

MoP-07-44

7<sup>th</sup> Meeting of the Parties of the South Indian Ocean Fisheries Agreement  
(MoP7)  
17-20 November 2020 (online)

## Final SIOFA Compliance Report

*Relates to agenda item: 7*

Working paper  Info paper

### Chairperson of the MOP

---

#### **Abstract**

The Meeting of the Parties is required to adopt a Final SIOFA Compliance Report. This report has been prepared taking into account the recommendations of the Compliance Committee and the responses received to correspondence interventions received. As the fSCR is a new document, a new meeting paper number has been given and there are no tracked changes. However, for ease of reference, new content (that is, content not appearing in the pSCR) is highlighted in yellow.

---

---

#### **Recommendations** *(working papers only)*

The Meeting of the Parties adopt the Final SIOFA Compliance Report.

---

# Final SIOFA Compliance Report

In order to facilitate monitoring and evaluation of compliance as provided by CMM2019/11 paragraph 14:

- Only the obligation with a status other than “Compliant” or with specific comments have been displayed
- Long comments are shared as notes following the table to which they relate.

CCP abbreviations used: AUS-Australia, CI-Cook Islands, CN-China, EU-European Union, FR-France (Territories), JPN-Japan, KOR-Korea, MU-Mauritius, SYC-Seychelles, CT-Chinese Taipei, THA-Thailand, COM-Comoros

1. Compliance Assessment Period: 1<sup>st</sup> January 2019 to 31 December 2019 [year 2019]

2. CMMs assessed in accordance with this CMM: All CMMs currently in force

3. Technical impediments to compliance identified a. (List, if applicable)

None identified by the MOP.

4. Amendments to existing CMMs a. (example) CMM 20XX/XX

The MOP agreed to delete paragraph 44 from CMM 2019/01 (discussed below)

5. Priority obligations to be monitored and reviewed a. (List, if applicable)

Not discussed in 2020

6. Additional obligations to be included within the scope of the CMS: a. (List, if applicable)

Not discussed in 2020

7. Response to the Compliance Committee’s assessment

The Meeting of the Parties endorsed the further actions specified by the Compliance Committee in the Provisional Compliance Report.

Paragraph 44 of CMM 2019/01

The Meeting of the Parties noted that Australia provided VMS data to the Secretariat. The Meeting of the Parties also noted that the Provisional Compliance Report identifies that the Thailand and the Cook Islands did not provide VMS data. The Meeting of the Parties further noted that other CCPs had engaged in bottom fishing and had not provided VMS data, so it was unclear why the Cook Islands and the EU has been selectively included.

In view of the fact that Australia has provided its VMS data, and that CCPs may not have been treated equally in this process, the Meeting of the Parties agreed that the compliance assessment of paragraph 44 of CMM 2019/01 should not be included in the Final Compliance Report.

The MOP was requested to provide clarity on the application of paragraph 44 of CMM 2019/01 as the Compliance Committee could not reach consensus on whether paragraph 44 obliges CCPs to provide VMS data. There remains no consensus no whether paragraph 44 presently obliges CCPs to provide VMS data. These different views are reflected in the report of the meeting. The Meeting of the Parties also noted that this issue has been discussed in previous years and considered that, to resolve this issue, paragraph 44 of CMM 2019/01 should be removed and that in future all VMS provisions should be included in the same CMM or otherwise appropriate cross-referenced so as to avoid confusion

#### Paragraph 31 of CMM 2018/06

The Meeting of the Parties noted that Japan, the Seychelles and Comoros received a 'not assessed' status for paragraph 31 of CMM 2018/06 in the Provisional Compliance Report. The MOP further noted that the Seychelles requested a rating of partial compliance, but agreed that 'partial compliance' is not a rating available under the CMS. The MOP noted that the only binding provision in this paragraph is a duty to cooperate and there is no evidence to suggest that this has been breached. Accordingly, the MOP agreed to that the rows relating to the assessment of paragraph 31 should not be included in the Final Compliance Report.

The Meeting of the Parties also requested that the compliance report template be revised to accurately reflect the obligation under paragraph 31 of CMM 2018/06, which is an obligation to cooperate, including by seeking reciprocal and cooperative arrangements for exchange of information, for the purpose of implementing CMM 2018/06. The Meeting of the Parties further agreed that voluntary provisions should not be included in the CMS.

#### Paragraph 5 of CMM 2019/02

A status of 'not assessed' was assigned to Thailand for paragraph 5 of CMM 2019/02. Thailand identified practical difficulties in complying with the relevant annexes of CMM 2018/02 for handline methods, which are grouped with all line methods. The Compliance Committee recommended the MOP request the SC to examine the format for handline and to make recommendations to the MOP.

In view of the challenges identified by Thailand with collecting data on a haul-by-haul basis from small handline fisheries, the MOP requested the SC examine the format for handline at its next meeting and to make appropriate recommendations to the MOP<sup>8</sup>.

If this recommendation is accepted, 'not assessed' rating could remain, but the MOP would record this tasking explicitly in the fSCR and in the meeting report itself. **There is not yet consensus on the rating – see table below.**

8. Specific proposals for addressing capacity issues The Meeting of the Parties endorsed the Compliance Committee's suggestion in the 'follow up responsive or corrective action proposed' column for the Secretariat to assist Comoros with the provision of data. The MOP also noted the recommendation of the Compliance Committee in 2019, endorsed by MOP6, for the compliance Report template to be translated into French and agreed that this should be actioned in time for the 2021 compliance process

[CMM 20XX/XX name of conservation and management measure]

Table 1: Southern Indian Ocean Fisheries Agreement								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues) (dSCR)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
AUS	Article 10 (2) submission of a statement of implementing and compliance measures, including any imposition of sanctions for any violations (NOTE: submission of CCP Compliance Report	Compliant	Yes, Australia has submitted its Compliance Report. (1)	Compliant	Australia would appreciate and indication from the Secretariat as to how it intends to respond to this request. We have made this request with no response for two years now (2).	COMPLIANT	COMPLIANT	
CI		Compliant Non-compliant with deadline	Compliant Nil. The Cook Islands did not encounter any issues with submitting this report	Compliant but the deadline was passed	Nil	COMPLIANT		

EU	<i>satisfies this requirement)</i>	Compliant	Compliant Yes, the EU submitted its Compliance Report in accordance with CMM 2018/11.  The EU did not encounter any difficulties or barriers to submission of the report.	Compliant		COMPLIANT	COMPLIANT		
FR		Compliant	Compliant Yes, France (OT) submitted the Compliance Report in accordance with CMM 2018/11.  No, France (OT) did not encounter any difficulties or barriers to submission of this report.	Compliant		COMPLIANT	COMPLIANT		
KOR		Non-Compliant	No Korean vessel operated in the SIOFA Area in 2019. There was no relevant violation in 2019, hence no need for the imposition of sanctions.	Not assessed  This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period.			COMPLIANT	COMPLIANT	
MU		Non-Compliant		Critically Non-Compliant No Compliance Report provided			CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request that Mauritius provide its Compliance Report.
THA		Compliant	Compliant Yes, Thailand submitted the Compliance Report 2018 to the secretariat on 30 April 2019.	Compliant			COMPLIANT  CCPs have the responsibilities to fill the CCR template first	COMPLIANT	

			<p>The difficulties that Thailand has encountered is :</p> <ul style="list-style-type: none"> <li>• This Compliance Report is a blank template that has not been pre-assessed by the secretariat, which makes this report provided too many details. Thailand suggests that the secretariat may pre- assess the CCPs compliance and send the report of pre- assessment to CCPs to comments, make corrections or add any additional information</li> <li>• Repeated questions. We suggest to consolidate the similar questions</li> </ul>					
COM		Non-compliant	<p>Compliant</p> <p>Yes. The Comoros Union is a new CCP and not a master's degree.</p>	<p>Non-compliant</p> <p>No Compliance Report has been provided in 2019 (But a compliance report has been provided in 2020 for 2019)</p>		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request that Comoros provide its Compliance Report.
AUS	<i>Article 11 3. (c) submission of a report on fishing activities (this report is included within the annual National Report).</i>	Compliant	<p>Compliant</p> <p>Australia submitted its National Report at the 5th Scientific Committee Meeting</p>	Compliant		COMPLIANT	COMPLIANT	
EU		Compliant	<p>Compliant</p> <p>Yes, the EU submitted its National Report with the</p>	Compliant		COMPLIANT	COMPLIANT	

			section 'Description of Fisheries'.					
FR		Compliant	Yes, France (OT) submitted the section 'Description of Fisheries' in the National Report.	Compliant		COMPLIANT	COMPLIANT	
KOR		Compliant	Compliant Yes, Korea submitted its National Report with the section 'Description of Fisheries'	Compliant		COMPLIANT	COMPLIANT	
MU		Non-Compliant		Critically Non-Compliant No annual report provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to submit its Annual National Report
THA		Compliant	Compliant Yes, Thailand was submitted the National Report of year 2019 to Secretariat on 28 February 2020	Compliant Caution: This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period.	Compliant Apologies for misunderstands about the period of Compliance assessment.  However, Thailand submitted Thailand National Report of the year 2018 to the secretariat on 22 February 2019.	COMPLIANT	COMPLIANT	
COM		Non-compliant	Compliant Yes. Difficulties have been encountered mainly in the description of the fisheries	Compliant		COMPLIANT	COMPLIANT	

(1) As noted in our discussion paper on this issue (MoP 4 – 10), there are three elements to the obligation in Article 10(2). Submission of a Compliance Report does not discharge the full obligation; but rather satisfies the ‘statement of implementing and compliance measures’ element. We also request, as we did last year, that the template for the Compliance report be updated in this regard so that it seeks a complete report on the implementation of Article 10(2).

With reference to the obligation to provide a statement of sanctions imposed for any violation, our commentary in MoP4-10 notes our expectation on how this could be fulfilled. However, Australia did not impose any sanctions in the compliance assessment period and therefore has nothing to report.

The third element of 10(2), not described above, is a commitment of obligation on a coastal State Contracting Party to provide a summary of the conservation and management measures implemented for straddling stocks in the area immediately adjacent to the Agreement Area.

In Australia’s case, this relates to our Western Deepwater Trawl Fishery and to the toothfish fishery in Heard Island and McDonald Islands, stocks which straddle the SIOFA Area.

Commonwealth fisheries are managed in accordance with the Commonwealth Fisheries Harvest Strategy Policy and the Commonwealth Fisheries Bycatch Policy, both of which are publicly available.

The Western Deepwater Trawl Fishery is a limited entry trawl fishery targeting deepwater species in water deeper than 200 meters off the coast of Western Australia from Exmouth to Augusta. Catches are generally quite low. To fish in this fishery, fishers must hold a valid fishing permit. There are 11 fishing permits (maximum number of vessels active at one time) each with a five year duration. Under these permits fishers are required to report information on their catch and effort levels, have a functioning vessel monitoring system and carry an observer when requested to do so. Harvest levels are managed in accordance with the *Harvest Strategy for the Western Deepwater Trawl Fishery and North West Slope Trawl Fishery* that can be accessed on the AFMA website:

<https://www.afma.gov.au/sites/default/files/uploads/2014/11/Harvest-Strategy-NWST-WDWT-2011.pdf>

Further information on the [Management Arrangements for the Western Deepwater Trawl Fishery](#) can be accessed on the AFMA website: <https://www.afma.gov.au/fisheries/western-deepwater-trawl-fishery> AND ALSO <https://www.afma.gov.au/sites/default/files/uploads/2014/08/Western-Deepwater-Trawl-Fishery-statement-of-management-arrangements-2012.pdf>

. Further information on the status of the fishery can be found in the *ABARES Fishery status reports 2017*  
[http://data.daff.gov.au/data/warehouse/9aam/fsrXXd9abm\\_/fsr17d9abm\\_20170929/14\\_FishStatus2017WstnDeepwaterTrawl\\_1.0.0.pdf](http://data.daff.gov.au/data/warehouse/9aam/fsrXXd9abm_/fsr17d9abm_20170929/14_FishStatus2017WstnDeepwaterTrawl_1.0.0.pdf)

The Australian external territory of Heard Island and McDonald Islands (HIMI) is in the southern Indian Ocean, within the area covered by the Convention on the Conservation of Antarctic Marine Living Resources. The islands and their surrounding territorial waters (out to 12 nautical miles [nm]) are closed to fishing and regulated under the *Environment Protection and Management Ordinance 1987*, administered by the Australian Antarctic Division (AAD) of the Australian Government Department of Agriculture, Water and the Environment. A 1 nm buffer zone around the territorial waters of HIMI extends the area closed to fishing to 13 nm. The HIMI Marine Reserve was declared in October 2002 and then expanded in March 2014 by proclamation after scientific assessment. The reserve now totals 71,200 km<sup>2</sup>. Waters between 12 and 200 nm from HIMI are part of the Australian Fishing Zone (AFZ). The *Heard Island and McDonald Islands Marine Reserve management plan 2014–2024 (2014)*, made pursuant to the *Environment Protection and Biodiversity Conservation Act 1999* (EPBC Act), provides the management regime for the reserve. Fishing is regulated in accordance with the *Fisheries Management Act 1991* via a plan of management.

The key target species are Patagonian toothfish (*Dissostichus eleginoides*) and mackerel icefish (*Champscephalus gunnari*). The SC has identified population linkages between the stock of patagonian toothfish at HIMI and stocks occurring on William’s Ridge in SIOFA. The fishery also has catch limits for bycatch species, such as deep-sea skates (Rajidae) and grey rockcod (*Lepidonotothen squamifrons*), based on assessments of long-term annual yield (Constable, Williams & de la Mare 1998). The catch limits for unicorn icefish (*Channichthys rhinoceratus*) and grenadiers (*Macrourus* spp.), another group of bycatch species, were updated in 2015 based on assessments undertaken by the AAD.

The catch limits are regularly reviewed by the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR) Scientific Committee and the CCAMLR Commission, and are considered precautionary. The limits are then given effect domestically via a legislated decision-making process delegated to the Commission of the Australian Fisheries Management Authority (AFMA). Demersal longline is the main method used in the fishery, with some catch taken by demersal trawl. Trawl has declined rapidly in favour of longline as the main method used to target toothfish.

Australia, in collaboration with industry, regularly conducts fisheries-independent, random-stratified trawl surveys for target species (Patagonian toothfish and mackerel icefish) to collect relative abundance data, particularly of juvenile age classes. Harvest strategies for the target species are consistent with the precautionary approach implemented by the CCAMLR and have been used to set catch limits since the mid-1990s. The harvest strategies developed for the Heard Island and McDonald Islands Fishery (HIMIF) are considered more precautionary than the guidelines of the Commonwealth



Fisheries Harvest Strategy Policy (DAWR 2018). For mackerel icefish, the reference point dictates that the spawning stock biomass be maintained at 75 per cent of the level that would occur in the absence of fishing at the end of a two-year model projection. For Patagonian toothfish, the reference points dictate that median escapement of the spawning biomass at the end of a 35-year projection period is 50 per cent of the median pre-exploitation level and that the probability of the spawning biomass dropping below 20 per cent of its pre-exploitation median level is less than 10 per cent over the projection.

Australia has established committees and stakeholder forums to ensure adequate consultation. The [Sub-Antarctic Management Advisory Committee](#) and the [Sub-Antarctic Resource Assessment Group](#) reviews the international and domestic science and management of Patagonian toothfish and mackerel icefish and provide advice to the AFMA Commission. The Patagonian toothfish and mackerel icefish stocks at HIMI are assessed every two years by the AAD. These assessments are reviewed by the Sub-Antarctic Resource Assessment Group and CCAMLR.

Further information on the [Management Arrangements for the High Seas Fishery](#) can be accessed on the AFMA website: <https://www.afma.gov.au/sites/default/files/uploads/2017/02/High-Seas-Management-Arrangements-Booklet-2017-FINAL.pdf>

(2) **Secretariat** : The Secretariat would like to add the following two obligations to Article 10(2) of the model CFR :

"Article 10(2) requires you to provide an annual statement of any sanctions imposed to the Meeting of the Parties. Please specify :

- The steps taken to start the investigation ;
- The process followed to complete the investigation, within the framework of relevant national processes and laws; and
- Sanctions imposed and any other action proposed in relation to the alleged violation".

and

"If you are a coastal State in the SIOFA Area of Application, Article 10(2) requires you to provide a summary of the conservation and management measures implemented for straddling stocks in the area immediately adjacent to the Agreement Area. Please provide a list of the applicable measures. If you have already submitted this information in a previous assessment, please provide details of any new revisions made".

Table 2: CMM2019/01 Interim Management of Bottom Fishing								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues) (dSCR)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed to be undertaken
EU	10(1)(a): limit bottom fishing effort and or catch (>40 days fishing CCP)	Not Assessed	Compliant  The EU-Spain fleet uses number of vessels (2) as metric for fishing effort freezing. In 2019, the EU (Spain) had one vessel operating in the area.	Compliant		COMPLIANT	COMPLIANT	

MU	10(1)(a)i: limit bottom fishing effort and or catch (>40 days fishing CCP)			Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(1)(a)ii: constrain spatial distribution (>40 days fishing CCP)			Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(1)(a)iii: ensure no significant adverse impacts on VME (>40 days fishing CCP)			Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(1)(a)iv: ensure no fishing in closed areas (>40 days fishing CCP)			Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(1)(b)i: limit bottom fishing effort and or catch (<=40 days fishing CCP)			Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(1)(b)ii: ensure no significant adverse impacts on VME (<=40 days fishing CCP)			Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(1)(b)ii: ensure no fishing in closed areas (<=40 days fishing CCP)			Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(2) disclose of Interim Bottom Fishing Measures pursuant to paragraph 10	Non-Compliant		Critically Non-Compliant No information provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information

COM		Compliant	Non-Compliant Non	Non-Compliant		NON-COMPLIANT	NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
EU	10(3) Amendments to Interim bottom fishing measures, notified to secretariat within 30 days	Not Assessed	Compliant  No changes have been made to the limitation measures communicated to SIOFA.	Compliant		COMPLIANT	COMPLIANT	
MU		Not assessed		Not assessed		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	12 Application of VME encounter threshold levels in accordance with para 12	X		Not assessed  No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	13 Application of VME encounter protocols in accordance with para 12	X		Not assessed  No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	15 Upon receipt of a notification from the Secretariat under paragraph 14b., ensure that vessels flying their flags do not undertake bottom fishing in the notified encounter	X		Not Assessed		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
KOR	20(a) submission of spatial extent of historic bottom fishing effort data to SC3 2018	Non-Compliant	N/A  Korea did not fish in the Area in 2019	Non-compliant  The submission of the spatial extent of historical bottom fishing is still to be provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	Korea's non-compliance issues are administrative in nature, and this stems from the transition gap that took place within the Ministry. The gap has now been addressed,

								and Korea will make its best effort to provide all relevant reports and information in a timely manner in the future.
MU		Non-Compliant		Critically Non-Compliant No spatial extent of historic bottom fishing effort provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	21 submission of a BFIA for individual bottom fishing activities to SC3 2018	X		Critically Non-Compliant No BFIA for individual bottom fishing activities provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	22 if not complied with 21 - submission of a BFIA for individual bottom fishing activities 30 days prior any ordinary SC meeting following 2018	X		Not assessed		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
COM		Compliant	Compliant Yes	Compliant		COMPLIANT	COMPLIANT	
MU	29 proposal to bottom fish at a variance with established measures, to be submitted 30 days prior to next ordinary meeting SC	Not assessed		Not assessed		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	39(a) 100% scientific observer coverage on vessels using trawl gear	Not assessed		Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	39(b) 20% scientific observer coverage on vessels using any other	Not assessed		Not assessed No information provided			CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information

	bottom fishing gear in any year							
CI	44 for each vessel participating in bottom fishing, submit to the secretariat VMS reports in a format in accordance CMM 2019/02 Annex C	Compliant	Not Assessed No	Not Assessed		NOT ASSESSED {CMM issue}	NOT ASSESSED	MoP to provide clarity on what is required under para 44 of CMM 2019/01
AUS			Compliant (4)	Not assessed there are actually ambiguity on the mandatory provision of VMS data	We do not agree that this is the proper use of 'Not assessed'. Australia's compliance should be assessed here notwithstanding the unresolved ambiguity between CCPs about what is required under paragraph 44 of CMM 2019/01.  In Australia's view, this paragraph obliges CCPs to submit VMS data. We note there is no timeframe specified for the submission of VMS data. Australia's VMS data for the bottom fishing that occurred in the Agreement Area has been submitted and accordingly Australia has complied with its obligation. (5)	NOT ASSESSED {CMM issue}	NOT ASSESSED	MoP to provide clarity on what is required under para 44 of CMM 2019/01

THA			N/A  As SIOFA Reporting and Submission Requirements 2018, CMM 2018/01 paragraph 11, VMS reports and messages shall be submitted to the secretariat when the secretariat suggest as appropriate or as requested. In 2019, The secretariat did not request VMS reports and message from Thailand.	Not assessed  CMM2018/01 has been amended at MoP6. The CMM assessed here is CMM2019/01.  The CMMs are not clear whether the VMS report must be sent to the Secretariat (together with CMM on monitoring)		NOT ASSESSED  {CMM issue}	NOT ASSESSED	MoP to provide clarity on what is required under para 44 of CMM 2019/01
MU	46(a) application of measures to prohibit vessels engaging in bottom fishing in interim protect area provided in Annex 2	Not assessed		Not assessed  No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
THA			Compliant  Yes, Thailand has enforced the Notification of the Department of Fisheries on Requirement and Regulations of Overseas Fishing Vessels which are Operating in the Area under the Southern Indian Ocean Fisheries Agreement (SIOFA)B.E. 2562 (2019) which Thai flag vessels must be operated in the SIOFA area as described in the Notification, i.e. Saya de Malha Bank. Fishing operation is observed through MCS scheme including:  <ul style="list-style-type: none"> <li>• VMS, ERS and EM</li> <li>• human observers</li> <li>• fishing logbook</li> </ul> Thailand corrected the Annex number in the CCR template from “Annex 3” to “Annex 2”.	Compliant  Caution; CMM2018/01 has been amended at MoP6. The CMM assessed here is CMM2019/01.  Annex 2 in CMM2018/01 became Annex 3 in CMM2019/01	Thailand corrected the Annex number in the CCR template from Annex 2 to Annex 3  not Annex 3 to Annex 2.	COMPLIANT	COMPLIANT	

MU	46(b) for all other gears ensure all vessels fishing in areas provided in Annex 2 have a scientific observer onboard at all times.	Not assessed		Not assessed No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
COM		Compliant	Compliant Yes. But only a dozen of the little stars who work at the hand line. Impossible to take an observer on board	Not assessed Hand line fisheries do not allow observers for each fishing operation		NOT ASSESSED (CMM issue)	NON-COMPLIANT	the MoP to request confirmation from Comoros as to whether Comoros is authorizing Comoros vessels to bottom fish in the AA

(4) We note there is some ambiguity between CCPs about what is required, and it has not yet been resolved. Australia's view is that there is an obligation to submit VMS data under this para, however we note there is no timeframe specific for the submission of VMS data. The VMS data for the bottom fishing that occurred in the Agreement Area has been submitted

(5) Secretariat: The Secretariat received data on vessel positions thanks to the catch and effort data submission and entry/exit notifications. However, we did not received reports entitled "VMS report" in 2019 from Australia. Can we consider the catch and effort data submission and entry/exit notifications as a VMS report? Anyway, there are no technical definitions of a VMS report in CMM 2019/01 so we cannot properly assess this requirement hence the proposed **not-assessed** status.

CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues) (dSCR)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	4 data collected in accordance with the relevant sections of Annex A	Not assessed		Not assessed No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information

THA		Compliant	Compliant Yes. The data collected is in accordance with Annex A.  We are experiencing a difficulty filling the data of handline, which is normally used by Thai fishing vessels. The data of handline is filled in the longline format provided by the secretariat. However, some longline worksheets are not application for handline	Compliant  It is true that collection of data on a haul by haul basis from small hand line fisheries is challenging. The CMM 2019/02 must be reviewed by CCP who have hand line fisheries and the SC to achieve a proper data collection scheme	N/A  Apologise for misunderstands about the period of Compliance assessment.  However, Thailand reported Thailand Vessel Catch & Effort Data, Annual catch summaries, and Scientific Observer Data Report of year 2018 on 3 May 2019 that  in 2018, Thailand did not authorise any vessel to operate in SIOFA area.	COMPLIANT	COMPLIANT	MoP to request the SC to examine the format for handline and to make recommendations to the MoP.
COM		non-compliant	Compliant Yes. I still have difficulties on the description of the fisheries	Non-compliant data provided as image and do not follow Annex A		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	Secretariat to assist Comoros on data aspects.
JPN	5 data collected on a haul-by-haul basis	Non-compliant	Compliant Yes.	Compliant		COMPLIANT	COMPLIANT	
MU		Not assessed		Not assessed No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
THA		Compliant	Compliant Yes, Thailand can collect data on haul-by-haul basis  However, Thailand has a comment that the fishing area (Saya de Malha Bank) where Thai fishing vessels operated is small. Therefore, collecting data on haul-by-haul basis too detailed.	Not assessed It is true that collection of data on a haul by haul basis from small hand line fisheries is challenging. The CMM 2019/02 must be reviewed by CCP who have hand line fisheries and the SC to achieve a proper data collection scheme	N/A  Apologise for misunderstands about the period of Compliance assessment.  However, Thailand reported Thailand Vessel Catch & Effort Data, Annual catch summaries, and Scientific Observer Data Report of year 2018 on 3 May 2019 that	NOT ASSESSED  (CMM issue for handline fisheries)	[NOT ASSESSED] [CC, AUS]  [Non-compliant] [EU]	MoP to request the SC to examine the format for handline and to make recommendations to the MoP.



					in 2018, Thailand did not authorise any vessel to operate in SIOFA area.			
COM		Not assessed	Compliant Yes. Species codes used on board do not conform to FAO code	Non-compliant		NON-COMPLIANT irrelevant comment to the measure	NON-COMPLIANT	MoP request data to be collected in accordance with CMM
CI	6 submission of vessel catch and effort data to Secretariat by 31 May	Non-Compliant (data) Non-Compliant (submission deadline)	Compliant Yes	Compliant	Nil	COMPLIANT	COMPLIANT	
EU		Compliant non-compliant	Compliant Yes	Compliant		COMPLIANT	COMPLIANT	
MU		Non-compliant		Critically Non-Compliant No vessel catch and effort data submitted		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP request data to be provided
COM		Non-Compliant	Non-Compliant Non	Critically non-compliant Repeated non-compliance with an obligation for two or more consecutively assessed years		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP request data to be provided
THA	6 submission of vessel catch and effort data to Secretariat by 31 May  And 7 submission of annual catch summary by 31st May	Compliant	Compliant Yes, Thailand submitted the 2019 vessel catch and effort data to Secretariat on 30 May 2020  Thailand corrected the date of the Report in the CCR template from "2018" to "2019". And the date of	Compliant This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in	Compliant  Apologise for misunderstands about the period of Compliance assessment.  However, Thailand reported Thailand Vessel Catch & Effort Data, Annual catch summaries, and Scientific	COMPLIANT	COMPLIANT	

			submission from “2019” to “2020”	2019 evaluating the 2018 period.  no fishing in 2018 by THA	Observer Data Report of year 2018 on 3 May 2019 that  in 2018, Thailand did not authorise any vessel to operate in SIOFA area.			
CI	7 submission of annual catch summary by 31st May	Compliant (data)  Non-Compliant (submission deadline)	Compliant  Yes the Cook Islands submitted annual catch summary data	Compliant	Nil	COMPLIANT	COMPLIANT	
EU		Compliant non-compliant	Compliant  Yes	Compliant		COMPLIANT	COMPLIANT	
MU		Non-compliant		Critically Non-Compliant  No annual catch summary submitted		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP request data to be provided
COM		Non-Compliant	Non-Compliant  Non	Critically non-compliant		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP request data to be provided
COM	8 implement on-board all fishing vessels flying your flag the FAO Identification guide to the deep-sea cartilaginous fishes of the Indian Ocean	Compliant	Non-Compliant  Non	Non-Compliant		NON-COMPLIANT	NON-COMPLIANT	MoP request Comoros to confirm whether it fish for deep seas species.
MU	9 b submission of an annual National Report 30 days prior to the ordinary SC meeting	Non-compliant		Critically Non-Compliant  No annual National Report submitted		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to submit its Annual National Report and for previous exercises.
SYC		Compliant		Compliant		COMPLIANT	COMPLIANT	

THA		Compliant	Compliant  Yes, Thailand submitted the National Report of year 2019 to Secretariat on 28 February 2020  Thailand corrected the date of the Report in the CCR template from “2018” to “2019”. And the date of submission from “2019” to “2020”	Compliant  This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period.	Compliant  Apologise for misunderstands about the period of Compliance assessment.  However, Thailand submitted Thailand National Report of the year 2018 to the secretariat on 22 February 2019.	COMPLIANT	COMPLIANT	
COM		Non-Compliant	Non-Compliant  Non	Critically non-compliant		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to request Comoros to submit its Annual National Report and for previous exercises.
MU	9 c provided National Report in full accordance with the Guidelines for the submission of Annual National reports to the SIOFA Scientific Committee	Not assessed		Not Assessed  no annual report provided		NON-COMPLIANT	NON-COMPLIANT	Submit the NR in accordance with the guidelines
SYC		Not Assessed		Non-compliant  The national report does not follow the guideline, however Seychelles did not fish in 2018-2019 for SIOFA species		NON-COMPLIANT	NON-COMPLIANT	Resubmit the NR in accordance with the guidelines
KOR	10 submission of historical catch, effort, observer data for period 2000-2015 by 31 Jan 2018			Non-compliant  Fine scale historical data are still to be provided to the Secretariat (fine scale historical data can also do for the spatial extent of historical bottom fishing footprint)		NON-COMPLIANT	NON-COMPLIANT	Korea’s non-compliance issues are administrative in nature, and this stems from the transition gap that took place within the Ministry. The gap has now been addressed, and Korea will make its best effort to provide all relevant reports and

								information in a timely manner in the future.  Korea is currently compiling the data and will submit it soon.
MU		Non-compliant		Critically Non-compliant no historical data provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request the historical data to be provided by Mauritius.
SYC		Non-compliant	n/a	Non-compliant no historical data provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	Seychelles to provide a statement of no effort from years 2000 to 2015
COM	10 for new CCPs joining after October 2016 submission of historical catch, effort, observer data for period 2000-2015 within 12 months after joining	Non-Compliant	Non-Compliant Non	Critically Non-Compliant		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request the historical data to be provided by Comoros.
MU	12 implementation of a national scientific observer programme	N/A		Not Assessed No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	The MoP to request that Mauritius provide the implementation of national observer programme.
MU	14 submission of an annual observer programme implementation report included in National Report	Non-compliant		Critically Non-Compliant No annual National Report submitted		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request that Mauritius provide its NR including all information required in the report.
CI	15 submission of Scientific Observer Data by 31 May.	Compliant (data)	Compliant	Compliant	Nil	COMPLIANT	COMPLIANT	

		Non-Compliant (submission deadline)	Yes					
EU		Compliant non-compliant	Compliant Yes EU comment: Should reference be to year 2019 and submission by 31 May 2020?	Compliant Caution : This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. Therefore the submission evaluated here relates to the data sent in 2019 (about 2018 fisheries data).		COMPLIANT	COMPLIANT	
MU		Non-compliant		Critically Non-Compliant No Scientific Observer Data submitted		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request the data to be provided
THA		Compliant	Compliant Yes, Thailand submitted the 2019 the observer data to Secretariat on 30 May 2020. Thailand corrected the date of the Report in the CCR template from "2018" to "2019". And the date of submission from "2019" to "2020"	Not Assessed This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period. no fishing by THA in 2018	Compliant Apologise for misunderstands about the period of Compliance assessment. However, Thailand reported Thailand Vessel Catch & Effort Data, Annual catch summaries, and Scientific Observer Data Report of year 2018 on 3 May 2019 that in 2018, Thailand did not authorise any vessel to operate in SIOFA area.	COMPLIANT	COMPLIANT	
COM		Non-Compliant	Non-Compliant Non	Critically non-compliant		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request the data to be provided

MU	15 observer data collected in accordance with Annex B	N/A		Not assessed No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	The MoP to request the data to be collected in accordance with CMM
MU	18 a-b implementation of data verifications (VMS, observers, inspection, ort sampling)	Not assessed		Not assessed No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	The MoP to request the information to be provided
MU	18 c. submission of annual data verification report included in National Report	Non-compliant		Critically Non-Compliant No annual data verification report included in National Report		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request that Mauritius provide its NR including all information required in the report.
AUS	19-20 data reported in accordance with the formats described in CMM 2018'02, including its annexes	Not Assessed	Compliant Data was provided as required.	Compliant		COMPLIANT	COMPLIANT	
CI		Not Assessed	Compliant All fisheries data has been submitted to the Secretariat as per formats prescribed in this CMM. In 2019, no issues were encountered.	Non-Compliant This report assesses the submission of the 2018 data (due 31 May 2019). 2018 Data have been provided in a daily aggregated format (and not haul by haul)	Originally the Cooks Islands submitted aggregate data due to security concerns, however fine scale data was submitted after The Cook Islands was comfortable with the Secretariats conformation of data security.	NON-COMPLIANT	NON-COMPLIANT	In relation to CMM 2019-02, para.19 and 20, the Cook Islands seek clarification that the obligation refers to the submission of 2018 data to the Secretariat in the previous year (2019). If so, next year in 2021 we will be assessing the submission of 2019 data submitted to the Secretariat in 2020.  Data collection requirements have been updated to

								meet the formats and specifications as required in this measure, and 2019 data has been submitted to the Secretariat as required
EU		Not Assessed	Compliant No	Compliant		COMPLIANT	COMPLIANT	
FR		Not Assessed	Compliant No	Compliant		COMPLIANT	COMPLIANT	
JPN		Not Assessed	Compliant Yes.	Compliant		COMPLIANT	COMPLIANT	
MU		Non-compliant		Critically Non-Compliant No data reported		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request the information to be provided
THA		Compliant	Compliant The data reported is in accordance with the formats described in the CMM.  We have encountered a difficulty reporting the data of handline, which is normally used by Thai fishing vessels. The data of handline is filled in the longline format provided by the secretariat. However, some longline worksheets are not application for handline. In addition, some columns of	Not assessed no fishing in 2018 by THA  It is true that collection of data on a haul by haul basis from small hand line fisheries is challenging. The CMM 2019/02 must be reviewed by CCP who have hand line fisheries and the SC to achieve a proper data collection scheme	N/A  Apologise for misunderstands about the period of Compliance assessment.  However, Thailand reported Thailand Vessel Catch & Effort Data, Annual catch summaries, and Scientific Observer Data Report of year 2018 on 3 May 2019 that  in 2018, Thailand did not authorise any vessel to operate in SIOFA area.	NOT ASSESSED  (CMM issue)	NOT ASSESSED	The MoP to ask the SC to review data standards for handline fisheries

			longline format are ambiguous for handline					
--	--	--	--	--	--	--	--	--

Table 6 : CMM2016/05 Pelagic Driftnets and Deepwater Gillnets								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)	Additional information provided by CCP	Revised Secretariat assessment  (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
SYC	1 implementation of measures prohibiting the use of all large-scale pelagic driftnets more than 2.5km in the Agreement Area by national vessel	Compliant	n/a	Compliant		COMPLIANT	COMPLIANT	
EU	2 implementation of measures to prevent the use of deep-water gillnets by national vessel	Compliant	Compliant  There is no obligation in CMM 2016/05 to prohibit or prevent the use of deep-water gillnets. Rather, Paragraph 2 of CMM 2016/5 provides that Contracting Parties, CNCPs and PFEs recommend that deep-water gillnets not be used in the Agreement Area by any vessel flying the flag of a Contracting Party, CNCP or PFE until such time as the Meeting of the Parties	Compliant		COMPLIANT  (Sec to amend the CCR)	COMPLIANT	



			has received a recommendation from the Scientific Committee. The EU fleet has voluntarily discontinued the use of such gears in SIOFA since 2016.					
SYC		Compliant	n/a	compliant		COMPLIANT	COMPLIANT	
MU	all	Not assessed		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	The MoP to request the information to be provided

Table 7 : CMM2018/06 IUU Vessel List								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)	Additional information provided by CCP	Revised Secretariat assessment  (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
EU	2 in the event a list of vessels presumed to have been engaged in IUU fishing submitted to the secretariat, this has been completed using the form in Annex I and transmitted with 90 days before the ordinary Meeting of the Parties	Compliant	Compliant  Yes. Preliminary information related to presumed IUU fishing by two vessels was submitted to the Secretariat on 11 October 2019, using the form in Annex I, and an updated version of that form was submitted to the Secretariat on 29 November 2019, recommending that one of the vessels be included in the SIOFA IUU list.  EU comment: CMM 2018/16 requires the list to be sent <u>at least</u> 90 days before the meeting, not within 90 days before the meeting	Compliant  The Secretariat suggest to amend the CCR template		COMPLIANT  (Secretariat to amend the CCR)	COMPLIANT	
JPN		Compliant	N/A	Compliant		COMPLIANT	NOT ASSESSED	

	31 should designate a contact point through which information on reported activities described in sub-paragraphs 30 (a) and (b) can be exchanged		Compliant Not Assessed	This requirement should be assessed and assigned only one compliance status					SYC suggest to allow the SCR to accommodate partial compliance (time deadline and content)
SYC		Compliant with submission Non-compliant for deadline	N/A (No request received)	Not assessed (7) no cooperation with CCPs		COMPLIANT	NOT ASSESSED		Text to be reviewed by the MoP
COM		*	N/A Non	Non-compliant		COMPLIANT	NOT ASSESSED		
COM	32 reporting in annual implementation report on actions and measures taken in accordance with this CMM	x	N/A Non	Not assessed (7)		COMPLIANT	COMPLIANT		
MU	all	Not assessed		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT		The MoP to request the information to be provided

(7) Secretariat: This CMM has not been assessed as no request have been received in 2019. However, since there is no compliance issue with respect to the relevant obligation, we could consider the Seychelles, Comoros as **Compliant**

Table 8 : CMM2019/07 Vessel Authorisation								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing,	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed

				<b>possible compliance issues)</b>				
MU	2, all states or fishing entities that was a CCP before 18 Oct 2016 - electronically to the submission Secretariat a list of vessels authorised to operate in the agreement area in accordance with all requirements listed in paragraph 2(a)-(s)	Non-Compliant		Non-Compliant some items are missing (authorised vessels photos)		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	Mauritius to provide the relevant information
CT	4 any State or fishing entity becoming a CCP after 18 Oct 2016, to provide information referred to in paragraph 2 (a)-(s) within 30 days of becoming a CCP.		Non-Compliant  We submitted a list of authorised vessels according to para 2 (a)-(r). As it takes time to collect digital images of vessels in accordance with the requirement of para 2 (s), this issue is addressed in 2020.	Non-Compliant (because of delay in the provision of vessel picture)		NON-COMPLIANT	NON-COMPLIANT	Pictures have been provided before the dSCR issuing date.
MU	6 (a) authorised flagged vessels to operate in the agreement Area only if they are able to fulfil in respect of these vessels the requirements and responsibilities under the Agreement, and all relevant SIOFA CMM	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	Mauritius to provide the relevant information and Mauritius should not allow vessel to operate in the AA if they are not able to fulfil the requirements of CCMs
MU	6 (b) measures to ensure that the vessels flying their flag comply with all relevant SIOFA CMMs	Non-Compliant		Critically Non-Compliant  No Compliance Report provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	<b>same request</b>
MU	6 (c) measures to ensure that the vessels flying their flag that are on the SIOFA Record of Authorised	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	<b>same request</b>

	Vessels keep on board valid certificates							
MU	6 (d) ensure that the vessels flying their flag on the SIOFA Record of Authorised Vessels have no history of IUU fishing, or links to IUU fishing	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	<b>same request</b>
MU	6 (e) ensure that the owners and operators of its vessels registered on the SIOFA Record of Authorised Vessels are not engaged in or associated with fishing activities conducted in the Agreement Area by vessels not registered in the SIOFA Record of Authorised Vessels	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	<b>same request</b>
MU	6 (f) measures to ensure that the owners and/or operators of the vessels on the SIOFA Record of Authorised Vessels are citizens, residents or legal entities within its jurisdiction so that any enforcement or punitive actions can be effectively taken against them	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	<b>same request</b>
MU	7 all CCPs to implement measures under applicable legislation to prohibit non-registered vessels conducting fishing and fishing related activities on fishery resources covered by the agreement	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	<b>same request</b>

MU	8 notification to the Secretariat of any evidence showing that there are reasonable grounds for suspecting vessels not registered on the SIOFA Record of Authorised Vessels are operating in the Agreement Area	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	same request
----	---	---------------	--	---	--	--------------	--------------------------	--------------

**Table 9 : CMM2017/08 Port Inspection**

CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)	Additional information provided by CCP	Revised Secretariat assessment  (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	1 implemented measures to maintain an effective system of port State control.	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	MoP to ask Mauritius to provide information
MU	2 notified the Secretariat of designated ports to which foreign vessels may request (8)	Non-Compliant		Critically Non-Compliant No designated port notified		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
SYC		Compliant with info provided  Non-compliant with deadline	Compliant	Compliant		COMPLIANT	COMPLIANT	
MU	3 all designated ports have capacity to conduct	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports

	inspections consistent with the requirements							
EU	5 notification period other than 48 hours	Compliant	Compliant  According to the IUU Regulation, there is a notification period of 72 hours (i.e. stricter than 48 hours).	Compliant  The Secretariat suggest to amend the CCR template	The notification period prescribed by the EU's IUU Regulation is at least three working days before the estimated time of arrival at the port (which can be different from 72 hours).  We see no need to amend the CCR template	COMPLIANT	COMPLIANT	
MU				Not Assessed  no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	5 implemented the minimum standard to collect foreign vessel information 48h before the estimated time of arrival in your port described in paragraph 5 and Annex I? Through which mechanism has this been implemented?	Non-Compliant		Not Assessed  no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	5 changes to procedures for granting entry to a foreign vessel in your port notified within 30 days before the changes become effective	Non-Compliant		Not Assessed  no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	8 communicated to the Secretariat any denials issued to foreign vessels for port entry	Non-Compliant		Not Assessed  no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	10- 11 authorisation of entry of a foreign vessel exclusively for the purpose of inspecting it and taking other appropriate actions in conformity with	Non-Compliant		Not Assessed  no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports

	international law in accordance with para 11.							
MU	14 flag State and Secretariat notified of denial of use of port	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	16 If you withdrew a denial of port services, was this communicated pursuant to para 14	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	17 port inspectors duly authorised, trained and familiar with the Agreement and all of its relevant CMMs	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	17 national training programme take into account the guidelines elements set out in Annex II	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
EU	22 Contracting Parties, CNCs and PFEs shall undertake inspections of all fishing vessels carrying or landing Dissostichus spp. which enter their ports.			No inspection report has been received from EU to the Secretariat in 2019, From FR-OT National Report, it is very likely that FR-OT unloaded Dissostichus sp. in La Reunion (UE port) in 2019 (10)	The EU confirms that 19 port inspections were carried out in 2019 in La Réunion. The inspection were forwarded to the competent authority of the inspected vessels (FR-OT) and to CCAMLR, but not in due time to the SIOFA Secretariat. The EU therefore accepts a status of 'non-compliant' as regards this obligation.	NON-COMPLIANT	NON-COMPLIANT	The failure to transmit inspection reports to the SIOFA Secretariat was due to an internal EU oversight. The reports have meanwhile been forwarded to the Secretariat and the EU has taken internal measures (clarification of roles and responsibilities of different actors) to ensure that this situation does not recur in the future.
MU		Non-Compliant		Non-Compliant no inspection reports provided for vessels that		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information

				unloaded toothfish in Mauritius				
MU	23 (a) inspected all vessels following a request in accordance with para 23 (b)	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	23(b) inspection of vessels entering port that failed to provide information required in accordance with para 5	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	24 The inspections reports include all information required in Annex IV and have been forwarded to competent authority and Executive Secretary	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	25 require national vessels to cooperate with port state inspections pursuant to CMM2018/08	Non-Compliant		Not assessed		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	26 where there has been grounds to believe that national vessels had engaged in IUU fishing and was seeking entry to, or was in the port of, another CCP – issued a request to that CCP to conduct an inspection	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	27 where an inspection report has been received indicating that there are clear grounds to believe that a vessel flying your flag has engaged in IUU fishing - immediately and fully investigate the matter and provided a report for each	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information



	investigation to the Secretariat							
--	----------------------------------	--	--	--	--	--	--	--

(8) Secretariat: CMM 2017/08 para 2 provides that "Each Contracting Party, CNCP and PFE shall designate, publicise and notify the Secretariat **about the** ports to which foreign vessels may request entry. [...] ". The Secretariat is not sure whether there is an obligation to designate one, or if the obligation applies only in case a PCC decides to designate a port for foreign vessels.

(9) Secretariat : As provided in CMM2017/08 para 30 "This CMM shall be applied to the ports of all Contracting Parties, CNCPs and PFEs within the coastal states, which have areas of national jurisdiction adjacent to the Agreement Area". These CCPs could therefore be considered as **Compliant**

(10) Secretariat: No compliance Status was assigned for this obligation. However, The reports have meanwhile been forwarded to the Secretariat and the EU has taken internal measures (clarification of roles and responsibilities of different actors) to ensure that this situation does not recur in the future. The EU could therefore be considered as **Compliant**

Table 10 : CMM2018/09 Control								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)	Additional information provided by CCP	Revised Secretariat assessment  (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	1 co-operation with other CCPs and/or the Secretariat to facilitate the monitoring, control and surveillance of fishing activities in order to ensure compliance with SIOFA CMMs	Non-Compliant		Not assessed  no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
EU	2 designated the competent authority or authorities which shall act as the Contact Point and provide contacts to the Secretariat	Compliant	Compliant	Compliant		COMPLIANT	COMPLIANT	
MU		Non-Compliant		Critically Non-Compliant  No contact provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
SYC		Compliant with		Compliant		COMPLIANT	COMPLIANT	

		information provided						
COM		Non-compliant vs deadline	Compliant Yes	Non-Compliant No contacts provided in accordance with the CMM		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to ask Comoros to provide relevant information
MU	2 notified Secretariat of any changes to contacts without delay	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	3 a implemented measures to ensure documents listed in paragraph 6 (c) and paragraph 2 of CMM 2017/07 are carried onboard	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	3 b if agreed that the Secretariat shall make this information available upon request for purpose of control, provided the secretariat with all the necessary documents	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	4 implemented measures to ensure vessels are marked in such a way that they can be readily identified in accordance with para 4	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	5 implemented measures to ensure fixed gear are marked in accordance with paragraph 5.	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	6 notified the Secretariat of the information regarding the marking of fixed gear	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information

AUS	7(a)-(f)	Compliant	Compliant 1546 hooks were lost on a single shot in 2019 and were reported in the observer report for the vessel. At the time, a specific report from the boat to AFMA for lost gear was not required, however since this event AFMA has amended the High Seas permit conditions to require all lost and abandoned gear to be reported by vessels.	Not assessed ALDFG must be reported to the Secretariat. However, the minimum "size" of the gear is not specified in the CMM.	Australia's compliance should be assessed notwithstanding the Secretariat's comment that the minimum size of the gear is not specified in the CMM. Australia has complied with the requirements of paragraphs 7(a)-(f) of CMM 2018/09 (11).	NON-COMPLIANT	NON-COMPLIANT	
MU	7(a)-(f) implemented measures to ensure where possible vessels have equipment on board to retrieve abandoned, lost or otherwise discarded fishing gear (ALDFG) and appropriate training provided to facilitate the recovery of ALDFG	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	7(a)-(f) where flagged vessels lost gear in the Agreement Area, notification provided to the competent authority with all information listed in 7(d)	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	7(a)-(f) where flagged vessels have retrieved gear notified as lost in the Agreement Area, notification provided to the competent authority with all information listed in 7(e)	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	7(a)-(f) Notification to the Secretariat of information received pursuant to paragraphs 7(d) concerning gears not retrieved, and	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information

	7(e) concerning gears that have been retrieved?							
MU	8 implemented measures to ensure flagged vessels are prohibited from discharging into the sea plastics in accordance with paragraph 8	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	8 provision of adequate port facilities for disposal of plastics	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	10 implemented measures to ensure labelling and storage of frozen products of fishery resources is achieved in accordance with the specifications listed in paragraph 10 (a)-(e).	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	11 implemented measures to ensure that the scientific observers are qualified and authorised to perform their tasks and record any requested data	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	12 implemented measures to ensure vessels report any presumed fishing activities by vessels flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	13 any reports on presumed fishing by non-CCP vessels received pursuant to paragraph 12	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information

	submitted to the Secretariat as soon as possible							
--	--	--	--	--	--	--	--	--

(11) Secretariat: ALD fishing gears should be notified to the Secretariat without delay (CMM 2018/09 para 7(f)). The Secretariat did not receive a notification from Australia about lost gear in 2019 so Australia would be **non-compliant** (even if the notification of lost gear is contained within the observer data submission that is to be provided once a year). However, the significance of the gear has not been defined in the CMM, so in this assessment the Secretariat do not know if 1546 hooks is relevant to this measure so we proposed a **not-assessed** status. A gear "hook number" or "length" threshold should be set so that CCPs are not forced to send the Secretariat a report every time a small amount of hooks (starting from a single one) is lost in the sea.

Table 11 : CMM2019/10 Monitoring								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)	Additional information provided by CCP	Revised Secretariat assessment  (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	1 implemented measures to ensure vessels maintain an electronic fishing logbook or a bound fishing logbook in accordance with requirements of CMM 2018/02	Non-Compliant		Not assessed  no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
COM		Non-Compliant	Compliant  Yes. Each time we arrive at the port, we check the logbook	Non-Compliant  Logbooks do not follow the requirements of CMM 2018/02		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to ask Comoros to provide relevant information to ask the Secretariat to assist Comoros in regards of the SCR.
MU	2 implemented measures to ensure the obligations listed in paragraph 2 are implemented	Non-Compliant		Not assessed  no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	3 cooperation with requests from another CCP for information contained in the fishing logbooks	Non-Compliant		Not assessed  no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information

MU	4 -5 vessels fitted with an ALC and reporting to competent authority	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	8 (a)-(c) ensure VMS position reports are transmitted in accordance with paragraph 8 (a) & (b)	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	9 where vessel entered the Agreement Area and commence operations with defective ALC, all relevant information communicated manually to FMC every 4 hours	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	10 implemented measures to ensure that ALCs fitted on board vessels are tamper resistant	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	11 transmission of VMS reports to the Secretariat in accordance with the data exchange format of CMM 2018/02 Annex C.	Non-Compliant		Not Assessed		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
AUS	14 Secretariat is notified of each entry to or exit from the Agreement Area of all vessels flying your flag	Non-compliant with notification period  Compliant with information provided	Compliant	Compliant		COMPLIANT	COMPLIANT	
CI		Non-compliant	Compliant Yes. This is a condition of license	Compliant	Nil	COMPLIANT	COMPLIANT	
EU		Non-compliant	Compliant	Compliant		COMPLIANT	COMPLIANT	

		for deadline Compliant for provision						
FR		Non-compliant	Compliant	Compliant		COMPLIANT	COMPLIANT	
JPN		Non-compliant	Compliant Yes. The Japanese fishing vessel has made the required notification to the Secretariat.	Compliant		COMPLIANT	COMPLIANT	
MU		Not Assessed		Not assessed no information available		NOT ASSESSED	NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Non-Compliant The SIOFA boundary happened to be in the fishing ground which made it difficult for us to notify the Secretariat of each entry/ exit from the Agreement Area, so we failed to fulfill our requirement to submit all of the required reports. Having cooperated with the Secretariat, we have developed a system that automatically notifies each entry/exit of vessels in 2020.	Non-Compliant		NON-COMPLIANT	NON-COMPLIANT	
MU	15 measures to ensure that vessels only undertake transshipments at sea of fishery resources, with other vessels included on the SIOFA Record of Authorised Vessels.	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT			Not Assessed Our vessel operators target tuna as well as species governed by the SIOFA in the Indian Ocean. We have required our vessel operators to comply with IOTC's and CCSBT's CMMs under our	Non-Compliant	According to the CMM 2019/07 para 2, each CCP shall submit electronically to the Secretariat the list of vessels flying their flag that are authorised to operate in the Agreement Area.	NON-COMPLIANT	NON-COMPLIANT	MoP to ask CT to implement the measures on transshipments.  CT to draft a proposal for next CC

			domestic regulations in terms of transshipment. As the SIOFA CMMs are not identical to those of IOTC or CCSBT, it has posed a technical barrier for us to fully comply with this CMM. Therefore, it is necessary to accommodate the needs of pelagic longline vessel transshipment operations in the current CMM.		The carrier vessels operating transshipment with our authorised vessels were neither flying our flag nor were they CCPs of the SIOFA; therefore, unlike IOTC, we could not submit these foreign -flagged carrier vessels in the authorized list on our behalf. However, it is worth noting that these said carrier vessels had been authorised by IOTC and this Agency prior to the transshipment operations. We appreciate the Secretariat's hard work on this item and we will discuss this matter with other CCPs in the coming meetings.			
MU	16 measures to ensure vessels undertaking an at sea transshipment operation comply with the obligations listed in paragraph 16 (a)-(h)	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	19 measures to verify the accuracy of the information received in accordance with paragraphs 16 and 17	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	20 to ensure vessels flying your flag only transship in a port if it has prior	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information



CT	authorisation from its competent authority and the port State	X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	21 Did all vessels flying your flag and transshipping in a port during this assessment period notify, at least 24 hours in advance, the information provided in paragraph 21 (a)-(d) to the competent authority of the port State and, if known, the receiving vessel information?	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	22 measures implemented to ensure vessels flying your flag receiving in a port transshipment inform the competent authority of the port State of the quantities of fishery resources on board the vessel 24 hours before the transshipment and again 24 hours after the transshipment	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	23 measures implemented to ensure vessels flying your flag unloading in a port transshipment submits Transshipment Declarations in accordance with paragraph 23 and the format in Annex IV to its competent authority, and that of the port State within 24 hours of the transshipment, and also provides a copy to the receiving vessel.	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC

MU	24 measures implemented to ensure vessels flying your flag that received a transshipment in port, submit within 48 hours before a landing of the transshipped fishery resources, a copy of the received Transshipment Declaration to the competent authority of the port State where the landing will take place.	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	25 measures implemented for the verification of the accuracy of the transshipment information received in accordance with paragraphs 21 to 24.	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	26 provided to the Secretariat a report on the transshipments, where necessary including all the information listed in paragraph 26 (a)-(e) in relation to each transshipment and at sea transfer that took place in accordance with paragraphs 15 to 25	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Non-Compliant  We were unable to submit such report in full as we became a PFE in July 2019. As our regulations have then been revised and outreach activities have been conducted, we are able to provide the required information for the 2020 activities.	Non-Compliant		NON-COMPLIANT	NON-COMPLIANT	CT will propose amendment to the concerned CMM
SYC	ALL	compliant	n/a	Not assessed (12) no fishing in 2019		COMPLIANT	COMPLIANT	

(12) Secretariat: This CMM has not been assessed as there was no fishing from the Seychelles in the SIOFA Area in 2019. However, since there is no compliance issue with respect to the relevant obligation, we could consider the Seychelles as Compliant

Table 12 : CMM2018/11 Compliance Monitoring Scheme								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)	Additional information provided by CCP	Revised Secretariat assessment  (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
EU	12 completion of a CCP Compliance Report in full accordance with paragraph 12 and submitted to the Secretariat no later than 60 days before the commencement of the next ordinary Meeting of the Parties	Compliant	Compliant  Yes.  The EU notes that the 7th Meeting of the Parties will take place from 17 to 20 November 2020, the deadline set for the submission by CCPs of their Compliance Report (31 August 2020) allows for less time than permitted by paragraph 12.	Compliant  This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period.  Furthermore, the Executive Secretary sent an email on the 1st September to address to postpone the deadline for the submission of the CCR as soon as the date for the MoP was known.		COMPLIANT	COMPLIANT	
KOR		Non-compliant	Compliant  Yes	Non-Compliant  the compliance report was not provided in 2019 (for the assessment of year 2018)		NON-COMPLIANT	CRITICALLY NON-COMPLIANT	Korea shall provide the SCR as required every year from now
MU		Non-Compliant		Critically Non-Compliant  No Compliance Report provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
SYC		Compliant		Yes (Late submission)	Compliant  Caution : This Compliance Report assesses the period from 1st January 2019 to 31st		COMPLIANT	COMPLIANT

				December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period.				
COM		Non-compliant	Compliant Yes	Non-Compliant in 2019 the compliance report was not provided		CRITICALLY NON- COMPLIANT	CRITICALLY NON- COMPLIANT	MoP to ask Comoros to provide relevant information

Table 13 : CMM2019/12 Sharks								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)	Additional information provided by CCP	Revised Secretariat assessment  (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	all	n/a		Not assessed  no information provided		NOT ASSESSED	CRITICALLY NON- COMPLIANT	MoP to request information to be provided

Table 14: CMM2019/13 Mitigation of seabirds bycatch								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)	Additional information provided by CCP	Revised Secretariat assessment  (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	all	x		Not assessed  no information provided		NOT ASSESSED	CRITICALLY NON- COMPLIANT	MoP to request information to be provided

Table 15: CMM2019/14 High Sea Boarding and Inspection Procedures								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)	Additional information provided by CCP	Revised Secretariat assessment  (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
SYC	38 The Authorities of the Fishing Vessel shall provide a report of the investigation to the Executive Secretary and Authorities of the Inspection Vessel within 2 (two) months of the notification in paragraph 36, and if the evidence warrants, take enforcement action against the fishing vessel in question and notify the Authorities of the Inspection Vessel, as well as the Executive Secretary of any such enforcement action within 6 (six) months of the date of notification at paragraph 36.	n/a	n/a  Seychelles commented "not clear" on the assessment template	Not Assessed  Thank you for your comment, we will correct the mistake in our question. Our meaning was :  "if you took enforcement action against the fishing vessel in question, did you notify the Authorities of the Inspection Vessel, as well as the Executive Secretary of any such enforcement action within 6 (six) months of the date of notification at paragraph 36?"		NOT ASSESSED	NON-COMPLIANT	SYC to follow up with the Secretariat on the investigation report.
MU	all	x		<b>Not assessed</b>  No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request information to be provided

Table 16: CMM2019/15 Management of Demersal Stocks								
CCP	Obligation	2018	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information	Additional information provided by CCP	Revised Secretariat assessment	2019 Compliance status	Follow up responsive or

	<b>(include paragraph number, CMM, summary description)</b>	<b>compliance status</b>		<b>missing, possible compliance issues)</b>  (dSCR)		(dSCR rev1)	<b>proposed by CC</b>	<b>corrective action proposed</b>
AUS	12 ensure that any fishing with demersal longlines occur in depths shallower than 500m	x	Compliant (15)	Compliant		COMPLIANT	COMPLIANT	
EU	35 Toothfish shall be tagged and released at a rate of at least 5 fish per tonne green weight caught. A minimum overlap statistic of at least 60% shall apply for tag release, once 30 or more toothfish have been caught.	x	Compliant  One EU vessel operated in Williams Ridge between 21 and 31 December 2019. During this fishing trip, no toothfish specimens were tagged and released due to a misunderstanding between the vessel owner and the scientific observer. The EU notes that CMM 2019/15 does not contain detailed operational provisions, in particular it does not specify who is responsible for providing the tags or indeed what type of tags should be used.	Non-Compliant	The EU considers that the compliance status should be "Not assessed" on the basis that the provision is not clear, as acknowledged by the Secretariat, because it does not specify who is responsible for providing the tags or what type of tags should be used.	NOT ASSESSED  (CMM issue)	NON COMPLIANT	The issue has been resolved since then. The vessels are implementing the provision now.
MU	all	n/a		Not assessed  No information provided or available et the Secretariat		NOT ASSESSED	NON-COMPLIANT	MoP to request information to be provided
CT	all	X	N/A.  We did not have vessels engaging in bottom fishing in the Agreement Area for demersal stocks in 2019. This CMM is not applicable to us.	Not assessed (16)		COMPLIANT	COMPLIANT	

(15) This requirement only applies to toothfish in our view, and Australia did not authorize any toothfish vessels to fish in 2019 as its BFIA had not been reviewed by the SC, as is required by CMM 2019/01. However, no line boats operated in the Agreement Area in the 2019 reporting period following this requirement becoming binding (from 10/10/2019). This requirement has been implemented for 2020

(16) Secretariat: This CMM has not been assessed as there was no fishing from the Seychelles and Chinese Taipei in the SIOFA Area in 2019. However, since there is no compliance issue with respect to the relevant obligation, we could consider the Seychelles and Chinese Taipei as Compliant