

9th Meeting of the Parties (MoP9)

Reunion Island, 4 - 8 July 2022

Provisional SIOFA Compliance Report

Compliance Committee

2022-06-30

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Abstract

According to CMM 2020/11 the Compliance Committee shall review the Draft Compliance Report (dSCR) and propose a Provisional Compliance Report (pSCR) to the MoP.

This document is the pSCR proposed by the CC that has been build from the dSCR. When an obligation has been determined as not applicable, non-relevant for assessment, or when a provision in not mandatory, the relevant obligation has been removed out of the pSCR.

Only the obligations that require the attention of the MoP have been listed in this document for adopting a final compliance status.

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² Documents available only to members invited to closed sessions as per SIOFA RoP 20.

Recommendations (for proposals and working papers only)

- The MoP to review the Provisional Compliance Report (pSCR) and adopt a final compliance status for the CCPs on the items listed in section 6 of the pSCR
- The MoP to adopt a final Compliance Report.

PROVISIONAL SIOFA Compliance Report (pSCR)

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1. Compliance Assessment Period

January 2021-December 2021

2. CMMs assessed in accordance with this CMM

All CMMs in force during the Compliance Assessment Period with the exception of CMM 2016/03 on Data Confidentiality.

3. Proposals to amend or improve existing CMMs

(Raised by the CC during its provisional compliance report building session)

СММ	Proposals
2020/01	
2021/02	Para 08. Review of applicability of para 8 because it relates to data collection in deep-sea fisheries
2016/04	
2016/05	
2018/06	Para 31. Review the non-mandatory requirement to provide contact points to be mandatory
2019/07	
2020/08	
2018/09	Para 7a. To be reviewed for the exclusion of the retrieval requirement for hand line fishing gears.
2020/11	Review compliance status to enable a better assessment than non-compliant status when corrective actions have been already undertaken
2019/12	Para 3. Wording of "in SIOFA fisheries" to be improved/clarified in the CMM
2019/13	
2021/14	
2021/15	

4. Priority obligations to be monitored and reviewed

(List, if applicable)

СММ	Paragraph	Comments

5. Additional obligations to be included within the scope of the CMS (list if applicable):

Obligations	Reference

6. Compliance Committee Assessment

Implementation of the Southern Indian Ocean Fisheries Agreement

Table 1 Implementation of the Southern Indian Ocean Fisheries Agreement

CCP	Obligation (include paragraph number, summary description)	2020 Compliance	Curr	rent 2021 assessment and Supporting	g info		Provisional Compliance Status	Follow up responsive or corrective action proposed
		Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
KOR	10 (2) Each Contracting Party shall make available to the Meeting of the Parties a statement of implementing and compliance measures, including imposition of sanctions for any violations, it has taken in accordance with this article and, in the case of coastal States that are Contracting Parties to this Agreement, as regards the conservation and management measures they have taken for straddling stocks occurring in waters under their jurisdiction adjacent to the Area.	Compliant		A Compliance report has been provided in 2021	Compliant		Compliant	
MAU		Critically Non- Compliant	Compliant Mauritius is a Contracting Party to the IOTC and complies with all conservation and management measures including the resolutions are applicable. Mauritius also issues fishing licences to foreign fishing vessels targeting tuna and pelagic fish. The fishing licences contain conditions relevant to all conservation and	This report covers the period between 1st January 2021 to 31st December 2021. Compliance report was not submitted by Mauritius in 2021. The Secretariat notes that Mauritius provides a Compliance Report in 2022.	Critically Non- Compliant		Critically Non- Compliant	

ССР		2020 Compliance	Curi	rent 2021 assessment and Supportin	g info		Provisional Compliance Status	Follow up responsive or corrective action proposed
		Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
	stocks occurring in waters under their jurisdiction adjacent to the Area.		management measures with regard to pelagic fish					
MAU	11.3 (c) Each Contracting Party shall in conformity with the rules determined by the Meeting of the Parties, make available to each annual Meeting of the Parties a report on its fishing activities in the Area	Critically Non- Compliant	Non-Compliant	No National Report has been provided in 2021. The Secretariat notes that Mauritius provided a National Report in 2022.	Critically Non- Compliant		Critically Non- Compliant	
SYC	11.3 (c) Each Contracting Party shall in conformity with the rules determined by the Meeting of the Parties, make available to each annual Meeting of the Parties a report on its fishing activities in the Area	Compliant	Not Assessed	Seychelles submitted a National Report in 2021. The compliance status would be compliant.	Compliant		Compliant	

Implementation of SIOFA CMMs

Table 2: Implementation of CMM 2020/01 and CMM 2019/01 (Interim Management of Bottom Fishing)

ССР	Obligation (include paragraph number, summary description)	2020 Compliance	Current 2021	assessment and Supporting	g info		Provisional Compliance Status	Follow up responsive or corrective action proposed
	number, summary description)	Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
CN	20. CCPs shall, at least 30 days prior to the commencement of the ordinary meeting of the Scientific Committee in 2018, submit to the Secretariat: a. relevant data on the spatial extent of its historical bottom fishing effort in the Agreement Area expressed as grid blocks of at least 20 minutes resolution or, if available, a finer scale; and b. any other data the Scientific Committee may consider to be useful in developing the SIOFA BFIA referred to in paragraph 7b., including data relating to recorded encounters with VMEs or indicators of VMEs.	Not Assessed	"Not Assessed. China was not a Contracting Party in 2018. China had been engaging in demersal trawling from 2000 to 2002. As there were no specific requirements for fishing record at time, for example what specific items shall be recorded in the logbooks, the vessel operators recorded the catch in their own designed fishing logbooks for their own purposes. Besides in current SIOFA Area, the fishing company engaged in demersal trawling almost 20 years ago and at that time there were no domestic regulations or laws requiring Chinese fishing companies to store their fishing logbooks for a certain period. As the result the fishing logbooks have not been filed. Then SIOFA came into force in June 2012 and the first CMM for demersal stocks had been adopted in 2016. Therefore it is really		Not Assessed		Not Assessed	

ССР	Obligation (include paragraph number, summary description)	2020 Compliance	Current 202	1 assessment and Supporting	info		Provisional Compliance Status	Follow up responsive or corrective action proposed
	number, summary description)	Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
			beyond China's capacity to offer such more refined data as those tow-by-tow in terms of demersal trawling and only the total catch, main target species and total fishing hours were collected through the thorough efforts by both Chinese scientists and the fishing company, and submitted to SIOFA in China's national reports.					
JPN	10 (1) (a) Until such time as the Meeting of the Parties has acted in accordance with paragraph 9 on the advice of the Scientific Committee provided in accordance with paragraph 7, each CCP shall, unless otherwise approved by the Meeting of the Parties, establish and apply specific measures to limit the level and spatial extent of the bottom fishing effort of vessels flying their flag. In particular, such measures shall include: a. for CCPs that have fished more than 40 days in a single year, in the Agreement Area, at the time this CMM was adopted: iii. provisions to ensure its bottom fishing will not have significant adverse impacts on VMEs and, where applicable, shall take into account its BFIA prepared and submitted pursuant to paragraph 21 or 22, and any areas identified under paragraph 18 where VMEs are known to occur;	Compliant	Not Applicable Not applicable(N/A). The Japanese fishing vessel used the mid water trawl.	Clarification is required on the fact that mid water trawling is not bottom fishing	Not assessed	This status should be 'Compliant'. National regulations allows all Japanese vessels to fish within SIOFA Area provided that they follow each provision of SIOFA CMM.	Compliant	
KOR	20. CCPs shall, at least 30 days prior to the commencement of the ordinary meeting of the Scientific Committee in 2018, submit to the Secretariat: a. relevant data on the spatial extent of its historical bottom fishing effort in the Agreement Area expressed as grid blocks of at least 20 minutes resolution or, if available, a finer scale; and b. any other data the Scientific Committee may consider to be useful in developing the SIOFA BFIA referred to in paragraph 7b., including data relating to recorded	Compliant	Not Applicable	Finer level scale data relevant to historical fishing has been provided for 2009-2013 period in 2021.	Compliant		Compliant	

ССР	Obligation (include paragraph number, summary description)	2020 Compliance	Current 20	21 assessment and Supporting	; info		Provisional Compliance Status	Follow up responsive or corrective action proposed
	number, summary description,	Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
	encounters with VMEs or indicators of VMEs.							
MAU	10 (1) b. Until such time as the Meeting of the Parties has acted in accordance with paragraph 9 on the advice of the Scientific Committee provided in accordance with paragraph 7, each CCP shall, unless otherwise approved by the Meeting of the Parties, establish and apply specific measures to limit the level and spatial extent of the bottom fishing effort of vessels flying their flag. In particular, such measures shall include: b. for CCPs that have not fished more than 40 days in a single year, in the Agreement Area, at the time this CMM was adopted: i. limits on its bottom fishing effort and/or catch, and spatial distribution, as disclosed to the Meeting of the Parties in accordance with paragraph 10(2); and ii.provisions as referred to in subparagraphs 10(1) a. iii. and iv.	Critically Non- Compliant	Not Applicable	Bottom fishing is defined by para 3 c of CMM 2020/01: 'bottom fishing' means fishing using any gear type likely to come in contact with the seafloor or benthic organisms during the normal course of operations. Does Mauritius handline fishing gears are likely to come in contact with the seafloor (and being bottom fishing) or not?	Not assessed	MAU	Not applicable	

Table 3: Implementation of CMM 2021/02 and CMM 2019/02 (Data Standards)

ССР	Obligation (include paragraph number, summary description)	2020 Compliance		Current 2021 assessment and Supporting info				Follow up responsive or corrective action proposed
		Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	Compliance Status proposed by CC	to be undertaken
JPN	15. CCPs shall, for all observed trips, collect observer data in accordance with the relevant sections of Annex B. All observer data collected by CCPs shall be reported to the Secretariat by 31 May each year for the previous calendar year.	Compliant	Compliant	There has been no information provided about seabirds' abundance in the observer data submitted in 2021. CMM 02 annex B require the observers to record birds' presence and abundance.	Not assessed	"The status should be 'compliant'. ""Estimated species abundance around fishing vessel"" is listed under the item ""Incidental bycatch of seabirds, mammals, turtles or 'other species of concern' "" in CMM 2021/02 Annex B. For this item, as a result of lengthy discussion at	Compliant	

ССР	Obligation (include paragraph						Provisional Compliance Status	Follow up responsive or corrective action proposed
	number, summary description)	Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
						the MoP8, the requirement in the relevant CMM(Annex B of CMM 2021/02) is became qualified by ""as much as possible"", as indicated in the text of this item. Japan's understanding is that submission of presence and estimated number of seabirds is not mandatory."		
KOR	10. To assist with the development of a bottom fishing footprint and stock assessments, each CCP shall provide the Secretariat with all historical catch and effort, and if available observer data for vessels flying their flag and fishing in the Agreement Area at any time during period 2000-2015 and any previous years where available in accordance with annex A and annex B to the extent applicable. Any State or fishing entity that becomes a Party to the Agreement, a CNCP or PFE after the date this CMM is adopted shall provide their historical data to the Secretariat within 12 months of becoming Party to the Agreement, or becoming a CNCP or PFE.	Non- Compliant	Compliant		Compliant		Compliant	
MAU	5. CCPs shall collect vessel catch and effort data on a haul-by-haul basis.	Critically Non- Compliant	Not Assessed	Haul by haul data collection does not apply to handlines: CMM 02 uses the word "operation" for handline fishing. (Not Applicable)			Not assessed	Para 5 of CMM 2021/051 to be revised
MAU	6. CCPs shall report to the Secretariat, by 31 May each year, the data collected under paragraphs 4 and 5 for the previous calendar year, in accordance with the format prescribed in the corresponding annexes.	Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non- Compliant		Critically Non- Compliant	
MAU	8. To assist in data collection CCPs shall implement on-board all fishing vessels flying their flag the FAO Identification guide to the deep–sea cartilaginous fishes of the Indian Ocean. Where available the use of Smartforms may be considered.	Critically Non- Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non- Compliant		Not applicable No deep-sea cartilaginous species targeted	

ССР	Obligation (include paragraph number, summary description)	2020 Compliance		Current 2021 assessment	and Supporting info		Provisional Compliance Status	Follow up responsive or corrective action proposed
		Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
MAU	 9. Following the entry into force of this CMM, CCPs shall provide to the Scientific Committee, at least 30 days prior to the commencement of each ordinary meeting, an annual National Report of their fishing, research and management activities in accordance with the following: a. For the first report: the National Report shall include details of activities of the previous five calendar years; b. For all reports thereafter: the National Report shall include details of activities of the previous calendar year; and c. In either case, the National Report shall take into account the guidelines prepared by the Scientific Committee for the preparation of such reports 	Critically Non- Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non- Compliant		Critically Non- Compliant	
MAU	10. To assist with the development of a bottom fishing footprint and stock assessments, each CCP shall provide the Secretariat with all historical catch and effort, and if available observer data for vessels flying their flag and fishing in the Agreement Area at any time during period 2000-2015 and any previous years where available in accordance with annex A and annex B to the extent applicable. Any State or fishing entity that becomes a Party to the Agreement, a CNCP or PFE after the date this CMM is adopted shall provide their historical data to the Secretariat within 12 months of becoming Party to the Agreement, or becoming a CNCP or PFE.	Critically Non- Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non- Compliant		Critically Non- Compliant	
MAU	12. All CCPs shall implement national scientific observer programs to collect from activities undertaken by vessels flying their flag: a. Vessel information, effort and catch data for its fishing activities in the Agreement Area, including target, non-target and associated and dependent species including marine mammals, marine	Critically Non- Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non- Compliant		Critically Non- Compliant	

ССР	Obligation (include paragraph	2020		Current 2021 assessment		Provisional	Follow up responsive or	
	number, summary description)	Compliance Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	Compliance Status proposed by CC	corrective action proposed to be undertaken
	reptiles, seabirds or 'other species of concern'; b. Biological or other data and information relevant to the management of fishery resources in the Agreement Area, as specified in this CMM, or as identified from time to time by the Scientific Committee or through processes identified by the Meeting of the Parties; and c. Relevant scientific information related to the implementation of the provisions of the CMMs adopted by the Meeting of the Parties.							
MAU	 18. CCPs shall: a. ensure that fishery data are verified through an appropriate system of data verification mechanisms; b. develop, implement, and improve data verification mechanisms, which may include: Position verification through vessel monitoring systems; ii.Independent monitoring, including scientific observer programs and approved electronic observer programs, to verify industry data on catch, effort, catch composition (target and non- target), discards and other details of fishing operations; iii. Vessel trip, landing and transhipment reports; and iv. Port sampling. provide to the Scientific Committee, through their National Report, an annual data verification report which should provide information regarding their development and implementation of data verification mechanisms. 	Critically Non- Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years	Critically Non- Compliant		Critically Non- Compliant	
СОМ	8. To assist in data collection CCPs shall implement on-board all fishing vessels flying their flag the FAO Identification guide to the deep–sea cartilaginous fishes of the Indian	Critically Non- Compliant		No information provided (the ship uses a Mauritian logbook)	Not Assessed		Not Applicable No deep-sea cartilaginous species targeted	

ССР	Obligation (include paragraph number, summary description)	2020 Compliance		Current 2021 assessment	and Supporting info		Provisional Compliance Status	Follow up responsive or corrective action proposed
		Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
	Ocean. Where available the use of Smartforms may be considered.							
СОМ	10. To assist with the development of a bottom fishing footprint and stock assessments, each CCP shall provide the Secretariat with all historical catch and effort, and if available observer data for vessels flying their flag and fishing in the Agreement Area at any time during period 2000-2015 and any previous years where available in accordance with annex A and annex B to the extent applicable. Any State or fishing entity that becomes a Party to the Agreement, a CNCP or PFE after the date this CMM is adopted shall provide their historical data to the Secretariat within 12 months of becoming Party to the Agreement, or becoming a CNCP or PFE.	Critically Non- Compliant	"Compliant The ship owner has not recorded this data in a clear format"	The format could be investigated and effort done to restore any data of value	Not Assessed	we are waiting for the data from the ship awner to make the study	Not Assessed	Mauritius and Comoros to work on the data availability (information to be provided within 30 days after the end of the CC6 meeting)

Table 4: Implementation of CMM 2016/04 (Vessels Without Nationality)

ССР	Obligation (include	2020 Compliance Status	Cı	urrent 2021 assessme	nt and Supporting info	Provisional Compliance Status proposed by CC	Follow up responsive or corrective action proposed to be undertaken	
	paragraph number, summary description)		2021 Compliance Status Secretariat 2021 Compliance Feedback from CCP proposed by CCP Comments Status proposed by Secretariat				proposed by CC	undertaken

Table 5: Implementation of CMM 2016/05 (Pelagic Driftnets and Deepwater Gillnets)

ССР	Obligation (include	2020 Compliance Status	Cu	urrent 2021 assessme	nt and Supporting info		Provisional Compliance Status proposed by CC	Follow up responsive or corrective action proposed to be undertaken
	paragraph number, summary description)		2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	undertaken

Table 6: Implementation of CMM 2018/06 (IUU Vessel List)

ССР	Obligation (include paragraph number, summary description)	2020 Compliance Status		Current 2021 assessmen	t and Supporting info		Provisional Compliance Status	Follow up responsive or corrective action proposed
		Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
MAU	30. Without prejudice to the primacy of the responsibility of the flag State, each Contracting Party, CNCP and PFE shall take appropriate measures, subject to, and in accordance with, their applicable laws and regulations: (a) to verify if any of their nationals or any natural or legal persons subject to their jurisdiction are engaged in the activities described in paragraph 5; (b) to verify if any of their nationals or any natural or legal persons subject to their jurisdiction are responsible for, benefiting from, supporting or engaging in the activities described in paragraph 5 (e.g. as operators, effective beneficiaries, owners, logistics and service providers, including insurance providers and other financial service providers); (c) to take appropriate action in response to any verified activities referred to in sub-paragraphs 30 (a) and (b). Such action may include measures to effectively deprive any of the participants in such activities of the benefits obtained and effectively dissuade the actors of further illegal activities.	Critically Non- Compliant	Compliant	Based on CCR 2021 received from Mauritius	Compliant		Compliant	
MAU	32. To assist with the implementation of this CMM, Contracting Parties, CNCPs and PFEs shall include in their annual implementation reports of the actions and measures taken in accordance with this CMM.	Critically Non- Compliant	Non-Compliant	Repeated non- compliance with an obligation for two or more consecutively assessed years	Critically Non- Compliant		Critically Non- Compliant	

Table 7: Implementation of CMM 2019/07 (Vessel Authorisation)

ССР	Obligation (include paragraph number, summary description)	2020 Compliance Status		Current 2021 assessment	t and Supporting info		Provisional Compliance Status	Follow up responsive or corrective action proposed
		Status	2021 Compliance	2021 Compliance Secretariat Comments 2021 Compliance Feedback from CCP				to be undertaken
			Status proposed		Status proposed			
			by CCP		by Secretariat			
СТ	6. (e) Each CCP shall ensure, to the extent possible	Compliant	Compliant	CCP shall ensure that the	Not Assessed	1. Indeed, as the	Compliant	
	under domestic law, that the owners and			owner or operator of its		comment made by		
	operators of its registered vessels on the SIOFA		We have taken	registered vessels are		the Secretariat,		
	Record of Authorised Vessels are not engaged in or		necessary	not engaged in or		there is no provision		
	associated with fishing activities conducted in the		measures	associated with fishing		in the CMM		
	Agreement Area by vessels not registered in the		including VMS	activities conducted in		regulating the		
	SIOFA Record of Authorised Vessels		and transhipment	the Agreement Area by		fishing activities		

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Table 8: Implementation of CMM 2020/08 and CMM 2017/08 (Port Inspection)

ССР	Corresponding Question in CCR	2020 Compliance		Current 2021 assessme	ent and Supporting info		Provisional Compliance Status	Follow up responsive or corrective action proposed
		Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
MAU	2. Have you notified the Secretariat of designated ports to which foreign vessels may request entry in accordance with the requirements of paragraph 2? (Coastal members: obligation, other members: encouragement). Were there any changes to your designated ports during this compliance assessment period? If yes, were these notified within 30 days before the change took effect?	Critically Non- Compliant	Compliant In 2021, only local vessels fished in the Agreement Area	No Mauritius Port to which foreign vessels may request entry has been notified to the Secretariat for two or more consecutive years.	Critically Non- Compliant		Compliant	Mauritius advised the CC that Port Louis was the only port for foreign vessels. Mauritius will provide all information relevant to its port in the next 3 weeks.
MAU	8. Did you deny any foreign vessel entry into your port(s)? If yes, was this decision communicated to the flag State and to the Secretariat?	Critically Non- Compliant	To the Flag State only	The decision to deny foreign vessel entry into port must be communicated to the Secretariat as well.	Non-Compliant		Compliant Deny of port entry was for IOTC registered vessels only.	
MAU	24. Did all written inspection reports produced include, at a minimum, the information set out in Annex IV? If not , what prevented all information in Annex IV being included? Were all inspection reports produced forwarded to the competent authority of the inspected vessel and the Secretariat?	Critically Non- Compliant	Compliant	According to the CCR, 11 inspections have been performed by Mauritius on SIOFA vessels in 2021, but	Non-Compliant		Non-Compliant	Mauritius will provide the relevant report as soon as possible

		the inspection reports have not been received at the Secretariat		

Table 9: Implementation of CMM 2018/09 (Control)

ССР	Obligation (include paragraph number, summary description)	2020 Compliance Status	Cu	urrent 2021 assessment	and Supporting info		Provisional Compliance Status	Follow up responsive or corrective action proposed
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
AUS	7 (f) Each Contracting Party, CNCP and PFE shall ensure that its competent authority shall without delay notify the Secretariat of the information referred to in sub-paragraphs (d) and (e). Where the Contracting Party, CNCP or PFE provides consent, the Secretariat shall put this information on the SIOFA website.	Compliant	Non-Compliant (Two Australian flagged vessels lost gear during this assessment period. Both vessels reported gear loss to the competent authority without delay. The competent authority reported initial incidents of gear loss by one vessel to the Secretariat without delay, and in the other case the lost gear has not yet been reported to the Secretariat. A full report of all gear lost by both vessels will be submitted to the Secretariat directly and as part of the Annual Data Submission (31st May).)		Non-Compliant	Australia will provide the complete list of lost gear events as part of its annual data submission.	Non-Compliant	Australia provided a full report of lost gear in June 2022.
MAU	2. Contracting Parties, CNCPs and PFEs shall designate the competent authority or authorities which shall act as the Contact Point for the purposes of receiving reports and notifications and issuing authorisations in accordance with the relevant SIOFA CMMs. Each Contracting Party, CNCP or PFE shall send to the Secretariat the name, telephone number, e-mail address and fax number of at least two designated contact points before 30 days have passed following the adoption of this CMM. Any subsequent changes to the list shall be notified to the Secretariat without delay. The Secretariat shall put the details of the Contact Points and	Critically Non- Compliant	Compliant	The Secretariat did not receive the Contact Point for two or more consecutive years.	Critically Non- Compliant		Compliant	Permanent secretary is in charge of communications relevant to this CMM. Mauritius will provide this information to the ES before the end of the CC6 meeting.

ССР	Obligation (include paragraph number, summary description)	2020 Compliance Status	C	urrent 2021 assessment	and Supporting info		Provisional Compliance Status	Follow up responsive or corrective action proposed
		Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
	any changes thereto on the SIOFA website without delay.							
MAU	7 (a). Each Contracting Party, CNCP and PFE shall ensure that vessels flying its flag operating with any gear on board where possible have equipment on board to retrieve abandoned, lost or otherwise discarded fishing gear (ALDFG) and training available to facilitate the recovery of ALDFG.	Critically Non- Compliant	Non-Compliant Information not available	Repeated non- compliance with an obligation for two or more consecutively assessed years;	Critically Non- Compliant		Not assessed	The CC propose to review this paragraph for consideration of hand line fishing.
MAU	10. Each Contracting Party, CNCP and PFE shall ensure that: (a) when frozen, all fishery resources or fishery resource products derived from fishing caught and retained onboard shall be identified by a clearly legible label or stamp. The label or stamp, on each box, carton, container, bag or block (hereafter 'package') of frozen fishery resources or fishery resource products derived from fishing, shall indicate the species (e.g. common name/scientific name/FAO 3- Alpha code/codes as defined by the Scientific Committee), presentation, production date, and vessel identification number of the catching vessel. Where a package contains multiple species, the label or stamp shall indicate all of the species contained in the package and its quantity in kilograms; (b) labels are securely affixed, stamped, pre-printed or written on packaging at the time of stowage and be of a size that can be clearly read by inspectors in the normal course of their duties; (c) labels are marked in ink on a contrasting background; and (d) each package shall contain only one species (common name/scientific name/FAO 3-Alpha code or codes as defined by the Scientific Committee) unless the package: i. contains small quantities of mixed species intended for human consumption, and that do not exceed 25 kg of any single species by haul, or ii. contains fishery resources intended for	Critically Non- Compliant	Non-Compliant	Repeated non- compliance with an obligation for two or more consecutively assessed years;	Critically Non- Compliant		Compliant	

ССР	Obligation (include paragraph number, summary description)	2020 Compliance Status	C	urrent 2021 assessment	and Supporting info		Provisional Compliance Status	Follow up responsive or corrective action proposed
	Summary description)	Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
	use other than human consumption (such as, for example, fish meal). The words « not for human consumption » shall appear on the label, (e) Packages referred in sub-paragraph (d) will be stored onboard the fishing vessel in a manner that allows observers and inspectors to perform their respective tasks. Observers, when they are on board, shall record weight and species composition in packages containing multiple species. (f) The provisions under sub-paragraph (d) shall not constrain the collection and reporting of data required under Annex A of CMM							
MAU	2021/02. 12. Each Contracting Party, CNCP or PFE shall ensure that vessels flying its flag report any presumed fishing as defined in the Agreement, including transshipment, by vessels flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement in the Agreement Area. Each Contracting Party, CNCP or PFE shall ensure that reports from vessels flying its flag contain, to the extent possible, the following information: (a) name of vessel; (b) registration number/call sign of the vessel (c) flag State of the vessel; (d) date, time and position of sighting consistent with the standards for specification of data described in CMM 2021/02; and (e) any other relevant information regarding the sighted vessel, including photographs.	Critically Non- Compliant	Compliant		Compliant		Compliant	
СОМ	 Contracting Parties, CNCPs and PFEs shall designate the competent authority or authorities which shall act as the Contact Point for the purposes of receiving reports and notifications and issuing authorisations in accordance with the relevant SIOFA CMMs. Each Contracting Party, CNCP or PFE shall send to the Secretariat the name, telephone number, e-mail address and 	Non-Compliant	Compliant	The contacts have been designated and provided to the Secretariat	Compliant		Compliant	

ССР	Obligation (include paragraph number, summary description)	2020 Compliance Status	(Current 2021 assessment	and Supporting info		Provisional Compliance Status	Follow up responsive or corrective action proposed
			2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
	fax number of at least two designated contact points before 30 days have passed following the adoption of this CMM. Any subsequent changes to the list shall be notified to the Secretariat without delay. The Secretariat shall put the details of the Contact Points and any changes thereto on the SIOFA website without delay.							

Table 10: Implementation of CMM 2019/10 (Monitoring)

ССР	Obligation (include paragraph number, summary description)	2020 Compliance		Current 2	021 assessment and S	upporting info	Provisional Compliance	Follow up responsive or corrective action
		Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	Status proposed by CC	proposed to be undertaken
MAU	10. Each CCP shall ensure that the ALCs fitted on board vessels flying its flag are tamper resistant, that is, are of a type and configuration that prevent the input or output of false positions, and that they are not capable of being over- ridden, whether manually, electronically or otherwise. To this end, the ALC must: a. be located within a sealed unit; and b. be protected by official seals (or mechanisms) of a type that will indicate whether the unit has been accessed or tampered with.	Critically Non- Compliant	Non-Compliant	Repeated non- compliance with an obligation for two or more consecutively assessed years;	Critically Non- Compliant		Compliant	No further action required
MAU	14. CCPs shall require their vessels or relevant authorities to notify the Secretariat, by email or other means, within 24 hours, in the format provided in Annex I, of each entry to or exit from the Agreement Area of vessels authorised to fish for species managed by SIOFA flying its flag, in accordance with CMM 2019/07.	Critically Non- Compliant	Non-Compliant	No notification received by Secretariat, for two or more consecutive years	Critically Non- Compliant		Critically Non- Compliant	Mauritius to provide soon the entry/exit reports to the Secretariat
СТ	14. CCPs shall require their vessels or relevant authorities to notify the Secretariat, by email or other means, within 24 hours, in the format provided in Annex I, of each entry to or exit from the Agreement Area of vessels	Compliant	Compliant	The entry-exit reports are provided to the Secretariat but the sequence of entries and exit as received at the Secretariat is not	Not Assessed	 During the CC5 meeting last year, the Secretariat raised the issue of the anomalies of entry and exit reports of our fishing vessels. In order to address this issue, we contacted the mobile communication service provider (CLS), trying to understand the reasons behind 	For MoP to assess	

ССР	Obligation (include paragraph number, summary description)	2020 Compliance		Current 2	021 assessment and S	Supporting info	Provisional Compliance	Follow up responsive or corrective action
		Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	Status proposed by CC	proposed to be undertaken
	authorised to fish for species managed by SIOFA flying its flag, in accordance with CMM 2019/07.			consistent for many vessels. The issue has already been reported in 2021, and also in 2022 to C.T.		the anomalies. After investigation, it turned out that CLS failed to provide the services for a few times last year, affecting many fishing vessels worldwide. 3. Whereas the incident was caused by technical failure, we have revised our automatic reporting system in August 2021, so as to prevent any further disruption from mobile communication service providers. 4. With the assistance of the Secretariat, it is confirmed that our entry/exit reports after August 2021 have been working well without further anomaly. 5. Given that the issue of the anomalies have been solved and we have transmitted the entry/exit reports to the Secretariat within 24hrs regularly, we suggest that this item to be amended as "compliant."		
СТ	15. Each CCP shall ensure that vessels flying its flag only undertake transshipments at sea of fishery resources, with other vessels included on the SIOFA Record of Authorised Vessels.	Non- Compliant	Compliant		Compliant		Compliant	
СТ	16. Each CCP shall ensure, for each vessel flying its flag undertaking an at sea transshipment operation, that: a. the vessel notifies its competent authority at least 7 days in advance of a 14-day period during which the at sea transshipment is scheduled to occur. b. the vessel notifies its competent authority 24 hours in advance of the estimated time during which the at sea transshipment will occur. c. the notifications referred to in a. and b. shall include the relevant information available regarding the at sea transshipment Notification Annex II. d. its competent authority transmits the notifications referred to in a. and b. to the Secretariat without delay. e. an impartial and qualified observer that it has authorised is either on board the receiving vessel or the unloading vessel, who shall to the extent possible monitor	Non- Compliant	Non-Compliant When our longline fishing vessels operating in the Indian Ocean conduct at- sea transhipment with carrier vessels, there will be IOTC ROP observers onboard the carrier vessels. The observers will submit the report to the IOTC Secretariat, and the IOTC Secretariat will then distribute them to the flag States concerned,		Non-Compliant		For MoP to assess	CMM amendment is proposed by CT

	pligation (include paragraph number, mmary description)	2020 Compliance		Current 20	Provisional Compliance	Follow up responsive or corrective action		
30111		Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	Status proposed by CC	proposed to be undertaken
poss out i relat (FAO name trans sub-j Tran comj vess subm Tran sub-j Iater the c the c auth Tran	e transshipment and to the extent ssible completes the logsheet as set t in Transshipment Logsheet Annex III lating to quantities of the species AO species/group code/scientific me) of any fishery resources being insshipped. f. an observer referred to b-paragraph e. provides a copy of the ansshipment Logsheet to the mpetent authority of the observed ssel. g. its competent authority bmits the observer data on the ansshipment Logsheet referred to in b-paragraph f. to the Secretariat, no er than 15 days from debarkation of e observer. h. the vessel notifies all of e operational details to its competent thority, as specified in a ansshipment Declaration Annex IV, thin 24 hours following the unsshipment.		which means it would take us longer than 15 days to submit observer reports to our Secretariat. We are proposing an amendment to CMM 2019/10 to the Compliance Committee for discussion .					

Table 11: Implementation of CMM 2020/11 and 2018/11 (Compliance Monitoring Scheme)

ССР	Obligation (include paragraph number, summary description)	2020 Compliance		Current 2021 assessn	nent and Supporting info		Provisional Compliance	Follow up responsive or corrective action
		Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	Status proposed by CC	proposed to be undertaken
MAU	12. Each CCP shall prepare a CCP Compliance Report on the basis of the template referred to in paragraph 10 which shall include a preliminary self-assessment of its compliance status for each assessed obligation, using Annex I as a reference, and return it to the Secretariat no later than 60 days before the commencement of the next ordinary Meeting of the Parties.	Critically Non- Compliant	Non-Compliant	Repeated non-compliance with an obligation for two or more consecutively assessed years. (A first Compliance report has been received in 2022)	Critically Non- Compliant		Critically Non- Compliant	

Table 12: Implementation of CMM 2019/12 (Sharks)

ССР			Current 2021 assessment and Supporting info		
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	Obligation (include paragraph number, summary description)	2020 Compliance Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	Provisional Compliance Status proposed by CC	Follow up responsive or corrective action proposed to be undertaken
SYC	3. CCPs shall ensure that fishing vessels flying their flag record and submit all reporting requirements as per CMM 2021/02 (Data Standards) for all deep-sea sharks to the lowest taxonomical level possible when caught in SIOFA fisheries.	Not Applicable	Not Assessed Term "SIOFA Fisheries" Needs clarification		Not Assessed		Not Assessed	The CC proposed to review this paragraph.

Table 13: Implementation of CMM 2019/13 (Mitigation of Seabirds Bycatch)

ССР	Obligation 2020 Compliance (include Status			Current 2021 assessme	Provisional Compliance Status	Follow up responsive or corrective action	
	paragraph number, summary description)		2021 Compliance Status proposed by CCP	Secretariat Comments	proposed by CC	proposed to be undertaken	

Table 14: Implementation of CMM 2019/14 AND 2021/14 (High Seas Boarding and Inspection Procedures)

ССР	Obligation (include paragraph number, summary description)	2020 Compliance		Current 2021 assessmen	nt and Supporting info		Provisional Compliance Status	Follow up responsive or corrective action proposed
	summary description)	Status	2021 Compliance Status proposed by CCP	Secretariat Comments	2021 Compliance Status proposed by Secretariat	Feedback from CCP	proposed by CC	to be undertaken
MAU	6. Each CCP shall require the masters of fishing vessels flying their flag to accept and facilitate boarding and inspection carried out pursuant to this measure.	Critically Non- Compliant	Not Applicable	The master of fishing vessels should be required to accept and facilitate High-sea boarding and inspections, therefore a not applicable does not seem relevant.	Not assessed		Compliant	Mauritius clarified that this provision is mandatory and applied accordingly
MAU	8. Each CCP shall provide this measure or a translation of it to vessels flying its flag and ensure that vessels flying its flag accept boarding and inspection by Authorised Inspectors in accordance with these procedures.	Critically Non- Compliant	Non-Compliant		Non-Compliant		Critically Non- Compliant	Mauritius to provide the necessary information to its vessels
SYC	8. Each CCP shall provide this measure or a translation of it to vessels flying its flag and ensure that vessels flying its flag accept boarding and inspection by Authorised Inspectors in accordance with these procedures.	Not Applicable	Not Assessed No Vessel fishing for fishing resources in SIOFA AA	This obligation may apply to any vessel fishing in the SIOFA Area.	Not Assessed		Not Assessed	Applicability (SIOFA/IOTC) to be discussed under another agenda item

ССР	Obligation (include	Corresponding Question in CCR	2020 Compliance Status		Current 2021 asses	sment and Supporting info		Provisional Compliance Status	Follow up responsive or corrective action
	paragraph number, summary description)			2021 Compliance Status Secretariat 2021 Compliance Status Feedback from CCP proposed by CCP Comments proposed by Secretariat Feedback from CCP				proposed by CC	proposed to be undertaken

Table 15: Implementation of CMM 2020/15 and 2021/15 (Management of Demersal Stocks)