCAT GFCM IOTC
EL SHADDAI	Banzare	South Africa		ZR6358	8025082	<u>Braxton Security Services CC</u> Previous Owners: Elpriths S.A		SIOFA: Engaged in fishing for fishery resources in the Agreement Area and are not on the SIOFA Record of Authorized Vessels CCAMLR: Fishing inside a closed area (Subarea 58.7) (26 May – 08 Aug 2015 and 06 May – 22 Jun 2016) (26 May 2015)	2022-07-08 2021-12-04	SIOFA CCAMLR
FU HSIANG FA		Unknown							2020-08-11	GFCM IOTC
FU HSIANG FA 18		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
FU HSIANG FA NO. 01		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT
FU HSIANG FA NO. 02		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT
FU HSIANG FA NO. 06		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT
FU HSIANG FA NO. 08		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT
FU HSIANG FA NO. 09		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT
FU HSIANG FA NO. 11		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT
FU HSIANG FA NO. 13		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT
FU HSIANG FA NO. 17		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT
FU HSIANG FA NO. 20		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
FU HSIANG FA NO. 21A		UNK/INC		OTS 024 or OTS 089	UNK/INC			Contravention of IOTC Resolution07/02/ Violation de la résolution de la CTOI 07/02	2020-08-12	IOTC –ICCAT
FU HSIANG FA NO. 21B		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT
FU HSIANG FA NO. 23		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT
FU HSIANG FA NO. 26		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT
FU HSIANG FA NO. 30		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC –ICCAT
FU LIEN NO 1		Unknown			7355662				2020-08-11	WCPFC -GFCM ICCAT IOTC
FULL RICH		Unknown	BELIZE	HMEK3		Noel International LTD(Noel International LTD),UNK/INC		Contravention of IOTC Resolution 07/02/Violation de la résolution dela CTOI 07/02	2020-08-11	IOTC –ICCAT
GALA I	Manara II/Roagan	Unknown							2020-08-11	ICCAT
GOIDAU RUEY NO 1		Unknown							2020-08-11	GFCM IATTC ICCAT IOTC

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
GOOD HOPE	Toto, Sea Ranger V	Nigeria		5NMU	7020126	Port Plus Ltd (SharksInvestments AVV)		Resupplying IUU vessels Area 51(09 Feb 2007)	2020-08-11	CCAMLR GFCM ICCAT IOTCSEAFO
GORILERO	Gran Sol	Unknown	Sierra Leone, Panama [NAFO/NEAFC]	Unknown (9LYF36, H03738)	6719419		Unknown	Last known location: La Coruna,Spain (September 2007)	2020-08-11	GFCM ICCAT IOTC NAFO NEAFC SEAFO
GUNUAR MELYAN 21		Unknown						Contravention of IOTC Resolution07/02/ Violation de la résolution de la CTOI 07/02	2020-08-11	IOTC GFCM ICCAT
HAI DA 705		Unknown		Not known	Not known	Not known	Not known	Communications between Japanese Patrol vessel and HAIDA705 at 43 10.4'N, 153 38.6'E on 11 Sep 2016 indicated they caught squid withdrift net in the high sea. (Port displayed on the vessel: 沈家们);	2017-08-29	NPFC
HALELUYA		Unknown		5IM615		Imanely SAS / NIT: 900076756 / N° de Registry: 21591712 / État: Actif, Barrio BosqueTransversal 52, No 21A- 62, Cartagena, de Indias, Bolivia	Imanely SAS / NIT: 900076756 / N° de Registry: 21591712 /État: Actif	EU : suspects this vessel, without nationality, may be engaging in fishing activities in ICCAT Convention Area. Believed by Environmental Justice Foundation(EJF) to be flagged or previously flagged to Tanzania (See Doc. COC-	2021-04-05	ICCAT
HALIFAX	MARIO 11	NAMIBIA	SENEGAL	V5IW	8529533	South Wolf Holdings (PTY) LTD	South Wolf Holdings (PTY) LTD	SENEGAL/ NAMIBIA (ICCAT) at 2021 PWG (in PWG_405B/2021) NAMIBIA's request for removal by Circular n°08965/21 USA:the U.S. Coast Guard observed approximately 250 shark fins strung from lines throughout the vessel's decks. (former ICCAT Register Number: AT000SENO0031 delisted on 9 Feb 2016))	2020-02-18	ICCAT
HEAVY SEA	Duero, Julius, Keta, Sherpa Uno	Unknown	Panama, Saint Kitts andNevis, Belize	3ENF8	7322926		C&S Fisheries S.A. (Muner S.A., MeterorosShipping, Meteora Shipping Inc., Barroso Fish S.A.)	Sighted 58.5.1 (03 Feb 2004), Fishing 57 (29 Jul 2005)	2020-08-11	CCAMLR GFCM ICCAT IOTCSEAFO
HOOM XIANG 101		UNK/INC	MALAYSIA	UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC - ICCAT
HOOM XIANG 103		UNK/INC	MALAYSIA	UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC - ICCAT

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
HOOM XIANG 105		UNK/INC	MALAYSIA	UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC - ICCAT
HOOM XIANG II		Unknown	MALAYSIA	UNK/INC	UNK/INC	Bhd),UNK/INC (MALAYSIAN INTERNATIONAL TUNAPORT, 11960 BATU MAUNG PULAU, PINANG)		Contravention of IOTC Resolution09/03/ Violation de la résolution de la CTOI 09/03	2020-08-11	IOTC - ICCAT
IANNIS I	Moana Mar, Canos De Meca	Unknown	Panama	HO3374	7332218		Unknown	Last known location: Indian Ocean(2007)	2020-08-11	GFCM ICCAT IOTC NAFO NEAFC SEAFO
IMULA 0730KLT/LAKPRIYA 14	UNK/INC	SRI LANKA	UNK/INC	45F4482	UNK/INC	UNK/INC	UNK/INC	unmarked gear; not on the iotc authorised fishing vessel list (15/04 para 1),/engin non-marqué ; ne figure pas sur la liste ctoi des navires de pêche autorisés (rés. 15/04 paragraphe 1).		UNK/INC
IMULA 0846 KLT/GOD BLESS	UNK/INC	SRI LANKA	UNK/INC	UNK/INC	UNK/INC	UNK/INC	UNK/INC	unmarked gear in water; not on AFV list; believed to have been fishing in BIOT waters for 10 days./engin non-marqué dans l'eau ; ne figure pas sur la liste des navires autorisés ; supposé avoir pêché dans les eaux du TBOI pendant 10 jours		UNK/INC
IMUL-A-1028-TLE/DEWLI FISHING KUDAWELLA	UNK/INC	SRI LANKA	UNK/INC	UNK/INC	UNK/INC	UNK/INC	UNK/INC	not on iotc AFV list,/ne figure pas sur la liste CTOI des navires de pêche autorisés.		UNK/INC
IND-TN-15-MM8297/ARARAT/RESH MITHA	UNK/INC	INDIA	UNK/INC	UNK/INC	UNK/INC	UNK/INC	UNK/INC	vessel was not on the iotc list of authorised fishing vessels. the vessel had not submitted a transit reporting form to the biot authorities. the vessel had gear (longlines) in the water./le navire ne figurait pas sur la liste ctoi des navires autorisés. le navire n'avait pas soumis de formulaire de déclaration de transit aux autorités du TBOI. le navire avait mouillé un engin(palangres).		UNK/INC
ISRAR 1	MARCO n°21 MEGA n°2 TERANG SURYA TUNA INDAH N°3	OMAN	SENEGAL, BELIZE	A4BB5	8004076	Almuran	Almuran International LCC	Harvest tunas and tuna-like species in the Convention area and are not registered on the relevant ICCAT list of vessels authorized to fish for tuna and tuna-like species in the ICCAT Convention area	2022-02-18	ICCAT
ISRAR 2	RICOS n° 6 MARIO n° 6 YUH PAO n° 6	OMAN	SAINT-VINCENT ET GRENADINE TANZANIE VANUATU	A4BA3	8568694	Almuran	Almuran International LCC	Harvest tunas and tuna-like species in the Convention area and are not registered on the relevant ICCAT list of vessels authorized to fish for tuna and tuna-like species in the ICCAT Convention area	2022-02-18	ICCAT

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
ISRAR 3	RICOS N° 3 MARIO N° 3 YUH PAO n° 3	OMAN	SAINT-VINCENT ET GRENADINE TANZANIE VANUATU	A4BA5	8568682	Almuran	Almuran International LCC	Harvest tunas and tuna-like species in the Convention area and are not registered on the relevant ICCAT list of vessels authorized to fish for tuna and tuna-like species in the ICCAT Convention area	2022-02-18	ICCAT
JINZHANG	Hai Lung, Yele, Ray, Kily, Constant, Tropic, Isla Graciosa	Unknown	Belize, Mongolia, Equatorial Guinea, SouthAfrica [CCAMLR] / Belize [SEAFO]	PQBT	6607666		Arniston Fish Processors(Pty) Ltd, (Vidal Armadores S.A.), (Nalanza S.A.), (Argibay Perez J.A.), (Belfast Global S.A.), (Eterna Ship Management)	Fishing inside Division A (2012),Fishing 58.4.3b (23 May 2006), Fishing 58.4.2 (18 Feb 2007), Fishing 58.4.3b (24 Mar 2007), Fishing 58.4.3b (12 Jan 2008), Fishing 58.4.3b (09 Jan 2009), Fishing 58.4.3b (20 Jan 2009)	2021-04-05	CCAMLR GFCM SEAFO
JYI LIH 88		Unknown							2020-08-11	GFCM IATTC ICCAT IOTC
KIM SENG DENG 3		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC - ICCAT
KOOSHA 4	Eguzkia	Iran, Islamic Republic of		9BQK	7905443		Pars Paya Seyd IndustrialFish	Inside Division 58.4.1 (15 Feb 2011)	2020-11	CCAMLR GFCM ICCAT IOTCSEAFO
KUANG HSING 127		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC - ICCAT
KUANG HSING 196		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	IOTC - ICCAT
LABIKO	Maine, Claude Monier, Chevalier d'Assas[SEAFO]	Unknown	Guinea Conakry	3XL2	7325746			Last known location: NEAFC RA (29oct 2007)	2020-08-11	GFCM ICCAT IOTC NAFO NEAFC
LIAO YUAN YU 071		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 15.4'N, 153 22.8'Eon 23 Aug 2016. When the Japanese patrol vessel approached,a vessel crew tried to hide the vessel name. Communication between the Japanese patrol vessel and LIAO YUAN YU 071	2017-08-29	NPFC

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
LIAO YUAN YU 072		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 18.7'N, 153 27.9'E on 23 Aug and at 42 9.2'N, 151 16.4'E on 11 Oct 2016. Vessel name was hidden by paint. (Port displayed on the vessel: Shidao; Vessel type; Lighted lift net vessel; Tonnage: 800t)	2017-08-29	NPFC
LIAO YUAN YU 9		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 3.0'N, 153 0.8'E on 23 Aug and at 42 10.0'N, 151 16.8'E on 11 Oct 2016. Vessel name was hidden by paint. (Port displayed on the vessel: Shidao; Vessel type; Lighted lift net vessel; Tonnage: 800t)	2017-08-29	NPFC
LILA NO 10		Unknown	Panama					EU- Vessel greater than 24m not included in ICCAT Record of vessels. Seen fishing in the MED during closed season	2014-05	GFCM ICCAT IOTC
LIMPOPO	Ross, Alos, Lena, Cap George, Conbaroya, Tercero [SEAFO] / Lena, Alos, Ross [CCAMLR]	Unknown	Togo, Ghana, Seychelles, France	Unknown	7388267		Grupo Oya Perez (KangBrothers, Lena Enterprises Ltd, Alos Company Ghana Ltd)	Fishing 58.5.2 (21 Sep 2003), Sighted 58.5.1 (03 Dec 2003), Fishing 58.4.3b (23 Feb 2005), Fishing 58.4.3b (14 Dec 2005), Sighted 58.4.3b (25 Jan 2007)	2003	CCAMLR GFCM ICCAT IOTCSEAFO
LU RONG SHUI 158 (鲁荣水158)		Unknown		Not known	Not known		Not known	A Japanese patrol vessel sighted this fishing vessel in the Convention area at 39°59.2'N, 147°39.7'E on July 7, 2018. There is no vessel registration of this vessel on the NPFC vessel register. MMSI 4126888540	2020-08-11	NPFC
LU RONG YU 1189		Unknown		Not known	Not known	Not known	Not known	It was seen at 41 24.9'N, 140 32.7'E (Japan EEZ) on 14 Jun 2016. (Port displayed on the vessel: Shidao; Vessel type: Carrier vessel; Tonnage: 100t) MMSI: 412321992	2020-08-11	NPFC IOTC
LU RONG YU 612		Unknown		Not known	Not known	Not known	Not known	A Japanese patrol vessel sighted this fishing vessel was drifting in the Convention area at 39 50.00'N, 147 1.8'E on July 21. The port of registry is Shidao and AIS information showed that the vessel name is "Lu Long Yuan Yu	2020-08-11	NPFC IOTC
LU RONG YUAN YU 101		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 101 is registered as a light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 101 with lift net type was seen at 49 9.2'N, 149 19.5'E on 17 May 2016. LU	2020-08-11	NPFC IOTC
LU RONG YUAN YU 102		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 102 is registered as one light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 102 with lift net type was seen at 42° 21.3'N, 151° 55.5'E on 11 Oct 2016. LU	2020-08-11	NPFC IOTC

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
LU RONG YUAN YU 103		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 103 is registered as one light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 103 with lift net type was seen at 40 25.9'N, 150 9.9'E on 1 June	2020-08-11	NPFC IOTC
LU RONG YUAN YU 105		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 105 is registered as one light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 105 with lift net type was seen at 42°27'N, 152° 5.8'E on 11 Oct 2016.LU	2020-08-11	NPFC IOTC
LU RONG YUAN YU 106		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 106 is registered as one light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 106 with lift net type was seen at 40 30.4'N, 149	2020-08-11	NPFC IOTC
LU RONG YUAN YU 108		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 108 is registered as one light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 108 with lift net type was seen at 40 28.4'N, 149 28.1'E on 29 May	2020-08-11	NPFC IOTC
LU RONG YUAN YU 109		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 109 is registered as one light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 109 with lift net type was seen at 40 25.1'N, 149 25 'E on 29 May	2020-08-11	NPFC IOTC
LU RONG YUAN YU 787		Unknown		Not known	Not known	Not known	Not known	A Japanese patrol vessel sighted this fishing vessel was drifting in the Convention area at 39 49.7'N, 147 2.8'E on July 21 2017, and Japanese patrol aircraft sighted the same vessel anchored at 41 3.3'N, 150 22.1'E on August 2 2017. The	2020-08-11	NPFC IOTC
LU RONG YUAN YU 797		Unknown		Not known	Not known	Not known	Not known	A Japanese patrol aircraft sighted this fishing vessel in the Convention area was operating at 42 7.1'N, 151 40.9'E on July 7 2017. China flag was raised and "CHINA" was painted on the vessel	2020-08-11	NPFC IOTC
LU RONG YUAN YU YUN 958		Unknown		Not known	Not known	Not known	Not known	A Japanese patrol fishing vessel sighted this fishing vessel was drifting in the Convention area at 39 50.9'N, 147 4.3'E on July 21. The vessel raised China flag and the port of registry was Shidao.	2020-08-11	NPFC IOTC
MAAN YIH HSING		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
MADURA 2		Unknown							2004-11-16	GFCM ICCAT IOTC
MADURA 3		Unknown							2004-11-16	GFCM ICCAT IOTC
MARIA		Unknown							2006-10-23	GFCM ICCAT IOTC
MARWAN 1	AL WESAM 4,CHAICHANACHOKE 8	SOMALIA	DJIBOUTI, THAILAND/THAILANDE	UNK/INC (HSN5721)	UNK/INC	SOMLINK FISHERIES INVESTMENT (MARINERENOWN SARL),UNK/INC		Contravention of IOTC Resolution17/03/ Violation de la résolution de la CTOI 17/03	2020-08-12	ICCAT IOTC
MELILLA NO 101		Unknown	Panama						2020-08-11	GFCM ICCAT IOTC
MELILLA NO 103		Unknown	Panama						2020-08-11	GFCM ICCAT IOTC
MURTOSA	Unknown	Unknown	Togo [NAFO/NEAFC]	Unknown (ZDBLI)	7385174		Aveiro, Portugal (since2005)	Last known location: Aveiro,Portugal	2020-08-11	GFCM ICCAT IOTC NAFO NEAFC SEAFO
NEPTUNE		Unknown	Georgia						2010-12	WCPFC -GFCM IATTC ICCAT IOTC
NEW BAI I NO. 168	Tai Yuan No. 227	Liberia							2016-11	GFCM ICCAT IOTC

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
NIKA		Unknown		HP6686	8808654	Jiho Shipping Ltd		Fishing without authorisation (08Jun 2019)	2020-05	CCAMLR
NO 2 CHOYU		Unknown	Honduras						2006-10	GFCM ICCAT IOTC
NO 3 CHOYU		Unknown	Honduras						2006-10	GFCM ICCAT IOTC
NORTHERN WARRIOR	Millennium, Sip 3	Angola	Curacao, Netherlands Antilles, South Africa, Belize, Morocco	PJSA	8808903		SIP (Areapesca S.A., Southern Trading Group, Snoek Wholesalers, South Atlantic Fishing NV, World Ocean Fishing SL, Orkiz Agro-Pecuaría - Pescas Transportes E	Supporting IUU-listed vessels (03Mar 2016)	2020-08-12	CCAMLR ICCAT IOTC SEAFO
NPFC 29 UNKNOWN 2021-01		Unknown		Unknown		Unknown	Unknown	A Japanese trawl vessel sighted this fishing vessel indicating its vessel name "ZHOU YU 808" MMSI 412671880, in the Koko seamount area of Convention area at 36°44'N, 171°27'E on August 29, 2018, allegedly conducted fishing	2020-08-11	NPFC
NPFC 30 UNKNOWN 2021-02		Unknown		Unknown		Unknown	Unknown	A Japanese trawl vessel sighted this fishing vessel indicating its vessel name "ZHOU YU 809" MMSI 412401260, in the Koko seamount area of Convention area at 36°44'N, 171°27'E on August 29, 2018, allegedly conducted fishing	2020-08-11	NPFC
NPFC 34-UNKNOWN 2021-3								Violation of CMM2019-01(para5).A Japanese patrol vessel sighted this fishing vessel displaying the name LU RONGYUAN YU 581 鲁荣远渔 581 in the Convention area at 41°11.6'N, 174°17.7'W on July 15, 2020.	2021-05-03	NPFC
NPFC-35 UNKNOWN 2021-4								Violation of CMM2019-01(para5). A Japanese patrol vessel sighted this fishing vessel displaying the name LU RONG YUAN YU 582 鲁荣远渔 582 in the Convention area at 41°11.4'N, 174°22.9'W on July 15, 2020. This	2021-05-03	NPFC
NPFC-36 UNKNOWN 2021-5								Violation of CMM2019-01(para5).A Japanese patrol vessel sighted this fishing vessel displaying the name LU RONG YUAN YU 197 鲁荣远渔 197 in the Convention area at 41°11.3'N, 174°20.3'W on July 15, 2020.	2021-05-03	NPFC

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
OCEAN DIAMOND		Unknown							2020-08-11	GFCM ICCAT IOTC
OCEAN STAR NO 2		Unknown		YJRU6		Ming Shun Fishery Co LTD, Port Vila, Vanuatu	Ming Shun Fishery CoLTD	(Vanuatu (2016) / Bolivia (2012))(former ICCAT Register Number: AT000VUT00017). USA: sighting of tuna longline vessel in the Convention Area; not on ICCATRecord of Vessels; No valid Flag. Communicated via radio, vessel	2021-04-05	ICCAT
OKAPI MARTA	SUMMER REFER	Unknown			7816472				2020-08-11	GFCM
ORCA		Unknown	Belize						2005-06-28	IATTC GFCM ICCAT IOTC
ORIENTE NO 7		Unknown	Honduras						2020-08-11	GFCM ICCAT IOTC
PERLON	Cherne, Sargo, Hoking, Bigaro, Lugalpesca	Unknown	Mongolia, Togo, Uruguay	SNTV21	5062479		Vakin S.A. (Jose LorenzoSL, Americagalaica S.A.)	Sighted 58.5.1 (03 Dec 2002), Sighted 58.5.1 (04 Jun 2003), Sighted 58.4.2 (22 Jan 2004), Sighted 58.4.3b (11 Dec 2005), Fishing 58.4.1 (26 Jan 2006), Sighted 58.4.3b (07 Dec 2006), Sighted 58.4.1 (30 Dec 2006).	2020-08-11	CCAMLR GFCM ICCAT IOTCSEAFO
PESCACISNE 1, PESCACISNE 2	Zemour 1, Kadei, Songhua, Yunnan, Nihewan, Huiquan, Wutaishan Anhui 44, Yangzi Hua 44, Trosky, Paloma V [CCAMLR]	Unknown	Mauritania, EquatorialGuinea, Indonesia, Tanzania, Mongolia, Cambodia, Namibia, Uruguay	9LU2119	9319856	Eastern Holdings, UNK/INC	Mabenal S.A. (Vidal Armadores S.A., Omunkete Fishing Pty Ltd, Gongola Fishing JV(Pty) Ltd, Eastern Holdings)	Supporting activities of IUU vessels51 (16 May 2008), Sighted 58.4.3b (22 Apr 2009), Sighted 57 (07 Dec 2009), Fishing 58.4.1 (07 Apr 2010), Sighted 58.4.1 (29 Jan 2012), Sighted 58.4.1 (30 Jan 2012), Sighted 58.4.1 (31 Jan	2008-11	IOTC – CCAMLR ICCAT SEAFO
PROGRESO	AL WESAM 5 CHAINAVEE 54	CAMEROON	DJIBOUTI, THAILAND	UNK/INC (HSN5447)	UNK/INC	MR PORNCHEI VIRIYAJIT(MARINE RENOWN SARL)	MR PORNCHEI VIRIYAJIT(MARINE RENOWN SARL)	CONTRAVENTION OF IOTC RESOLUTION 17/03/ VIOLATION DE LA RESOLUTION DE LA CTOI 17/03	PROGRESO	AL WESAM 5 CHAINAVEE 54
REYMAR 6		Unknown	Belize						2005-06-28	GFCM IATTC ICCAT IOTC

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
SAGE		Gambia		C5J82		Song Maw Fishery S.A.,Calle 78E Casa No. 30 Loma alegre, San Francisco,Panamá	Song Maw Fishery S.A.	ref. IATTC	2021-04-05	ICCAT
SAMUDERA PASIFIK NO. 18		Indonesia						Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-11	GFCM ICCAT IOTC
SAMUDERA PERKASA 11		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SAMUDRA PERKASA 12		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SEA URCHIN	Aldabra, Omoa I	Gambia	Tanzania, Honduras	5VAA2	7424891		Cecibell Securities(Farway Shipping)	Fishing inside Division 58.4.4b (10Nov 2006)	2020-08-11	CCAMLR GFCM ICCAT IOTCSEAFO
SEA VIEW	AL WESAM 2, CHAINAVEE 55	CAMEROON	DJIBOUTI, THAILAND/THAILANDE	UNK/INC (HSB3852)	8692342	UNK/INC (MARINE RENOWNSARL),UNK/INC		Contravention of IOTC Resolution17/03/ Violation de la résolution de la CTOI 17/03	2020-08-12	ICCAT IOTC
SEA WIND	AL WESAM 1, SUPPHERMNAVEE 21	CAMEROON	DJIBOUTI, THAILAND/THAILANDE	UNK/INC (HSN5282)	8692354	UNK/INC (MARINE RENOWNSARL),UNK/INC		Contravention of IOTC Resolution17/03/ Violation de la résolution de la CTOI 17/03	2020-08-12	ICCAT IOTC
SHARON 1	MANARA I/POSEIDON	Unknown	Libya						2020-08-11	GFCM ICCAT IOTC
SHENG JI QUN 3		UNK/INC		CPA 311	UNK/INC	Chang Lin, Pao-Chun,No.161, San Min Rd. Yufu Village, Kaohsiung City, Chinese Taipei	Mr. Chen, Chen-Tsai,UNK/INC	Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
SHUEN SIANG		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SHUN LAI	HSIN JYI WANG NO. 6	UNK/INC		CPA 514	UNK/INC	Lee Cheng Chung, No. 5Tze Wei Road, Kaohsiung, Chinese Taipei	Mr. Sun Han Min, UNK/INC	Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SIN SHUN FA 6		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SIN SHUN FA 67		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SIN SHUN FA 8		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SIN SHUN FA 9		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SOUTHERN STAR 136	Hsiang Chang	Unknown	St. Vincent and the Grenadines						2020-08-11	GFCM ICCAT IOTC
SRI FU FA 168		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SRI FU FA 18		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
SRI FU FA 188		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SRI FU FA 189		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SRI FU FA 286		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SRI FU FA 67		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
SRI FU FA 888		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
STS-50	Ayda, Sea Breez 1, Andrey Dolgov, Std No. 2, Suntai No.2, Sun Tai No. 2, Shinsei Maru No. 2	Togo	Cambodia, Korea, Philippines, Japan, Namibia, Togo [CCAMLR]	SVDR2	8514772		Maruha Corporation (Taiyo Namibia, Taiyo Susan, Taiyo A & F Co. Ltd, Sun Tai International Fishing Corp, STD Fisheries Co. Ltd, Red Star Co. Ltd, Poseidon	Landing IUU catch (25 May 2016), Sighting in Area 57 (06 Apr 2017)	2020-08-11	CCAMLR GFCM ICCAT IOTCSEAFO
TA FU 1		Unknown							2004-06-22	GFCM IATTC ICCAT IOTC
TCHING YE NO 6	El Diria I	Unknown	Belize						2009—06-08	GFCM IATTC ICCAT IOTC
TIAN LUNG NO.12		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
TRINITY	Yucatan Basin, Exembre, Fonte nova, Jawhara[SEAFO /NAFO]	Unknown	Ghana, Panama, Morocco	Unknown (3EGV5, V3XB, H02933)	7321374			Last known location: Port in Tema,Ghana (Sep 2011)	2020-08-11	GFCM ICCAT IOTC NAFO NEAFC SEAFO
WEN TENG NO 688	apparently changed to MAHKOIA ABADI No 196	Unknown	Belize						2020-08-11	GFCM IATTC ICCAT IOTC
XING HAI FEN	OCEAN LION	PANAMA	EQUATORIAL GUINEA	3FHW5	7826233	Ocean Lion ShippingS.A.,Panama City, Panama	Ocean Lion ShippingS.A.,Panama City, Panama	Contravention of IOTC Resolution 02/04, 02/05, 03/05/ Violation dela résolution de la CTOI 02/04, 02/05, 03/05.	2021-04-05	ICCAT IOTC
YI HONG 3		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
YU FONG 168		Unknown	Chinese Taipei	BJ4786		Chang Lin Pao-Chun, 161Sanmin Rd., Liuciuo Township, Pingtung County 929, Chinese Taipei		Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-11	GFCM ICCAT IOTC
YU MAAN WON		Unknown	Georgia					Fishing in the Exclusive Economic Zone of the Republic of the Marshall Islands without permission and in contravention ofRepublic of the Marshall Islands's laws and regulations. (CMM 2007-03, para 3b). Contravention of	2020-08-11	IOTC
YUANDA 6		Unknown		Not known	412356488		Not known	A Japanese patrol vessel sighted this vessel conducting fishing operation in the Convention areaat 25°45'9N, 147°07'06E on April 15, 2019. This nameless vessel (assumed "YUANDA6" from the vessel's MMSI 412356488) was	2020-08-11	NPFC
YUANDA 8		Unknown			412365486	Not known	Not known		2020-08-11	NPFC
YUTUNA 3	HUNG SHENG NO. 166	UNK/INC		CPA 212	UNK/INC	Yen Shih Hsiung,Room 11 E. No.3 Tze Wei Forth Road, Kaohsiung, ChineseTaipei	Mr. Lee, Shih- Yuan,UNK/INC	Contravention of IOTC Resolution11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC

Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Cross Listing Date	Listing RFMOs (For additional information, please look at the website of the referent RFMO (Bold))
YUTUNA NO. 1		UNK/INC		CPA 302	UNK/INC	Tseng Ming Tsai, Room 11-E, No. 3 Tze Wei Fort Road, Kaohsiung, Chinese Taipei	Mr. Yen, Shih- Shiung, UNK/INC	Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	2020-08-12	ICCAT IOTC
ZHE LING YU LENG 90055		Unknown		Not known	Not known	Not known	Not known	It was seen at 40 25.3'N, 149 13.2'E on 29 May 2016. (Port displayed on the vessel: Wenling; Vessel type: Carrier vessel; Tonnage: 600t) MMSI: 412000000/413202046	2020-08-11	NPFC
ZHE LING YU LENG 905		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 45.6'N, 152 45.8'E on 24 Aug 2016. (Port displayed on the vessel: Wenling; Vessel type: Carrier vessel; Tonnage: 1000t) MMSI: 412000000/412000256	2020-08-11	NPFC
ZHEXIANG YU 23029		Unknown			412123526	Not known	Not known	A Japanese patrol vessel sighted this fishing vessel in the Convention area at 25°42'03N, 147°11'02E on April 15, 2019. This vessel apparently had just finished as the gear was wet. the vessel name, which was not registered on	2020-08-11	NPFC
ZHI MING	NO 101 GLORIA, GOLDEN LAKE	Mongolia	Panama	JVAW7	73025248	INTERA COMPANY SA (IMO Company No. 5942421)	INTERA COMPANY SA	Vessel suspected to have carried out IUU fishing activities in the Convention area, observed near the port of Shidao (CNSHD)	2006-10-16	ICCAT
ZHOU YU 651		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 30'2N, 152 05'4E on 29 Sep 2016. (Port displayed on the vessel: Fungcheng; Vessel type: Lighted lift net vessel; Tonnage: 850t)	2017-08-29	NPFC
ZHOU YU 652		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 48.9'N, 152 48.2'E on 7 Sep 2016. Port of registry was hidden by paint. (Vessel type: Lighted lift net vessel; Tonnage: 820t) MMSI: 42569986	2017-08-29	NPFC
ZHOU YU 653		Unknown		Not known	Not known	Not known	Not known	It was seen with LU RONG YU YUN56219 and ZHOU YU 656 at 42 11.9'N, 151 14.6'E on 30 Sep 2016. (Port displayed on the vessel: Fungcheng; Vessel type: Lighted lift net vessel; Tonnage: 850t)	2017-08-29	NPFC
ZHOU YU 656		Unknown		Not known	Not known	Not known	Not known	It was seen with LU RONG YU YUN56219 and ZHOU YU 656 at 42 11.9'N, 151 14.6'E on 30 Sep 2016. (Port displayed on the vessel: Fungcheng; Vessel type: Lighted lift net vessel; Tonnage: 850t)	2017-08-29	NPFC

ANNEX K

Summary of proposed Scientific Committee Intersessional Workshops for 2022

ID	Summary Title	Priority	Lead	WS Chair(s) ⁴	Format	Number of days ¹	Proposed dates ²	Combined WS's
2	VME indicator species thresholds	–	PAEWG	PAEWG Chair	Virtual	2	5 th December 2022	ID. 2, 8, 11, 17
5	Monitoring of alfonsino, toothfish, orange roughy	7.75	SC/SEC	TBD	Virtual	3	19 th September 2022	ID. 5, 7, 15, 16, 19
6	Ecosystem summaries and fisheries overview workshop ³	6.50	SC/SEC	SC Chair	Virtual	1	5 th September 2022	
				SC Chair		1	15 th November	
7	Harvest strategies (roadmap)	6.33	SC/SEC	–	Virtual	–	–	ID. 5, 7, 15, 16, 19
8	VME indicator species threshold development plan (WG/Consultant)	6.33	PAEWG	–	Virtual	–	–	ID. 2, 8, 11, 17
10	Seabird mitigation options	6.00	SC/SEC	TBD	Virtual	1	3 rd November 2022	Separate from ID. 13, but held sequentially
11	VME management	5.75	SC/SEC	–	Virtual	–	–	ID. 2, 8, 11, 17
13	E-monitoring schemes	5.00	SC/SEC	SC Chair	Virtual	1.5	3 rd November 2022	Separate from ID. 10, but held sequentially
15	Biological data collection protocol	5.00	SC/SEC	–	Virtual	–	–	ID. 5, 7, 15, 16, 19
16	Acoustic data protocol	5.00	PAEWG	–	Virtual	–	–	ID. 5, 7, 15, 16, 19
17	VME indicator species thresholds	4.75	SERAWG	–	Virtual	–	–	ID. 2, 8, 11, 17
19	Alfonsino acoustic surveys feasibility	3.75	SC/SEC	–	Virtual	–	–	ID. 5, 7, 15, 16, 19
Total days of workshops						9.5		

Notes

1. Number of days indicate days where meetings are held. These will usually be 4 hours of meeting time per day with 2 sessions of 2 hours each.
2. Dates indicated represent the week where a workshop is proposed to be held. Specific dates may require consultation with SC HoDs to confirm availability of individual representatives. For longer meetings (more than 2 days), sessions may also occur in following week depending on availability of representatives.
3. The Ecosystem summaries and fisheries overview workshop will likely require 2 days, with the second day held later to allow the Secretariat to update the draft documents.
4. Potential Workshop Chairs are indicated; however, SC HoDs may choose to recommend representatives for these roles.

Proposed SIOFA standard operating procedure for data use and data requests.

Introduction

CMM 2016/03 paragraph 2. (e) states that “Finer-scale data including catch and effort, length-frequency and observer data will be made available to the Scientific Committee and any of its working groups, on a confidential basis, to undertake its work.”.

However, there are differences in the views of CCPs on the modalities by which such data should be ‘made available’ (SC 07 paragraphs 54 - 56).

The Scientific Committee recommended the MoP consider providing documentation and guidelines on how CMM 2016/03 should be operationalised, including the implementation of standard operating procedures for data use and data requests provided in SC-07-08 (SC 07 paragraphs 54 - 56). The proposed standard operating procedure is also included as Section 6.2 of MoP-09-08.

Proposed standard operating procedure for data use and data requests

The standard operating procedure for data use and data requests presented in SC-07-08 seeks to provide a standard operating procedure to formalise the process by which SIOFA data are made available, to ensure that all relevant CCPs are consulted, to increase awareness of the process, facilitate greater consultation and increase the scope for making data available.

The following, which is based on the procedures currently used in WCPFC and CCAMLR, provides a procedure to be implemented in SIOFA to manage all data releases from the Secretariat (where these ‘data releases’ includes database extracts and/or data analysis/summary products including meeting papers).

Upon the adoption of a standard operating procedure for data use and data requests for use in SIOFA, CMM 2016/03 paragraph 2 (f) could be simplified as follows (with strike through text deleted and red text inserted):

f) Catch and effort and length-frequency data grouped at a finer level of time-area stratification will only be released following the SIOFA standard operating procedure for data use and data requests.

The MoP is invited to consider and adopt the standard operating procedure for data use and data requests for use in SIOFA and to make the consequential change in CMM 2016/03 paragraph 2 (f).

SIOFA standard operating procedure for data use and data requests

Data Requests

Data requests should be received via secretariat@siofa.org in the first instance.

Public Domain data, that is currently in the public domain, may be provided directly from the Secretariat to the data requestor.

Requests for all other data should be dealt with as follows:

1. Request for access

A request for access to data that is not in the public domain should be accompanied by a standardised data request form (this should be sent to the data requestors for completion if not supplied with the request). This form, an example of which is given in Figure 3, specifies the type of data being requested, the spatial and temporal resolution and extent and the resolution and the proposed use of the data including any references to specific paragraphs that contain the requests for the analysis from the MoP, the SC and its working groups or the Compliance Committee.

Requester	Dr A Scientist (Employer, CCP, non-CCP, other)
Data	<p><u>Data type:</u> Haul by Haul toothfish catch and effort data, including</p> <ul style="list-style-type: none"> ○ Setting dates ○ Setting coordinates ○ Number of hooks set and lost for each haul ○ Mass of all individual species caught ○ Vessels should be identified as being distinct but anonymised with respect to name and flag <p><u>Level of aggregation:</u> Haul by haul</p> <p><u>Spatial and Temporal extent:</u> All SIOFA Area 2011-2021</p>
Proposed use	<p>Research question: Are CPUE measurements for toothfish catches biased by gear loss when fishing in exploratory areas where the sea floor topography is not well studied?</p> <p>Planned analysis: We will examine CPUE and gear loss rates spatially and temporally.</p> <p>Anticipated format to be used in presenting results: We will present a paper on variation of CPUE of target and non-target catch in the longline fishery for toothfish in the SIOFA Area to SERWG</p>
SIOFA SC reference	<p>SC 5 para xx-yy</p> <p>MoP3 para xx-yy</p>

Figure 3. Example SIOFA request for access to data

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2. Request for permission to release data

Unless otherwise advised by a CCP, the SC Representative will be the CCP's data owner(s)/originator(s) primary contact (CCP data primary contact) for all requests for permission to release data.

When the SIOFA data request form has been completed by the Requester the Secretariat will send it to all CCP data primary contacts for those CCPs that are originator(s)/owner(s) of data that form part of the request. The following explanation will accompany each permission request:

In accordance with *CMM 2016/03 Conservation and Management Measure for Data Confidentiality and Procedures for access and use of data (Data Confidentiality)* the Secretariat is seeking your permission to release some of the data held at the SIOFA Secretariat of which you are the owner and/or originator. The details of the requester, the requested data, the proposed use and any references to specific requests for the analysis from the relevant SIOFA body are included in the table below.

Please email your permission or refusal to release these data, including any conditions and/or recommendations relating the proposed use of the data before [*insert date*]. If you have any questions or clarifications for the data requester, please email these to the Secretariat for forwarding.

Release of data for the analysis outlined above does not constitute permission to publish or release these data into the public domain. Such permission remains a matter to be determined between the requester and the data originator(s)/owner(s).

This request for permission to release data has been sent to the data contacts for the following CCPs [*list the data contacts of all data originators*] e.g.
Australia Dr A. Scientist France Dr B. Scientist

3. Secretariat correspondence

Following the request for permission to release data the Secretariat will follow the procedure outlined below:

- Request a response from the CCP data primary contact within 3 weeks.
- Follow up after 2 weeks to CCP data primary contact who have not yet responded.
- Request a response within 1 more week, notifying CCP data primary contact that, no data will be released without the expressed consent of the data owner. The Secretariat would continue engaging with the CCP in the absence of answer. .
- Where a CCP data primary contact raises any questions or concerns, the Secretariat will facilitate consultation between data requester(s) and data owner(s) to address these concerns,
- Any subset of the data not approved for release will be excluded from the data extract.

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- Prior to releasing the data, the requester(s) should be contacted to let them know the outcome of the data request process and provide details of the format and content of the data that will be released.

Release of data:

When agreement has been reached on what data can be released, the Secretariat will provide the data, metadata and associated documentation and will inform all data owners of the data release.

The Secretariat will provide all data releases by email as an attached zip file that is password protected.

Each data release from the SIOFA Secretariat will be given a reference number, and the data, query used to extract the data and the date(s) of extraction will be recorded in a data release registry. The reference number should be added to the Data Request form and this should be stored within a data release registry.

Use of data by the Secretariat

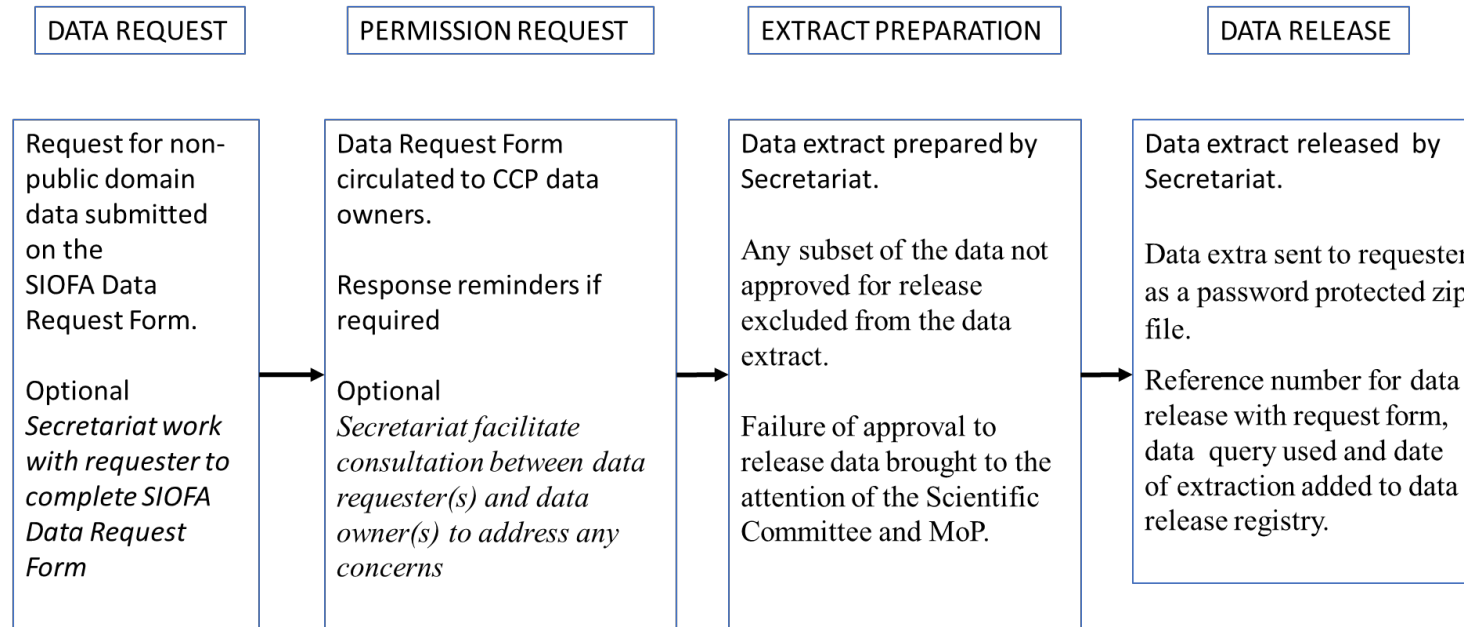
The Secretariat has access to all SIOFA data in order to carry out its functions on a day-to-day basis. Furthermore, the Secretariat is expected to present data products to the MoP, the SC and other subsidiary bodies for which the requirement (and in some cases the method including the data to be used and the format for presentation) will have been agreed by these bodies in advance. In those cases, the Secretariat should produce these papers as part of its normal work. Where additional data analyses (i.e. not those specifically requested by MoP, the SC and other subsidiary bodies) are undertaken and are prepared for inclusion in a paper to the Scientific Committee or its Working Groups, the Secretariat should follow the same procedures to seek permission to use the data as for any other data release.

Data Corrections

Each data release should be accompanied by a reporting form to allow users to identify any data errors that they encounter while using the data. The Secretariat will then review the information provided and implement a data verification and change process as required. Users should be advised of any data change that would potentially impact on the data included in an extract provided in a data extract from the past 12 months.

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Annex 1: Flowchart of process data use and data requests.



CMM 2021/02¹

Conservation and Management Measure for the Collection, Reporting, Verification and Exchange of Data relating to fishing activities in the Agreement Area (Data Standards)

The Meeting of the Parties to the Southern Indian Ocean Fisheries Agreement;

RECALLING that Article 6(1) (f) of the *Southern Indian Ocean Fisheries Agreement* (the Agreement) calls on the Meeting of the Parties to develop rules for the collection and verification of scientific and statistical data, as well as for the submission, publication, dissemination and use of such data;

FURTHER RECALLING that Articles 10(1)(c) and 11(3) set out the duties relevant to the collection and provision of data and related processes for Contracting Parties and flag CCPs respectively;

RECOGNISING the importance of developing comprehensive arrangements for data collection, reporting, verification and exchange of data to assist the Scientific Committee in performing its functions as outlined in Article 7 of the Agreement;

NOTING the relevance of Articles 10(e) and 14 of the *Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks* (UNFSA) which call on States to cooperate through regional fisheries management organisations to agree on the standards for the collection, reporting, verification and exchange of data on fisheries for the stocks, and the specifications and format for the data to be provided and to cooperate in their scientific research;

CONSIDERING the provisions set forth in the *Resolution on data collection concerning the high seas in the Southern Indian Ocean*, adopted by the Conference on the *Southern Indian Ocean Fisheries Agreement* in the Seychelles from 13-16 July 2004;

NOTING the importance of data collection and catch reporting for the purposes of ensuring scientific stock assessment and implementing an ecosystem approach to fisheries management;

NOTING the recommendation by the Third meeting of the Scientific Committee to improve the collection of sharks catch information and the submission of scientific observer data; and

FURTHER NOTING that the Meeting of Parties has adopted policies and procedures for the maintenance of data confidentiality (CMM 2016/03);

ADOPTS the following conservation and management measure (CMM) in accordance with Article 6 of the Agreement:

Application

1. This CMM applies to all Contracting Parties, cooperating non-Contracting Parties and participating fishing entities (CCPs).
2. This CMM prescribes the standards for the collection, reporting, verification, and exchange of data related to fishing activities by vessels fishing in the SIOFA Area of Application (the Agreement Area) that are flying the flag of a CCP.
These data standards shall assist the Meeting of the Parties to fulfil its objectives under the

¹ CMM 2021/02 (Data Standards) supersedes CMM 2019/02 (Data Standards)

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Agreement insofar as it relates to assessing the state of the fisheries within SIOFA's competence, including the status of target and non-target species and the impact of fishing on the marine environment.

Terminology

3. The following definitions apply to this CMM including its annexes:
 - a. 'other species of concern' means those species as may be defined by the Scientific Committee from time to time.
 - b. 'National Report' means the report defined in paragraph-9 of this CMM.

Vessel Catch and Effort Data

Collection of data

4. CCPs shall ensure that data on fishing activities, including for target, non-target and associated and dependent species such as marine mammals, marine reptiles, seabirds or 'other species of concern', are collected from vessels flying their flag that are fishing in the Agreement Area in accordance with the relevant sections of Annex A.
5. CCPs shall collect vessel catch and effort data on a haul-by-haul basis, with the exception of handline fishing, where CCPs shall collect vessel catch and effort data on an operation² basis.

Data collection and submission

6. CCPs shall report to the Secretariat, by 31 May each year, the data collected under paragraphs 4 and 5 for the previous calendar year, in accordance with the format prescribed in the corresponding annexes.
7. CCPs shall provide to the Secretariat, by 31 May each year, annual catch summaries for all species/groups caught in the Agreement Area during the previous calendar year. The catch summaries shall include the following information:
 - a. Calendar year (e.g. 2015)
 - b. FAO statistical area (e.g. FA087)
 - c. Species/group name (common name and scientific name)
 - d. Species/group code (FAO3-alpha code 19, EG ORY) (if available)
 - e. Annual catch total - tonnes raised to 'live' weight.
8. To assist in data collection CCPs engaged in, or intending to engage in, fishing pursuant to CMM 2020/01 shall implement on-board all fishing vessels flying their flag the FAO Identification guide to the deep-sea cartilaginous fishes of the Indian Ocean³. Where available the use of Smartforms may be considered.

National report

9. Following the entry into force of this CMM, CCPs shall provide to the Scientific Committee, at least 30 days prior to the commencement of each ordinary meeting, an

² An operation is the daily activity of a main vessel (including its dories), where catch is the daily catch and effort is the number of active fishermen per day and the number of lines fished per day.

³ Ebert, D.A. and Mostarda, E. 2013. Identification guide to the deep-sea cartilaginous fishes of the Indian Ocean, FishFinder Programme, FAO, Rome. 76 p

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annual National Report of their fishing, research and management activities in accordance with the following:

- a. For the first report: the National Report shall include details of activities of the previous five calendar years;
- b. For all reports thereafter: the National Report shall include details of activities of the previous calendar year; and
- c. In either case, the National Report shall take into account the guidelines prepared by the Scientific Committee for the preparation of such reports.

Historical Data

10. To assist with the development of a bottom fishing footprint and stock assessments, each CCP shall provide the Secretariat with all historical catch and effort, and if available observer data for vessels flying their flag and fishing in the Agreement Area at any time during period 2000-2015 and any previous years where available in accordance with annex A and annex B to the extent applicable. Any State or fishing entity that becomes a Party to the Agreement, a CNCP or PFE after the date this CMM is adopted shall provide their historical data to the Secretariat within 12 months of becoming Party to the Agreement, or becoming a CNCP or PFE.
11. Where possible, CCPs are encouraged to provide relevant, reliable historical data for species caught in waters under their national jurisdiction where such information would assist in understanding the status of the stocks and the impacts of fishing on all target species, non-target and associated and dependent species and the marine environment within the Agreement Area.

Scientific Observer Data

12. All CCPs shall implement national scientific observer programs to collect from activities undertaken by vessels flying their flag:
 - a. Vessel information, effort and catch data for its fishing activities in the Agreement Area, including target, non-target and associated and dependent species including marine mammals, marine reptiles, seabirds or 'other species of concern';
 - b. Biological or other data and information relevant to the management of fishery resources in the Agreement Area, as specified in this CMM, or as identified from time to time by the Scientific Committee or through processes identified by the Meeting of the Parties; and
 - c. Relevant scientific information related to the implementation of the provisions of the CMMs adopted by the Meeting of the Parties.
13. The function and tasks of the scientific observer are described in Annex D.
14. CCPs shall, through their National Report, provide to the Scientific Committee an annual observer programme implementation report which should include summary sections covering: observer training, program design and coverage, type of data collected, and any problems encountered during the previous calendar year.
15. CCPs shall, for all observed trips, collect observer data in accordance with the relevant sections of Annex B. All observer data collected by CCPs shall be reported to the Secretariat by 31 May each year for the previous calendar year.
16. By 2023, the Scientific Committee shall develop and adopt a template for the observer reports, and a template for an observer data collection form that may be used by observers in subsequent years.

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17. By 2023, the Meeting of the Parties, based on recommendations from the Scientific Committee and the Compliance Committee shall adopt a SIOFA framework for scientific observation clarifying all the aspects related to the role.

Data Verification

18. CCPs shall:
 - a. ensure that fishery data are verified through an appropriate system of data verification mechanisms;
 - b. develop, implement, and improve data verification mechanisms, which may include:
 - i. Position verification through vessel monitoring systems;
 - ii. Independent monitoring, including scientific observer programs and approved electronic observer programs,⁴ to verify industry data on catch, effort, catch composition (target and non-target), discards and other details of fishing operations;
 - iii. Vessel trip, landing and transshipment reports; and
 - iv. Port sampling.
 - c. provide to the Scientific Committee, through their National Report, an annual data verification report which should provide information regarding their development and implementation of data verification mechanisms.

Format for data submission

19. CCPs shall report all data required to be reported by this measure to the Secretariat in accordance with the formats described in this CMM, including its annexes.
20. Specifications for the submission of data:
 - a. times, latitudinal /longitudinal/ information and units of measure are to be reported in accordance with the format described in Annex C;
 - b. Species are to be described using the FAO 3 letter Species Codes;⁵
 - c. Fishing methods are to be described using the International Standard Classification of Fishing Gear (ISSCFG - 29 July 1980) codes;⁶ and
 - d. Types of fishing vessels are to be described using the International Standard Classification of Fishery Vessels (ISSCFV) codes.⁷

Review

21. This CMM should be reviewed periodically by the Scientific Committee and the Meeting of the Parties, taking into account new information or data requirements as may be decided.

⁴ Approved electronic observer programs refers to those programs that meet the SIOFA agreed standard and have been reviewed by the Scientific Committee and approved by the Meeting of the Parties as being capable of meeting the data requirements in this CMM.

⁵ www.fao.org/fi/statist/fisoft/asfis/asfis.asp

⁶ <http://www.fao.org/fishery/cwp/handbook/M>

⁷ <http://www.fao.org/fishery/cwp/handbook/L>

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**Standards for the Collection, Reporting, Verification and Exchange of Data
Annexes**

List of Annexes:

Annex A - Vessel Catch and Effort Data

Annex B - Observer Data

Annex C - Specifications for the Exchange of Data

Annex D - Function and tasks of the scientific observer

**Annex E - Protocol for documenting whale interaction in deep-sea demersal longline
fisheries**

Vessel Catch and Effort Data

1. Contracting Parties, CNCPs and PFEs shall ensure that the following data on fishing activities are collected in the Agreement Area:

For all demersal fishing vessels flying their flag:

<p>Data Set - Fishing activities General (Trip) Vessel flag CCP (ISO 3-apha) Name of vessel International radio call sign (if any) Vessel Registration number (flag CCP) Lloyd's / IMO /IHS Fairplay Number (if allocated) Vessel size: Gross Tonnage (Gross register tonnage may be used if GT is not available, or both) Name of person filling in the data</p>
<p>Weight Conversion Factor Species Processing type Conversion factor = live weight/processed weight</p>
<p>Haul Information Intended Target species (FAO code) Type of fishing (C)ommercial; (R)esearch; (S)urvey data Haul ID number</p>
<p>Set Start date and Time (Based on Coordinated Universal Time (UTC)) Recorded at start and end of fishing For longline vessels - record at start and end of setting, in addition to start and end of haul Date format (YYYY.MON.DD) Time format (hh.mm)</p>
<p>Position at start and end of fishing Latitude Longitude</p> <p>For longline vessels: position is recorded at the start and end of setting For bottom trawl fishing: "start" is defined as when the groundrope first touch the bottom, and "end" is when the groundrope leaves the bottom at the beginning of hauling. For midwater trawl: "start" is defined as when the fishing gear is at target fishing depth, "end" is when the tow haul begins. For handline fishing: record the position of the vessels at the start and at the end of the fishing operation</p>
<p>Bottom Depth (m) As recorded at the start and end of fishing.</p>
<p>Fishing / gear depth (m) As recorded at the start and end of fishing. For trapping/potting, Actual Fishing / gear depth (m) as recorded at start is required.</p>
<p>Species retained Estimated catch retained on board by taxa (FAO species/group code/scientific name) in green</p>

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weight (kg).
Species Discarded An estimation of the amount of living marine resources discarded by taxa, if possible, in green weight (kg)
Incidental bycatch of marine mammals, seabirds, reptiles and 'other species of concern' Yes / No For each species caught <ul style="list-style-type: none"> • Taxa name • Number alive • Number dead or injured

For all pelagic fishing vessels targeting SIOFA species flying their flag:

Data Set - Fishing activities General (Trip) Vessel flag CCP (ISO 3-apha) Name of vessel International radio call sign (if any) Vessel Registration number (flag CCP) Lloyd's / IMO /IHS Fairplay Number (if allocated) Vessel size: Gross Tonnage (Gross register tonnage may be used if GT is not available, or both) Name of person filling in the data
Weight Conversion Factor Species Processing type Conversion factor = live weight/processed weight
Haul Information Intended Target species (FAO code) Type of fishing (C)ommercial; (R)esearch; (S)urvey data Haul ID number
Set Start date and Time (Based on Coordinated Universal Time (UTC)) Recorded at start of fishing Date format (YYYY.MON.DD) Time format (hh.mm)
Position at start of fishing Latitude Longitude
Species retained Estimated catch retained on board by taxa (FAO species/group code/scientific name) in live weight (kg)
Species Discarded An estimation of the amount of living marine resources discarded by taxa, if possible, in live weight (kg)
Incidental bycatch of marine mammals, seabirds, reptiles and 'other species of concern' Yes / No For each species caught <ul style="list-style-type: none"> • Taxa name • Number alive • Number dead or injured

2. Contracting Parties, CNCPs and PFEs shall ensure that the following gear-specific data on

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fishing activities, as applicable, are collected from all fishing vessels flying their flag in the Agreement Area.

Data Set - Gear
<p>Trawl Cod end mesh Size (mm) Trawl technique: Type of trawl: (S)ingle, (D)ouble or (T)ripple</p>
<p>Demersal Longline Type of longline (Spanish, Trotline, Autoline) Total length (m) Type of bait Hook size (mm) Hook spacing (m) Hook code or make Length of line (m) Number of hooks set Number hooks per cluster (if Trotline) Number of hooks lost (attached to lost sections of line)</p>
<p>Pelagic Longline Total length (m) Total number of hooks in the set Number of hooks between floats Number of light-stick used in the set Type of bait used in the set Sea surface temperature at noon (Length of floating line) (Length of branch line) (Distance between branch lines)</p>
<p>Trap/Pot Pot type Type of line: Dropline or longline Length of line (m) Pot spacing (m) Number of pots set Number of pots lost Type of bait</p>
<p>Dahn/Drop Line/ Total number of hooks in the set Total number of line lifts in the set Number of hooks lost Hook code or make Type of leader used Type of bait used</p>
<p>Handline Number of fishermen involved Number of line lifts per fisherman Number of hooks per line</p>

Observer Data

1. Contracting Parties, CNCs and PFEs shall, for all observed trips, collect and provide to the Secretariat the data contained in this Annex in accordance with the format set out below.
2. Contracting Parties, CNCs and PFEs shall, where appropriate, ensure that observers are briefed and provided with documented length-frequency and biological sampling protocols and the specific priorities for the trip for the sampling activities documented below.
3. Contracting Parties, CNCs and PFEs shall endeavour to collect tissue, otolith and/or stomach samples in accordance with any research programs developed by the Scientific Committee.

Data Set - Observer data
<p>Trip Details</p> <p>Trip Number Cruise details (start and end dates - YYYY.MON.DD) Date report is generated (UTC) Current vessel flag CCP (ISO 3-apha) Name of vessel</p>
<p>Observer Details</p> <p>Observer name and ID Nationality (ISO 3-apha) Employing organisation Contact name in organisation (Address/email/fax) Boarding location (UNLOCODE, if applicable or Latitude/Longitude) Boarding Date (UTC:YYYY.MON.DD) Disembarkation location (UNLOCODE, if applicable or Latitude/Longitude) Disembarkation date (UTC:YYYY.MON.DD) Time Zone (UTC +/-)</p>
<p>Length Frequency Data</p> <p>Representative and randomly sampled length-frequency data shall be collected for the target species (FAO species code)</p> <p>Length data shall be collected and recorded at the most precise level appropriate for the species (cm or mm and whether to the nearest unit or unit below) and the type of measurement used (total length, fork length, or standard length) shall also be recorded.</p> <p>Where possible, total weight of length-frequency samples should be recorded, or estimated and the method of estimation recorded</p> <p>Where possible, Observers should determine and record sex of measured fish to generate length-frequency data stratified by sex</p>

Where possible, representative and randomly sampled length-frequency data should be collected for other main by-catch species
<p>Biological Sampling</p> <p>Species</p> <p>Length (mm or cm) and the type of length measurement used.</p> <p>Skates and rays:</p> <ul style="list-style-type: none"> • Maximum disk width shall be measured <p>Sharks</p> <ul style="list-style-type: none"> • Appropriate length measurement to be used should be selected for each species. <p>As a default, total length should be measured.</p> <p>Weight (kg)</p> <p>Sex (male, female, immature (optional), unsexed (optional))</p> <p>Maturity stage (optional) and criteria/schedule used (optional)</p> <p>Gonad weight (g) (optional)</p> <p>Otoliths</p>
<p>Incidental bycatch of seabirds, mammals, turtles or 'other species of concern'</p> <p>The following data shall be collected for all seabirds, mammals, turtles, and other species of concern caught in fishing operations as much as possible:</p> <ul style="list-style-type: none"> • Species (identified taxonomically as far as possible, or accompanied by photographs if identification is difficult) and size • Estimated species abundance around fishing vessel • Species interactions with fishing gear • Count of the number of each species caught per tow or set • Fate of bycatch animal(s) (retained or released/discarded) • If released, life status (vigorous, alive, lethargic, injured, dead) upon release • If injured, what was the cause of injury? • If dead, then collect information or samples for onshore identification in accordance with pre-determined sampling protocols. Where this is not possible, observers may be required to collect sub-samples of identifying parts, as specified in biological sampling protocols <ul style="list-style-type: none"> o Record the type of interaction (hook/line entanglement/warp strike/net capture/other) if other, describe • Sex of each individual for taxa where this is feasible from external observation, e.g. pinnipeds, small cetaceans or <i>Elasmobranchii</i> species • identify any circumstances or actions that may have contributed to the bycatch event? (E.g. tori line tangle, high levels of bait loss)
<p>Tag releases</p> <p>The following data shall be reported for all tagged fish, seabird, mammal, or reptile</p> <p>Tag type, wording, and colour</p> <p>Tag number</p> <p>Date and time of tagging</p> <p>Species</p> <p>Animal length</p> <p>Type of length</p> <p>Animal sex (F=female, M=male, I=indeterminate, D=not examined)</p> <p>Position (Lat/Lon) of release</p>

Animal status at release (injured/uninjured)
<p>Tag Recoveries</p> <p>The following data shall be collected for all recovered fish, seabird, mammal or reptile tags if the organism is dead, to be retained, or alive:</p> <ul style="list-style-type: none"> • Name of observer • Name of vessel • International radio call sign (if any) • Vessel flag CCP (ISO 3-apha) • Collect, label (with all details below) and store the actual tags for later return to the tagging agency • Species from which tag recovered • Tag colour • Tag wording and type of tag (spaghetti, archival) • Tag numbers • Date and time of capture (UTC) • Location of capture (Lat/Lon, to the nearest 1 minute) • Animal length / size (cm or mm) with description of what measurement was taken (such as total length, fork length, etc.) • Sex (F=female, M=male, I=indeterminate, D=not examined) • Whether the tags were found during a period of fishing that was being observed (Y/N)
<p>Hierarchies for Observer Data collection</p> <p>Fishing Operation Information All vessel and tow / set / effort information.</p> <p>Reporting of Catches Record time, weight of catch sampled versus total catch or effort (e.g. number of hooks), and total numbers of each species caught Identification and counts of seabirds, mammals, reptiles (e.g., turtles), sensitive benthic species and vulnerable species Record numbers or weights of each species retained or discarded Record instances of depredation, where appropriate</p> <p>Biological Sampling Check for presence of tags Length-frequency data for Target species (FAO species code) Basic biological data (sex, maturity) for Target species (FAO species code) Length-frequency data for main by-catch species Otoliths (and stomach samples, if being collected) for Target species (FAO species code) Basic biological data for by-catch species Biological samples of by-catch species (if being collected) Take photos</p>
<p>For trawl fishing activities ONLY</p> <p>Gear details Net ID</p>

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<p>Net type (ISSFCV) Headrope length (m) Ground rope length (m) Bobbin diameter (cm) Otter board to wing length (m) Horizontal Opening (m) Vertical Opening (m)</p> <p>Codend mesh Mesh size (cm), codend circumference (cm), Orientation Mesh type (diamond, square, etc)</p> <p>Otter board Type, weight (kg)</p> <p>Net design Net design description including make, model etc</p>
<p>Trawl details Trawl Number Gear Trawl type: Research or Commercial (R/C) Observed (Yes/No) Target Species (FAO species code) Date Start (YYYY.MON.DD) Date Finish (YYYY.MON.DD) Time net deployed (hh:mm) Time net retrieved (hh:mm)</p> <p>Start and End Fishing</p> <p>For bottom trawl "start" is defined as when the groundrope is on the bottom, "end" is when the hauling starts. For midwater trawl "start" is defined as when the fishing gear is at target fishing depth, "end" is when the hauling starts. Time (hh:mm)</p> <p>Latitude Longitude Trawl Depth (m) Bottom Depth (m)</p> <p>Other Offal discharged during shooting (Y/N) Offal discharged during hauling (Y/N) Trawl speed (knots) Horizontal opening (m) Total catch (kg)</p> <p>Observed catch composition Observer ID</p>

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Was Haul observed for fish/invertebrate by-catch (Y/N):
Record the total weight of all sub-samples for this shot (kg):

Species:

FAO species code
Scientific name
Estimated retained catch weight (kg) or number of individuals
Estimated discarded catch weight (kg) or number of individuals

Bycatch mitigation measures employed:

Were bird scaring (tori) lines in use? (Yes/No)
Were bird bafflers in use? (Yes/No)

Trawl warp strike (to be monitored for 15 minutes immediately after the net has been deployed) (optional):

Trawl number (optional)
Name of observer (optional)
Start observation time (hh:mm) (optional)
End observation time (hh:mm) (optional)

Number of heavy warp strikes (record for Albatross, Giant Petrels, White chinned petrels, other petrels):

Air
Water
Sinker

Seabird abundance observation:

Seabirds present in observation area (y/n)
Estimated numbers of abundance (by species)

For Longline fishing activities ONLY

Longline Description:

Longline Type (FFSSCV)
Period in which the gear was used (YYYY.MON.DD)
Start and end date (YYYY.MON.DD)
Target Species (FAO species code)

Main Line:

Material
Diameter (mm)
Integrated weight (g/m)

Branch Lines:

Material
Length (M)
Spacing (m)

Hooks

Type (e.g.: J shaped, Circular, etc.)
Make
Size (inch)

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Total length (mm)
Shank (mm)
Gape (mm)
Throat (mm)
Front length (mm)
Usual setting position
Line off bottom (m) (optional for pelagic longline)
Hooks off bottom (m) (optional for pelagic longline)
Method of baiting (manual/automatic)
Automatic baiting equipment (make and model)

Hook sinkers

Size (g)
Position from hook (mm)
Offal dumping position (port, starboard, stern)
Longline setting position (port, starboard, stern)
Offal dumping during hauling (never, occasionally, always)
Propeller rotation direction (clockwise/anti-clockwise)
Detail the weight and distance between the line weights for the longline system used
Single (Auto) Line (kg:m)
Double (Spanish) Line (kg:m)
Trotline (vertical droppers/trots attached to a mainline) (kg:m)

General Streamer Line Description

Vessel equipped with a streamer line (y/n)
Number of streamer lines regularly set
Streamer line position (port, starboard, stern)
Streamer line length (m)
Streamer length min/max (m)
Attached height above water (m)
Distance between streamers (m)
Number of streamers
Streamer design (single or paired)
Aerial extent of line (m)
Method used to assess aerial extent
Streamer material
Streamer line diameter (mm)
Streamer colours
Streamer line over bait entry position? (y/n/u)
Distance from stern to bait entry point (m)
Towed object (Y/N)
Horizontal distance from bait entry point to streamer line (m)

Daily setting observations

Set Number (as referenced in catch and effort log)
Set Type: Research or Commercial (R/C)
Longline Type Code (FSSCV)
Trotline cetacean exclusion device used (Y/N)
Date of observation (YYYY.MON.DD)

Setting information

Vessel setting speed (knots)

Number sets unobserved since last set

Start and End setting for each haul

Date (YYYY.MON.DD)

Time (hh:mm)

Latitude

Longitude

Bottom Depth (m)

Total length of longline set (km)

Total number of hooks for the set

For each Observation

Start date (YYYY.MON.DD)

Start time (hh:mm)

End date (YYYY.MON.DD)

End time (hh:mm)

Details of Longline Setting

Main line length (m)

Number of hooks set

Number of Baskets/Magazines Set

Number of hooks per Basket/Magazine

Percentage hooks baited

Distance between branches (m)

Distance of hooks off bottom (m) (optional for pelagic longline)

Bait species (FAO species code)

Deck lights during setting (On, Off)

Streamer lines used (Yes, No)

Number of streamer lines used Offal dumping during setting (Yes, No)

Bait entry position (Port, Starboard, Stern)

Daily hauling observations

Set number

Date of observation (YYYY.MON.DD)

Hauling Information

Number of hooks observed for seabird and fish by-catch (tally period) Offal dumped during hauling (Yes / No)

Interactions with marine mammals

Data is to be collected in accordance with the protocol set out in annex E. For each haul and each species of depredating whales (killer whales *Orcinus orca* and sperm whales *Physeter macrocephalus*):

- Priority 1 data to be collected include:

1. Presence/absence data: Presence / Absence / Not observed;
2. When presence, photo-identification data: photographs of specific body parts (for killer whales: dorsal fin, saddle patch and eye patches; for sperm whales: tail flukes) visible when whales come to the surface.

ANNEX M

- Priority 2 data to be collected include:

1. Estimates of the number of individuals present around the vessel in the vicinity of the fishing gear.

- Priority 3 data to be collected include:

1. Information about whether or not whales interact with the gear;
2. Estimate of the time of arrival of whales in the vicinity of the gear.

Gear lost

Number of sections lost

Number of hooks lost that were attached to lost sections of the longline
Number of other hooks lost (excluding hooks attached to lost sections)

Observed catch composition

Was Haul observed for fish/invertebrate by-catch (Y/N):
Estimate percentage of the haul observed for by-catch (%)

Species

Species code (FAO species code)

Total retained catch weight (kg) or total number

Total discarded catch weight (kg) or total number

Species Retained

Observed number retained
Observed number retained with tags

Species Discarded

Observed number discarded

Observed number discarded dead

Observed number discarded alive

Species Lost

Observed number lost/dropped off at surface

Specimen cut off (if possible)

Yes / No

For each species caught

- Taxa name
- Number alive
- Number dead or injured

For Trapping/Potting Fishing Activities ONLY

Gear type

pot type (with drawing)

mesh size (mm)

Funnel position

Orientation

Aperture (cm)

ANNEX M

Number of chambers
Escape port present (y/n)
Dimensions (cm) of escape port

Processing Details and Conversion Factors (CF)

Haul Number
Name of observer
Species Code (FAO species code)
Processing Code
Length Range (Minimum Maximum)
Number of individuals
Live Weight (kg)
Processed Weight (kg)
Grade
Conversion Factor

Set and haul details

Set Number
Date of observation YYYY.MON.DD)
Set Type: Research or Commercial (R/C)
Target species (FAO species code)
Offal dumped during setting (Yes / No)
Offal dumped during hauling (Yes / No)

Start and End setting. Repeat for hauling

Date (YYYY.MON.DD)
Time (:mm)
Latitude
Longitude
Bottom depth (m)

Gear Details

Length of line (m)
Type of line Pot spacing (m)
Bait type

Setting

Number of pots set
Number of pots observed

Hauling

number of pots hauled
number of pots observed

Observed interactions with birds or marine mammals

Species Code (FAO species code)

At Setting

Abundance (500m radius)
Gear interaction (y/n)

At Hauling

Abundance (500m radius)

Gear interaction (y/n)

Observed catch composition

Name of observer

Was Haul observed for fish/invertebrate by-catch (Y/N):

Estimate percentage of the haul observed for by-catch (%):

Number of pots observed for by-catch:

Species Code (FAO species code)

Total retained catch weight (kg)

Total discarded catch weight (kg)

Species Retained

Observed number retained

Observed number retained with tags

Species Discarded

Observed number discarded

Observed number discarded dead

Observed number discarded alive

Species Lost

Observed number lost/dropped off at surface

For Dahn/Drop lining activity ONLY

Dahn/Dropline Description

Line Type

Period in which the gear was used () Start and end date

Target species (FAO species code)

Main Line

Material

Diameter (mm)

Integrated weight (g/m)

Hooks

Type (e.g.: J shaped, Circular, etc.)

Make

Size (inch)

Total length (mm)

Shank (mm)

Gape (mm)

Throat (mm)

Front length (mm)

Usual setting position

Line off bottom (m)

Hooks off bottom (m)

Method of baiting (manual/automatic)

Automatic baiting equipment (make and model)

Offal

Offal dumping position (port, starboard, stern)
 Offal dumping during hauling (never, occasionally, always)
 Propeller rotation direction (clockwise/anti-clockwise)

General Streamer Line Description

Vessel equipped with a streamer line (y/n)
 Number of streamer lines regularly set
 Streamer line position (port, starboard, stern)
 Streamer line length (m)
 Streamer length min/max (m)
 Attached height above water (m)
 Distance between streamers (m)
 Number of streamers
 Streamer design (single or paired)
 Ariel extent of line (m)
 Method used to assess aerial extent
 Streamer material
 Streamer line diameter (mm)
 Streamer colours
 Streamer line over bait entry position? (y/n/u)
 Distance from stern to bait entry point (m)
 Horizontal distance from bait entry point to streamer line (m)

Details of Dahn/Dropline Setting

Main line length (m)
 Number of hooks set
 Percentage hooks baited
 Distance between branches/snoods (m)
 Distance of hooks off bottom (m)
 Bait species
 Bait size
 Bait proportion
 Deck lights during setting (On, Off)
 Streamer lines used (Yes, No)
 Number of streamer lines used Offal dumping during setting (Yes, No)
 Daylight period
 Moonlight
 Bait entry position (Port, Starboard, Stern)
 Vessel setting speed (knots)

Start and End setting. Repeat for Start and End of hauling

Date (YYYY.MON.DD)
 Time (hh:mm)
 Latitude
 Longitude
 Bottom Depth (m)

Gear lost

Number of sections lost
 Number of hooks lost that were attached to lost sections of the dahn/dropline
 Number of other hooks lost (excluding hooks attached to lost sections)

Observed catch composition

Observer ID

Was Haul observed for fish/invertebrate by-catch (Y/N):

Estimate percentage of the haul observed for by-catch (%)

Species (data shall be collected for each observed species)

Species code (FAO species code)

total retained catch weight (kg)

total discarded catch weight (kg)

Species Retained

observed number retained

observed number retained with tags

Species Discarded

observed number discarded

observed number discarded dead

observed number discarded alive

Specimen cut off (if possible)

Yes / No

For each species caught

- Taxa name
- Number alive

Number dead or injured]

Species Lost

observed number lost/dropped off at surface

Handline fishing activity**Handline Description**

Target species (FAO species code)

Main Line

Material

Diameter (mm)

Integrated weight (g/m)

Hooks

Type (e.g.: J shaped, Circular, etc.)

Make

Size (inch)

Total length (mm)

Shank (mm)

Gape (mm)

Throat (mm)

Front length (mm)

Usual setting position

Line off bottom (m)

Hooks off bottom (m)

Offal

Offal dumping position (port, starboard, stern)
 Offal dumping during hauling (never, occasionally, always)
 Propeller rotation direction (clockwise/anti-clockwise)

Details of Handline Operation

Main line length (m)
 Number of fishermen operating handlines
 Number of line lifts per fisherman (average)
 Number of hooks per line
 Percentage hooks baited
 Bait species
 Bait size
 Bait proportion
 Deck lights during setting (On, Off)

Start and End time of operation.

(An operation is a defined period of fishing between start and end date)
 Date (YYYY.MON.DD)
 Time (hh:mm)
 Latitude
 Longitude
 Bottom Depth (m)

Gear lost

Number of hooks lost

Observed catch composition

Observer ID
 Was Haul observed for fish/invertebrate by-catch (Y/N):
 Estimate percentage of the haul observed for by-catch (%)
 Species (data shall be collected for each observed species)
 Species code (FAO species code)
 total retained catch weight (kg)
 total discarded catch weight (kg)

Species Retained

observed number retained
 observed number retained with tags

Species Discarded

observed number discarded
 observed number discarded dead
 observed number discarded alive

Species Lost

observed number lost/dropped off at surface

Interactions with Vulnerable Marine Ecosystems (VME)

General information

Name of observer
 Name of vessel
 Date
 Trip number
 Set number

VME location

ANNEX M

Start and end positions of all gear deployments and/or observations.
(Latitude/longitude)
Depth(s) fished (m)

Fishing Gear

Indicate fishing gears used at each location

VME Taxa

- a) Species (identified taxonomically as far as possible or accompanied by a photograph where identification is difficult).
- b) An estimate of the quantity (weight (kg) or volume (m³)) of each listed benthic species caught in the tow (and the unit of measurement).
- c) An overall estimate of the total quantity (weight (kg) or volume (m³)) of all invertebrate benthic species caught in the tow (and the unit of measurement).
- d) Where possible, and particularly for new or scarce benthic species which do not appear in ID guides, whole samples should be collected and suitably preserved for identification on shore.
- e) Collect representative biological samples from the entire VME catch. (Biological samples shall be collected and frozen when requested by the scientific authority in a Contracting Party). For some coral species that are under the CITES list photographs should be taken.

Other benthos taxa

Yes/No

For each catch of benthic organisms species

Scientific names (identified at the lowest taxon level possible)

FAO code (if available)

Estimation of the amount caught

Specifications for the Exchange of Data

1. Coordinated Universal Time (UTC) shall be used to describe times, using the following submission format: YYYY-MON-DDThh:mm:ss where:
 - a. YYYY - represents a 4-digit year e.g. "2007"
 - b. MON - represents a 3-character month abbreviation e.g. "APR"
 - c. DD - represents a 2-digit day e.g. "05"
 - d. T - is a space separator
 - e. hh - represents hours based on the 24hr clock (length = 2 digits) e.g. "16"
 - f. mm - represents minutes (length = 2 digits) e.g. "05"
 - g. ss - represent seconds (length = 2 digits) e.g. "00"

Example: 2003-JUL-17T13:10:00 = 1.10pm (1310h), 17 July 2003

2. Coordinates are to be used to describe precise locations and the following standards shall be used:
 Degrees minutes seconds (DD°MM'SS") or Degrees minute decimal (DD°MM.XX) or Decimal degrees (DD.XXXX)
 Add N or S to indicated North or South for latitudes. Add E to indicate the Eastern longitude (the SIOFA Area is always in the Eastern longitudes), for decimal degrees, add minus for southern latitudes.
 Examples:
 Latitude= 42°37'06" S Longitude= 48°03'58" E
 Latitude= 35°09.70" S Longitude= 51°12.94"
 Latitude= -10.0386 Longitude= 61.7088

3. Metric units of measure be used, specifically:
 - a. kilograms are to be used to describe catch weight
 - b. Metres are to be used to describe height, width, depth, beam, or length
 - c. Cubic metres are to be used to describe volume
 - d. Kilowatts are to be used to describe engine power

Role and tasks of the scientific observer

1. The function of scientific observers on board vessels engaged in harvesting of marine living resources is to independently observe and report on the operation of fishing activities in the SIOFA Area.
2. In fulfilling this function, scientific observers will undertake the following tasks:
 - a. Record details of vessel operations, including inter alia, times of, searching, fishing, transit etc., and details of hauls;
 - b. Take biological samples of catches;
 - c. Record biological data of species caught;
 - d. Record by-catch information, such as species, quantity, and other biological data [as specified in Annex B]
 - e. Record interactions with seabirds, marine mammals, and marine reptiles
 - f. Record information on catch including data relating to processed conversion factors;
 - g. prepare reports of their observations for their respective national authorities;
 - h. collect and report data on sightings fishing vessels, unmarked fishing gear, and recovery of fishing gear in the SIOFA Area, including vessel type identification, vessel position and activity and gear type;
 - i. collect information on fishing gear loss and waste disposal by the fishing vessels at sea.

Protocol for documenting whale interaction in deep-sea demersal longline fisheries

Priority 1 Data to be collected

Presence

For every haul and for each species:

Haul	Presence ?	Comment
1	Absent	
2	Present	
3	Present	Night-time, but clearly see them in projectors
4	Not observed	Night-time, can't see them but can't say they are not present around.

Requirement: data mandatory and must be collected for every haul.

"Presence": Favourable conditions (visibility is at least several hundred meters with sufficient light) and observation by the observer (observer can be alerted by the crew when whales are sighted). The presence of whales is confirmed by direct observation of at least one individual at the surface in the vicinity of the vessel at least once during 1 haul. Note that presence can also be observed at night when killer whales come very close to the boat.

"Absence": Favourable conditions and no odontocete spotted at any time during the entire haul.

"Not observed" is used either if the observer did not have time to gather information (e.g. if line broke), or if conditions are too bad to observe (either weather conditions, or hauling at night).

Photos

For every haul and for each species:

Haul	Presence?	Photos?	Comment
1	Absent	No	
2	Present	Yes	
3	Present	No	Night-time: too dark for pictures
4	Not observed	No	Night-time: too dark for pictures

Requirement: data mandatory and must be collected for every haul.

With this additional field, observers indicate whether they took pictures of whales for photo-identification purposes or not during the haul of the set.

ANNEX M

Priority 2 Data to be collected

Number of individuals

For every haul and for each species:

Haul	Presence?	minimum	maximum	comment
1	Absent	0	0	
2	Present	15	22	
3	Present	1		At least one but too dark for accurate estimate
4	Not observed			

Requirement: data should be collected for every haul to the extent possible.

Providing exact counts of individuals from the surface may be difficult for observers as whales can dive for long periods of time. To account for uncertainty around counts, observers may fill in two fields:

- Minimum estimate of the number of individuals,
- Maximum estimate of the number of individuals.

ANNEX M

Priority 3 Data to be collected

Interaction with fishing gear

For every haul and for each species:

Haul	Presence?	Interaction with fishing gear?	Comment
1	Absent	No	
2	Present	Yes	Saw them diving close to the line
3	Present	Yes	Head of fish were observed
4	Not observed		

Requirement: data should be collected for every haul to the extent possible.

When Presence, interaction with fishing gear is taken into account if whales are diving close to the lines or directly observed with fish in their mouths.

Estimated Time of Arrival (ETA)

For every haul and for each species:

Haul	Presence?	ETA	Comment
1	Absent	NA	Not applicable
2	Present	0:30	We were able to haul 30 minutes before they arrive
3	Present	0:00	Saw them in projectors even before first hook came on board
4	Not observed	NA	Not applicable

Requirement: data should be collected for every haul to the extent possible.

The Estimated Time of Arrival here corresponds to the time between the first hook of the line hauled on board and the arrival of sperm whales / killer whales. If whales are already present when hauling starts, then ETA is zero.

CMM 2019/07¹

Conservation and Management Measure for Vessel Authorisation and Notification to Fish (Vessel Authorisation)

The Meeting of the Parties to the Southern Indian Ocean Fisheries Agreement;

RECOGNISING that Article 6(1)(h) of the *Southern Indian Ocean Fisheries Agreement* (SIOFA or the Agreement) calls on the Meeting of the Parties to develop, *inter alia*, a system of verification to incorporate vessel monitoring and observation;

NOTING that Article 1(i) of the Agreement defines 'fishing vessel' as any vessel used or intended for fishing, including a mothership, any other vessel directly engaged in fishing operations, and any vessel engaged in transshipment;

TAKING INTO ACCOUNT the provisions of Article 11(2) of the Agreement which provides that no Contracting Party shall allow any fishing vessel entitled to fly its flag to be used for fishing in the SIOFA Area of Application (Agreement Area) unless it has been authorised to do so by the appropriate authority or authorities of that Contracting Party;

ADOPTS the following Conservation and Management Measure (CMM) in accordance with Article 6 of the Agreement:

1. The Meeting of the Parties shall establish a SIOFA Record of Authorised Vessels for fishing vessels authorised to fish in the Agreement Area. For the purpose of this CMM, fishing vessels that are not entered onto the SIOFA Record of Authorised Vessels are deemed not to be authorised to fish for, retain on board, tranship or land fishery resources in the Agreement Area.
2. ~~Prior to the entry into force of this CMM, e~~Each Contracting Party, cooperating non-Contracting Party and Participating Fishing Entity (CCP) shall submit electronically to the ~~Executive Secretary Secretariat~~ the following data for each of the list of vessels flying their flag that are authorised to operate in the Agreement Area. ~~The fishing vessels shall be entered onto the SIOFA Record of Authorised Vessels only if the following information has been submitted² In doing so, this list shall include the following information:~~
 - a. name of vessel, registration number, previous names (if known), and port of registry;
 - b. previous flag (if any), using codes;
 - c. International Radio Call Sign (if any);
 - d. IMO Number (if required by IMO); ~~if applicable issued eligible under IMO requirements);~~
 - e. name and address of owner or owners;
 - f. type of vessel (using appropriate ISSCFV codes);
 - g. length and length type (e.g. LOA, LBP);
 - h. name and address of operator (manager) or operators (managers) (if any);
 - i. type of fishing method or methods (using appropriate ISSCFG codes);

¹ CMM 2019/07 Vessel Authorisation supersedes CMM 2017/07 Vessel Authorisation

² ~~The Secretariat shall provide advice to the relevant CCP within two business day after initially receiving information from the CCP, if the minimum information requirements have not been met. The relevant CCP shall promptly provide requested information or explanation to the Secretariat.~~

- j. gross tonnage (GT);
- k. power of main engine or engines (kw);
- l. Fish hold capacity (cubic metres);
- m. Freezer type (if applicable);
- n. Number of freezing units (if applicable);
- o. Freezing capacity (if applicable);
- p. Vessel communication types and numbers (e.g. INMARSAT A, B and C, VSAT numbers);
- q. certified drawings or description of all fish holds
- r. VMS system details (brand, model, features and identification); and
- s. Good quality, high resolution digital images of the vessel of appropriate brightness and contrast, no older than 5 years old:
 - one digital image showing the starboard side of the vessel displaying its full overall length and complete structural features;
 - one digital image showing the port side of the vessel displaying its full overall length and complete structural features; and
 - one digital image of the stern taken directly from astern.

2bis. Following the conclusion of the MoP9, the Executive Secretary shall identify vessels, if any, on the SIOFA Record of Authorised Vessels for which CCPs have not submitted all the information required by paragraph 2. Such vessels shall be marked 'incomplete' in the Record until the requirements have been completely submitted. By no later than 30 April 2023, the Executive Secretary shall write to any CCPs whose flagged vessels have incomplete information and request that the CCP either provide the outstanding information or request removal of such vessels from the Record of Authorised Vessels before 30 June 2023.

3. CCPs shall ensure that the data referred to in Paragraph 2 on the vessels flying their flag authorised to fish in the Agreement Area is kept up to date. CCPs shall inform the Executive Secretary of any modification regarding the vessel data, including authorisation status of current fishing vessels and any new vessels, within 15 days after such modification.
4. ~~Any State or fishing entity that becomes a CCP after the date that this CMM enters into force shall provide the information referred to in Paragraph 2 within 30 days of becoming a CCP.~~
5. The Executive Secretary shall maintain the SIOFA Record of Authorised Vessels. A summary of the SIOFA Record of Authorised Vessels shall be made publicly available on the SIOFA website according to the provisions of paragraph 2. a) i) of the CMM on Data Confidentiality and Procedures for Access and Use of Data.
6. Each CCP shall:
 - a. authorise the vessels flying their flag to operate in the Agreement Area only if they are able to fulfil in respect of these vessels the requirements and responsibilities under the Agreement, and all relevant SIOFA CMMs;
 - b. take necessary measures to ensure that the vessels flying their flag comply with all relevant SIOFA CMMs;
 - c. take necessary measures to ensure that the vessels flying their flag that are on the SIOFA Record of Authorised Vessels keep on board valid certificates of vessel

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registration and valid authorisation to fish and/or to undertake fishing related activities;

- d. ensure that the vessels flying their flag on the SIOFA Record of Authorised Vessels have no history of IUU fishing, or, if those vessels have such history, the new owners have provided sufficient evidence demonstrating that the previous owners and operators have no legal, beneficial or financial interest in, or control over those vessels, or that having taken into account all relevant facts, its vessels are not engaged in or associated with IUU fishing;
 - e. ensure, to the extent possible under domestic law, that the owners and operators of its registered vessels on the SIOFA Record of Authorised Vessels are not engaged in or associated with fishing activities conducted in the Agreement Area by vessels not registered in the SIOFA Record of Authorised Vessels; and
 - f. take necessary measures to ensure, to the extent possible under domestic law, that the owners and/or operators of the vessels on the SIOFA Record of Authorised Vessels are citizens, residents or legal entities within its jurisdiction so that any enforcement or punitive actions can be effectively taken against them.
7. Each CCP shall take measures, under their applicable legislation, to prohibit the fishing and fishing related activities on fishery resources covered by the Agreement by vessels which are not registered in the SIOFA Record of Authorised Vessels.
 8. Each CCP shall, as soon as practicable, notify the Secretariat of any evidence showing that there are reasonable grounds for suspecting vessels not registered on the SIOFA Record of Authorised Vessels are operating in the Agreement Area.

CMM 2018/06¹

**Conservation and Management Measure on the Listing of IUU Vessels
(IUU Vessel List)**

The Meeting of the Parties to the Southern Indian Ocean Fisheries Agreement;

RECALLING that the FAO Council adopted on 23 June 2001 an International Plan of Action to prevent, to deter and eliminate illegal, unreported and unregulated fishing (IPOA-IUU). This plan stipulates that the identification of the vessels carrying out illegal, unreported and unregulated (IUU) activities should follow agreed procedures and be applied in an equitable, transparent and non-discriminatory way;

CONCERNED by the fact that IUU fishing activities in the SIOFA Area of Application (the Agreement Area) diminish the effectiveness of the Conservation and Management Measures (CMMs) adopted by the Meeting of the Parties;

DETERMINED to address the challenge of an increase in IUU fishing activities by way of counter-measures to be applied in respect to the vessels, without prejudice to further measures adopted in respect of flag States under the relevant SIOFA instruments;

NOTING that efforts to prevent, deter and eliminate IUU fishing must be addressed in the light of all relevant international fisheries instruments and in accordance with other relevant international obligations, including the rights and obligations established under the World Trade Organization (WTO) Agreement;

RECALLING that Article 1(f) of the *Southern Indian Ocean Fisheries Agreement* (the Agreement) requires the Meeting of the Parties to develop and monitor measures to prevent, deter and eliminate illegal, unreported and unregulated fishing.

ADOPTS the following CMM in accordance with Article 6 of the Agreement:

1. At each ordinary Meeting of the Parties, the Meeting of the Parties shall identify those vessels which have engaged in fishing in the Agreement Area in contravention of SIOFA CMMs and shall establish a list of such vessels (the SIOFA IUU Vessel List, hereafter IUU Vessel List), in accordance with the procedures and criteria set out below.

Transmission of information to establish the Draft IUU Vessel List

2. Each Contracting Party, cooperating non-Contracting Party (CNCP) and participating fishing entity (PFE) shall every year, and at least 90 days before each ordinary Meeting of the Parties, transmit to the Secretariat, using the Reporting Form in Annex I, information on vessels presumed to have engaged in IUU fishing activities in the Agreement Area, accompanied by all available supporting evidence concerning the presumption of the IUU fishing activities.

3. Prior to, or at the same time as, transmitting the information referred to in paragraph 2 to the Secretariat, the notifying Contracting Party, CNCP or PFE shall provide, either directly or through the Executive Secretary, to the relevant flag State a copy of the pertinent suitably documented information and notification of its relevance to the Draft SIOFA IUU Vessel List. The notifying Contracting Party, CNCP or PFE shall request that the flag State promptly acknowledge receipt of this notification.

¹ CMM 2018/06 (IUU Vessel List) supersedes CMM 2016/06 (IUU List)

ANNEX O

4. The information on vessels presumed to have engaged in IUU fishing activities in the Agreement Area transmitted to the Secretariat of paragraph 2 shall be based, *inter alia*, on reports from Contracting Parties, CNCPs and PFEs relating to SIOFA CMMs, trade information obtained on the basis of relevant trade statistics such as Food and Agriculture Organization of the United Nations (FAO) data, statistical documents and other national or international verifiable statistics, as well as any other information which is suitably documented.

5. Vessels engaged in fishing in the Agreement Area are presumed to have carried out IUU fishing in the Agreement Area when a Contracting Party, CNCP and PFE presents evidence that such vessels, have *inter alia*:

- (a) engaged in fishing for fishery resources in the Agreement Area and are not on the SIOFA Record of Authorized Vessels;
- (b) engaged in fishing for fishery resources in the Agreement Area, in contravention of the vessel's fishing licences, authorisations or permits, or after its flag State has exhausted its quota/s, catch limit or effort allocation established by SIOFA CMMs;
- (c) not recorded or reported their catches made in the Agreement Area as required by adopted reporting procedures, or made false reports;
- (d) retained on board, transshipped or landed undersized fish in a way that undermines SIOFA CMMs;
- (e) fished for, retained on board, transshipped or landed fisheries resources subject to a SIOFA moratorium or the retention of which is prohibited by SIOFA;
- (f) engaged in fishing during a closed fishing period or in closed areas in contravention of SIOFA CMMs;
- (g) used prohibited fishing gear or fishing method in contravention of SIOFA CMMs;
- (h) transshipped or participated in other operations, such as joint-fishing, transfers at sea of fuel, crew, gear or any other supplies either as an unloading or receiving vessel, with vessels included in the IUU Vessel List;
- (i) engaged in fishing for fishery resources, transshipment or other operations such as joint-fishing, and transfers at sea of fuel, crew, gear or any other supplies either as an unloading or receiving vessel, in the Agreement Area as a vessel without nationality;
- (j) engaged in fishing for fishery resources, transshipment or other operations such as joint-fishing, resupplying and refueling operations in the Agreement Area having intentionally falsified or concealed their markings, identity or registration;
- (k) engaged in fishing activities in contravention of any SIOFA CMMs; or
- (l) been under the control of the owner of any vessel on the IUU Vessel List.

Draft IUU Vessel List

6. On the basis of the information received pursuant to paragraphs 2 or 26, and any other information at its disposal, the Secretariat shall draw up a Draft SIOFA IUU Vessel List and shall transmit it, together with the current IUU Vessel List, with all the supporting evidence provided, to all Contracting Parties, CNCPs and PFEs, as well as to non-Contracting Parties with vessels on the List, at least 60 days before the next ordinary Meeting of the Parties.

7. Any comments related to the Draft IUU list shall be transmitted to the Secretariat, at least 40

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days before the ordinary Meeting of the Parties, as appropriate, including verifiable evidence and other supporting information, showing that the vessels included on the Draft IUU vessel list have neither operated in contravention of SIOFA CMMs nor had the possibility of engaging in fishing for fishery resources in the Agreement Area.

8. The Secretariat shall request each flag State with vessels on the Draft IUU Vessel List notify the owner of the vessels of their inclusion in that List, and of the consequences of their inclusion being confirmed in the IUU Vessel List.

9. Upon receipt of the Draft IUU Vessel List, Contracting Parties, CNCs and PFEs shall closely monitor the vessels included in that List in order to determine their activities and possible changes of name, flag or registered owner.

Draft and current IUU Vessel Lists

10. On the basis of the information received pursuant to paragraphs 6 and 7, the Secretariat shall update and re-circulate the Draft IUU Vessel List and transmit it, together with the current IUU Vessel List, three weeks in advance of the next ordinary Meeting of the Parties, to Contracting Parties, CNCs and PFEs and any non-Contracting Parties concerned, together with all the evidence provided.

11. Contracting Parties, CNCs and PFEs may at any time submit to the Secretariat any additional information which might be relevant for the Compliance Committee to discuss the Draft IUU Vessel List and the current IUU List. The Secretariat shall promptly circulate the information, together with all the evidence provided, to the Contracting Parties, CNCs and PFEs and to the non-Contracting Parties concerned.

Provisional IUU Vessel List

12. At each ordinary meeting, the Compliance Committee shall:

- (a) following consideration of the Draft IUU Vessel List and information and evidence circulated under paragraphs 6, 7, 10 and 11, pursuant to paragraph 14 include relevant vessels on a Provisional IUU Vessel List and submit it to the Meeting of the Parties for approval; and
- (b) following consideration of the current IUU Vessel List and the information and evidence circulated under paragraph 11, pursuant to the relevant requirements of paragraph 28 recommend to the Meeting of the Parties which, if any, vessels should be removed from the current IUU Vessel List.

13. A vessel shall be included in the Provisional IUU Vessel List only if one or more of the criteria in paragraph 5 have been satisfied.

14. The Compliance Committee shall remove a vessel from the Draft IUU Vessel List if is demonstrated, notably by the flag State, that:

- (a) the vessel did not engage in any of the IUU fishing described in paragraph 5; or
- (b) effective action has been taken in response to the IUU fishing in question, including, *inter alia*, prosecution, and imposition of sanctions of adequate severity; and
- (c) the vessel is able to comply with all relevant and adopted SIOFA CMMs.

IUU Vessel List

15. Paragraph 14 applies *mutatis mutandis* to the Meeting of the Parties in its consideration of

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the Provisional IUU Vessel List.

16. At each ordinary Meeting of the Parties the Meeting of the Parties shall review the Provisional IUU Vessel List, taking into account any new suitably documented information related to vessels on the Provisional IUU Vessel List, and any recommendations to amend the current IUU Vessel List made by Compliance Committee pursuant to paragraph 12 above, and adopt a new IUU Vessel List.

17. The Draft IUU Vessel List, Provisional IUU Vessel List and the IUU Vessel List shall contain the following details for each vessel:

- (a) name and previous names, if any;
- (b) flag and previous flags, if any;
- (c) owner and previous owners, including beneficial owners, if any;
- (d) operator and previous operators, if any;
- (e) call sign and previous call signs, if any;
- (f) IMO number, if any;
- (g) photographs, where available;
- (h) date first included on the IUU Vessel List; and
- (i) summary of activities which justify inclusion of the vessel on the IUU Vessel List, together with references to all relevant documents informing of and evidencing those activities.

18. Once the Meeting of the Parties adopts the IUU Vessel List, it shall request through the Secretariat that Contracting CNCPs and PFEs and non-Contracting Parties with vessels on the IUU Vessel List:

- (a) notify the owner of the vessels of its inclusion on the IUU Vessel List and the consequences which result from being included in the IUU Vessel List; and
- (b) take all the necessary measures to eliminate these IUU fishing activities and to inform the Meeting of the Parties of the measures taken in this respect.

19. Contracting Parties, CNCPs and PFEs shall take all necessary measures under their applicable legislation and pursuant to paragraphs 56 and 66 of the IPOA-IUU, to:

- (a) take all the necessary measures to eliminate the IUU activities, including if necessary, the withdrawal of the fishing licences, authorisations or permits granted to vessels included in the IUU Vessel List, and the refusal of the fishing licences, authorisations or permits to such vessels;
- (b) ensure that its vessels do not participate in any transshipment or other operations such as joint-fishing, transfers at sea of fuel, crew, gear or any other supplies either as an unloading or receiving vessel, with vessels included in the IUU Vessel List;
- (c) ensure that vessels on the IUU Vessel List are denied access to ports unless for the purpose of inspecting them and taking other appropriate actions in conformity with international law which are at least as effective as denial of port entry in preventing, deterring and eliminating IUU fishing;
- (d) give priority to the inspection of vessels on the IUU Vessel List, if such vessels are found in their ports;

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- (e) prohibit the chartering of vessels on the IUU Vessel List;
- (f) refuse to grant their flag to vessels on the IUU Vessel List;
- (g) prohibit commercial transactions, such as imports, exports or re-exports, landings and transshipments of fisheries resources covered by the Agreement, as well as other operations involving such fisheries resources, from vessels on the IUU Vessel List;
- (h) prohibit change of crew on board of vessels on the IUU Vessel List;
- (i) encourage traders, importers and transporters to refrain from transactions in, and transshipment of, fishery resources covered by the Agreement caught by vessels on the IUU Vessel List; and
- (j) collect and promptly exchange with other Contracting Parties, CNCPs and PFEs, any appropriate relevant information on vessels included in the IUU Vessel List.

20. Consistent with paragraph 12 of CMM 2020/08, where a vessel included on the IUU Vessel List is in port for any reason, Contracting Parties, CNCPs and PFEs shall deny such vessel the use of its ports for landing, transshipping, packaging, and processing of fish and for other port services including, *inter alia*, refuelling and resupplying, maintenance and drydocking.

21. Notwithstanding paragraph 20, and in accordance with paragraph 13 of CMM 2020/08, Contracting Parties, CNCPs and PFEs shall not deny a vessel referred to in that paragraph the use of port services essential to the safety or health of the crew or the safety of the vessel, provided these needs are duly proven or, where appropriate, for the scrapping of the vessel.

22. Nothing in this Conservation and Management Measure affects the entry of vessels to port in accordance with international law for reasons of force majeure or distress, or prevents a port State from permitting entry into port to a vessel exclusively for the purpose of rendering assistance to persons, ships or aircraft in danger or distress.

23. The Secretariat shall take any measure necessary to ensure publicity of the IUU Vessel List, in a manner consistent with any applicable confidentiality requirements, including placing it on the SIOFA website.

Special procedure for cross-listing IUU vessels from other organisations

24. In addition to any relevant organisation that has expressed an interest to receive the IUU Vessel List, the Secretariat shall transmit said List and any relevant information regarding the IUU Vessel List to the FAO and to the secretariats of the following organisations for the purposes of enhancing co-operation between SIOFA and these organizations aimed at preventing, deterring and eliminating IUU fishing: the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR), the Commission for the Conservation of Southern Bluefin Tuna (CCSBT), the International Commission for the Conservation of Atlantic Tunas (ICCAT), The Indian Ocean Tuna Commission (IOTC), the Inter American Tropical Tuna Commission (IATTC), the General Fisheries Commission for the Mediterranean (GFCM), the Northwest Atlantic Fisheries Organization (NAFO), the North East Atlantic Fisheries Commission (NEAFC), the North Pacific Fisheries Commission (NPFC), the South East Atlantic Fisheries Organisation (SEAFO), the South Pacific Regional Fisheries Management Organisation (SPRFMO) and, the Western and Central Pacific Fisheries Commission (WCPFC).

25. Notwithstanding paragraphs 6 to 11 of this CMM, upon receipt of the Final IUU Vessel Lists established by CCAMLR, CCSBT, ICCAT, IOTC, IATTC, GFCM, NAFO, NEAFC, NPFC, SEAFO, SPRFMO and WCPFC, and any information regarding the Lists, the Secretariat shall circulate this information to Contracting Parties, CNCPs and PFEs for the purpose of amending the SIOFA IUU

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Vessel List during the intersessional period in accordance with Rule 13 of the Rules of Procedures of the Meeting of the Parties. Vessels that have been added to or deleted from the respective organisations' Final IUU Vessel Lists shall be incorporated into or deleted, as appropriate, from the IUU Vessel List, unless any Contracting Party objects in writing within 30 days of the date of transmittal by the Secretariat.

26. In the event of an objection to a vessel listed by CCAMLR, CCSBT, ICCAT, IOTC, IATTC, GFCM, NAFO, NEAFC, NPFC, SEAFO, SPRFMO or WCPFC, being incorporated into or deleted from the IUU Vessel List, such vessel shall be placed on the Draft IUU Vessel List.

27. Without prejudice to the rights of Contracting Parties, CNCPs, PFEs and coastal States to take proper action, consistent with international law, the Contracting Parties, CNCPs and PFEs shall not take any unilateral trade measures or other sanctions against vessels on the Draft or Provisional IUU Vessel Lists, pursuant to paragraph 5, or that have been removed from the IUU Vessel List, pursuant to paragraph 14, on the grounds that such vessels are involved in IUU fishing activities.

Modification of the IUU Vessel List

28. A Contracting Party, CNCP, PFE and a non-Contracting Party with a vessel on the IUU Vessel List may request the removal of the vessel from the IUU Vessel List, including during the intersessional period, provided that the conditions stipulated in paragraph 14 are met and more specifically by submitting suitably documented information that:

- (a) it has adopted measures that will ensure that the vessel complies with all relevant and adopted CMMs; and
- (b) it is and will continue to assume effectively its responsibilities as regards the monitoring and control of the vessel's fishing activities in the Area; and either
- (c) it has taken effective action in response to the IUU fishing that resulted in the vessel's inclusion in the IUU Vessel List, including prosecution and imposition of sanctions of adequate severity; or
- (d) the vessel has changed ownership and that the new owner can establish that the previous owner no longer has any legal, financial or real interests in the vessel or exercises control over it, and that the new owner has not participated in IUU fishing.

29. The Meeting of the Parties may take a decision to remove a vessel from the IUU Vessel List providing it is satisfied that the requirements of paragraph 28 have been met. In order to remove a vessel from the IUU Vessel List in the intersessional period, Rule 13 of the Rules of Procedures of the Meeting of the Parties shall apply.

Involvement of Nationals in IUU fishing activities

30. Without prejudice to the primacy of the responsibility of the flag State, each Contracting Party, CNCP and PFE shall take appropriate measures, subject to, and in accordance with, their applicable laws and regulations:

- (a) to verify if any of their nationals or any natural or legal persons subject to their jurisdiction are engaged in the activities described in paragraph 5;
- (b) to verify if any of their nationals or any natural or legal persons subject to their jurisdiction are responsible for, benefiting from, supporting or engaging in the activities described in paragraph 5 (e.g. as operators, effective beneficiaries, owners, logistics and service providers, including insurance providers and other financial service providers);

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(c) to take appropriate action in response to any verified activities referred to in subparagraphs 30 (a) and (b). Such action may include measures to effectively deprive any of the participants in such activities of the benefits obtained and effectively dissuade the actors of further illegal activities.

31. Contracting Parties, CNCPs and PFEs shall cooperate, including by seeking reciprocal and cooperative arrangements for exchange of information, for the purpose of implementing this CMM. To this end, relevant agencies of Contracting Parties, CNCPs and PFEs ~~should~~shall designate a contact point through which information on reported activities described in subparagraphs 30 (a) and (b), including information regarding vessel identification, ownership including beneficial ownership, crew and catch, as well as information regarding relevant domestic legislation and the results of actions taken with regard to the implementation of this CMM can be exchanged.

32. To assist with the implementation of this CMM, Contracting Parties, CNCPs and PFEs shall include in their annual implementation reports of the actions and measures taken in accordance with this CMM.

ANNEX I
SIOFA Reporting form for Vessels Presumed to be Carrying Out IUU Activities

A. Details of Vessel

Please provide the following details for each vessel presumed to have carried out IUU fishing in the Agreement Area:

Item	Description	Details
(a)	Name and previous names, if any	
(b)	Flag and previous flags, if any	
(c)	Owner and previous owners, including beneficial owners, if any	
(d)	Operator and previous operators, if any	
(e)	Call sign and previous call signs, if any	
(f)	IMO number, if any	
(g)	Photographs, where available	
(h)	Date first included on the IUU Vessel List, if applicable	
(i)	Summary of activities which justify inclusion of the vessel on the IUU Vessel List, together with references to all relevant documents informing of and evidencing those activities Please also complete Section B below	

B. IUU fishing activities

Please indicate which IUU activities, as listed in paragraph 5 of CMM 2018/06, the vessel identified in Section A is presumed to have carried out in the Agreement Area:

Item	Description	Indicate
(a)	engaged in fishing for fishery resources in the Agreement Area and are not on the SIOFA Record of Authorized Vessels;	<input type="checkbox"/>
(b)	engaged in fishing for fishery resources in the Agreement Area, in contravention of the vessel's fishing licences, authorisations or permits, or after its flag State has exhausted its quota/s, catch limit or effort allocation established by SIOFA CMMs	<input type="checkbox"/>
(c)	not recorded or reported their catches made in the Agreement Area as required by adopted reporting procedures, or made false reports	<input type="checkbox"/>
(d)	retained on board, transshipped or landed undersized fish in a way that undermines SIOFA CMMs	<input type="checkbox"/>
(d bis)	fished for, retained on board, transshipped or landed fisheries resources subject to a moratorium or the retention of which is prohibited by SIOFA	<input type="checkbox"/>
(e)	engaged in fishing fish during a closed fishing period or in closed areas in contravention of SIOFA CMMs	<input type="checkbox"/>
(f)	used prohibited fishing gear or fishing methods in contravention of SIOFA CMMs	<input type="checkbox"/>
(g)	transshipped or participated in other operations, such as joint-fishing, transfers at sea of fuel, crew, gear or any other supplies, either as an unloading or receiving vessel, with vessels included in the IUU Vessel List	<input type="checkbox"/>
(h)	engaged in fishing for fishery resources, transshipment or other operations such as joint-fishing, resupplying and refueling operations in the Agreement Area having intentionally falsified or concealed their markings, identity or registration	<input type="checkbox"/>
(i)	engaged in fishing activities contrary to any other SIOFA CMMS	<input type="checkbox"/>
(j)	been under the control of the owner of any vessel on the SIOFA IUU Vessel List	<input type="checkbox"/>

C. Supporting Evidence

List here the associated documents that are appended.

D. Recommended Actions

Recommended Actions		Indicate
a	Notification to SIOFA Secretariat only. No further action is recommended.	<input type="checkbox"/>
b	Notification of IUU activity to SIOFA Secretariat. Recommend notification of activity to flag State.	<input type="checkbox"/>
c	Recommended for inclusion on SIOFA IUU list	<input type="checkbox"/>

CMM 2018/09¹

Conservation and Management Measure for Control of fishing activities in the Agreement Area (Control)

The Meeting of the Parties to the Southern Indian Ocean Fisheries Agreement;

HAVING A MUTUAL INTEREST in the proper management, long-term conservation and sustainable use of fishery resources in the southern Indian Ocean, and desiring to further the attainment of their objectives through cooperation;

RECALLING Article 6(1)(h) of the Agreement requiring the Meeting of the Parties to develop rules and procedures for the monitoring, control and surveillance of fishing activities in order to ensure compliance with conservation and management measures adopted;

MINDFUL of the Commitment made under Article 5 (f) of the *Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks (UNFSA)* to minimise pollution, waste, and catch by lost or abandoned gear;

AWARE of Article 18(3)(d) of UNFSA in relation to the marking of fishing vessels and fishing gear for identification in accordance with uniform and internationally recognizable vessel and gear marking systems, such as the Food and Agriculture Organization of the United Nations Standard Specifications for the Marking and Identification of Fishing Vessels and the draft Voluntary Guidelines on the Marking of Fishing Gear;

CONCERNED of the impact of abandoned, lost or otherwise discarded fishing gear (ALDFG) and plastic residues in the ocean greatly affecting marine life and the need to facilitate the identification and recovery of such gear;

RECALLING that the *International Convention for the Prevention of Pollution from Ships (MARPOL)* seeks to eliminate and reduce the amount of garbage, including fishing gear and plastics, being discharged into the sea from ships and that its Annex V applies to all vessels;

NOTING that there is limited monitoring and implementation of MARPOL obligations on fishing vessels;

CONCERNED by the fact that illegal, unreported and unregulated (IUU) fishing activities in the SIOFA Area of Application (the Agreement Area) undermine the long-term conservation and sustainable use of the fishery resources;

ADOPTS the following Conservation and Management Measure (CMM) in accordance with Article 6 of the Agreement:

Co-operation and Contact Points

1. In furtherance of the objectives of the Agreement, Contracting Parties, cooperating non-Contracting Party (CNCs) and participating fishing entities (PFEs) shall consult, co-operate and exchange information with other Contracting Parties, CNCs and PFEs and/or the Secretariat to facilitate the monitoring, control and surveillance of fishing activities conducted in order to ensure compliance with SIOFA CMMs, taking into account the SIOFA policy and procedures on

¹ CMM 2018/09 (Control) supersedes CMM 2017/09 (Control). Obsolete references have been updated by 2021 technical edits.

confidentiality of data described in CMM 2016/03.

2. Contracting Parties, CNCPs and PFEs shall designate the competent authority or authorities which shall act as the Contact Point for the purposes of receiving reports and notifications and issuing authorisations in accordance with the relevant SIOFA CMMs. Each Contracting Party, CNCP or PFE shall send to the Secretariat the name, telephone number, e-mail address and fax number of at least two designated contact points before 30 days have passed following the adoption of this CMM. Any subsequent changes to the list shall be notified to the Secretariat without delay. The Secretariat shall put the details of the Contact Points and any changes thereto on the SIOFA website without delay.

Vessel requirements

3. Each Contracting Party, CNCP and PFE shall:

(a) ensure that vessels flying its flag carry on board the current, valid documents issued by its competent authority that are contained in paragraph 6(c) of CMM 2019/07; and

(b) either ensure that vessels flying its flag carry on board valid documents issued by its competent authority containing the up to date information listed in paragraph 2 of CMM 2019/07, or otherwise agree that the Secretariat shall make this information available upon request for the purposes of control.

4. Each Contracting Party, CNCP and PFE shall ensure that vessels flying their flag authorised to operate in the Agreement Area are marked in such a way that they can be readily identified and where possible marked with generally accepted international standards, such as the FAO Standard Specification for the Marking and Identification of Fishing Vessels.

Marking of fixed gear

5. Each Contracting Party, CNCP and PFE shall ensure that fixed gear used by vessels flying its flag is marked as follows: the ends of nets, lines and gear anchored to the seabed shall be fitted with flag or radar reflector buoys by day, and light buoys by night, sufficient to indicate their position and extent. Such lights should be visible at a distance of at least two nautical miles in good visibility. Marker buoys and similar objects floating on the surface and intended to indicate the location and/or origin of fixed fishing gear, and where possible, the gear itself shall be clearly marked with the vessel's name and International Radio Call Sign.

6. Each Contracting Party, CNCP and PFE shall without delay notify the Secretariat of the information regarding the marking of fixed gear used by vessels flying its flag. This notification does not need to be repeated unless there are changes to be reported.

Retrieval of abandoned, lost or otherwise discarded fishing gear

7. Each Contracting Party, CNCP and PFE shall ensure that:

(a) vessels flying its flag operating with any gear on board, with the exception of handline fishing, where possible have equipment on board to retrieve abandoned, lost or otherwise discarded fishing gear (ALDFG) and training available to facilitate the recovery of ALDFG;

(b) vessels flying its flag that have lost gear shall not abandon it without making every reasonable attempt to retrieve it as soon as possible;

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- (c) no vessels flying its flag shall deliberately abandon fishing gear, except for safety reasons, notably vessels in distress and/or life in danger;
- (d) if gear cannot be retrieved, a vessel flying its flag shall promptly notify its competent authority of the following information:
- i. the name, IMO number and call sign of the vessel;
 - ii. the type of lost gear;
 - iii. the quantity of gear lost;
 - iv. the time when the gear was lost (consistent with the Standards for the Specification of Data described in CMM 2021/02);
 - v. the position (longitude/latitude) where the gear was lost (consistent with the Standards for the Specification of Data described in CMM 2021/02);
 - vi. measures taken by the vessel to retrieve lost gear; and
 - vii. report, if known, the circumstances that led to the gear being lost, or abandoned for safety reasons;
- (e) following retrieval of any ALDFG, a vessel flying its flag shall notify its competent authority of the following:
- i. the name, IMO number and call sign of the vessel that has retrieved the gear;
 - ii. the name, IMO number and call sign of the vessel that lost the gear (if known);
 - iii. the type of gear retrieved;
 - iv. the quantity of gear retrieved;
 - v. the time when the gear was retrieved (consistent with the Standards for the Specification of Data described in CMM 2021/02);
 - vi. the position (longitude/latitude) where the gear was retrieved (consistent with the Standards for the Specification of Data described in CMM 2021/02); and
 - vii. if possible, photographs of the gear retrieved;
- (f) its competent authority shall without delay notify the Secretariat of the information referred to in sub-paragraphs (d) and (e). Where the Contracting Party, CNCP or PFE provides consent, the Secretariat shall put this information on the SIOFA website.

Discharge of plastics

8. Except as provided in paragraph 9, the discharge into the sea of all plastics², including but not limited to synthetic ropes, synthetic fishing nets, plastic garbage bags and incinerator ashes from plastic products by vessels flying the flag of Contracting Parties, CNCPs or PFEs shall be prohibited. All plastics on-board shall be stored on-board the vessel until they can be discharged at adequate port reception facilities.

9. Paragraph 8 shall not apply to:

(a) The discharge of plastics from a vessel necessary for the purpose of securing the safety of a ship and those on board or saving life at sea;

(b) The accidental loss of plastics, synthetic ropes and fishing nets from a vessel provided that all reasonable precautions have been taken to prevent such loss.

² Plastic means a solid material which contains as an essential ingredient one or more high molecular mass polymers and which is formed (shaped) during either manufacture of the polymer or the fabrication into a finished product by heat and /or pressure.

Labelling of frozen products of fishery resources

10. Each Contracting Party, CNCP and PFE shall ensure that:

(a) when frozen, all fishery resources or fishery resource products derived from fishing caught and retained onboard shall be identified by a clearly legible label or stamp. The label or stamp, on each box, carton, container, bag or block (hereafter 'package') of frozen fishery resources or fishery resource products derived from fishing, shall indicate the species (*e.g.* common name/scientific name/FAO 3-Alpha code/codes as defined by the Scientific Committee), presentation, production date, and vessel identification number of the catching vessel. Where a package contains multiple species, the label or stamp shall indicate all of the species contained in the package and its quantity in kilograms;

(b) labels are securely affixed, stamped, pre-printed or written on packaging at the time of stowage and be of a size that can be clearly read by inspectors in the normal course of their duties;

(c) labels are marked in ink on a contrasting background; and

(d) each package shall contain only one species (common name/scientific name/FAO 3-Alpha code or codes as defined by the Scientific Committee) unless the package:

- i. contains small quantities of mixed species intended for human consumption, and that do not exceed 25 kg of any single species by haul, or
- ii. contains fishery resources intended for use other than human consumption (such as, for example, fish meal). The words « not for human consumption » shall appear on the label³.

(e) Packages referred in sub-paragraph (d) will be stored onboard the fishing vessel in a manner that allows observers and inspectors to perform their respective tasks. Observers, when they are on board, shall record weight and species composition in packages containing multiple species.

(f) The provisions under sub-paragraph (d) shall not constrain the collection and reporting of data required under Annex A of CMM 2021/02.

Scientific observer programme

11. Without prejudice to other requirements in specific CMMs, each Contracting Party, CNCP and PFE shall ensure that the scientific observers carried by vessels flying its flag operating in the Agreement Area are qualified and authorised to perform their tasks and record any requested data.

Sightings and identifications of non-Contracting Party, non-CNCP and non-PFE vessels

12. Each Contracting Party, CNCP or PFE shall ensure that vessels flying its flag report any presumed fishing as defined in the Agreement, including transshipment, by vessels flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement in the Agreement Area. Each Contracting Party, CNCP or PFE shall ensure that

³ In the event of all the information not being available at the time of storage, it is possible to attribute an identification number to the package and to specify at the latest by the end of the day the content's details, by identification number, on a separate document, available on board at all times.

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reports from vessels flying its flag contain, to the extent possible, the following information:

- (a) name of vessel;
- (b) registration number/call sign of the vessel
- (c) flag State of the vessel;
- (d) date, time and position of sighting consistent with the standards for specification of data described in CMM 2021/02; and
- (e) any other relevant information regarding the sighted vessel, including photographs.

13. Each Contracting Party, CNCP or PFE shall submit this information to the Secretariat as soon as practical. The Secretariat shall forward this information to all Contracting Parties, CNCPs and PFEs for information and for consideration of further action as required by Article 17 of the Agreement at the next ordinary Meeting of the Parties.

Summary of reporting obligations

14. To facilitate compliance with SIOFA reporting and submission requirements the Secretariat shall develop a summary checklist of obligations which shall be circulated to all Contracting Parties, CNCPs and PFEs annually within 30 days following any changes coming into force which will be made available on the SIOFA website.

CMM 2019/12¹

Conservation and Management Measure for Sharks² (Sharks)

The Meeting of the Parties to the Southern Indian Ocean Fisheries Agreement:

RECALLING the relevant provisions of the Southern Indian Ocean Fisheries Agreement, in particular Article 4;

CONSIDERING that the United Nations Food and Agriculture Organization (FAO) International Plan of Action for Sharks calls on States to cooperate through regional fisheries organizations to ensure the sustainability of shark stocks;

RECOGNIZING the need to improve the collection of species-specific data on catch, effort, discards, and trade as a basis for improving the conservation and management of shark stocks;

RECALLING that the FAO International Plan of Action for Sharks calls on States to encourage full use of dead sharks, to facilitate improved species-specific catch and landings data and monitoring of shark catches and the identification and reporting of species-specific biological and trade data;

FURTHER RECALLING that United Nations General Assembly, adopted consensus Resolutions every year since 2007 (62/177, 63/112, 64/72, 65/38, 66/68, 67/79, 68/71, 69/109, 70/75 and 71/123), calling upon States to take immediate and concerted action to improve the implementation of and compliance with existing regional fisheries management organization or arrangement measures that regulate shark fisheries and incidental catch of sharks, in particular those measures which prohibit or restrict fisheries conducted solely for the purpose of harvesting shark fins, and, where necessary, to consider taking other measures, as appropriate, such as requiring that all sharks be landed with each fin naturally attached;

***ADOPTS* the following Conservation and Management Measures (CMM) in accordance with Article 4 and 6 of the Agreement:**

1. This CMM applies to all fishing vessels of Contracting Parties, cooperating non-Contracting Parties (CNCs) and participating fishing entities (PFEs) to the Agreement (collectively CCPs), engaged in fishing operations in the SIOFA Area of Application (the Agreement Area).
2. CCPs shall ensure that fishing vessels flying their flag do not target any deep-sea shark species listed in Annex 1 within the Agreement Area, until the Scientific Committee defines and the Meeting of the Parties agrees on possible appropriate fishing mortality and harvest levels for any of these species.
3. CCPs shall ensure that fishing vessels flying their flag record and submit all reporting requirements as per CMM 2021/02 (Data Standards) for all deep-sea sharks to the lowest taxonomical level possible- ~~when engaged in fishing for fishery resources~~ when caught in SIOFA fisheries.
4. By 2020 the Scientific Committee shall advise the Meeting of the Parties on the need to adopt any appropriate by-catch limits for relevant SIOFA deep sea shark species and fleets, including on scientific and data needs for underpinning the elaboration of such advice.

¹ Obsolete references have been updated by 2021 technical edits.

² The term "sharks" refers to Chondrichthyes for the purposes of this CMM, as defined by the Food and Agriculture Organisation (FAO)

ANNEX Q

5. CCPs shall, where possible, undertake research to identify ways to make all relevant fishing gears more selective to minimise deep sea shark by-catch and shall provide relevant information to the Scientific Committee.
6. CCPs shall, where possible, conduct research to identify shark nursery areas in the Agreement Area and provide relevant information to the Scientific Committee.
7. This CMM shall be reviewed every second year by the Scientific Committee and the Compliance Committee, unless the Meeting of the Parties decides otherwise.

ANNEX Q

ANNEX 1: List of “**high risk**” and “of concern” deep sea shark species for the purpose of this CMM³

Scientific name	French common name	English common name	FAO code
<i>Centroscymnus coelolepis</i>	Pailona commun	Portugese dogfish	CYO
<i>Deania calcea</i>	Squale savate	Birdbeak dogfish	DCA
<i>Centrophorus granulosus</i>	Requin chagrin	Gulper shark	GUP
<i>Dalatias licha</i>	Squale liche	Kitefin shark	SCK
<i>Bythaelurus bachi</i>	Requin chat de Bach	Bach's catshark	BZO
<i>Chimaera buccanigella</i>	Chimère bouche-foncée	Dark-mouth chimaera	ZZC
<i>Chimaera diderae</i>	Chimère de Didier	The Falkor chimaera	ZZD
<i>Chimaera willwatchi</i>	Chimère du marin	Seafarer's ghostshark	ZZE
<i>Centroscymnus crepidater</i>	Pailona à long nez	Longnose Velvet Dogfish	CYP
<i>Centroscymnus plunketi</i>	Pailona austral	Plunket shark	CYU
<i>Zameus squamulosus</i>	Squale-grogneur à queue échanquée	Velvet dogfish	SSQ
<i>Etmopterus alphas</i>	Requin lanterne à joues blanches	Whitecheek lanternshark	EZU
<i>Apristurus indicus</i>	Holbiche artouca	Smallbelly catshark	APD
<i>Harriotta raleighana</i>	Chimère à nez rigide	Bentnose rabbitfish	HCR
<i>Bythaelurus tenuicephalus</i>	Requin chat à tête étroite	Narrowhead catshark	BZL
<i>Chlamydoselachus anguineus</i>	Requin lézard	Frilled shark	HXC
<i>Hexanchus nakamurai</i>	Requin griset	Bigeyed six-gill shark	HXN
<i>Etmopterus pusillus</i>	Sagre nain	Smooth lanternshark	ETP
<i>Somniosus antarcticus</i>	Requin dormeur antarctique	Southern sleeper shark	SON
<i>Mitsukurina owstoni</i>	Requin lutin	Goblin shark	LMO

³ As defined by SC-04 paragraph 159 and paper SC-04-19.

CMM 2019/13[‡]

Conservation and Management Measure on mitigation of seabirds bycatch in demersal and pelagic longlines and other demersal fishing gears fisheries (Mitigation of Seabirds Bycatch)

The Meeting of the Parties to the Southern Indian Ocean Fisheries Agreement:

RECALLING the relevant provisions of the Southern Indian Ocean Fisheries Agreement, in particular Article 4 and 6;

CONSIDERING that the United Nations Food and Agriculture Organization (FAO) International Plan of Action for Seabirds calls on States to cooperate through regional fisheries organizations to mitigate incidental by-catch of seabirds;

RECOGNISING the need to strengthen mechanisms to protect seabirds in the Southern Indian Ocean;

FURTHER TAKING INTO ACCOUNT the FAO Technical Guidelines for Responsible Fisheries concerning best practices to reduce incidental catch of seabirds in capture fisheries;

NOTING the Agreement on the Conservation of Albatrosses and Petrels (ACAP) has established best practice seabird bycatch mitigation measures for trawl and demersal and pelagic longline fisheries;

ADOPTS the following CMM in accordance with Article 4 and 6 of the Agreement:

Application and geographic scope

1. This CMM applies to all fishing vessels of Contracting Parties, cooperating non-Contracting Parties and participating fishing entities to the Agreement (collectively CCPs), engaged in fishing operations in the SIOFA Area of Application (Agreement Area) for fishery resources as defined in article 1(f) of the Agreement, south of 25°S.

General provisions for demersal and pelagic longliners and Other demersal fishing gears

2. Until such time that the SIOFA Scientific Committee has developed advice for the Meeting of Parties on the most appropriate response to mitigate and minimise incidental bycatch of seabirds by demersal and pelagic fishing gears deployed in the Agreement Area, CCPs shall require any vessel flying their flag using demersal or pelagic longliners ~~or~~ other demersal fishing gears flying their flag and operating in ~~the~~ the area south of 25°S to apply the following mitigation measures:
 - a. the location and level of lighting shall be arranged so as to minimise illumination directed out from the vessel, consistent with the safe operation of the vessel and the safety of the crew;
 - b. information about birds colliding with the vessel, or caught by its gears will be recorded in accordance with CMM 2021/02 (Data Standards); and
 - c. every effort should be made to ensure that birds captured alive during fishing operations are released alive and, for longlining, that hooks are removed without jeopardising the life of the bird concerned wherever possible.

[‡] ~~Obsolete references have been updated by 2021 technical edits.~~

Provisions applying to demersal longliners

3. Until such time that the SIOFA Scientific Committee has developed advice for the Meeting of the Parties on the most appropriate response to mitigate and minimise incidental bycatch of seabirds by demersal fishing gears deployed in the Agreement Area, CCPs shall require any demersal longliners flying their flag and operating in the ~~is~~ area south of 25°S to apply the following mitigation measures:

- a. any vessel catching a total of three (3) seabirds in a single season shall immediately change to night setting only (i.e. setting only during the hours of darkness between the times of nautical twilight);
- b. vessels are encouraged to use white colour lines, to increase visibility which decreases the bycatches of birds;
- c. at least one bird scaring line (in accordance with Annex 1) shall be deployed when setting longlines and at least one bird exclusion device (BED, in accordance with Annex 2) shall be used to prevent birds entering the hauling area, to the extent allowed by prevailing weather;
- d. there shall be no discharging of offal or discards immediately prior to and during the deployment or retrieval of fishing gear;
- e. fishing vessels using autoline systems shall add weights to the hookline or use integrated weight (IW) hooklines while deploying longlines. IW longlines of a minimum of 50 g/m or attachment to non-IW longlines of 5 kg weights at 50 to 60 m intervals are recommended;
- f. fishing vessels using the Spanish method of longline fishing shall release weights before line tension occurs; traditional weights (made of rocks or concrete) of at least 8.5 kg mass shall be used, spaced at intervals of no more than 40 m, or traditional weights of at least 6 kg mass shall be used, spaced at intervals of no more than 20 m, or solid steel weights of at least 5 kg mass shall be used, spaced at intervals of no more than 40 m;
- g. fishing vessels using the trotline system exclusively (not a mix of trotlines and the Spanish system within the same longline) shall deploy weights only at the distal end of the droppers in the trotline. Weights shall be traditional weights of at least 6 kg or solid steel weights of at least 5 kg; and
- h. fishing vessels alternating between the use of the Spanish system and trotline method shall use: (i) for the Spanish system: line weighting shall conform to the provisions in paragraph 3 f; (ii) for the trotline method: line weighting shall be either 8.5 kg traditional weights or 5 kg steel weights attached on the hook-end of all droppers in the trotline at no more than 80 m intervals.

4. For demersal longliners of less than 25 m, at least one of the following measures shall apply:

- a. at least one bird scaring line (in accordance with Annex 1) shall be deployed when setting lines, and at least one bird exclusion device (BED, see specifications in Annex 2), shall be used to prevent birds entering the hauling area, to the extent allowed by prevailing weather;
- b. fishing vessels using autoline systems shall add weights to the hookline or use integrated weight (IW) hooklines while deploying longlines. IW longlines shall have a minimum of 50 g/m or a weight of a minimum of 5 kg attached to non-IW longlines at 50 to 60 m intervals; and

c. lines shall be set only at night (i.e. during the hours of darkness between the times of nautical twilight). The exact times of nautical twilight are set forth in the Nautical almanac tables for the relevant latitude, local time and date.

Provisions applying to other demersal fishing gears

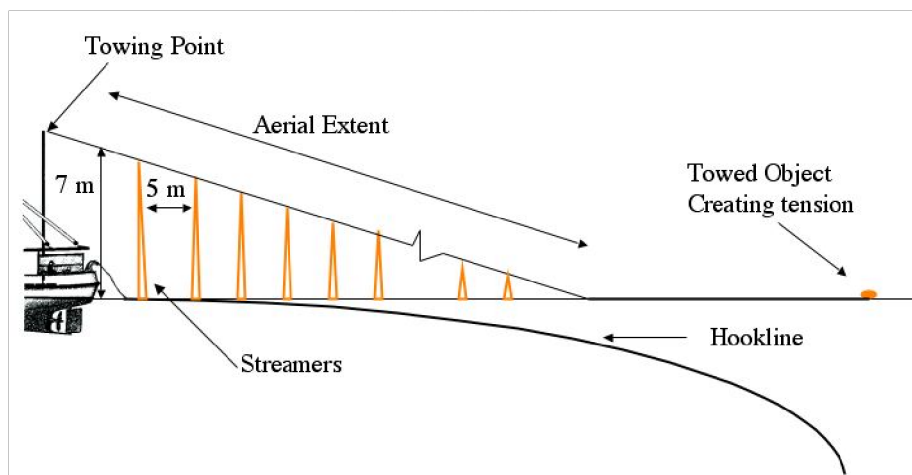
5. In addition to the provisions in paragraph 2, CCPs shall require any fishing vessel flying their flag and operating in the Agreement Area south of 25°S using demersal pots or traps to ensure the cleanliness of the traps and pots not to attract birds, and ensure that buoy lines shall not be left floating at the surface.

Provisions applying to pelagic longliners

6. Until such time that the SIOFA Scientific Committee has developed advice for the Meeting of the Parties on the most appropriate response to mitigate and minimise incidental bycatch of seabirds by pelagic fishing gears deployed in the Agreement Area, CCPs shall require any pelagic longliners flying their flag and engaged in fishing operations under this Agreement for fishery resources as defined in article 1(f) of the Agreement in the area south of 25°S to use at least two of the three mitigation measures outlined in Annex 3.

Final provisions

76. The Scientific Committee and the Compliance Committee will review this CMM every four years, unless the Meeting of the Parties decides otherwise.

ANNEX 1: Specification of bird scaring line for longliners

1. The aerial extent of the bird scaring line, being that part of the line supporting the streamers, is the effective seabird deterrent component of a bird scaring line. Vessels are encouraged to optimise the aerial extent of the bird scaring line and ensure that it protects the hookline as far astern of the vessel as possible, even in crosswinds.
2. The bird scaring line shall be attached to either the port or starboard sides of the vessel.
3. The bird scaring line shall be a minimum of 150 m in length and include an object towed at the seaward end to create tension to maximise aerial coverage. The object towed should be maintained directly behind the attachment point to the vessel such that in crosswinds the aerial extent of the bird scaring line is over the hookline.
4. Branched streamers, each comprising two strands of a minimum of 3 mm diameter brightly coloured plastic tubing (or cord, shall be attached no more than 5 m apart commencing 5 m from the point of attachment of the bird scaring line to the vessel and thereafter along the aerial extent of the bird scaring line. Where tubing is used for the branched streamers, the tubing should be of a type that is manufactured to be protected from ultraviolet radiation.
5. Streamer length shall range between minimums of 6.5 m from the stern to 1 m for the seaward end. When a bird scaring line is fully deployed, the branched streamers shall be of sufficient length to reach the sea surface in the absence of wind and swell. Swivels or a similar device should be placed in the bird scaring line in such a way as to prevent streamers being twisted around the bird scaring line. Each branched streamer may also have a swivel or other device at its attachment point to the bird scaring line to prevent fouling of individual streamers.
6. A spare bird scaring line shall be carried and deployed in the event of loss or damage of a bird scaring line.

Longline Vessels \geq 25m in length

7. Each bird scaring line shall be suspended from a point a minimum of 7 m above the water at the stern of the point where the hookline enters the water.

Longline Vessels < 25 m in length

8. The bird scaring line shall be suspended from a point a minimum of 6 m above the water at the stern of the point where the hookline enters the water.
9. Streamers may be modified over the first 15 m to prevent tangling.
10. The bird scaring line should achieve an aerial extent of at least 75 m when setting at \geq 4 knots or 50 m is setting at speeds < 4 knots.

ANNEX 2: Specification of BEDs for demersal longliners

BEDs shall have the following operational characteristics:

1. deterrence of birds from flying directly into the area where the line is being hauled; and
2. prevention of birds that are sitting on the surface from swimming into the hauling bay area.

ANNEX 3 : Mitigation measures for pelagic longliners

<u>Mitigation</u>	<u>Description</u>	<u>Specification</u>
<u>Night setting with minimum deck lighting</u>	<u>No setting between nautical dawn and before nautical dusk.</u> <u>Deck lighting to be kept to a minimum.</u>	<u>Nautical dusk and nautical dawn are defined as set out in the Nautical Almanac tables for relevant latitude, local time and date.</u> <u>Minimum deck lighting should not breach minimum standards for safety and navigation.</u>
<u>Bird-scaring lines (Tori lines), in accordance with the specifications provided in Annex 1</u>	<u>Bird-scaring lines shall be deployed during the entire longline setting to deter birds from approaching the branch line.</u>	<p><u>For vessels greater than or equal to 35 m:</u></p> <ul style="list-style-type: none"> • <u>Deploy at least 1 bird-scaring line. Where practical, vessels are encouraged to use a second tori pole and bird scaring line at times of high bird abundance or activity; both tori lines should be deployed simultaneously, one on each side of the line being set.</u> • <u>Aerial extent of bird-scaring lines must be greater than or equal to 100 m.</u> • <u>Long streamers of sufficient length to reach the sea surface in calm conditions must be used.</u> • <u>Long streamers must be at intervals of no more than 5m.</u> <p><u>For vessels less than 35 m:</u></p> <ul style="list-style-type: none"> • <u>Deploy at least 1 bird-scaring line.</u> • <u>Aerial extent must be greater than or equal to 75m.</u> • <u>Long and/or short (but greater than 1 m in length) streamers must be used and placed at intervals as follows:</u> <ul style="list-style-type: none"> ○ <u>Short: intervals of no more than 2 m.</u> ○ <u>Long: intervals of no more than 5 m for the first 55 m of bird scaring line.</u> <p><u>Additional design and deployment guidelines for bird-scaring lines are provided in Annex I of this CMM.</u></p>
<u>Line weighting</u>	<u>Line weights to be deployed on the snood prior to setting.</u>	<p><u>Greater than a total of 45 g attached within 1 m of the hook or;</u></p> <p><u>Greater than a total of 60 g attached within 3.5 m of the hook or;</u></p> <p><u>Greater than a total of 98 g weight attached within 4 m of the hook.</u></p>

TERMS OF REFERENCE

Performance Review of SIOFA

Article 13 of the 1995 United Nations Fish Stocks Agreement (UNFSA) provides that “*States shall cooperate to strengthen existing subregional and regional fisheries management organizations and arrangements in order to improve their effectiveness in establishing and implementing conservation and management measures for straddling fish stocks and highly migratory fish stocks*”.

United Nations General Assembly (UNGA) Resolution 61/105 of 8 December 2006 called for performance reviews to be undertaken of all Regional Fisheries Management Organisations and arrangements. Performance reviews have also been called for in the UNFSA Review Conferences in 2006 and 2010 and the 14th round of informal consultations of States Parties to the UNFSA, where performance reviews were a dedicated topic.

More recently, UNGA Resolution 76/71 recognises that performance reviews have proven to be an effective tool for strengthening performance of regional fisheries management organizations and arrangements, and calls for those organisations that have not done so to undertake performance reviews as a matter of urgency.

As 2022 will mark the 10th anniversary of the entry into force of the SIOFA Agreement and therefore ten years of operation of SIOFA, it is appropriate to assess the performance of the organisation to ensure that it achieves the objectives set out in Article 2 of the Agreement, notably to ensure the long-term conservation and sustainable use of the fishery resources in the Area through cooperation among the Contracting Parties, and to promote the sustainable development of fisheries in the Area, taking into account the needs of developing States bordering the Area that are Contracting Parties to the Agreement, and in particular the least developed among them and small-island developing States.

These Terms of Reference set out the process for conducting the first performance review of SIOFA.

Performance review

1. A performance review of SIOFA shall be conducted during the 2022-2023 intersessional period and the final report shall be submitted prior to the 10th Meeting of the Parties for its consideration at that meeting.
2. An independent Performance Review Panel (Review Panel) shall be appointed in accordance with the procedures set out in points 4 to 9 below to carry out the performance review. Panel members shall be independent and participate in their personal capacity. Their expertise should cover collectively the relevant areas of science, fisheries and marine ecosystems management and international legal and governance matters, including compliance and enforcement issues and combatting illegal, unreported and unregulated (IUU) fishing.
3. The review shall be carried out on the basis of the list of criteria in Annex 1. The Review Panel may consider adding criteria, if needed.

Performance Review Panel composition and Chair

4. The Review Panel shall be composed of four persons as follows:
 - a. Two experts who are nationals of SIOFA Contracting Parties or Participating Fishing Entities with experience in the SIOFA context and a thorough understanding of the SIOFA Agreement and Conservation and Management Measures (CMMs).
 - b. Two external experts, among whom there is experience in relevant areas of science, fisheries and marine ecosystems management and international legal

and governance matters, including compliance and enforcement issues and and combatting IUU fishing. The external experts shall not be officers or officials of SIOFA, or be directly involved in SIOFA matters on behalf of CCP authorities to SIOFA at the time of appointment or while the performance review is being conducted.

5. The Chairperson of the Review Panel shall be a Review Panel member selected by the Review Panel.

Selection of the Review Panel members

6. SIOFA Contracting Parties and Participating Fishing Entities may provide in writing two names, one for each category, to the Chairperson of the Meeting of the Parties, through the Secretariat, by 31 July 2022. Contracting Parties and Participating Fishing Entities should confirm the availability of their nominees before they are proposed. The submission shall include a CV and a short presentation of each candidate.
7. The Chairperson of the Meeting of the Parties, through the Secretariat, shall provide to the Contracting Parties and Participating Fishing Entities, by 15 August 2022, two lists containing the names proposed for the appointment of the four experts.
8. SIOFA Contracting Parties and Participating Fishing Entities shall immediately acknowledge receipt of the communication. Contracting Parties and Participating Fishing Entities may respond in writing to the Chairperson of the ~~Commission~~Meeting of the Parties, through the Secretariat, within 30 days indicating their vote for two persons from each list. In case of a tie between two or more candidates from the same list, a run-off shall immediately be run for those candidates. SIOFA Contracting Parties and Participating Fishing Entities shall reply to the communication from the Chairperson of the Meeting of the Parties with the list of tied candidates within 15 days indicating their vote for one person from the list/s.
9. The Chairperson of the Meeting of the Parties, immediately after the end of the 30-day period, or the additional 15-day period in case of a run-off, shall, through the Secretariat, inform SIOFA Contracting Parties and Participating Fishing Entities of the result of the selection process.
10. Once the persons with the highest votes have been identified, the Secretariat shall write to each person selected for appointment to the Review Panel, indicating SIOFA's desire to appoint them, requesting their commitment to comply with these Terms of Reference and seeking their positive response within 10 days.
11. The Secretariat shall inform Contracting Parties and Participating Fishing Entities of the final composition of the Review Panel.

Review Panel functions and tasks

12. At the latest by 15 October 2022, the Review Panel shall appoint a Chairperson among its members by consensus.
13. The Review Panel shall conduct its work in English. The Review Panel may conduct its work in-person and virtually and shall meet at least once in person in La Réunion, France, unless a more cost-effective location is identified at a date convenient to all Panel members. If the international sanitary situation makes it impossible to meet in person, the Review Panel meetings shall take place in virtual format.
14. The Review Panel shall determine its own mode of operation for conducting the performance review and for preparing its report, noting that the review shall include a desktop study with questionnaires and interviews, carried out in support of this work, addressed to all SIOFA CCPs, and those international organisations and non-governmental organisations that have participated in the Meetings of the Parties as observers. All CCPs and observers are encouraged to participate in the questionnaires and interviews.

ANNEX S

15. The Review Panel shall decide by consensus including the adoption of the report. In the event consensus cannot be reached, individual members of the Panel may include their views in the Panel's report. The Panel may consider the use in the report of the terminology proposed in Annex 2.
16. The report including the recommendations of the Performance Review shall be communicated by the Panel Chairperson, through the Secretariat, to SIOFA CCPs, the Chairperson of the Meeting of the Parties and the Secretariat no less than 60 days in advance of the 10th Meeting of the Parties.
17. The Chairperson of the Review Panel shall present the Panel's report to the 10th Meeting of the Parties and respond to questions from CCPs concerning the report.
18. The final report and the conclusions of the Meeting of the Parties shall be placed on the public part of the SIOFA website.
19. The SIOFA Secretariat shall provide logistical support and information to the Review Panel but shall not form part of the Panel.

Report of the Performance Review

20. The report of the Review Panel shall be a concise, well-structured and easy to read document that:
 - a. Describes the process and steps taken to conduct the review (e.g. documents examined, individuals and organisations consulted etc.);
 - b. Presents the outcomes of the review;
 - c. Provides recommendations from the Review Panel on improvements to SIOFA's performance with respect to the review criteria; and
 - d. Prioritises the recommendations from the perspective of the Panel.

Process for consideration and implementation of Performance Review recommendations

21. The performance review report including recommendations shall be considered firstly by the Scientific Committee and the Compliance Committee and then by the Meeting of the Parties for discussion and action, if needed.
22. The Scientific Committee and the Compliance Committee shall report to the Meeting of the Parties the results of their discussions including plans for addressing any of the recommendations made by the Review Panel and tracking process in that regard.
23. Taking into consideration the discussions by the Scientific Committee and the Compliance Committee, the 10th Meeting of the Parties shall consider the report and any recommendations and decide whether there are any urgent recommendations that require early implementation.
24. A standing item shall be placed on the agenda of future Meetings of the Parties to follow up on progress made against the implementation plan.

Funding

25. The costs of the performance review shall be borne preferably through voluntary contributions. In the event that there are outstanding costs, these shall be borne by the SIOFA budget. These costs may include:
 - a. Fees to Panel members for their work, if required;
 - b. Reimbursement of economy-class travel and subsistence costs, if requested, for to Panel members their participation in a Review Panel meeting and to the Panel Chairperson for their participation in the 10th Meeting of the Parties; and
 - c. Hire of any necessary meeting venue(s), equipment and other costs associated with the performance review.

Annex 1: Criteria for reviewing the performance of SIOFA

This annex provides a list of specific criteria that the review panel should address and if appropriate provide recommendations for their review.

Area	General criteria	Detailed criteria
<i>1. Conservation and management</i>	Status of fisheries resources	<ul style="list-style-type: none"> • Status of fisheries resources under the purview of SIOFA
		<ul style="list-style-type: none"> • Trends in the status of those resources
		<ul style="list-style-type: none"> • Status of species that belong to the same ecosystems as, or are associated with or dependent upon, targeted fisheries resources
	Ecosystem approach	<ul style="list-style-type: none"> • Extent to which SIOFA decisions take account of and incorporate an ecosystem approach to fisheries management
	Data collection and sharing	<ul style="list-style-type: none"> • Extent to which SIOFA has agreed formats, specifications and timeframes for data submissions
		<ul style="list-style-type: none"> • Extent to which SIOFA CCPs, individually or through SIOFA, collect and share complete and accurate data concerning fishery resources and other relevant data in a timely manner
		<ul style="list-style-type: none"> • Extent to which fishing and research data and fishing vessel and research vessel data are gathered by SIOFA and shared among CCPs
		<ul style="list-style-type: none"> • Extent to which SIOFA is addressing any gaps in the collection and sharing of data as required
	Quality and provision of scientific advice	<ul style="list-style-type: none"> • Extent to which SIOFA receives and acts on the basis of the best scientific advice relevant to the fisheries resources under its purview, as well as to the effects of harvesting, research, conservation and associated activities on the marine ecosystem • Extent to which the structure, processes, procedures and expertise of the Scientific Committee and the Secretariat meet the needs and resources of SIOFA and the data and technical requirements of the most recent modelling platforms
	Adoption of conservation and management measures	<ul style="list-style-type: none"> • Extent to which SIOFA has adopted Conservation and Management Measures (CMMs) for fisheries resources that ensure the long-term conservation and sustainable use of those resources and are based on the best scientific evidence available
<ul style="list-style-type: none"> • Extent to which SIOFA has applied precautionary approach as set forth in 		

		<p>Article 4(c) of the Convention and the Code of Conduct of Responsible Fisheries Article 7.5, including the application of precautionary reference points</p> <ul style="list-style-type: none"> • Extent to which SIOFA has followed the criteria established under Article 6(2)-(4) of the Agreement, in the adoption of measures for the allocation of total allowable catch or total allowable fishing effort • Extent to which SIOFA has moved towards the adoption of CMMs for previously unregulated fisheries, including new and exploratory fisheries • Extent to which SIOFA applies uniform principles and procedures to all fisheries resources under its purview • Extent to which SIOFA has taken due account of the need to conserve marine biological diversity and minimise adverse impacts of harvesting, research, conservation and associated activities on fishery resources and its marine ecosystems • Extent to which SIOFA has adopted measures to minimise pollution, waste, discards, catch by lost or abandoned gear, catch of non-target fishery resources, and impacts on associated or dependent species through measures including, to the extent practicable, the development and use of selective, environmentally safe and cost-effective fishing gear and techniques 	
	Capacity management	<ul style="list-style-type: none"> • Extent to which SIOFA has identified fishing capacity levels commensurate with the long-term conservation and sustainable use of fishery resources • Extent to which SIOFA has taken actions to prevent or eliminate excess fishing capacity and effort • Extent to which SIOFA monitors the levels of fishing effort 	
	Reporting Requirements	<ul style="list-style-type: none"> • Analysis of SIOFA's reporting requirements to improve efficiency, avoid redundancy and reduce unnecessary burden to CCPs 	
	<i>2. Compliance and enforcement</i>	Flag State duties	<ul style="list-style-type: none"> • Extent to which SIOFA CCPs are fulfilling their duties as flag States under Article 11 of the Agreement, pursuant to CMMs adopted by SIOFA and under other international instruments, including, inter alia, the 1982 Law of the Sea Convention,

		the 1995 Agreement and the 1993 FAO Compliance Agreement, as applicable
	Port State measures	<ul style="list-style-type: none"> • Extent to which SIOFA has adopted measures relating to the exercise of the rights and duties of its CCPs as port States, including under Article 12 of the Agreement, the Code of Conduct for Responsible Fisheries and the FAO Port States Measures Agreement, as applicable • Extent to which these measures are effectively implemented
	Monitoring, control and surveillance	<ul style="list-style-type: none"> • Extent to which SIOFA has adopted integrated Monitoring, Control and Surveillance (MCS) measures (e.g. record of vessels, VMS, inspections in port and at sea, regulation of transshipment, market-related measures, fight against IUU fishing, etc.) • Extent to which these MCS measures are effectively implemented
	Follow-up on infringements	<ul style="list-style-type: none"> • Extent to which SIOFA and its CCPs follow up on non-compliance with CMMs
	Cooperative mechanisms to detect and deter non-compliance	<ul style="list-style-type: none"> • Extent to which SIOFA has established adequate cooperative mechanisms to monitor compliance, detect and deter non-compliance, and remedy compliance issues (e.g. compliance committees, IUU vessel lists, sharing of information about non-compliance) • Extent to which these mechanisms are being utilised effectively
	Market-related measures	<ul style="list-style-type: none"> • Extent to which SIOFA has adopted measures relating to the exercise of the rights and duties of CCPs as market States for SIOFA fishery resources
	Reporting Requirements	<ul style="list-style-type: none"> • Analysis of SIOFA's reporting requirements to improve efficiency, avoid redundancy and reduce unnecessary burden to CCPs.
<i>3. Decision-making and dispute settlement</i>	Decision-making	<ul style="list-style-type: none"> • Efficiency of Meetings of the Parties, meetings of its subsidiary bodies and working groups (including intersessional working groups) in addressing critical issues in a timely and effective manner • Extent to which SIOFA has transparent and consistent decision-making procedures that facilitate the adoption of CMMs and decisions in a timely and effective manner • Existence of an informal mechanism of cooperation between CCPs based on reciprocities

	Dispute settlement	<ul style="list-style-type: none"> Extent to which SIOFA has established adequate mechanisms for resolving disputes
<i>4. International cooperation</i>	Transparency	<ul style="list-style-type: none"> Extent to which SIOFA is operating in a transparent manner, taking into account Article 14 of the Agreement and the Code of Conduct for Responsible Fisheries
		<ul style="list-style-type: none"> Extent to which SIOFA decisions, meeting reports, scientific advice upon which decisions are made, and other relevant materials are made publicly available in a timely fashion
	Relationship with CNCPs	<ul style="list-style-type: none"> Extent to which SIOFA facilitates cooperation between Contracting Parties and CNCPs including through encouraging CNCPs to become Contracting Parties or to implement voluntarily SIOFA CMMs
	Relationship with non-CCPs undermining the objectives of the Agreement	<ul style="list-style-type: none"> Extent to which SIOFA provides for action in accordance with international law against non-CCPs undermining the objectives of the Agreement, as well as measures to deter such activities, as well as encouraging them to become Contracting Parties and CNCPs or to implement voluntarily SIOFA CMMs
	Cooperation with international organisations	<ul style="list-style-type: none"> Extent to which SIOFA cooperates with other international organisations, including under Article 16 of the Agreement
	Special requirements of developing States	<ul style="list-style-type: none"> Extent to which SIOFA recognises the special requirements of developing States, in particular the least development among and small island developing States, and pursues forms of cooperation with developing States, including under Article 13 of the Agreement and the Code of Conduct for Responsible Fisheries
<ul style="list-style-type: none"> Extent to which SIOFA CCPs, individually or through the Meeting of the Parties, provide relevant assistance to developing States 		
<i>5. Financial and administrative issues</i>	Availability of resources for activities	<ul style="list-style-type: none"> Extent to which financial and other resources are made available to achieve the aims of SIOFA and to implement SIOFA's decisions
	Efficiency and cost-effectiveness	<ul style="list-style-type: none"> Extent to which SIOFA is efficiently and effectively managing its human and financial resources, including those of the Secretariat
		<ul style="list-style-type: none"> Extent to which the schedule and organisation of the meetings could be improved

Annex 2: Terms and associated definitions for reviewing the performance of SIOFA

This annex provides terms and associated definitions proposed as guidance for the Meeting of the Parties and subsidiary bodies' discussions to avoid ambiguity surrounding how particular paragraphs of the Panel's report should be interpreted.

Level 1: **RECOMMENDED, RECOMMENDATION** (formal); **REQUESTED, REQUEST** (informal): A conclusion for an action to be undertaken by the Meeting of the Parties, a subsidiary (advisory) body and/or the Secretariat. Note: Subsidiary (advisory) bodies must have their Recommendations and Requests formally provided to and accepted by the Meeting of the Parties. The intention is that the higher body will consider the action for endorsement under its own mandate, if the subsidiary body does not already have the required mandate. Ideally, this should be task-specific and contain a timeframe for completion.

Level 2: **AGREED**: Any point of discussion from a meeting, which the Meeting of the Parties or relevant subsidiary body considers to be an agreed course of action covered by its mandate, which has not already been dealt with under Level 1 above; a general point of agreement among delegations/participants of a meeting which does not need to be elevated in the Meeting of the Parties' reporting structure.

Level 3: **NOTED/NOTING; CONSIDERED; URGED; ACKNOWLEDGED**: General terms to be used for consistency. Any point of discussion from a meeting, which the Panel considers to be important enough to record in a meeting report for future reference. Any other term may be used to highlight the importance of the relevant paragraph to the reader of a SIOFA report. Other terms may be used but will be considered for explanatory/informational purposes only and shall have no higher rating within the reporting terminology hierarchy than Level 3.

ANNEX T: SIOFA 2023 BUDGET

		2022 ADOPTED	2023 ADOPTED	2024 Forecast
		By MoP 8	By MoP9	
Item 1. Personnel Costs		406,400 €	536,048 €	553,310 €
1.1	Executive Secretary P5	118,669 €	124,305 €	126,862 €
1.2	Data officer P2	72,866 €	75,000 €	80,000 €
1.3	Science Officer P2	72,866 €	75,000 €	80,000 €
1.4	Compliance Officer P2	0 €	72,866 €	75,000 €
1.5	Separation from service *(1) (5)	20,811 €	28,363 €	28,363 €
1.6	Temporary personnel (internships) /one by year	0 €	15,000 €	15,000 €
1.7	Rent subsidy (2) (5)	18,000 €	27,000 €	27,000 €
1.8	Assignment Grant* (3) (5)	23,294 €	24,000 €	24,000 €
1.9	Travel Cost* (5)	16,000 €	16,000 €	16,000 €
1.1	Home leave	0 €	400 €	400 €
1.11	Child studying abroad leave*	3,000 €	3,000 €	3,000 €
1.12	Single Parent/Child allowance *	3,252 €	3,252 €	3,252 €
1.13	Spouse allowance *	4,372 €	4,107 €	4,107 €
1.14	Education grant *	4,000 €	4,000 €	4,000 €
1.15	Pension & health schemes	46,270 €	60,755 €	63,326 €
1.16	Staff development and training	3,000 €	3,000 €	3,000 €
Item 2. Property, Plant and Equipment		9,392 €	11,500 €	11,500 €
2.1	Convention d'hébergement charges	3,392 €	4,000 €	4,000 €
2.2	Maintenance	0 €	500 €	500 €
2.3	Utilities - Includes electricity, water, gas	0 €	0 €	0 €
2.4	Office Equipment and furniture	3,000 €	4,000 €	4,000 €
2.5	Consumables - Stationary, printer ink, paper	1,500 €	1,500 €	1,500 €
2.6	Insurance	1,500 €	1,500 €	1,500 €
Item 3. Meeting Support - SC (5 days) & WGs (4 days)		12,000 €	32,500 €	65,000 €
3.1	Venue, catering	0 €	0 €	30,000 €
3.2	Secretariat travel and accommodation to meetings	0 €	20,000 €	20,000 €
3.3	Support staff (rapporteur, assistant, tech)	12,000 €	0 €	15,000 €
3.4	SC Chairperson Travel and Accommodation*	0 €	12,500 €	0 €
Item 4 Meeting Support - MoP (5 days) & CC (3 days)		85,977 €	76,150 €	76,150 €
4.1	Venue, catering	21,150 €	21,150 €	21,150 €
4.2	Secretariat flights, accommodation, allowances	23,488 €	15,000 €	15,000 €
4.3	Support staff (rapporteur, assistant, tech)	12,000 €	15,000 €	15,000 €
4.4	MoP and CC Chairperson Travel and Accommodation	11,339 €	15,000 €	15,000 €
4.5	SC Chairperson Travel and Accommodation	18,000 €	10,000 €	10,000 €
Item 5 Representation		0 €	10,000 €	10,000 €
5.1	Secretariat travel, notably for representation purposes	0 €	10,000 €	10,000 €
Item 6 Administration of Article 13.4		9,482 €	9,482 €	9,482 €
6.1.1	Financial assistance for travel to meetings		4,000 €	4,000 €
6.1.2	Financial assistance for accommodation	9,482 €	5,482 €	5,482 €
6.2	Other activities	0 €	0 €	0 €
Item 7 Communications and IT		13,500 €	11,800 €	13,600 €
7.1	IT related consumables (Printing, telephone and internet services)	4,000 €	4,400 €	4,400 €

		2022 ADOPTED	2023 ADOPTED	2024 Forecast
		By MoP 8	By MoP9	
7.2	Database, server management, external IT services and software subscriptions	4,000 €	3,200 €	3,200 €
7.3	IT hardware (computers, peripherals, etc.)	5,500 €	4,200 €	6,000 €
Item 8 Financial Services		13,020 €	13,600 €	14,400 €
8.1	Accounts and record keeping	9,020 €	9,300 €	9,600 €
8.2	Audit	2,500 €	2,500 €	3,000 €
8.3	Bank Charges	1,500 €	1,800 €	1,800 €
Item 9 Contracts for Specific Services		79,075 €	88,100 €	59,700 €
9.1	Research Activities	30,000 €	25,000 €	25,000 €
9.2	SC Chairperson (4)	37,575 €	40,000 €	0 €
9.3	Expert in compliance	11,500 €	0 €	0 €
9.4	IT Consultant (Data Workplan)		13,100 €	24,700 €
9.5	Scientific support for SC activities		10,000 €	10,000 €
Item 10 Miscellaneous		5,000 €	5,000 €	5,000 €
10.1	Future staffing	0 €	0 €	0 €
10.2	Miscellaneous	5,000 €	5,000 €	5,000 €
Sub-total		633,846 €	794,180 €	818,142 €
Emergency/contingency fund		10,000 €	10,000 €	10,000 €
INITIAL MOP contribution		643,846 €	804,180 €	828,142 €
Reserve Fund Support (*)		-80,000 €	-95,222 €	-82,722 €
Excess in N-1 budget		-83,652 €	0 €	
MOP Contribution		480,194 €	708,958 €	745,420 €

(1)	In the event of involuntary separation from service with the Secretariat, staff member shall be compensated at a rate of one month base pay for each year of service beginning the second year.
(2)	Rent subsidy calculated for 3 Professional in 2024
(3)	Assignment grant assessed if arrival of a new ES or DO in 2023 and of a new DO or SO in 2024
(4)	Please note that in the budget 2024, no payment is planned for a SC Chairperson
(5)	The increasing of the budget is due to some eventual terminations of contracts
(*)	Planned to be fund by Reserve Fund support

2023 CONTRIBUTIONS

	708,958 €
Australia	31,140 €
China (People Republic)	46,911 €
Cook Islands	169,049 €
European Union	101,715 €
France (OT)	27,852 €
Japan	85,882 €
Korea (Rep. Of)	22,264 €
Mauritius	13,653 €
Seychelles	10,286 €
Chinese Taipei	148,148 €
Thailand	52,058 €
Total	708,958 €

Procedure for the appointment of the SIOFA Executive Secretary

Rule 7 of the SIOFA Rules of Procedure provide for the appointment of an Executive Secretary by the Meeting of the Parties on such terms as the Meeting of the Parties may determine in accordance with the Agreement, with due regard to principles of economy and efficiency. The Executive Secretary shall hold office for a period of four years from the date of appointment and shall be eligible for re-appointment by the Meeting of the Parties for one additional term. In the event the Executive Secretary is elected by vote, secret ballot shall be conducted unless otherwise decided by the Meeting of the Parties.

These Terms of Reference contain the appointment process for the position of SIOFA Executive Secretary.

SECTION 1: REAPPOINTMENT OF THE INCUMBENT EXECUTIVE SECRETARY

1. At the Meeting of the Parties in the year preceding the year in which the Executive Secretary's term of appointment expires, the [SIOFA](#) Chairperson shall verify with the Executive Secretary his/her interest in being reappointed.

2. In the event of a positive reply, the procedure in paragraph 3 and 4 shall be followed. The Contracting Parties and Participating Fishing Entities shall be informed of the outcome and the item shall be included in the agenda of the next Meeting of the Parties. In the event of a negative reply, the procedure under Section 2 shall be followed.

3. At the meeting referred to in paragraph 1, the [SIOFA](#) Chairperson shall proceed to verify whether there is consensus among the Meeting of the Parties to re-appoint the incumbent Executive Secretary in accordance with Rule 7 of the Rules of Procedure.

4. In the absence of consensus to re-appoint the incumbent Executive Secretary, the process for the selection of the Executive Secretary shall be launched according to the procedure under Section 2.

5. The Executive Secretary shall assist the [SIOFA](#) Chairperson with the recruitment process. If the incumbent Executive Secretary intends to apply or is also an applicant, he/she shall abstain from any involvement in the process and nominate a staff member of the Secretariat to assist the [SIOFA](#) Chairperson, providing that the appointed staff member does not intend to apply for the position.

SECTION 2: SELECTION OF THE EXECUTIVE SECRETARY

Position documentation and advertisement

6. No more than [60 days] after the close of the ordinary Meeting of the Parties referred to in paragraph 1, the [SIOFA](#) Chairperson shall prepare a draft advertisement for the position of Executive Secretary, based on the template in Annex. The draft advertisement shall be guided by the following selection criteria:

(a) Demonstrated [high level leadership and management knowledge and skills and experience](#)
[in](#)

[i. provision of strategic and operational advice;](#)

[ii. preparation of reports, financial budgets and management of expenditures;](#)

~~ii. provision of secretariat support for international meetings;~~

~~iii. oversight and management of compliance, data management, science functions and information technology;~~

~~iv. Organisation of international meetings and provision of secretariat support for international meetings and committees, including preparation of meeting papers and reports;~~

~~v. human resource management, including selection and supervision of administrative and professional staff.~~

~~(b) demonstrated through relevant experience or detailed knowledge in functions, operations and management of international, regional or international organisations;~~

~~(bc) Knowledge or experience of fisheries management;~~

~~(c) Knowledge or experience of the functions and operations of intergovernmental organisations at the regional or international level;~~

~~(d) Knowledge or experience of such areas as:~~

~~i. preparation of reports, financial budgets and management of expenditures;~~

~~ii. provision of secretariat support for international meetings;~~

~~iii. oversight and management of information technology;~~

~~iv. human resource management.~~

~~(ed) Demonstrated ability to communicate and work constructively and effectively in a multicultural environment with delegates from a wide range of countries, including managers, scientists and technical experts;~~

~~(fe) Fluent in spoken and written English. Knowledge of other languages of SIOFA CCPs, in particular French, would be an asset.~~

~~(gf) University degree or the equivalent, with a preference given to an advanced degree (Master or PhD) or equivalent in a field related to SIOFA's activities.~~

7. The [SIOFA](#) Chairperson shall circulate the advertisement to Contracting Parties and Participating Fishing Entities for review and instruct the Secretariat to post the position description as adopted on the SIOFA website, setting the deadline for receiving applications at [90 days] following the initial posting. The agreed vacancy advertisement shall include relevant information regarding the vacancy and the application process, including a copy of this recruitment procedure.

8. Contracting Parties and Participating Fishing Entities shall endeavour to circulate the advertisement more widely and post the position description and relevant information on their own websites. The Secretariat shall also arrange for the position description and other relevant information to be posted in national and international publications and websites, including the FAO and RFMO websites.

Submission of applications

9. Applications shall be submitted to the [SIOFA](#) Chairperson and copied to the Secretariat in electronic format by the deadline indicated on the advertisement set in accordance with paragraph 7. Applications may be submitted directly to the [SIOFA](#) Chairperson or via a Contracting Party or Participating Fishing Entity to the [SIOFA](#) Chairperson. Late or incomplete applications shall not be considered.

Acknowledgement of receipt

10. The [SIOFA](#) Chairperson shall notify applicants, by electronic means, within 7 days upon receipt of their complete application.

Circulation of applications

11. [Applications shall be treated confidentially.](#) All applications that are complete and received by the [SIOFA](#) Chairperson by the deadline shall be circulated confidentially to [the Heads of Delegation of Contracting Parties and Participating Fishing Entities](#) no later than [Date], ~~and shall be accessible on the secure part of the SIOFA website.~~ A list of incomplete and/or late applications received, including details of what was missing, shall also be circulated to Contracting Parties and Participating Fishing Entities for information.

Ranking of applicants

12. At the latest [days] before the next ordinary Meeting of the Parties, each Contracting Party and Participating Fishing Entity shall notify the [SIOFA](#) Chairperson of no more than five preferred candidates in order of preference. On receipt of all preferences, the [SIOFA](#) Chairperson shall aggregate individual applicants' rankings, awarding five points for a first preference, four points for a second preference, three points for a third preference, two points for a fourth preference and one point for a fifth preference. The individual rankings by Contracting Parties and Participating Fishing Entities shall be kept confidential by the [SIOFA](#) Chairperson.

Shortlist

13. The candidates with the four highest aggregated scores shall be shortlisted for interview. Should the application of any candidate be withdrawn, the next ranking candidate shall be substituted. In case of a tie for the fourth place, the applicants with equal scores in that place will be included in the short list of candidates. Candidates not included in the short list shall be notified by the [SIOFA](#) Chairperson that they have not been selected.

Interview process

14. [The SIOFA Chairperson shall chair the review process.](#) The [Heads of Delegation of Contracting Parties and Participating Fishing Entities](#) shall be notified of shortlisted candidates ~~shall be notified to Contracting Parties and Participating Fishing Entities~~ before [Date]. The shortlisted candidates shall be interviewed by [the Heads of Delegation of Contracting Parties and Participating Fishing Entities](#) during a meeting of their Heads of Delegation at the following ordinary Meeting of the Parties. [The interviews will be conducted in English and each interview will be approximately 1 hour.](#)

15. In order to ensure transparency and fairness of the process, all candidates shall be asked the same questions. Those questions shall be prepared by the [SIOFA](#) Chairperson in consultation with [the Heads of Delegation of Contracting Parties and Participating Fishing Entities](#) ahead of the meeting of the Heads of Delegation. Follow-up questions shall be allowed as long as they are related to the same original question and do not discriminate between the candidates.

Appointment Process for the Executive Secretary

16. [The SIOFA Chairperson shall chair the appointment process.](#) Following the interview, the Meeting of the Parties shall endeavour to approve the preferred candidate as Executive Secretary by consensus [in a closed session](#). Unless otherwise decided, the Meeting of the Parties shall adopt the following procedure as a means of working towards a consensus appointment:

- (a) Polling shall be done by secret ballot by the Contracting Parties and Participating Fishing Entities, represented by their Heads of Delegation or nominated representative.

(b) In each round, each Head of Delegation shall select one candidate. The candidate with the lowest number of votes each round shall drop out of the ballot process.

(c) A tie between candidates shall result in a re-ballot between those candidates until one candidate drops out of the process.

(d) The rounds shall continue until a single candidate remains.

(e) The candidate who polls the highest in the final round shall be confirmed by the Meeting of the Parties.

(f) If the chosen candidate declines the position, the next highest-voted candidate shall be appointed by the Meeting of the Parties.

(g) In the event that a candidate is not confirmed in accordance with this paragraph, the process established in Section 2 of these procedures shall be reinitiated.

17. The [SIOFA Chairperson shall notify the](#) chosen candidate ~~shall be notified~~ at the conclusion of the ordinary Meeting of the Parties. Contract negotiations with the chosen candidate shall be conducted by the SIOFA Chairperson, in compliance with the Staff Regulations and other SIOFA rules and procedures.

Start date

18. If possible, the ~~contract employment~~ of the chosen candidate shall commence two weeks before the departure of the incumbent Executive Secretary in order to allow for the appropriate transition. The newly appointed Executive Secretary's term of office shall officially start on the day after the expiration of the previous Executive Secretary's term or, if that is not possible due to the early departure of the former Executive Secretary, -when they formally take office in accordance with the dates and the terms specified in their contract ~~he/she effectively fills the vacant position, whichever occurs sooner~~. This date shall be notified to all CCPs by the [SIOFA](#) Chairperson.

ANNEX

Template for a Vacancy announcement for the position of Executive Secretary of the Southern Indian Ocean Fisheries Agreement (SIOFA)

The Meeting of the Parties to the Southern Indian Ocean Fisheries Agreement (SIOFA) invites applications for the position of Executive Secretary.

The SIOFA is an international agreement that entered into force in June 2012 whose objectives are to ensure the long-term conservation and sustainable use of the fishery resources in the Southern Indian Ocean. The SIOFA currently has ten Contracting Parties, one Participating Fishing Entity and one cooperating non-Contracting Party (collectively “CCPs”).

The Secretariat of the SIOFA, established pursuant to Article 7 of the SIOFA, has its headquarters in La Réunion (France). The functions and duties of the Secretariat are provided in Rule 8 of the SIOFA Rules of Procedure. The Secretariat currently has three staff members: an Executive Secretary, a data officer and a scientific officer. The Secretariat occasionally welcomes interns.

Summary description of the position

The SIOFA Executive Secretary assists the Meeting of the Parties (the decision-making body of the SIOFA) and its subsidiary bodies in fulfilling their respective tasks. The Executive Secretary must be impartial and objective in promoting and coordinating the interests of all CCPs. The Executive Secretary works under the direction and supervision of the Meeting of the Parties and is responsible for the effective running of the SIOFA Secretariat and administration of the SIOFA budget (743 846,90 EUR in 2022). The Executive Secretary’s functions and duties, as outlined in Rules 8 and 9 of the SIOFA Rules of Procedure, include the following:

- a) [lead](#), supervise, coordinate and manage the SIOFA Secretariat’s activities;
- b) prepare, manage and administer the SIOFA budget in accordance with the SIOFA Financial Regulations;
- c) recruit and supervise the staff of the Secretariat in accordance with the SIOFA Staff Regulations, including delegation of administrative duties as necessary;
- d) communicate with CCPs regarding all official correspondence, notifications, decision-making and voting procedures;
- e) make all necessary arrangements for each Meeting of the Parties and any subsidiary bodies where required, including preparing agendas and relevant working papers and documents, registering participants, acting as official rapporteur as required, providing organisational support and arrangements, and reviewing, correcting and disseminating SIOFA documents and reports;
- f) prepare administrative and other reports for the Meeting of the Parties, the Scientific Committee and any other subsidiary bodies, including on financial and staffing resources and on the Secretariat’s activities;
- g) monitor fishing activities in the SIOFA Area and the compliance of CCPs with their obligations under the SIOFA and Conservation and Management Measures in force;
- h) manage the collection and sharing of data and information in accordance with applicable standards, rules and procedures;

- i) inform the Meeting of the Parties of any issues or matters of interest;
- j) liaise with other regional fisheries management organisations / arrangements and entities, and manage relations with the public;
- k) perform such other functions as may be assigned by the Meeting of the Parties.

The appointment of the Executive Secretary is for a term of four years. At the discretion of the Meeting of the Parties, the Executive Secretary may be re-appointed for a further four years.

SIOFA has adopted the salary scales applying to officials of the United Nations Secretariat. The starting salary for the SIOFA Executive Secretary will correspond to the rate applying to a P5, Step 1 official in the United Nations Secretariat unless otherwise decided by the Meeting of the Parties on the basis of relative qualifications, skills and experience. Salaries shall be paid in euros.

Eligibility criteria

- l) University degree or equivalent, with a preference given to an advanced degree (Master or PhD) or equivalent in a field related to SIOFA's activities;
- m) Experience in fisheries management and/or fisheries policy formulation, ~~preferably~~ including international relations;
- n) Professional experience planning, organizing, and coordinating work programmes relevant to SIOFA's activities, including management and provision of budgetary oversight;
- o) Fluent in spoken and written English. Knowledge of other languages of SIOFA CCPs, in particular French, would be an asset.

Selection criteria

In making an appointment to the position of the Executive Secretary, the Meeting of the Parties will be guided by the following selection criteria¹:

- a) Demonstrated high level leadership and management skills and experience in
 - i. provision of strategic and operational advice;
 - ii. preparation of reports, financial budgets and management of expenditures;
 - iii. oversight and management of compliance, data management, science functions and information technology;
 - iv. organisation of international meetings and provision of secretariat support for international meetings and committees, including preparation of meeting papers and reports;
 - v. human resource management, including selection and supervision of administrative and professional staff.
- ~~a)b)~~ Demonstrated relevant experience or detailed management knowledge and skills through relevant experience in the functions, operations and management of national, regional or international organisations;
- ~~b)c)~~ Knowledge or experience of fisheries management;
- ~~c)~~ Knowledge or experience of the functions and operations of intergovernmental organisations at the regional or international level;

¹ as outlined in paragraph 6 of the ToR

~~d) Knowledge or experience of such areas as: preparation of reports, financial budgets and management of expenditures; provision of secretariat support for international meetings; oversight and management of information technology; human resource management;~~

e)d) Demonstrated ability to communicate and work constructively and effectively in a multicultural environment with delegates from a wide range of countries, including managers, scientists and technical experts;

f)e) Fluency in spoken and written English. Knowledge of other languages of SIOFA CCPs, in particular French, would be an asset;

g)f) University degree or equivalent, with a preference given to an advanced degree (Master or PhD) or equivalent in a field related to SIOFA's activities.

Application process

Applications must be submitted in English (the official language of SIOFA) and in electronic format. They must be addressed to the Chairperson [email address] and copied to the SIOFA Secretariat (secretariat@siofa.org). They must be received no later than **[Day] [Month] [Year] at [hour] UTC**. Late or incomplete applications will not be considered.

Applications must include the following:

1. A cover letter to the Chairperson of the Meeting of the Parties containing a statement of the applicant's interest in the position and a succinct description of the applicant's relevant experience and abilities;
2. A Curriculum Vitae;
3. List of publications, if available;
4. Copies of academic and other relevant professional certificates (please provide English translation if applicable); and
5. Letters of reference from at least two persons with a recent knowledge of the applicant's character, qualifications and experience.


The short-listed candidates will be required to provide a certificate issued by a competent medical doctor indicating that the applicant is in good health.

The short-listed candidates will be notified before [date] and invited for an interview with the representatives of the Contracting Parties and Participating Fishing Entities to SIOFA. In principle, the interview will take place during the next Meeting of the Parties, which will take place in [Location] from [Dates]. The interview will be held on [precise date(s)]. The expenses to attend the interview will be covered by SIOFA. Alternatively, the interview may take place in virtual format. Further details will be given in [indicative timing / month].

The process may be subject to changes by the Meeting of the Parties. Such changes will be informed on a timely basis.

The selected candidate will be expected to take up their position on [Date].

Applicants are encouraged to familiarise themselves with SIOFA's basic documents in force, including the Agreement, the Rules of Procedure, Staff Regulations, Financial Regulations and the Headquarters Agreement and related Resolution. SIOFA is committed to promoting diversity and ensuring employment equity within the Secretariat.

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For further information, applicants should write to the address above or consult the SIOFA website:
<https://www.apsoi.org/>.

SIOFA Staff regulations

REGULATION 1

1.1 These Staff Regulations establish the fundamental principles of employment, regulate the working relationships and establish the rights and responsibilities of formally appointed employees who render their services in and receive remuneration from the Secretariat of the Southern Indian Ocean Fisheries Agreement.

1.2 For the purposes of these Regulations:

- (a) "Agreement" or "SIOFA" means the Southern Indian Ocean Fisheries Agreement;
- (b) "dependant" shall include only:
 - (i) the staff member's spouse if the spouse is not in paid employment;
 - (ii) any unsalaried child, who is born of, or adopted by, a staff member, his or her spouse, or their children, who is below the age of eighteen years and who is dependent on a staff member or his or her spouse for main and continuing support;
 - (iii) any child fulfilling the conditions laid down in paragraph (1(a) (ii)) above, but who is between eighteen and twenty-five years of age and is receiving school or university education or vocational training;
 - (iv) any child with a disability who is dependent on a staff member or his or her spouse for main and continuing support;
 - (v) any person for whose main and continuing support a staff member or his or her spouse is legally responsible as recognised by the national authorities of his or her home country before the time of the appointment.
- (c) "Executive Secretary" means the Executive Secretary of the Secretariat;
- (d) "host country" means Réunion Island, French Republic
- (e) "Meeting of the Parties" means the Meeting of the Parties to SIOFA, established by Article 5 of SIOFA;
- (f) "SCAF" means Standing Committee of Administration and Finance as provided for in Article 7 of SIOFA;
- (g) "Secretariat" means the Secretariat of SIOFA established by the Meeting of the Parties pursuant to Article 9 of SIOFA.
- (h) "SIOFA Parties" means the Contracting Parties to SIOFA, and Participating Fishing Entities as provided for in Article 15 of SIOFA and Rule 19 of the Rules of Procedure;
- (i) "spouse" means a husband or wife, a civil union partner or a de facto partner as recognized by the national authorities of his or her home country;
- (j) "staff members" means staff members of the Secretariat and the Executive Secretary.

1.3 These Regulations should be applied giving due regard to the geographical distribution of the SIOFA Parties.

REGULATION 2

DUTIES, OBLIGATIONS AND PRIVILEGES

2.1 Staff members are international civil servants. Upon accepting their appointments they pledge themselves to discharge their duties faithfully and to conduct themselves in the best interests of the Secretariat.

2.2 Staff members shall at all times conduct themselves in a manner consistent with the international nature of the Agreement. They shall always exercise the loyalty, discretion and tact imposed on them by their international responsibilities in the performance of their duties. They shall avoid all actions, statements or public activities which might potentially be detrimental to the Secretariat, the Meeting of the Parties and the aims of the Agreement.

2.3 Staff members are not required to renounce either their national feelings or their political or religious convictions. In the application of these Regulations, any discrimination on the basis of sex, age, race, color, ethnic or social origin, religion or belief, political or other opinion, membership of a national minority, property, birth, sexual orientation or disability shall be prohibited.

2.4 In the performance of their duties, staff members shall neither seek nor accept instructions from any government or authority other than the Meeting of the Parties or the Executive Secretary. Staff members shall, however, cooperate fully at all times with the Competent Authorities of the French Republic in order to facilitate the proper administration of justice, secure the observance of police regulations and prevent any abuse of the privileges, immunities and facilities provided for in the headquarters agreement between the Government of the French Republic and the Secretariat.

2.5 Staff members shall observe maximum discretion regarding official matters and shall abstain from making private use of information they possess by reason of their position. Authorization for the release of information for official purposes shall lie with the Meeting of the Parties or the Executive Secretary, as the case may require.

2.6 Staff members shall, in general, have no employment other than with the Secretariat. In special cases, staff members may accept other employment, provided that it does not interfere or represent a conflict of interest with their duties in the Secretariat, and that prior authorization by the Executive Secretary has been obtained. Where the Executive Secretary wishes to accept other employment, prior authorization shall be obtained from the Meeting of the Parties.

2.7 No staff member may be associated in the management of a business, industry or other enterprise, or have a financial interest therein if, as a result of the official position held in the Secretariat, he or she may benefit from such association or interest.

2.8 Ownership of non-controlling stock in a company shall not be considered to constitute a financial interest within the meaning of Regulation 2.7.

2.9 Staff members shall enjoy the privileges and immunities to which they are entitled under the Agreement between the Government of the French Republic and the Secretariat of the Southern Indian Ocean Fisheries Agreement concerning the Headquarters of the Secretariat and its privileges and immunities in French Territory

REGULATION 3

HOURS OF WORK

The normal working day shall be eight hours, Monday through Friday, for a total of forty hours per week. These hours do not include breaks for meals.

3.2 The Executive Secretary shall establish the specific working hours and may, in consultation with staff members, alter them for the benefit of the Secretariat and the Meeting of the Parties as circumstances may require.

REGULATION 4

CLASSIFICATION OF STAFF

4.1 Staff members shall be classified in either of the two following United Nations (UN) categories:

- (a) Professional Category
Positions of high responsibility of a managerial, professional, or scientific nature. These posts will be filled by appropriately qualified professionals, preferably with University qualifications or the equivalent. Staff members in this category will be recruited and publicised internationally.
- (b) General Services Category

Auxiliary administrative and technical positions, including clerical, secretarial and other office personnel. Such staff shall be recruited from among citizens of SIOFA Parties, taking into account potential benefits that may occur from hiring staff locally.

4.2 Persons employed under Regulation 11 shall not be classified as staff members.

REGULATION 5

SALARIES AND OTHER REMUNERATION

5.1 The scale of salaries for staff members in the professional category shall be established by the Meeting of the Parties, taking into account the scales of salaries which would apply to officials of the United Nations Secretariat employed in the host country. Such salaries shall be paid in local currency of the host country.

5.2 Staff members in the general services category shall, in principle, be paid at rates equivalent to those paid in the national administration of the host country for staff of equivalent qualifications and experience, with such rates being established by the Meeting of the Parties. Such salaries shall be paid in the local currency of the host country.

5.3 The salaries of staff members shall be reviewed annually by the Meeting of the Parties taking into account, the performance of each staff member concerned and annual changes in the United Nations for professional category and the national administration for general services category. The promotion of the staff members from one salary scale to another requires the prior approval of the Meeting of the Parties.

5.4 Subject to these Regulations, the type of allowances available to staff members in the professional category shall, in principle, be those allowances in force in the United Nations. The scale of allowances shall be established in US dollars according to the corresponding scales of allowances which would apply to officials of the United Nations Secretariat in the host country and shall be paid in the local currency of the host country. However, education allowances for each dependant child shall not be paid:

- (a) in respect of children of staff members who are nationals of the host country;
- (b) in respect of attendance at a public (State) school of the host country;
- (c) for attendance at a public (State) University in the host country;
- (d) for correspondence courses or private tuition;
- (e) when schooling does not require regular attendance at an education institution;
- (f) in respect of education expenses covered from scholarship grants or subsidies from other sources.

5.5 Except for the maximum figure for education allowance, changes in salaries and allowances applied in the UN Secretariat shall be applied to the salaries and allowances of staff members in the professional category. The Meeting of the Parties shall review the system of applying these changes to salaries and allowances after it has been in operation for three years.

5.5bis Subject to paragraph 5.6, the Meeting of the Parties shall levy from each staff member's salary (and such other emoluments of staff members as are computed on the basis of salary) an amount for Staff Assessment. Staff assessment rates shall be those in force in the UN at the time of the relevant levy.

5.6 Staff members of the Secretariat subject to national income tax shall be eligible for reimbursement of the tax paid on his or her salary. Such arrangements shall be made only on the basis that the direct costs of reimbursement are paid by the staff member's home country. The Staff Assessment will not be levied in respect of employees who are subject to national taxes on income that cannot be reimbursed.

5.7 Staff members in the general services category required by the Executive Secretary to work more than 40 hours during one week will be compensated. The method of compensation will be determined by the Executive Secretary in consultation with the staff member and shall include one of the following options:

- (a) with compensatory leave equivalent to hours of overtime performed; or
- (b) by remuneration per overtime hour, to be estimated at the rate of time and a half, or if the additional time is worked on a Sunday, or on holidays listed in Regulation 7.14, at the rate of double time.

5.8 Staff members in the professional category are not entitled to overtime pay or compensatory leave.

5.9 The Secretariat shall pay duly justified and authorized representation expenses incurred by a staff member in the performance of his or her duties within the limits prescribed annually in the Budget.

5.10 Each staff member shall have their performance assessed annually by the Executive Secretary. The annual performance review of the Executive Secretary shall be completed by the Chairperson of the Meeting of the Parties on behalf of the Meeting of the Parties. A summary of the performance review will be provided to the Meeting of the Parties annually.

5.11 Staff members may receive annual increments at the discretion of the Executive Secretary (or, in respect of the Executive Secretary, the Meeting of the Parties) on the basis of satisfactory performance. There is no guarantee that any staff member's salary or wages will be increased. Increments shall cease once the staff member has reached the highest level in the category in which he or she is serving.

REGULATION 6

RECRUITMENT AND APPOINTMENT

6.1 The Meeting of the Parties shall appoint the Executive Secretary and shall establish his or her remuneration and such other entitlements as it deems appropriate.

6.2 In accordance with Rules 7.1 and 7.2 of the Rules of Procedure of the Meeting of the Parties, the Executive Secretary shall appoint, direct, and supervise staff to positions as designated by the Meeting of the Parties, on the terms and conditions of employment provided for in these Regulations. .

6.3 Offers of appointment to the Secretariat may require the persons selected to undergo a medical examination and present a certificate stating that they have no medical condition that might prevent them from performing their duties or which might endanger the health of others. The medical examinations shall be at the expense of the Meeting of the Parties.

6.4 Upon selection, each prospective staff member shall receive an offer of appointment stating:

- (a) that the appointment is subject to the Staff Regulations applicable to the category of appointment in question, and to changes which may be duly made in such Regulations from time to time;
- (b) the nature of the appointment;
- (c) the date on which the staff member is required to commence duty;
- (d) the period of appointment, the notice required to terminate it and the period of probation;
- (e) the category, level, commencing rate of salary and the scale of increments and the maximum salary attainable;
- (f) the allowances attached to the appointment; and
- (g) any special terms and conditions which may be applicable.

6.5 Together with the offer of appointment, staff members shall be provided with a copy of these Regulations. Upon acceptance of the offer staff members shall state in writing that they are familiar with and accept the conditions set out in these Regulations.

REGULATION 7

LEAVE

7.1 Staff members shall be entitled to accrue annual leave at the rate of two and half workdays for each full month of service. Annual leave is cumulative, but at the end of each calendar year, not more than 30 workdays may be carried over to the following year.

7.2 The taking of leave shall not cause undue disruption to normal Secretariat operations. In accordance with this principle, leave dates shall be subject to the needs of the Secretariat and the Meeting of the Parties. Leave dates shall be approved by the Executive Secretary who shall, as far as possible, bear in mind the personal circumstances, needs and preferences of staff members. The Chairperson of the Standing Committee on Administration and Finance (SCAF) shall approve the Executive Secretary's leave.

7.3 Until such time as the Meeting of the Parties appoints a Chairperson of the SCAF, the functions of the Chairperson of the SCAF described in these Regulations shall be carried out by the Chairperson of the Meeting of the Parties.

7.4 Annual leave may be taken in one or more periods. The total amount of annual leave taken in any calendar year shall not be longer than 45 days under any circumstances.

7.5 Any absence not approved within the terms of these Regulations shall be deducted from annual leave.

7.6 Staff members who, upon termination of their appointment, have accumulated annual leave that has not been taken shall receive the cash equivalent estimated on the basis of the last salary received.

No staff member shall be granted sick leave for a period of more than three consecutive days and more than a total of seven working days in any period of twelve months without producing a medical certificate. Non-certified absence in excess of the number of days specified in this article shall be deducted from the staff member's annual leave or, in the absence of sufficient annual leave, charged as leave without pay.

7.7 Staff members are entitled to sick leave, with an appropriate medical certificate, but such leave will not exceed twelve months in any four consecutive years. The first six months of such leave in this period of four years shall be on full salary, and the second six months shall be on half salary. No more than four months on full salary shall normally be granted in any period of twelve consecutive months.

7.8 Sick leave may also be used to care for a staff member's spouse, child or parent with a serious health condition.

7.9 After one year of employment in the Secretariat, eligible staff members shall be entitled to maternity leave for the birth of a child. The employee will be entitled to twelve weeks paid leave, up to six weeks of which may be taken prior to the expected due date of the child. During this period employees shall receive pay at a rate equal to their average pay for the last twelve months and corresponding allowances.

7.10 After one year of employment in the Secretariat, eligible staff members shall be entitled to up to eight weeks of paternity leave for the birth of a child. During this period employees shall receive pay at a rate equal

to their average pay for the last twelve months and corresponding allowances.

7.11 Paid parental leave of eight weeks is available to employees who have assumed the care of a child they intend to adopt or foster to care for the newly placed child

7.12 Staff members shall be entitled to bereavement leave of up to five days upon the death of an immediate family member and up to three days for a relative other than an immediate family member. Reasonable travel time to and from destination will not be counted under these limits.

7.13 After 18 months of service the Secretariat shall pay travel expenses (payment of economy class air fares or equivalent transport costs, but not accommodation expenses, travel allowance or any other travel expenses) to the staff member's home country on annual leave for staff members (other than staff members who were resident in La Reunion at the time of appointment) and their spouse and dependants. Following this, home leave shall be granted at two-year intervals provided that:

- a) dependants of staff members are only entitled to have their travel expenses paid under this Regulation where they have resided in La Reunion for at least 6 months prior to travel; It is expected that staff members will return to the Secretariat to continue rendering their services for a minimum additional period of 6 months after returning from paid home leave. Where a staff member ceases employment within 6 months of returning from paid home leave, he or she shall repay the travel expenses paid by the Secretariat, unless the Meeting of the Parties decides otherwise.

7.14 Where a staff member's dependant child or children reside and are educated outside of La Reunion, then one return economy class flight each year between place of education and La Reunion may be accessed by:

- i. each dependant child being educated outside La Reunion, or
- ii. the staff member or spouse to visit the child if:
 - a. this visit occurs during a twelve month period in which home leave is not accessed; and
 - b. providing the journey is not made within the final six months of the contract

For the purpose of this paragraph the "place of education" is taken to be the recognised home.

7.15 The possibility of combining travel to home country on leave with official travel in Secretariat service may also be considered and approved by the Executive Secretary, or by the Chairperson of the SCAF in the case of leave for the Executive Secretary, provided the interests of the Meeting of the Parties are duly borne in mind.

7.16 Staff members shall be entitled to the statutory holidays in the host country and other holidays that may be designated by the host country's government from time to time, such as for national elections and other special circumstances. Applicable statutory holidays are described in Annex A of these Regulations.

7.17 If under special circumstances staff members are required to work on one of the aforementioned days the holiday shall be observed on another day to be set by the Executive Secretary, who shall take into account the needs of the Secretariat.

REGULATION 8

SOCIAL SECURITY

8.1

(a) It is a condition of employment that internationally recruited employees who are not eligible or choose not to access the social security system applying in the host country shall contribute to:

- (i) a recognized retirement fund;
- (ii) medical and hospital insurance cover, including for their spouse and dependants who are not eligible for publicly funded health services, and
- (iii) life and disability insurance cover.

(b) The Secretariat shall pay two-thirds of the total contribution of the retirement fund and the insurance premiums listed in the above subparagraph, up to the maximum percentage applying in the UN Secretariat from time to time of the total of the staff member's salary. Such payment shall be by way of reimbursement upon the production of receipts, or shall be paid direct together with the employee's contribution. Staff members who are not internationally recruited shall have such terms and conditions to ensure that they are not disadvantaged

8.2 Staff members in both the professional category and general services category may be required to undergo further medical examinations from time to time as determined by the Executive Secretary or the Meeting of the Parties as the case may require. The medical examinations shall be at the expense of Secretariat.

8.3 In the event of death of a staff member following illness or surgery not resulting from an accident covered by the appropriate insurance, the right to salary and other corresponding benefits shall cease on the day on which death occurs, unless the deceased leaves a spouse and/or dependants, who shall be entitled to mortality allowances and return travel and removal expenses to country of origin, former residence or other agreed location if dependants are going to live with a relative in a different country at the expense of the Secretariat.

8.4 Eligibility of the dependants of a deceased staff member for the payment of return travel and removal expenses shall lapse if the travel is not undertaken within six months of the date of the staff member's death. The Chairperson of the SCAF may extend this period in the case of special circumstances: e.g., to allow a dependent child to finish a school term.

8.5 The above mortality allowance referred to in regulation 8.3 shall be calculated in accordance with the following scale:

Years of Service	Months of Net Base Pay Salary Following Death
Less than 3 years	3 months
3 years and more, but less than 7 years	4 months
7 years and more, but less than 9 years	5 months
9 years and more	6 months

8.6 The Secretariat shall pay for shipment of the staff member's remains and personal effects from the place of death to the place designated by the spouse, next of kin, or other individual(s) designated by the staff member.

REGULATION 9

TRAVEL

9.1 All official travel by staff members shall be authorized by the Executive Secretary, or for travel by the Executive Secretary, by the Chairperson of the SCAF prior to being undertaken within the limits of the budget, and the itinerary shall be selected on the basis that is best suited for maximum effectiveness in the fulfillment of duties assigned. A summary of official travel and associated costs shall be presented to the Meeting of the Parties annually based on the last year of travel.

9.2 With regard to official travel, a travel allowance, generally consistent with UN practice, may be paid in advance for fares and daily living expenses. However, charges for hotel accommodations shall be reimbursed based on charges actually incurred.

9.3 Where possible, official travel should be planned well in advance to avoid incurring higher costs for airfares and other travel related cost. For land travel, first class or lower may be utilized. For air travel, only economy class shall be utilized wherever feasible, unless approved otherwise by the Executive Secretary or in the case of the Executive Secretary, with the approval from the Chairperson of the SCAF.]

9.4 Following completion of a duty journey, staff members shall repay any travel allowances to which, in the event, they were not entitled. Where staff members have incurred expenses above and beyond those for which travel allowances have been paid, they shall be reimbursed, against receipts and vouchers, as long as such expenses were necessarily incurred in pursuit of their official duties.

9.5 On taking up an appointment in the Professional Category each staff member shall be eligible for:

- (a) payment of economy class air fares (or equivalent) for him or herself, their spouse and dependants from their place of residence to the host country;
- (b) an Installation Grant calculated on the basis of the prevailing United Nations rate
- (c) payment of removal costs, including the shipment of personal effects and household goods from place of residence to the host country, subject to a maximum volume of 30 cubic meters or one international standard shipping container,
- (d) (d) payment or reimbursement of sundry other expenses related to relocation, including insurance of goods in transit and excess baggage charges subject to the prevailing relevant United Nations rules. Such payments shall be subject to prior approval by the Chairperson of the SCAF.

9.6 Staff members who, in the course of their duty, are required to use private motor vehicles for official travel purposes shall, with the prior authorization of the Executive Secretary (or, in the case of the Executive Secretary, the Chairperson of the SCAF), be entitled to receive a reimbursement of the costs involved in line with that available to members of the Government Service in La Reunion. The costs associated with normal daily travel to and from place of work shall not be reimbursed.

REGULATION 10

SEPARATION FROM SERVICE

10.1 Staff members may resign at any time upon giving at least three months notice or such lesser period as may be approved by the Executive Secretary (or, for resignation by the Executive Secretary, by the Meeting of the Parties).

10.2 In the event of a staff member resigning without giving the required notice, the Chairperson of the SCAF reserves the right to decide whether repatriation expenses or any other allowances shall be paid.

10.3 The Executive Secretary may terminate the appointment of a staff member (or, for termination of the Executive Secretary, by the Meeting of the Parties) by giving 3 months written notice, when that termination is due to restructuring of the Secretariat or of any of its constituent bodies, or if the Meeting of the Parties would decide to cease its functions. If at any time the Executive Secretary considers that a staff member does not give satisfactory service or fails to comply with the duties and obligations set out in these Rules, the staff member will receive a formal written warning. If the performance does not improve or the employee continues to fail to comply with the duties and obligations set out in the rules, the staff member will receive a second formal written warning and if necessary, other disciplinary action e.g. suspension, demotion) may follow. If after the second formal written warning the staff member's performance does not improve to a

satisfactory standard, the appointment of the staff member may be terminated upon written notice of one month in advance subject to the prior notification of the Executive Secretary.

10.3bis Notwithstanding paragraph 10.3, after consultation with the Chairperson of the Meeting of the Parties and the Chairperson of the SCAF, a staff member may be terminated summarily by the Executive Secretary (or, for termination of the Executive Secretary, by the Meeting of the Parties) if the staff member has committed a gross dereliction of his or her duties. For the purposes of these Regulations, a gross dereliction of duties includes (but is not limited to) theft, bullying, violence in the workplace, harassment, intentional breach of confidentiality or misappropriation of information. In the event of a separation of service due to a gross dereliction of duties, any benefits set out in paragraph 10.5 shall be at the sole discretion of the Chairperson of the Meeting of the Parties.

10.4 In the event of involuntary separation from service with the Secretariat, staff members shall be compensated at a rate of one month base pay for each year of service, beginning the second year, unless the cause of termination has been gross dereliction of duties imposed in Regulation 10.4.

10.5 On separation from service, a staff member shall, subject to Regulation 10.7 below, be entitled to the following:

- (a) payment of economy class air fares (or equivalent) to the staff member's country of origin or former residence for the staff member, their spouse and dependant members of his or her family; or, at the discretion of the Chairperson of the SCAF, payment of economy class airfares to a new country of residence unless payment of these costs is offered by the new employer.
- (b) payment of removal costs, including the shipment of personal effects and household goods from place of residence in the host country to the country of origin or former residence, subject to a maximum volume of 30 cubic meters or one international shipping container; or, at the discretion of the Chairperson of the SCAF, payment of removal costs to a new country of residence unless payment of these costs is offered by the new employer.
- (c) a repatriation allowance generally consistent with UN practice.

10.6 At the discretion of the Chairperson of the SCAF, the right to the repatriation expenses listed below may be cancelled or reduced appropriately if:

- (a) the staff member fails to provide the three months advance notice as specified in Regulation 10.1;
- (b) less than one year has elapsed between the date of taking up the appointment and the date of separation from service;
- (c) the reason for separation from service was termination of employment due to gross dereliction of duty;
- (d) more than six months has elapsed between the staff member's separation from service and his or her return to his or her country of origin or former residence;
- (e) less than six months has elapsed since the staff member last visited his or her country of origin or former residence on home leave at the expense of the Secretariat ; or
- (f) the staff member has applied for or received status as a permanent resident of the host country.

REGULATION 11

TEMPORARY PERSONNEL UNDER CONTRACT

11.1 The Executive Secretary may, subject to the approval of the Meeting of the Parties or its Chairperson and within the Secretariat's approved budget, contract temporary personnel necessary to discharge special duties in the service of the Secretariat and the Meeting of the Parties. Such personnel shall be classified as additional help and may be paid on an hourly basis.

11.2 Persons in this category may include translators, interpreters, typists, interns, and other persons contracted for meetings, as well as those whom the Executive Secretary contracts for a specific task. Whenever possible, except in the case of interns, persons resident in La Reunion shall be utilized in such cases.

11.3: Notwithstanding paragraphs 11.1 and 11.2, the Executive Secretary may, within the Secretariat's approved budget, recruit a temporary intern to the SIOFA Secretariat for a period not exceeding 6 months. The Executive Secretary shall select an intern from among candidates from CCPs who, at the end of the internship advertisement period, are enrolled in a postgraduate or equivalent degree program or have completed such a program within the 12 months immediately preceding the end of the advertisement period. The specific details of the internship, including wage, duration, hours of work, confidentiality rules and duties shall be recorded in an internship agreement that shall be signed by the intern and the Executive Secretary. The Meeting of the Parties will be notified of the chosen person as soon as the agreement is signed by both parties. The purpose of the internship shall be:

(i) to enable the intern to develop their skills and knowledge of the SIOFA in particular and about RFMOs in general.

(ii) to assist the SIOFA Secretariat in executing specific tasks and activities, as determined by the Executive Secretary.

REGULATION 12

APPLICATION AND AMENDMENT OF REGULATIONS

12.1 Any doubts or disputes arising from application or interpretation of these Regulations shall be resolved by the Executive Secretary in consultation with the Meeting of the Parties.

In making any decisions as to the application or interpretation of these Regulations, the Executive Secretary will take account of the application or interpretation proposed by any relevant employee. However, any decision made by the Executive Secretary in respect of the interpretation of these Regulations, having consulted with the Meeting of the Parties and the relevant employee, will be final.

12.3 Situations involving the Executive Secretary shall be resolved by the Chairperson of the Meeting of the Parties in consultation with the Meeting of the Parties.

ANNEX V

Matters not foreseen in these Staff Regulations that materially affect the operation of the Secretariat or the working conditions of the staff shall be brought to the attention of the Meeting of the Parties by the Executive Secretary.

Subject to the provisions of the Agreement, these Regulations may be amended by the Meeting of the Parties in accordance with its Rules of Procedure.

Annex A

1st January	New Year`s Day
	Easter Monday
1st May	Labor Day
8th May	Ascension Day <u>1945 Victory Day</u>
	<u>Ascension Day</u>
14th July	National Day
15th August	Assumption Day
1st November	All Saints Day
11th November	Victory 1918
20th December	Abolition of Slavery
25th December	Christmas

Annex

POLICY FOR INTERNSHIPS

A. PURPOSE

This document provides administrative guidance for internships in the SIOFA Secretariat. This policy is adopted as a complementary document to the SIOFA Staff Regulation 11.3.

The SIOFA Meeting of the Parties recognizes the mutual benefits of offering internships in the SIOFA Secretariat. Internships:

- 1.Expose talented postgraduate students and recent graduates of postgraduate or equivalent degree programmes to SIOFA work and provide them with an opportunity to further develop their skills.
- 2.Provide the Secretariat and SIOFA in general with the opportunity to accomplish additional tasks and activities that may not be supported by regular resources, including meeting support.

B. DEFINITION

1. An intern is a person from a CCP who is undertaking an internship in the SIOFA Secretariat in accordance with SIOFA Staff Regulation 11.3, the terms of this policy and the tasks and activities set out by the SIOFA Executive Secretary.

C. GENERAL RULES

- 1.Prior to commencing in the Secretariat, interns will be required to sign a confidentiality agreement to ensure that the Meeting of the Parties and the Secretariat's confidential information remains secure, both for the duration of the internship, as applicable, and beyond.
2. Interns are responsible for obtaining their visa and any other legal requirements according to applicable domestic law of France.

3. the SIOFA will not be responsible for the coverage of the intern's travel costs to and from their place of residence to the Secretariat head office, nor for their accommodation costs.
4. It is expected that interns will have a good working level of spoken and written English, such that they can participate in the work of the Secretariat. Knowledge of French will be considered an asset. Interns will also be expected to have strong IT skills and to show a sense of initiative.
5. All rights to any work done by the interns in the performance of their duties in the SIOFA Secretariat are the property of SIOFA.
6. If an intern intends to publish, whether alone or with others, any text on a matter relating to the work of SIOFA, he/she will inform the Executive Secretary and the Chairperson of the Meeting of the Parties in advance. The Executive Secretary and Chairperson will have the right to object to the publication if, in their reasonable judgement, the publication is liable seriously to prejudice the legitimate interests of the SIOFA and/or any of its CCPs or CNCs.

D. PLACEMENT OF INTERNS IN THE SIOFA SECRETARIAT

1. The intern will be employed under SIOFA Staff Regulation 11 for Temporary Personnel under Contract and therefore shall not be classified as staff members as specified in Regulation 4.2.
2. Despite this classification, interns will observe the following Staff Regulations:
 - a) Regulations 2.1 – 2.8 with respect to duties, discretion and confidentiality.
 - b) Regulation 3 with respect to hours of work. Due to the French law, only Paragraph 3.1 will apply to the intern, with a modification as follows: *“The normal working day shall be **seven hours**, Monday through Friday, for a total of **thirty-five** hours per week. These hours do not include breaks for meals”*.
 - c) Regulations 7.1, 7.5, 7.6, 7.7, 7.12, 7.16, 7.17 with respect to leave.
2. Internships must be foreseen in the budget.
3. Internships do not automatically lead to a direct appointment as employee of the Organization.

4. Each intern will receive a net internship allowance of a maximum of 10.15€ per hour
5. All internships will be advertised on the SIOFA website for at least 45 days together with the duties and responsibilities of the intern, the conditions applicable to the post, including possible deadlines and other procedural requirements. Internships may be advertised either as general internships or for specific subject areas (for example, fisheries science/management, legal, data analysis, etc).
6. No more than two internships will be offered per year. In order to facilitate rotation, the maximum duration of the internship will not exceed 6 months. In the case of the early termination of an internship, a replacing intern can be recruited within the same internship period.
- 6a. Only persons enrolled in or having completed a postgraduate or equivalent degree program within 12 months of the end of the internship advertisement period shall be eligible for the internship.
7. The Executive Secretary will review the applications received within 15 days of the close of the advertisement period.
8. In considering the applications, the Executive Secretary will bear in mind the skills and experience of each applicant, the operational needs of the Secretariat and the overall benefits to the Meeting of the Parties. The Executive Secretary may decide not to appoint any intern.
9. The Executive Secretary will inform the Meeting of the Parties of the outcome of the application process, including the basis of the decisions.
10. The Executive Secretary will set out the specific details of the internship, including wage, duration, hours of work and duties, in writing to the intern in accordance with this policy and any applicable Staff Regulations. The intern will be asked to record, in writing, their acceptance of these terms and commitment to this policy.
11. Interns will be included in any Public Liability provisions under the SIOFA Secretariat's insurance policy.

Financial Regulations of the Meeting of the Parties

REGULATION 1 - APPLICATION

1.1 These Regulations shall govern the financial administration of the Meeting of the Parties.

REGULATION 2 - FINANCIAL YEAR

2.1 The financial year shall be the period from the 1 January to 31 December, both dates inclusive.

REGULATION 3 – BUDGET

- 3.1 The Executive Secretary shall prepare draft budgets in accordance with Article 5(4) of the Agreement comprising estimates of receipts and proposed expenditures by the Meeting of the Parties and any subsidiary bodies established pursuant to Article 7 of the Agreement for the ensuing two financial years. The draft budget and annual contributions shall be assessed in euro.
- 3.2 The draft budget shall, in the relevant financial year, make provisions for the costs associated with holding:
- a) any Ordinary or Extraordinary Meeting of the Parties, and any meeting of a subsidiary body, that will be held by the Secretariat in La Réunion, in the absence of an invitation from a Contracting Party or another host as otherwise agreed by the Meeting of the Parties according to Rule 1(2) of the Rules of Procedure; and
 - b) every second Ordinary Meeting of the Parties held in Mauritius pursuant to Rule 1(2) of the Rules of Procedure.
- 3.3 The estimates and forecast shall be divided into items, and if necessary into sub-items, and shall be accompanied by information requested by the Meeting of the Parties or which the Executive Secretary may deem useful and necessary.
- 3.4 The draft budget should include provision to allocate funds towards financial assistance for the purposes outlined in article 13.4 of the Agreement which pertains to support for developing States bordering the Area, in particular the least developed among them and small island developing States and, where appropriate, territories and possessions, in accordance with decisions reached by the Meeting of the Parties. The draft budget should clearly delineate support for participation at the Meeting of the Parties, participation at meetings of subsidiary bodies and support for other activities specified in Article 13.4 of the Agreement.
- 3.5 The appointed Executive Secretary shall submit the draft budget to Official Contacts at least 60 days prior to the ordinary Meeting of the Parties.
- 3.6 Until such time as the Meeting of the Parties establishes a Standing Committee on Administration and Finance, the functions of the Standing Committee on Administration and Finance, outlined in these Regulations shall be carried out by the Meeting of the Parties.
- 3.7 The Standing Committee on Administration and Finance shall normally be held once a year during the ordinary Meeting of the Parties, unless the Meeting of the Parties decides otherwise. The Standing Committee on Administration and Finance shall examine the draft budgets and the Financial Report described in Regulation 9.1. The Standing Committee on Administration and Finance shall report thereon to the Meeting of the Parties, including recommendations. After

ANNEX W

consideration of the Standing Committee on Administration and Finance's report and after any necessary adjustments or revisions have been made, the Meeting of the Parties shall adopt the budget for the ensuing financial year.

- 3.8 If the Meeting of the Parties is unable to adopt a budget, the level of contributions to the administrative budget of the Meeting of the Parties shall be determined in accordance with the budget for the preceding year for the purposes of meeting administrative expenses for the following year until such time as a new budget can be adopted by consensus.

REGULATION 4 - APPROPRIATIONS

- 4.1 The appropriations adopted by the Meeting of the Parties in the budget shall constitute an authorisation to the Executive Secretary to incur obligations and make payments for the purposes up to the amounts outlined in the budget.
- 4.2 Appropriations shall remain available for 12 months following the end of the financial year to which they relate to the extent that they are required to discharge obligations incurred during that financial year. At the end of the 12-month period, any unliquidated prior year obligations shall be cancelled, or, where the obligations remain a valid charge, transferred as obligations against current appropriations.
- 4.3 The Executive Secretary may authorise the transfer of up to 10 per cent of appropriations between sub-items of an item. The Chairperson may authorise the Executive Secretary to make transfers between sub-items of an item above this limit. The Chairperson may authorise the Executive Secretary to make transfers of up to 10 percent of appropriations between items. The Meeting of the Parties may authorise the transfer of appropriations between items above this limit. All transfers will be reported by the Executive Secretary in the Financial Report.
- 4.4 The Executive Secretary may also enter into obligations for future financial periods when such obligations are for program activities which have been approved by the Meeting of the Parties and will continue beyond the end of the current financial year.

REGULATION 5 - ANNUAL CONTRIBUTIONS

- 5.1 During a three-year period following the adoption of these financial regulations, the contributions of Contracting Parties and participating fishing entities shall be decided annually by the Meeting of the Parties taking due consideration to the developing States bordering the Area, in particular the least developed among them and small island developing States and, where appropriate, territories and possessions.
- 5.2 At the conclusion of the three-year period specified in the Regulation 5.1, each Contracting Party and participating fishing entity shall contribute to the annual budget of the Meeting of the Parties. Pursuant to Article 5.4 of the Agreement, contributions shall be made in accordance with the following formula:
- a) a basis representing 10 per cent of the total budget equally divided among all the Contracting Parties and participating fishing entities;
 - b) National wealth component of 30 per cent:

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- Half of which shall be divided among the Contracting Parties and participating fishing entities according to their respective Gross National Income (as defined by the World Bank or, in the specific case of participating fishing entities, an equivalent institution);
 - half divided among Contracting Parties and participating fishing entities according to their Gross National Income per capita (as defined by the World bank or, in the specific case of participating fishing entities, an equivalent institution); and
- c) 60 per cent of the total budget shall be allocated among the Contracting Parties and participating fishing entities in proportion to their average catch in the three calendar years beginning four years before the calendar year during which the budget is adopted.
- Half of which shall be based on the catch of high-value species (orange roughy, alfonsino, toothfish and all species of deep-sea sharks; and
 - half of which shall be based on the catch of all other, low-value species.

Paragraph 5(c) shall be reviewed at the 7th ordinary Meeting of the Parties.

- 5.3 The Executive Secretary shall notify each Contracting Party and participating fishing entity of its annual contribution as prescribed in the budget no later than 7 working days following the formal adoption of the budget.
- 5.4 Annual contributions are due 90 days after the receipt of information from the Executive Secretary referred to in Regulation 5.3 and no later than 30 November of each year. The Meeting of the Parties has the authority to permit extensions for a further 90 days for individual Contracting Parties or participating fishing entities that are unable to comply with this Regulation. After that date, any unpaid balance shall be considered to be in arrears. The Meeting of the Parties may determine that a developing Contracting Party or participating fishing entity that is in arrears may not receive financial assistance to travel to Meetings of the Parties pursuant to article 13.4 of the Agreement.
- 5.5 A new Contracting Party or a new participating fishing entity that becomes a Party or a participating fishing entity during the first six months of the financial year shall be liable to pay, within 90 days, the full amount of the annual contribution which would have been payable had it been a Contracting Party or a participating fishing entity when assessments were made. A new Contracting Party or a new participating fishing entity that becomes a Party or a participating fishing entity during the last six months of the financial year shall be liable to pay, within 90 days, half of the amount of the annual contribution which would have been payable had it been a Contracting Party or a participating fishing entity when assessments were made.
- 5.6 The Executive Secretary shall submit to each ordinary Meeting of the Parties a report on the collection of annual contributions received and any other income received. The Executive Secretary shall include this information in the Financial Report provided to Official Contacts pursuant to Regulation 9.2.

REGULATION 6 - VOLUNTARY CONTRIBUTIONS

- 6.1 A Contracting Party or participating fishing entity may make a voluntary contribution for a specific purpose separate from its assessed annual contribution. Voluntary contributions offered

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by organisations or individuals may be accepted by the Meeting of the Parties and shall be designated for a specific purpose.

REGULATION 7 - FUNDS

- 7.1 There shall be established proper books of account for the purpose of accounting for the receipts and expenditures of the Meeting of the Parties, including a General Account, into which annual membership contributions will be paid and any such other account as the Meeting of the Parties may decide to establish.
- 7.2 The Meeting of the Parties will approve the quantum of funds to be allocated for the purposes outlined in Article 13.4 of the Agreement consistent with the annual budget and programme activities as agreed by the Meeting of the Parties.
- 7.3 The receipts credited to the General Account shall include:
- a) annual contributions paid under Regulation 5.2;
 - b) excess of receipts over expenditures at the end of the financial year;
 - c) the balance of any unexpended appropriations at the end of the 12-month period specified in Regulation 4.2;
 - d) refunds, from any source, of prior year's expenditures of the Agreement;
 - e) voluntary contributions received from Contracting Parties and participating fishing entities, observers and any other entities, with the condition that no offset can be granted for the payment of such contributions; and
 - f) any other incomes accruing to the Meeting of the Parties other than those credited to other accounts established by the Meeting of the Parties.
- 7.4 Monies available in the General Account, or any other account established by the Meeting of the Parties, may be used to the extent necessary to finance appropriations pending receipt of annual payments by Parties to the Agreement.
- 7.5 The Meeting of the Parties shall, on the basis of advice from the Standing Committee on Administration and Finance, if any, prescribe conditions under which the Chairperson may authorise expenditure from the General Account or any other account established by the Meeting of the Parties to meet unforeseen and extraordinary expenses.
- 7.6 The Standing Committee on Administration and Finance and the Meeting of the Parties shall review the amount available in the General Account and any other account established pursuant to Regulation 7.1 during each ordinary meeting. Insofar as possible, the Meeting of the Parties shall anticipate expenditures during the succeeding three years and shall attempt to maintain the General Account and any other account established pursuant to Regulation 7.1 at a level sufficient to finance operations during the first three months of the financial year plus an amount up to a maximum of 10 % of the annual budget for the current financial year for use in an emergency.

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- 7.7 The Meeting of the Parties may agree to carry over residual funds for the matters outlined in Regulation 7.4.
- 7.8 Residual funds available in the Scientific Research Activities account at the end of each financial year are to be carried over to the next financial year for the purposes of future scientific research activities.
- 7.9 Any fund dedicated to the purposes outlined in Article 13.4 of the Agreement shall be administered in accordance with guidelines agreed by the Meeting of the Parties and annexed to these Regulations.

REGULATION 8 - BOOKS OF ACCOUNT

- 8.1 The Executive Secretary shall ensure that appropriate records and accounts are kept of the financial transactions and affairs of the Meeting of the Parties. He/she shall also ensure that all payments out of the General Account (or any other account established under Regulation 7) are correctly made and properly authorised, and that adequate control is maintained over the assets of, or in custody of, the Meeting of the Parties and over incurring of liabilities by the Meeting of the Parties.
- 8.2 The Executive Secretary shall maintain such accounting records as are necessary for each financial year, including:
- a) revenue and expenditures;
 - b) the status of appropriations, including:
 - i) the original budget appropriations;
 - ii) transfers between appropriation categories;
 - iii) amounts charged against appropriation categories;
 - d) the status of the General Account and other accounts established under regulation 7;
 - e) funds held in currencies other than euro; and
 - f) the status of investments, and any other financial assets or liabilities of the Meeting of the Parties, including losses or assets proposed.
- 8.3 The Executive Secretary may, after full investigation, authorise the writing off of losses of cash, stores, and other assets, provided that a statement of all such amounts written off shall be submitted to the Meeting of the Parties and the auditors with the annual financial statement.

REGULATION 9 - FINANCIAL REPORTING

- 9.1 The Executive Secretary shall prepare an annual Financial Report providing an overview of the budget position, annual financial statements for the financial year to which they relate for all accounts and for any funds held in reserve in accordance with the requirements of Regulation 8.

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- 9.2 The Financial Report shall be submitted by the Executive Secretary to the external auditor no later than 60 days following the end of the financial year to enable the preparation of the Auditor's Report described in Regulation 11.8.
- 9.3 The Executive Secretary will provide the Financial Report and external Auditor's Report to Official Contacts not later than 1 June in the next financial year.
- 9.4 The Standing Committee on Administration and Finance will consider the Financial Report and Auditor's Report at its next meeting held during the ordinary Meeting of the Parties.

REGULATION 10 - CUSTODY AND INVESTMENT OF FUNDS

- 10.1 The Executive Secretary shall designate the financial institution/s in which the funds of the Meeting of the Parties shall be kept and shall report the identity of that financial institution/s in the next Financial Report.
- 10.2 The Meeting of the Parties may authorise the Executive Secretary on a case by case basis to make short-term investments of monies not needed for the immediate requirements of the Meeting of the Parties. Such investments shall be restricted to securities and other investments issued under Government guarantee. The details of investments transactions and revenue derived shall be reported in the annual financial statement.
- 10.3 With regard to monies held in trust or special funds for which use is not required for at least 12 months, longer-term investments may be authorised by the Meeting of the Parties on a case by case basis provided that such action is consistent with the terms and conditions under which the monies were lodged with the Meeting of the Parties.

REGULATION 11 - EXTERNAL AUDIT

- 11.1 The Meeting of the Parties shall, through an appropriate procurement process and in consultation with the Executive Secretary, select and engage an independent external auditor for a three year term with the option of renewal for a further three years. The costs of this service are to be included in the annual budget.
- 11.2 The Executive Secretary will provide to the auditor and his or her staff unrestricted access at all convenient times to all books of account and records which are, in the opinion of the auditor, necessary for the performance of the audit. The auditor and/or his or her staff may make copies of, and take extracts from, such accounts and records. Information classified in the records of the Executive Secretary as confidential, and which is required for the purposes of the audit, shall be made available upon request to the Executive Secretary, provided the auditor agrees to respect the confidentiality of the information.
- 11.3 Having regard to the budgetary provisions for the audit, the auditor shall perform such an audit as he or she deems necessary to certify:
 - a) that the financial statements accord with the books and records of the Meeting of the Parties;
 - b) that the financial transactions reflected in the statements have taken place in accordance with the relevant SIOFA rules and regulations, the budgetary provisions, and other applicable directives; and

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c) that the monies on deposit and on hand have been verified by a statement from relevant financial institutions.

11.4 Subject to the directions of the Meeting of the Parties, the auditor shall judge the acceptance in whole or part of the Financial Report provided by the Executive Secretary and may proceed to such detailed examination and verifications as he or she chooses of all financial records, including those related to supplies and equipment if considered necessary.

11.5 The auditor may affirm by test the reliability of the control maintained over assets as described in Regulation 8.1 and may make such reports with respect thereto as he or she may deem necessary.

11.6 The auditor shall have no power to remove items in the accounts, but shall draw to the attention of the Chairperson of the Standing Committee on Administration and Finance and the Executive Secretary any transaction which he or she considers may not be in compliance with these regulations or is otherwise improper. The Chairperson of the Standing Committee on Administration and Finance shall inform the Meeting of the Parties of these concerns.

11.7 The auditor shall conduct an examination of the financial statement in conformity with generally accepted auditing standards and shall report on all relevant matters including:

a) whether, in his or her opinion, the financial statements in the Financial Report are based on proper accounts and records;

b) whether the financial statements in the Financial Report accord with the accounts and records;

c) whether, in his or her opinion, the income, expenditure and investment of moneys, the acquisition and disposal of assets and the management of liabilities by the Meeting of the Parties during the year has been in accordance with these Regulations; and

d) observations with respect to the efficiency and economy of the financial procedures and conduct of business, the accounting system, internal financial controls and the administration and management of the Meeting of the Parties.

11.8 The auditor shall provide the Audit Report to the Executive Secretary and the Chairperson of the Standing Committee on Administration and Finance not later than 90 days after having received the year's Financial Report from the Executive Secretary.

11.9 The Chairperson of the Standing Committee on Administration and Finance shall forward to the Meeting of the Parties any comments the Standing Committee on Administration and Finance may have made on the Audit Report.

11.10 Following consideration of the Financial Report, the Audit Report, and any comments from the Standing Committee on Administration and Finance, the Meeting of the Parties shall take such action as it may consider appropriate.

REGULATION 12 - DELEGATION OF AUTHORITY

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12.1 With the written agreement and in the limits fixed by the Chairperson of the Meeting of the Parties, the Executive Secretary may delegate to other employees of the Secretariat such of his or her powers as he or she considers necessary for the effective implementation of these Regulations.

REGULATION 13 - INTERPRETATION

13.1 The Chairperson of the Meeting of the Parties shall rule, in cases of doubt, as to the interpretation and application of any of these Regulations. Such rulings shall be forwarded to the Standing Committee on Administration and Finance and Official Contacts.

REGULATION 14 - AMENDMENT

14.1 These Financial Regulations may be amended by the Meeting of the Parties in accordance with Article 8 of the Agreement.

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Annex 1: Guidelines for administration the fund allocated under Article 13.4 of the Agreement

General rules

1. The Secretariat will administer the funds allocated in the SIOFA budget for the purposes outlined in Article 13.4 of the Agreement in accordance with these guidelines and, if necessary, under the guidance of the MoP Chairperson.
2. Financial assistance disbursed for the purpose of Article 13.4 of the Agreement may not exceed the quantum of funds allocated in the SIOFA budget for that purpose, subject to the flexibility allowed by Financial Regulation 4.3.

Eligibility and selection of applications

3. Only developing CCPs¹ and developing non-CCPs² bordering the Area are eligible for financial assistance to participate in the Meetings of the Parties and of its subsidiary bodies³.
4. Developing non-CCPs bordering the Area are eligible for financial assistance provided they intend to ~~participate in the meeting as an observer~~ ~~[submit an information paper to the meeting they wish to attend, in accordance with Rule 18.4 of the Rules of Procedure]~~ [express their intention to submit an application for cooperating non-Contracting Party Status in the coming year.]⁴
5. Developing CPs or PFEs that are in arrears with their annual contributions to SIOFA will not ordinarily be eligible for financial assistance if the amount of their arrears equals or exceeds the amount of the annual contributions due for the two preceding years, unless otherwise decided by the Meeting of the Parties.
6. CCPs that have not submitted their national report (paragraph 9, CMM 2021/02, Data Standards) or their Compliance Report (paragraph 12, CMM 2020/11, Compliance Monitoring Scheme) will not be eligible for financial assistance to attend the Meeting of the Parties as well as the meetings of the Scientific Committee and its Working Groups and of the Compliance Committee, respectively.
7. Eligible CCPs and non-CCPs that have access to other sources of funding, such as the Assistance Fund established under Part VII of the UNFSA, are encouraged to make use of these sources.
8. The Secretariat will use the grid in Table 1 to evaluate applicants' eligibility for financial assistance.

Table 1 – evaluation of applicants' eligibility for financial assistance				
	Eligibility criteria	Yes	No	Comment
1	Is the applicant			
	a A developing CCP to SIOFA?			
	b A developing non-CCP bordering the Area?			
2	If the applicant is a CCP, has it submitted			
	a A national report (paragraph 9, CMM 2021/02)?			
	b A compliance report (paragraph 12, CMM 2021/11)?			
3	If the applicant is a CP or PFE, is it either up to date with its contributions, or, if there are arrears, they do not			
	in arrears with			

¹ Contracting Parties, Participating Fishing Entities, and Cooperating non-Contracting Parties to SIOFA

² Including Least Developed Countries

³ Currently: the Scientific Committee and its Working Groups, and the Compliance Committee. The Standing Committee on Implementation and Finance has been established but not convened.

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	its annual contribution whereby the amount of their arrears equals or exceeds the amount of the annual contributions due for the two preceding years?			
4	If the applicant is a non-CCP developing State bordering the Area, has it confirmed its intention to			
a	Participate in the meeting as an Observer?			
b	[Submit an information paper to the meeting?]Submit an application to become a CNCP?			

** Subject to paragraphs 3 to 7 above, any 'no' response will result in the ineligibility of the application*

Selection of applicants for financial assistance

9. [Where it is not possible to accommodate all the applications for financial assistance received from eligible CCPs and non-CCPs due to budget restrictions, priority will be given to the least developed among them⁴ and small-island developing States bordering the area.](#)

Table 2 – Criteria for prioritising the selection of eligible applications				
	Selection criteria	Yes/No	Points	Score
1	Is the applicant			
a	A CP or PFE to SIOFA?		<u>4</u>	
b	A CNCP to SIOFA?		<u>3</u>	
c	A developing country signatory to the Agreement?		<u>2</u>	
d	A non-CCP developing State bordering the Area that is not a signatory to the Agreement??		<u>1</u>	
2	Is the applicant			
a	A least developed CCP or non-CCP bordering the Area?		<u>2</u>	
b	A small-island developing CCP or non-CCP bordering the Area?		<u>2</u>	
			Total score:	

10. [Financial assistance will be limited to the participation of one representative per eligible CCP or non-CCP in the Meetings of the Parties or in meetings of its subsidiary bodies, including if meetings are held in conjunction.](#)

11. [Financial assistance will have due regard to the principles of economy and efficiency. It will cover the costs of flights \(economy class\) to the meeting and accommodation \(single person occupancy\) and will be paid -on the basis of actual costs. Daily subsistence allowances \(DSA\) will be paid on arrival based on generally applicable UN rates⁵. All travel and accommodation arrangements will be made by the Secretariat.](#)

12. [The Secretariat will apply the scoring system in Table 2 to prioritise applicants for financial assistance within the allocated If a situation arises in which multiple applicants receive the same score and each request cannot be accommodated within the allocated budget, the Secretariat will consult with the Chairperson to find an appropriate resolution.](#)

Procedure to apply for financial assistance

13. [The procedure and timeline in Table 3 will apply to the disbursement of financial assistance.](#)

⁴ Based on the list of Least Developed Countries established by the United Nations Committee for Development

⁵ DSA will be calculated on the basis of nights spent away from the normal duty station or place of residence, based on the itinerary. [DSA will be paid in advance of departure.](#)

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Table 3 - Procedure and timeline for the disbursement of financial assistance			
Step	Action item	Responsibility	Due date
<u>1</u>	<u>Circular to CCPs and letter to developing non-CCPs bordering the Area with call for applications for financial assistance, specifying terms and conditions as well as timeline</u>	<u>Secretariat</u>	<u>No later than 60 days prior to the meeting (CC/MoP or SC)</u>
<u>2</u>	<u>Submission of application by deadline, including:</u> <ul style="list-style-type: none"> - <u>Official nomination letter with request of support for identified representative (including contact details)</u> - <u>Confirmation that no funding is available from other sources</u> - <u>In the case of CCPs, confirmation that it is not in arrears</u> - <u>In the case of CCPs, confirmation that national report and compliance report have been submitted</u> - <u>In the case of non-CCPs, confirmation of expressed intention to apply for CNCP status.</u>attendance as Observer [and intention to submit an information paper to the meeting]⁶ expressed intention to apply for CNCP status. 	<u>Applicants (CCP or non-CCP)</u>	<u>No later than 50 days prior to the meeting</u> <u>[Note : information papers shall be submitted to the Executive Secretary no less than 14 days before the date fixed for the opening of the meeting (rule 10(6) of the Rules of Procedure).]</u>
<u>3</u>	<u>Review of applications against eligibility criteria and offer to potentially eligible applicants of an additional 3-day period to provide any missing information</u>	<u>Secretariat</u>	<u>Within 2 days of the due date for applications (see step 2) or earlier.</u>
<u>4</u>	<u>Confirmation of financial assistance to successful applicants, [subject to effective submission of an information document to the meeting], and start of travel and/or accommodation arrangements²</u>	<u>Secretariat</u>	<u>3 days after step 3 (no later than 45 days prior to the meeting)</u>

⁶ Support will be withdrawn, and any flights, accommodation or DSA repaid, if the delegates do not attend the meeting as planned and/or if an application for CNCP status is not received as required [information paper is not submitted].

⁷ Support will be withdrawn, and any flights, accommodation or DSA repaid, if the delegates do not attend the meeting as planned and/or if an application for CNCP status is not received as required.

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