

MoP-07-34

7<sup>th</sup> Meeting of the Parties of the South Indian Ocean Fisheries Agreement  
(MoP7)  
17-20 November 2020 (online)

## 4<sup>th</sup> Compliance Committee Report

*Relates to agenda item: 7*

Working paper ☒ Info paper ☐

### Compliance Committee Chairperson

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#### Abstract

The Compliance Committee presents the report of its 4<sup>th</sup> meeting which has been adopted on 17 November 2020.

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#### Recommendations

The CC recommend the Meeting of the Parties to consider the CC4 report.

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Report of the Fourth Meeting of the  
Compliance Committee of the  
Southern Indian Ocean Fisheries  
Agreement  
(SIOFA)

09 – 11 November 2020

# Table of Contents

<b>Agenda Item 1 – Opening of the session .....</b>	<b>3</b>
1.1 Opening Statement from the Chair.....	3
1.2 Opening statement from the SIOFA Executive Secretary .....	3
1.3 Admission of observers .....	3
<b>Agenda Item 2 – Administrative arrangements.....</b>	<b>3</b>
2.1 Adoption of the agenda .....	3
2.2 Confirmation of meeting documents .....	3
2.3 Appointment of rapporteurs.....	3
2.4 Guidance line for the meeting .....	3
<b>Agenda Item 3 – SIOFA Compliance Monitoring Scheme .....</b>	<b>3</b>
<b>Agenda Item 4 – New or Amended Conservation and Management Measures (CMMs) .....</b>	<b>4</b>
4.1 Proposals for amendments to Conservation and Management Measures (CMMs) .....	4
4.2 Proposals for new Conservation and Management Measures (CMMs) .....	4
<b>Agenda Item 5 – Listing of IUU vessels.....</b>	<b>5</b>
5.1 Draft SIOFA IUU List .....	5
5.2 Current SIOFA IUU Vessel List .....	8
5.2.1 Management of cross-listed vessels in the SIOFA IUU list .....	8
<b>Agenda Item 6 – Sightings of vessels reported to the Secretariat.....</b>	<b>8</b>
<b>Agenda Item 7 – High sea boarding and inspection (CMM 2019/14).....</b>	<b>8</b>
<b>Agenda Item 8 – Port inspections reports (CMM 2017/08 Port Inspection) .....</b>	<b>9</b>
<b>Agenda Item 9 – Entry/Exit reports (CMM 2019/10 Monitoring) .....</b>	<b>9</b>
<b>Agenda Item 10 – Report of Interim measures implemented during suspension of observer requirements in light of COVID-19 Pandemic.....</b>	<b>10</b>
<b>Agenda Item 11 – Vessel Catch and Effort Reporting .....</b>	<b>11</b>
<b>Agenda Item 12 – Review of the status of Cooperating Non Contracting Parties (CNCP) .....</b>	<b>12</b>
<b>Agenda Item 13 – Election of a future Vice Chairperson .....</b>	<b>12</b>
<b>Agenda Item 14 – Adoption of the Report .....</b>	<b>12</b>
<b>Agenda Item 15 – Close of the meeting .....</b>	<b>12</b>
<b>List of Annexes.....</b>	<b>13</b>

## **Agenda Item 1 – Opening of the session**

### **1.1 Opening Statement from the Chair**

1. The Chair, Mr. Johnny Louys, opened the meeting via SIOFA Circular n° 2020/22 on 09 November 2020 at 04:00UTC (Annex A). The videoconference was opened at 06:00UTC and the Chair opened the floor for delegation introductions, the list of participants is available in Annex B.

### **1.2 Opening statement from the SIOFA Executive Secretary**

2. The opening statement from the Executive Secretary was provided via correspondence (Annex C).

### **1.3 Admission of observers**

3. The following delegations were admitted as observers: the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR), the Food and Agriculture Organization (FAO), the Environmental Justice Foundation (EJF) (the EJF provided an opening statement in Annex D), and the Southern Indian Ocean Deepsea Fishers Association (SIODFA). The Chair welcomed the observers to the meeting.

## **Agenda Item 2 – Administrative arrangements**

### **2.1 Adoption of the agenda**

4. The Committee noted that the agenda, outlined in CC-04-03\_Rev2 (Annex E), was adopted by the Meeting of the Parties prior to the meeting pursuant to SIOFA Circular n° 2020/21, which agreed to exceptionally adopt the agenda for the Compliance Committee in advance of its meeting, derogating from Rule 11 of the Rules of Procedure, noting that this would ordinarily be adopted by the Compliance Committee itself.

### **2.2 Confirmation of meeting documents**

5. The Executive Secretary advised that all meeting documents were available on the website, and that the table of agenda items and related papers is presented in CC-04-06 (Annex F).

### **2.3 Appointment of rapporteurs**

6. With the agreement of the Northwest Atlantic Fisheries Organisation (NAFO) Executive Secretary, the SIOFA Executive Secretary nominated Ms Jana Aker as independent rapporteur.
7. The Compliance Committee agreed to appoint Ms Jana Aker as rapporteur.

### **2.4 Guidance for the meeting**

8. The Compliance Committee noted the guidance for the meeting and the technical information for the virtual meeting platform, outlined in CC-04-19. The Executive Secretary established communications prior to the beginning of each videoconference session to ensure a quorum was present consistent with Rule 12 of the Rules of Procedure.

## **Agenda Item 3 – SIOFA Compliance Monitoring Scheme**

9. The Executive Secretary presented an overview of the information in the draft SIOFA Compliance Report (dSCR) outlined in CC-04-18. CCPs provided comments and noted inconsistencies in the treatment of compliance matters and in the way the 'not assessed' status had been applied. The Secretariat presented a revised version of the dSCR in CC-04-18Rev1. The Compliance Committee reviewed the dSCR and assigned the compliance status and relevant follow-up actions in accordance with the terms of CMM 2018/11. During the discussions, CCPs noted concerns with the information provided in CC-04-08 on entry and exit reports, noting it was inconsistent with the dSCR in places, incorrect in many cases and CCPs had not been given the opportunity to review or correct

information prior to CC-04-08 being made publically available. One CCP stated that it was not prepared to note CC-04-08 in view of these issues. CCPs requested that the Secretariat include this information in the draft compliance report process going forward. The Compliance Committee noted some confusion relating to the compliance assessment period of the compliance report, particularly with the time period to assess with respect to the obligation to submit an implementation report, and noted that it is required to assess compliance with the obligations that were applicable during the relevant compliance assessment period (1 January 2019 to 31 December 2019).

10. Chinese Taipei maintains that the paragraphs with respect to transshipments in CMM 2019/10 are designed for bottom fisheries without taking into account the long-standing practice established for transshipment conducted by pelagic longline fishing vessels operating in the Indian Ocean. Therefore, Chinese Taipei maintains that its compliance status regarding the above paragraphs in the CMM shall be indicated as “not assessed” instead of “non-compliant”.
11. The European Union noted that many instances of non-compliance were attributable to only two CCPs and encouraged CCPs to improve their compliance with SIOFA obligations, including by providing any missing reports and other information.
12. **The Compliance Committee adopted the provisional Compliance Report (pSCR) outlined in CC-04-18Rev1 and agreed to forward it to the Meeting of the Parties for its consideration.**
13. The provisional SIOFA Compliance Report (pSCR) report is outlined in Annex G.

#### **Agenda Item 4 – New or Amended Conservation and Management Measures (CMMs)**

##### **4.1 Proposals for amendments to Conservation and Management Measures (CMMs)**

14. The European Union presented their proposal in CC-04-14 to amend SIOFA CMM 2017/08 (establishing a Port Inspection Scheme) to introduce clear timeframes for completion of port inspections and transmission of the written results of each inspection, and to clarify the roles of the master and port inspector. CCPs thanked the European Union for the proposed amendments and following discussions, the EU presented revised versions of their proposal in CC-04-14Rev1 and CC-04-14Rev2. The latter sets the timeframes for completing port inspections at within 72 hours of port entry (paragraph 21) and for transmitting reports at 30 days (paragraph 24bis). It was also noted that the roles of the master and port inspector are already set out in paragraph i) of Annex III to CMM 2017/08. CCPs thanked the European Union for the revisions. **The Compliance Committee agreed to forward the proposal outlined in CC-04-14Rev2 (Annex H) and to recommend it to the Meeting of the Parties for adoption.**
15. China proposed changes via correspondence to Paragraphs 6 in the Preamble and 1 in the main text of CMM 2017/08. However, the Compliance Committee clarified that, in line with Rule 10 of the Rules of Procedure, those changes could be submitted by China at the next Compliance Committee meeting.
16. France (on behalf of its Indian Ocean Territories) noted their decision to withdraw the proposal for modification of CMM 2017/08 (establishing a Port Inspection Scheme), outlined in CC-04-15, as the changes required further review.

##### **4.2 Proposals for new Conservation and Management Measures (CMMs)**

17. The European Union presented their proposal in CC-04-11 for a Conservation and Management Measure for the establishment of the Vessel Monitoring System (VMS) in the SIOFA Area, as outlined in CC-04-13, and noted that this was a revised proposal following comments received at the last meeting. The European Union acknowledged that the virtual format of the meeting was not conducive to discussing items of such complexity and importance and invited CCPs to provide comments on this proposal in writing. The European Union also proposed to continue work during

the intersessional period on the basis of a paper setting out options for the design and implementation of a future SIOFA VMS. The Compliance Committee thanked the European Union for this proposal. Some CCPs noted the benefit of a VMS and agreed on the importance of establishing a SIOFA VMS which is a core tool for monitoring, control and surveillance.

18. **The Compliance Committee agreed that CCPs submit comments to the European Union on the proposal for a CMM for the establishment of the Vessel Monitoring System in SIOFA, outlined in CC-04-13 and recommended that the European Union, with support from the SIOFA Secretariat, lead the intersessional work on this proposal in advance of the next Compliance Committee meeting.**

## **Agenda Item 5 – Listing of IUU vessels**

### **5.1 Draft SIOFA IUU List**

19. The Chair highlighted that this item was opened by correspondence and responses have been received by CCPs on the draft SIOFA IUU list outlined in CC-04-05. The Executive Secretary noted that there were three vessels on the draft IUU list, the Abishak Putha 3 (flag: not known), the Mariam 1 (flag: Mauritius), and the El Shaddai (flag: South Africa) and provided a summary of the relevant information for each vessel.
20. In relation to the Abishak Putha 3,
- a. the European Union noted that it has provided the information under consideration by CC4, which shows that the vessel was very likely engaged in fishing in the SIOFA Area despite not being on the SIOFA Record of Authorised Vessels. The current state of the investigation suggests that the vessel is stateless.
  - b. Australia stated: Australia notes Sri Lanka's advice to the Secretariat of 6 November 2019 that Sri Lanka cannot identify the vessel. Australia seeks to clarify the status of this vessel as we note Lloyd's report (as of 4 November 2020) and other information indicating that the vessel is still flagged to Sri Lanka. We would appreciate a deletion certificate or equivalent from Sri Lanka to confirm that the vessel is without nationality. Australia supports the inclusion of the Abishak Putha 3 on the Provisional IUU Vessel List on the basis that the information was provided in accordance with CMM 2018/06 and demonstrates that the vessel has engaged in fishing for fishery resources in the Agreement Area and was not on the SIOFA Record of Authorized Vessels (paragraph 5(a) of CMM 2018/06) at the time. Australia further notes that the vessel has engaged in fishing activities contrary to any other SIOFA CMM (paragraph 5(k) of CMM 2018/06), namely paragraph 2 of CMM 2016/04 as a vessel without nationality fishing in the Agreement Area.
21. In relation to the Mariam 1,
- a. Thailand stated: We confirm our position that the whole area of the Agreement is under the SIOFA jurisdiction, however based on the statements of Mauritius, it is the historic right claim where fisheries resources apart from sedentary species are not in the jurisdiction of the subject claim. So, without listing of the vessel in the authorization List, such fishing is fishing without authorization which breaching the CMM 2019/07 and other relevant CMMs.
  - b. The European Union stated: The European Union welcomes the information provided by the Environmental Justice Foundation (EJF) in relation to the vessel Mariam 1 flagged to Mauritius. Paragraph 6 of CMM 2018/06 provides that the Secretariat is required to draw up the draft IUU Vessel List on the basis of the information received pursuant to paragraphs 2 or 26 of CMM 2018, and any other information at its disposal,

such as the information provided by the EJF. The EU notes that Mauritius has confirmed that the vessel was fishing on the Saya de Malha Banks with a valid fishing licence issued by the Mauritius authorities. However, the EU notes that the area in question is included in the SIOFA Area and that the Mariam 1 was not included in the SIOFA Register of Authorised Vessels.

- c. Australia stated: Australia notes that Mauritius has repeated its claims of historical rights on the Saya de Malha Bank. Australia also notes that Mauritius has not provided further information in relation to the international legal basis for its claims despite repeated requests from Australia and others at MoP5 and MoP6. Australia refers to our previous statements at CC3 and MoP6 on historical rights and confirms that Australia's position has not changed. Without further information, Australia cannot consider Mauritius' claims and Australia continues to consider that SIOFA has the competence to regulate SIOFA fishery resources within the whole of the Agreement Area. Australia takes this opportunity to express again that Australia does not acquiesce to Mauritius' claims. Australia notes that the information on the Mariam 1 has been provided by an NGO and confirmed by the flag State, and considers that the Secretariat included the vessel in the Draft IUU Vessel List in accordance with paragraph 6 of CMM 2018/06. Australia notes that it is not the practice of other RFMOs to include a vessel on an IUU list solely on the basis of information provided by an NGO. Australia supports the inclusion of the Mariam 1 on the Provisional IUU Vessel List unless Mauritius demonstrates in accordance with paragraph 14 of CMM 2018/06 that either the vessel did not take part in IUU fishing activities (because they were not operating in the Agreement Area and/or were not fishing for fishery resources as defined in Article 1(f) of the Agreement) or that effective action has been taken in response to the IUU fishing activities in question. At a minimum, given that Mauritius has confirmed that the vessel fished in accordance with its licence conditions, Australia considers that effective action would need to include revocation of the licence until Mauritius includes the vessel on the SIOFA Record of Authorized Vessels and assurances from Mauritius that it will authorise fishing by its vessels in the Agreement Area in a manner consistent with SIOFA CMMs. Australia notes also that Mauritius cannot authorise vessels to bottom fish in the Agreement Area until it has submitted a Bottom Fishing Impact Assessment (BFIA) or measures required under paragraph 10 of CMM 2019/01.
- d. China stated: In line with CMM 2018/06, only CPs, PFEs and CNCPs have the right to propose a suspected vessel into the draft SIOFA IUU vessels list and according to the Rules of Procedure, it is in question whether the Secretariat has the right to add any vessels into the draft SIOFA IUU vessels list based on the information from non CCPs. Besides the information by the NGO derived from AIS, which is not legal tool for tracking vessels, and no RFMOs determined IUU vessels list according to AIS.

## 22. In relation to El Shaddai,

- a. Australia stated: Australia notes that the Secretariat has sought to confirm with South Africa the information provided by the vessel's representative and that South Africa has not responded. Australia considers that South Africa, as the responsible flag State, must engage with SIOFA in relation to this case. Australia supports the inclusion of the El Shaddai on the Provisional IUU Vessel list until further information is received and unless South Africa demonstrates in accordance with paragraph 14 of CMM 2018/06 that either the vessel did not take part in IUU fishing activities (because they were not operating in the Agreement Area and/or were not fishing for fishery resources as defined in Article 1(f) of the Agreement), or that effective action has been taken in



response to the IUU fishing activities in question. At a minimum, and noting the vessel may have fished in accordance with its licence conditions, Australia considers that effective action would need to include revocation of the licence until South Africa becomes a Contracting Party or Cooperating Non-Contracting Party to the Agreement and authorises fishing consistent with SIOFA CMMs.

- b. The European Union stated: The European Union notes that the information provided shows that the vessel *El Shaddai* was engaged in fishing in the SIOFA Area without being included in the SIOFA Record of Authorised Vessels, as required by CMM 2019/07. While appreciating the information made available as a result of the exchanges with the vessel representative, the EU is of the view that all formal communication with SIOFA on compliance issues should be channelled through the official flag State authorities. The EU is also concerned that South Africa, as flag State, has not provided its official position to SIOFA, nor does it appear to have carried out an investigation into the matter. The European Union notes that in these circumstances, it is difficult for the Compliance Committee to consider the case. The European Union recommends that South Africa be invited to carry out a full investigation and provide a report with their findings to SIOFA, as well as any effective action taken with respect to the vessel in response to these findings. The report should be accompanied by verifiable evidence and other documents including the VMS tracks and catch data of the vessel for the period 2019-2020.
  - c. Korea stated: Korea notes the background information and the current progress regarding the listing of this vessel on the draft SIOFA IUU vessels. Korea is concerned about the non-response from the flag state, which makes it difficult for the Compliance Committee to sufficiently consider the matter. Korea supports the inclusion of the *El Shaddai* in the provisional IUU vessel list for the time being and supports that the Compliance Committee recommend the MoP to contact South African Authorities to participate in SIOFA and comply with all SIOFA CMMs and to request the vessel to be added to the SIOFA authorized vessel list.
23. **The Compliance Committee agreed to include the three vessels on the provisional IUU vessel list in CC-04-05 and submit the provisional IUU vessel list to the Meeting of the Parties and recommended that the Meeting of the Parties include the vessels on the provisional IUU vessel list on the new IUU vessel list.**
24. **The Compliance Committee recommended that the Meeting of the Parties encourages South Africa to participate in SIOFA and requests that it comply with all SIOFA CMMs. The Compliance Committee tasked the Secretariat to send a request to South Africa to conduct an investigation into the potential IUU fishing activities and to provide SIOFA with a report on the outcome of that investigation.**
25. **The Compliance Committee recommended that the Meeting of the Parties request Mauritius to comply with all SIOFA CMMs, including CMM 2019/07 (Vessel Authorisation) and once the vessel has been duly authorised by Mauritius to operate in the SIOFA area, CCPs could consider de-listing the vessel in the intersessional period in accordance with the process in CMM 2018/06.**
26. The provisional IUU list is available in Annex I.
27. During the discussions on the draft IUU list, some CCPs raised concerns about the use of NGO information for placing vessels on the draft IUU list, noting that more guidance to the Secretariat is required for processing this information. Divergent opinions were expressed: on the one hand, some CCPs noted that paragraph 6 of CMM 2018/06 requires the Secretariat to draw up the draft IUU list on the basis of all information available to it, including information received from NGOs. On the other hand, some CCPs noted that the information provided by NGOs may not be the sole basis of

the information, especially when no such information is provided by CCPs, CNCPs nor other relevant States, to draw up the draft IUU vessel list. Some CCPs noted that the information provided by NGOs may not be the basis of the information to draw up the draft IUU vessel list. As a result, **the Compliance Committee recommended that the Meeting of the Parties clarify the treatment of NGO information under the CMM and requested guidance from the Meeting of the Parties on how to proceed intersessionally prior to the next Compliance Committee meeting.**

## **5.2 Current SIOFA IUU Vessel List**

28. The Chair highlighted that this item was opened by correspondence. Australia noted the information that was circulated to CCPs on 21 September 2020 on behalf of Australia in relation to the boarding of the *FV Cobija*, notifying CCPs of the updated information available on this vessel, and requested that the IUU Vessel List be updated to reflect the updated information (including name and statelessness) on the *FV Cobija*. Australia stated that it understands that as at 10 November 2020 this vessel and its crew remain detained in port in Yemen and a number of IOTC members have undertaken enquiries within their fisheries and registry authorities to locate the beneficial owners of this vessel. Australia encouraged all CCPs to continue to investigate and take effective action in holding the perpetrators of IUU fishing to account. **The Compliance Committee requested that the Meeting of the Parties update the current IUU vessel list with the information on the *FV Cobija*, specifically the change of name and flag status.**

### **5.2.1 Management of cross-listed vessels in the SIOFA IUU list**

29. The Chair highlighted that this item was opened by correspondence. France (on behalf of its Indian Ocean Territories) proposed that the Secretariat include links to the relevant listing RFMOs for each vessel on the SIOFA cross-listed IUU vessel list to ensure that the vessel has not been de-listed in that RFMO. The Compliance Committee noted that while such links could be useful, paragraphs 24 to 27 of CMM 2018/06 outline a special procedure for incorporating into or deleting from the SIOFA IUU Vessel list during the intersessional period vessels that have been added to or deleted from other RFMOs' final IUU Vessel Lists.

## **Agenda Item 6 – Sightings of vessels reported to the Secretariat**

30. The Chair highlighted that this item was treated by correspondence. The Compliance Committee noted that no vessel sighting report had been provided to the Secretariat since its last meeting (CC3, July 2019).

## **Agenda Item 7 – High seas boarding and inspection (CMM 2019/14)**

31. The Chair opened this agenda item by reminding CCPs of the intersessional work that was required following the adoption of the High Seas Boarding and Inspection (HSBI) Procedures in CMM 2019/14. The Executive Secretary provided a summary of the three working documents (HSBI questionnaire, SIOFA Inspection Flag, and Identity Card for Inspectors) drafted by the Secretariat during the intersessional period.
32. The draft HSBI multi-language questionnaire was outlined in CC-04-10, and the Chair noted the agreement from Heads of Delegation that the version of the questionnaire outlined in Annex B of this document was the version being considered at this meeting. Several CCPs reiterated that the purpose of the questionnaire is to provide guidance and facilitate communications. CCPs were supportive of the questionnaire, but noted the outstanding comments that remained unresolved, and some CCPs expressed that they had further comments to provide on the questionnaire. Given the limited time at this meeting, **the Compliance Committee agreed to continue the work on the**

**questionnaire outlined in CC-04-10 Annex B intersessionally. CCPs will submit comments to the questionnaire to the Secretariat to compile in advance of the next Compliance Committee meeting.**

33. The proposal for the SIOFA Inspection Flag was outlined in CC-04-11 (Annex J) and CCPs agreed with the proposal. **The Compliance Committee agreed to forward the proposal for the SIOFA Inspection Flag outlined in CC-04-11 to the Meeting of the Parties for adoption.**
34. The proposal for the SIOFA Identity Card for Inspectors was outlined in CC-04-12. Several concerns and divergent opinions were raised during the discussion of the identity card : some CCPs prefer to use only their National identity cards for inspectors, some others prefer the harmonized SIOFA identity cards. Other proposals included : having the flag of the nationality of the inspector on the harmonized SIOFA identity card, using both the harmonized SIOFA and National identity cards, identifying the authority for issuing the identity cards (CCP versus the Secretariat), maintaining a list of identified inspectors, developing and issuing a system for serial numbers for inspectors, etc. Some Members considered the small size of the SIOFA Secretariat and the need to ensure consistency with CMM 2019/14. Given the limited time at this meeting, **the Compliance Committee agreed to continue discussions on the SIOFA Identity Card intersessionally and at the next Compliance Committee meeting.**

#### **Agenda Item 8 – Port inspections reports (CMM 2017/08 Port Inspection)**

35. The Chair highlighted that this item was treated by correspondence. The Secretariat reported that 19 Inspection reports from the European Union covering the year 2019 had been received by the Secretariat on the 16th October 2020. The secretariat noted that these reports and other information were presented using CCAMLR templates.
36. The European Union confirmed that 19 inspections were carried out by the French authorities in the port of La Réunion in 2019. All inspections concerned vessels carrying/landing toothfish harvested in the CAMLR Convention Area. In accordance with paragraph 22 of CMM 2017/08 on Port Inspections, these vessels were inspected when they entered La Réunion. The European Union also clarified that the written information transmitted by the European Union to the SIOFA Secretariat on the results of each inspection did include at least the information set out in Annex IV, as required by paragraph 24 of CMM 2017/08. The European Union acknowledged that the information was presented using the CCAMLR template for information collected during a port inspection (CCAMLR CM 10-03, Annex 10-03/B), it noted that paragraph 24 of CMM 2017/18 does not oblige CCPs to use the template set out in Annex IV, but rather to provide to SIOFA all the information set out in that Annex.

#### **Agenda Item 9 – Entry/Exit reports (CMM 2019/10 Monitoring)**

37. The Chair highlighted that this item was treated by correspondence, that the Secretariat had provided a report in CC-04-08 about the entry and exit reports submitted by vessels when entering or leaving the SIOFA Area. The Chair noted that several CCPs provided relevant updates and clarifications to the Secretariat. Several CCPs noted that the compliance issues reported in the report were not completely reflected into the dSCR. The Compliance Committee noted the report and expressed concerns about it, noting it was inconsistent with the dSCR in places, incorrect in many cases, and CCPs had not been given the opportunity to review or correct information prior to CC-04-08 being made publicly available. One CCP expressed that it was not comfortable noting the report given these issues. The Compliance Committee requested that the Secretariat include this information in the dSCR going forward.
38. Australia clarified two reporting discrepancies identified in the paper for Australia. In relation to the vessel Atlas Cove, Australia stated that the vessel made an error in the notification sent on 22 March 2020 which should have specified the exit date (not the entry date) of 21 March 2020. In relation to

the vessel Corinthian Bay, Australia stated that the vessel submitted duplicate notifications and that the entry notification at 5:47 on 16 September 2019 is the confirmed notification of the two.

#### **Agenda Item 10 – Report of Interim measures implemented during suspension of observer requirements in light of COVID-19 Pandemic**

39. The Chair highlighted that this item was treated by correspondence and the Secretariat outlined the relevant documentation for decisions taken in relation to the COVID19 pandemic in SIOFA Circulars 2020/01, 2020/04, 2020/05, 2020/09, and 2020/15.
40. Japan stated: Japan appreciates the Secretariat for compiling and presenting the document CC-04-16. Please kindly note that we have agreed as described in the SIOFA Circular 2020/15 to extend the derogation period of observer obligation to 31 January 2021.
41. The Cook Islands stated: Firstly, the Cook Islands thank the CCPs for their consideration and support for the necessary derogation from CMM 2019/01, para 39 as a result of the pandemic and its impact on our ability to fulfil this obligation. The health, safety and welfare of our fisheries observers and vessel crews are of paramount importance, and have required a reprioritisation of our attention and resources to manage the impacts and consequences of this unprecedented situation. The Cook Islands has two trawl vessels affected by this situation. Despite the derogation the Cook Islands has maintained 100% observer coverage on one vessel, however on the second vessel only 50 days of observer coverage were achieved from a 2019 trip that extended through the beginning of 2020. Three trips of the second vessel have been without observer coverage, however data normally collected by observers is being collected by the vessel and reported to the Ministry of Marine Resources after each trip. Currently, we are still experiencing the same challenges expressed intersessionally for the placement and disembarkation of observers, along with access to ports and services. In particular, our current concern is the inability to get observers home, and we have had one observer on a vessel for over a year. We are working with several government agencies in various countries to repatriate the observer, however health, immigration and travel restrictions continue to hamper access to flights and a pathway to return the observer home. Aside from physical health, mental health is also a concern for us, given the extended period of time at sea and relative isolation from family and friends. Finally, whilst we are appreciative of the support we have received for these intersessional decisions, we have had to go through an extensive process to achieve this. This has included at least five intersessional decision-making processes within a period of 7 months, to address circumstances that are beyond our control and unlikely to change in the near future due to the pandemic. We are glad that the intersessional decision in SIOFA circular 2020-10-06 has provided for a more realistic timeframe and derogation through to 31st January 2021, which relieves some of the administrative burden and provides operational certainty for our vessels. However we would like any future processes to take this in to account. We are a small administration and our national priorities are focused on responding to the impacts of the pandemic, particularly the health and economic security of our country, as well as providing for the business continuity for our fleets.
42. Thailand stated: Thailand acknowledges the derogations of the obligations set by CMM 2019/01 paragraph 39 regarding observer coverage for the Cook Islands and Japan and also acknowledges the interim measures implemented by Japan during the suspension of observers.
43. The European Union stated: The EU acknowledges the unprecedented challenges presented by the COVID-19 pandemic and appreciates the efforts made by Japan and the Cook Islands to ensure 100% scientific observer coverage on their trawl vessels, despite the temporary derogations introduced by the intersessional decisions to paragraph 39(a) of CMM 2019/01. Data collection by scientific observer is essential for the work of the Scientific Committee and other subsidiary bodies. The EU considers that these derogations, including the on-going derogation introduced by SIOFA circular 2020/15 until 31 January 2021, are an appropriate response to the difficulties encountered by the trawler fleets concerned. The EU is ready to examine the need for further extensions depending on

how the COVID-19 pandemic evolves. In this regard, the EU considers that the burden on CCPs to request renewal of the derogation via new intersessional decisions is not unreasonable in view of the importance of data collection by scientific observers.

44. Australia stated: Australia thanks the Secretariat for the preparation of the report on interim measures implemented during the suspension of observer requirements in light of the COVID-19 pandemic. Australia notes that the relevant intersessional decisions require the CCPs concerned to report on the measures implemented during the period of suspension of observers, and that both CCPs have done so. Australia has no further comments from a compliance perspective and thanks the CCPs for the information they have provided.

## **Agenda Item 11 – Vessel Catch and Effort Reporting**

45. The Chair highlighted that this item was treated by correspondence and the Secretariat prepared document CC-04-09 (Annex K) to summarize the submission made in 2019 of vessel catch and effort data (2018 data) under CMM 2019/02 on data standards.
46. Japan stated: Japan appreciates the Secretariat for compiling and presenting the document CC-04-09. It indicates that there were some concerns with the 2018 trawl datasets submitted by Japan (as highlighted in yellow and orange: time resolution, spatial accuracy, gear details and species). Japan is however pleased to inform that we have recently resolved those problems as we have already started to collect set-by-set data, thus believes the status would turn to be green (fully compliant) from the 2019 Summary and on. With regard to the incidental bycatches highlighted in red, although actually we submitted the bycatch data the Secretariat might failed to recognize them as our submitted data failed to follow the designated template. It would be appreciated if you could check with the data set we submitted or if we can take an additional communication with the Secretariat regarding this matter. Regarding the data on discarded catch, which is also highlighted in red, we submitted such data in the observer report as they are not available in the logbook. For this problem, we understand that discussions are going on at the Scientific Committee (SC) and are waiting for a result to be provided at the next SC on the possible revision of the data collection requirements for scientific observers and logbooks. Once the situation is settled, we will submit such data either through the logbook or the observer report.
47. The European Union stated: The EU thanks the Secretariat for its work in producing an analysis of the 2018 vessel and catch effort data submitted by CCPs in 2019 under CMM 2019/02. The EU agrees that it is important that CCPs submit complete and accurate data. The EU notes the analysis presented in CC-04-09, which it is still examining in view of improving its data collection and submission processes. The EU considers that in future exercises, it would be useful for the analysis to also take into account data from other sources, including data collected and provided by the on-board scientific observers, and for a cross-verification of data consistency to be carried out.
48. Australia stated: Australia notes the paper prepared by the Secretariat on submission of vessel catch and effort data. Australia has concerns about the implementation of an arbitrary scoring system in relation to the compliance of each CCPs submission. We would prefer that the Secretariat work with each CCP to correct any issues in relation to their individual data submissions. In relation to the spatial accuracy of data, Australia considers that provision of data to 5 decimal places (i.e. 0.00001 decimal degrees) is in accordance with CMM 2019/02 and is fit for purpose, and requests that this be reflected in the paper. In relation to the resolution of species data, Australia notes that it can be difficult for crew and observers to identify all specimens to a species level and that Australia is continuously working to improve the capabilities of crew and observers in this regard. Australia supports the EU's suggestion that in future exercises, it would be useful for the analysis to also take into account data from other sources, including data collected and provided by the on-board scientific observers, and for a cross-verification of data consistency to be carried out.

49. The Cook Islands stated: The Cook Islands thanks the Secretariat for the paper, however have some general comments and concerns with the approach taken in the paper. It is not the role of the Secretariat to determine compliance as that responsibility lies with the Compliance Committee and ultimately the MOP. The paper should instead be presented and focussed on data gaps, with the aim of providing guidance and assistance to CNCPs to meet their reporting obligations. The Cook Islands rejects the suggestion in the conclusion and recommendations section of the paper that suggests a review to determine whether only one source of data should be used. It is imperative that we maintain the integrity of existing data collection programmes within SIOFA. Different data sources serve different purposes as well as a means of verification. In this vein, this paper could be expanded to include catch and effort related data reporting requirements from other relevant CMMs and obligations.

#### **Agenda Item 12 – Review of the status of Cooperating Non Contracting Parties (CNCP)**

50. The Chair noted that Comoros has expressed their interest in retaining CNCP status in SIOFA and sent regrets that due to technical issues they were not able to participate in this meeting. **The Compliance Committee noted that Comoros submitted a statement confirming its commitment to respect the objectives of the agreement and all related obligations. On that basis, the Compliance Committee recommended that the Meeting of the Parties determines that Comoros qualifies to retain its CNCP status, and requested the Secretariat to obtain more information from them in relation to Rule 17 Paragraph 4 and submit a statement of its commitments as a CNCP each year that it wishes to retain its CNCP status.**

51. The statement from Comoros is available in Annex L.

#### **Agenda Item 13 – Election of a future Vice Chairperson**

52. There were no nominations for Vice Chairperson.

#### **Agenda Item 14 – Adoption of the Report**

53. **The Compliance Committee agreed to adopt its report intersessionally with CCPs to submit their comments on the draft report to the Chair by 0600 UTC Friday 13 November to provide the Chair with an opportunity to reconcile and clarify any outstanding issues. The reconciled report will be circulated in track changes on Friday evening. The report will be finalised by 0600 UTC Monday 16 November. CCPs cannot make changes to attributed statements of other CCPs.**
54. **The Compliance Committee adopted the report of its 4<sup>th</sup> Meeting on Tuesday the 17<sup>th</sup> of November at 09.13GMT.**

#### **Agenda Item 15 – Close of the meeting**

55. The Chair closed the video conference at 11:52UTC on 11 November 2020 and the Chair closed the meeting on 11 November 2020 through SIOFA Circular 2020/22E at 16:00UTC.

## List of Annexes

Annex A	Opening statement from the Chairperson
Annex B	List of Participants
Annex C	Opening statement from the SIOFA Executive Secretary
Annex D	Opening statement from the Environmental Justice Foundation (EJF)
Annex E	Agenda (CC-04-03_Rev2)
Annex F	Table of agenda items and related papers (CC-04-06)
Annex G	Provisional SIOFA Compliance Report (pSCR)
Annex H	Proposal to amend SIOFA CMM 2017/08 establishing a Port Inspection Scheme (CC-04-14Rev2)
Annex I	provisional IUU list
Annex J	Intersessional consultations on the HSBI SIOFA Inspection Flag (CC-04-11)
Annex K	Catch and effort data – 2019 submission summary (CC-04-09)
Annex L	Message from Comoros (CC-04-22)

## ANNEX A: Opening statement from the Chair

Delegates, Ladies and Gentlemen

It is with great pleasure that I welcome you all to the 4th Meeting of the Compliance Committee for the Southern Indian Ocean Fisheries Agreement. It is equally with the greatest pleasure that I chair the 4th compliance committee meeting, my first since elected as the compliance committee chairperson.

Since our last meeting, the global context has been nothing short of challenging, notably with the incursion of the Global Pandemic COVID-19, which not only threatens global health, but also continues to make the proper management of fisheries just as challenging. Its ramifications were and is being felt in many respects when it comes to fisheries, from the economic impacts to impeding global fisheries management efforts. Notwithstanding this, we must recognize and commend all efforts to ensure that fisheries remains sustainable globally, which includes our relentless work as a regional fisheries management organization in those challenging times.

Our work as the compliance committee is of great importance in the fisheries management process of this small but important organization. In the next three days, we will be discussing matters of importance such as the potential establishment of a SIOFA VMS, improving on current Conservation and Management measures, assessing our efforts to implement conservation and management measures, among other important matters. I trust that all matters will be treated with utmost importance during this process, and that we conclude this meeting on high notes prior to our 7th Meeting of Parties to consider.

May I take this opportunity to thank the Secretariat for their support in preparation to this meeting. I also take this opportunity to express my infinite gratitude to our SIOFA chairperson for all the support she has provided to myself during the intersessional periods leading up to this meeting.

Wishing us all fruitful deliberations in the next 3 days.

Thank you



## Annex B: LIST OF CC4 PARTICIPANTS

(as at 09/11/2020)

Delegation	Title	Name	Function	Contact
<b>COMPLIANCE COMMITTEE CHAIRPERSON</b>				
	Mr	Johnny Louys	CHAIRPERSON	jlouys@sfa.sc
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<i>none at date</i>				
<b>OBSERVERS – INTERGOVERNMENTAL ORGANISATIONS</b>				
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TRADEO	Mrs	Florence Bazin	Interpreter	bazinflorence@orange.fr

## ANNEX C: Opening statement from the Executive Secretary

Ladies and Gentlemen,

I am happy to see you all today at this 4th Compliance Committee of the SIOFA despite the current situation. As you can see, this edition is special : for the first time in the history of the SIOFA, the Compliance Committee is held remotely and I am glad to see you all, representatives, alternate, experts, advisers and observers gathered for this meeting.

The year 2020 has debuted in a very unpleasant way, we all faced challenging situations within both our personal and professional life's since the outbreak of the Coronavirus crisis. I am happy we all managed to maintain continued communication despite it. Seeing you all behind the screen of my computer today proves again that, as the old saying goes "when there is a will there is a way", and today more than ever there is a will to cooperate.

This international crisis reminded us that we live in a small world, with highly interdependent populations and that the challenges of tomorrow's world will need international responses. The Southern Indian Ocean Fisheries Agreement is the engagement of all to work and make a project possible. Through their commitment to the SIOFA, contracting cooperating parties invest money and/or time for a future where there is resource to fish in our Area of competence in a strict respect of the neighboring ecosystems.

I am honored to open this first Compliance Committee since my appointment to this position. It goes without saying that the regulations we have set up and implemented for the last few year have a use only if they are thoroughly applied. The fact that this committee is held annual in advance of the Meeting of the Parties proves the importance we assign to our objectives. It proves that the SIOFA Agreement is not just a bunch of words and promises but a real project of several persons is dedicated to, and present an impact on our current and future live.

I would want to also salute the Observers who bring us their knowledge and their experience and permit to progress.

To conclude, I would like to thank you all for taking the time to attend this virtual meeting and especially for those who had to wake up early or will go to sleep late tonight because of us. Wherever you are and whatever time it is, I wish you all a good 4th Compliance Committee.

Thank you for your attention.

## ANNEX D: Opening statement from the Environmental Justice Foundation (EJF)

Dear Mr Chair, Delegates and Mr Executive Secretary, Ladies and Gentlemen,

The Environmental Justice Foundation (EJF) is a non-governmental organisation working to secure a world where natural habitats and environments can sustain, and be sustained by, the communities that depend upon them. For over a decade, EJF has worked to combat illegal, unreported and unregulated (IUU) fishing.

EJF believes that information-sharing between stakeholders and increased transparency in the fishing sector are key to deter and eliminate IUU fishing.

Therefore, EJF appreciates the opportunity given by the Southern Indian Ocean Fisheries Agreement to allow it to participate as observer in the 4th Meeting of the Compliance Committee, particularly in these challenging times.

EJF looks forward to following its deliberations.

Thank you.

## Annex E - Provisional Agenda

### The Southern Indian Ocean Fisheries Agreement (SIOFA)

#### 4th Meeting of the Compliance Committee

9-11<sup>th</sup> November 2020

Chair: Mr Johnny LOUYS

The provisional agenda for the 4<sup>th</sup> meeting of the Compliance Committee (CC4) has been developed based on preliminary exchanges to determinate modalities for conducting the 4<sup>th</sup> Meeting of the Compliance Committee SIOFA 2020 through a combination of correspondence and videoconference.

**1. Opening of the session** *(Treated by correspondence and videoconference)*

- 1.1 Opening statement from the Chair *(Electronically)*
- 1.2 Opening statement from the SIOFA Executive Secretary *(Provided in advance)*
- 1.3 Admission of observers

**2. Administrative arrangements** *(Treated by correspondence)*

- 2.1. Adoption of the agenda *(Formally adopted by CC in advance)*
- 2.2. Confirmation of meeting documents *(Provided in advance)*
- 2.3. Appointment of rapporteurs *(In progress)*
- 2.4. Guidance line for the meeting *(Provided in advance)*

**3. SIOFA Compliance Monitoring Scheme** *(Treated by correspondence and videoconference)*

*Standing agenda Item. In accordance with CMM 2018/11 Compliance Monitoring Scheme, the CC will shall consider the Draft SIOFA Compliance Report (dSCR) and adopt a Provisional Compliance Report (pSCR) which shall be forwarded to the Meeting of the Parties for consideration at its ordinary meeting.*

*Due to the context and complexity of the issues, if no agreement is reached at the conclusion of the exchanges and video conference, the CC may recommend that these compliance issues be considered at CC5 for the previous two years (2019 and 2020).*

**4. New or Amended Conservation and Management Measures (CMMs)** *(Treated by videoconference).*

*Standing agenda item to allow the development of recommendations to the MoP on new or amended CMMs Regarding the logistical remotely organization and the potential difficulties it could create, it is recommended to propose and discuss only about the urgently propositions.*

- 4.1. Proposals for amendments to Conservation and Management Measures (CMMs)
- 4.2. Proposals for new Conservation and Management Measures (CMMs)

**5. Listing of IUU Vessels )** *(Treated by correspondence and videoconference).*

- 5.1. Draft SIOFA IUU List

*Standing agenda item. In accordance with CMM 2018/06 paras 12. (a) and 13, the CC shall consider the draft SIOFA IUU Vessel List and adopt a provisional SIOFA IUU List for MoP consideration.*

## 5.2. Current SIOFA IUU Vessel List

*Standing agenda item. In accordance with CMM 2018/06 paras 12 (b) and 14, the CC shall consider the current SIOFA IUU Vessel List and recommend to the MoP which, if any, vessels should be removed from the current IUU Vessel List.*

### 5.2.1 Management of cross-listed vessels in the SIOFA IUU list

*Secretariat to present SIOFA IUU Vessel List as presented on the SIOFA website.*

## 6. Sightings of vessels reported to the Secretariat. (Treated by correspondence)

*Standing agenda item. Secretariat report on any sightings of vessels without nationality, operating in the Agreement Area communicated to the Secretariat by Contracting Parties, CNCPs and PFEs. Sightings and report provided in accordance with CMM 2016/04 (Article 5) on Vessels without Nationality*

## 7. High sea boarding and inspection (CMM 2019/14) (Treated by correspondence and videoconference)

*the Meeting of the Parties shall adopt a SIOFA inspection flag and shall develop a standardised questionnaire during the intersessional period following the 6th Meeting of the Parties, which once adopted shall be translated into multiple languages and circulated to all CCPs and published on the SIOFA website. [CMM 2019/15 § 18]*

## 8. Port inspections reports (CMM 2017/08 Port Inspection) (Treated by correspondence)

*Status on inspection reports received at the Secretariat (ref CMM 2017/08 para 24)*

## 9. Entry/Exit reports (CMM 2019/10 Monitoring) (Treated by correspondence)

*Status on entry/exit reports (para 14) and transshipments log sheet (para 16) received at the Secretariat*

## 10. Report of Interim measures implemented during suspension of observer requirements in light of COVID-19 Pandemic (Treated by correspondence)

## 11. Vessel Catch and Effort Reporting (Treated by correspondence)

## 12. Review of the status of Cooperating Non Contracting Parties (CNCP) (Treated by correspondence and videoconference)

*In accordance with the rule 17-8 of the RoP. Australia suggests that it would be worthwhile the Compliance Committee reviewing status of existing CNCPs (and any new applicants if applicable) and providing technical/compliance advice to inform the MOP decision on renewing/granting CNCP status*

## 13. Election of a future Vice Chairperson (Treated by correspondence)

*The current chairs term ends at the close of the CC of 2021. However the CCPs may consider the election of a Vice-Chair to support the current Chair*

## 14. Adoption of the report (Treated by correspondence and videoconference)

*Review and adoption of the report prepared by the rapporteur.*

## 15. Close of the meeting



## Annex F-Table of agenda items and related papers

(as at 08/11/2020)

Agenda Item	Related Papers
<b>1. Opening</b> 1.1 Opening statements 1.2. Admission of observers	<b>CC-04-21</b> List of participants (provided only a few days before the meeting starts as late registration are usual) <b>CC-04-22</b> [info] List of observers admitted to the CC4 meeting
<b>2. Administrative arrangements</b> 2.1 Adoption of the Agenda  2.2 Confirmation of Meeting Documents  2.3 Appointment of rapporteurs	<b>CC-04-03</b> Provisional Agenda <b>CC-04-20</b> Meeting schedule <b>CC-04-06</b> Table of agenda items and related papers (this)
<b>3. SIOFA Compliance Monitoring Scheme</b>	<b>CC-04-18</b> Draft SIOFA Compliance Report (dSCR)
<b>4. New or amended Conservation and Management Measures</b> 4.1 New CMM for SIOFA VMS 4.2. Amendment of CMM 2017/08 on port inspections	<b>CC-04-13</b> EU proposal for a SIOFA VMS CMM <b>CC-04-14</b> EU proposal to amend CMM 2017-08 on Port Inspection <del><b>CC-04-15</b> Fr (OT) proposal modification of CMM 2017-08</del>
<b>5. Listing of IUU vessels</b>	<b>CC-04-05</b> [restricted] Draft SIOFA IUU vessels list.xlsx + restricted vessels information documents for SIOFA IUU draft listing
<b>6. Sightings of vessels reported to the Secretariat</b>	<i>no report</i>
<b>7. High sea boarding and inspection (CMM 2019/14)</b>	<b>CC-04-10</b> CMM 2019-14 HSBI Questionnaire + 3 annexes <b>CC-04-11</b> CMM 2019-14 HSBI SIOFA Inspection flag) <b>CC-04-12</b> CMM 2019-14 HSBI identity card of inspector
<b>8. Port inspections reports</b>	<i>no document expected, no port inspection report provided to SIOFA</i>
<b>9. Entry/Exit reports</b>	<b>CC-04-08</b> Entry exit notifications report
<b>10. Report of the interim measures implemented during suspension of observer requirements in light of COVID-19 Pandemic</b>	<b>CC-04-16</b> Report on intersessional decision (part A)

Agenda Item	Related Papers
11. Vessel catch and effort reporting	<a href="#">CC-04-09</a> Catch and effort data- 2019 submission summary
12. Review of the status of Cooperating Non-Contracting Parties (CNCP)	<a href="#">CC-04-22</a> [info] Message from Comoros ( <i>late submission</i> )
13. Election of a future vice chairperson	<i>n/a</i>
14. Adoption of the Report	<i>n/a</i>
15. Close of the Meeting	<i>n/a</i>

## Annex G - provisional SIOFA Compliance Report (pSCR)

### Compliance Committee

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#### Abstract

CMM 2018/11 (Compliance Monitoring Scheme) requests the Secretariat to prepare and provide a draft SIOFA Compliance Report (dSCR, para 13 to 18). The Secretariat prepared the dSCR (CC-04-05) and a later revision (CC-04-05 dSCR rev1).

The Compliance Committee reviewed the dSCR and produced the provisional SIOFA Compliance Report (pSCR) presented here. The pSCR has been adopted on 11 Nov 2020 during the 3<sup>rd</sup> video session of the 4<sup>th</sup> Compliance Committee.

The CC shall submit the pSCR to the next Meeting of the Parties.

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#### Recommendations to the MoP (*working papers only*)

To adopt a final SIOFA Compliance Report based on the recommendations of the pSCR.

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## Provisional SIOFA Compliance Report

In order to facilitate monitoring and evaluation of compliance as provided by CMM2019/11 paragraph 14:

- Only the obligation with a status other than “Compliant” or with specific comments have been displayed
- Long comments are shared as notes following the table to which they relate.

CCP abbreviations used: AUS-Australia, CI-Cook Islands, CN-China, CT-Chinese Taipei, EU-European Union, FR-France (Territories), JPN-Japan, KOR-Korea, MU-Mauritius, SYC-Seychelles, THA-Thailand, COM-Comoros

Table 1: Southern Indian Ocean Fisheries Agreement								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues) (dSCR)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
AUS	<i>Article 10 (2) submission of a statement of implementing and compliance measures, including any imposition of sanctions for any violations (NOTE: submission of CCP Compliance Report satisfies this requirement)</i>	Compliant	Yes, Australia has submitted its Compliance Report. (1)	Compliant	Australia would appreciate and indication from the Secretariat as to how it intends to respond to this request. We have made this request with no response for two years now (2).	COMPLIANT	COMPLIANT	
CI		Compliant Non-compliant with deadline	Compliant Nil. The Cook Islands did not encounter any issues with submitting this report	Compliant but the deadline was passed	Nil	COMPLIANT		
EU		Compliant	Compliant Yes, the EU submitted its Compliance Report in accordance with CMM	Compliant		COMPLIANT	COMPLIANT	

			2018/11.  The EU did not encounter any difficulties or barriers to submission of the report.					
FR		Compliant	Compliant  Yes, France (OT) submitted the Compliance Report in accordance with CMM 2018/11.  No, France (OT) did not encounter any difficulties or barriers to submission of this report.	Compliant		COMPLIANT	COMPLIANT	
KOR		Non-Compliant	No Korean vessel operated in the SIOFA Area in 2019. There was no relevant violation in 2019, hence no need for the imposition of sanctions.	Not assessed  This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period.		COMPLIANT	COMPLIANT	
MU		Non-Compliant		Critically Non-Compliant No Compliance Report provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request that Mauritius provide its Compliance Report.
THA		Compliant	Compliant  Yes, Thailand submitted the Compliance Report 2018 to the secretariat on 30 April 2019.  The difficulties that Thailand has encountered is :  • This Compliance Report is	Compliant		COMPLIANT  CCPs have the responsibilities to fill the CCR template first	COMPLIANT	

			<p>a blank template that has not been pre-assessed by the secretariat, which makes this report provided too many details. Thailand suggests that the secretariat may pre- assess the CCPs compliance and send the report of pre-assessment to CCPs to comments, make corrections or add any additional information</p> <ul style="list-style-type: none"> <li>• Repeated questions. We suggest to consolidate the similar questions</li> </ul>					
COM		Non-compliant	<p>Compliant</p> <p>Yes. The Comoros Union is a new CCP and not a master's degree.</p>	<p>Non-compliant</p> <p>No Compliance Report has been provided in 2019 (But a compliance report has been provided in 2020 for 2019)</p>		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request that Comoros provide its Compliance Report.
AUS	<i>Article 11 3. (c) submission of a report on fishing activities (this report is included within the annual National Report).</i>	Compliant	<p>Compliant</p> <p>Australia submitted its National Report at the 5th Scientific Committee Meeting</p>	Compliant		COMPLIANT	COMPLIANT	
EU		Compliant	<p>Compliant</p> <p>Yes, the EU submitted its National Report with the section 'Description of Fisheries'.</p>	Compliant		COMPLIANT	COMPLIANT	

FR		Compliant	Yes, France (OT) submitted the section 'Description of Fisheries' in the National Report.	Compliant		COMPLIANT	COMPLIANT	
KOR		Compliant	Compliant Yes, Korea submitted its National Report with the section 'Description of Fisheries'	Compliant		COMPLIANT	COMPLIANT	
MU		Non-Compliant		Critically Non-Compliant No annual report provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to submit its Annual National Report
THA		Compliant	Compliant Yes, Thailand was submitted the National Report of year 2019 to Secretariat on 28 February 2020	Compliant Caution: This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period.	Compliant Apologies for misunderstands about the period of Compliance assessment.  However, Thailand submitted Thailand National Report of the year 2018 to the secretariat on 22 February 2019.	COMPLIANT	COMPLIANT	
COM		Non-compliant	Compliant  Yes. Difficulties have been encountered mainly in the description of the fisheries	Compliant		COMPLIANT	COMPLIANT	

(1) As noted in our discussion paper on this issue (MoP 4 – 10), there are three elements to the obligation in Article 10(2). Submission of a Compliance Report does not discharge the full obligation; but rather satisfies the 'statement of implementing and compliance measures' element. We also request, as we did last year, that the template for the Compliance report be updated in this regard so that it seeks a complete report on the implementation of Article 10(2).

With reference to the obligation to provide a statement of sanctions imposed for any violation, our commentary in MoP4-10 notes our expectation on how this could be fulfilled. However, Australia did not impose any sanctions in the compliance assessment period and therefore has nothing to report.

The third element of 10(2), not described above, is a commitment of obligation on a coastal State Contracting Party to provide a summary of the conservation and management measures implemented for straddling stocks in the area immediately adjacent to the Agreement Area.

In Australia's case, this relates to our Western Deepwater Trawl Fishery and to the toothfish fishery in Heard Island and McDonald Islands, stocks which straddle the SIOFA Area.

Commonwealth fisheries are managed in accordance with the Commonwealth Fisheries Harvest Strategy Policy and the Commonwealth Fisheries Bycatch Policy, both of which are publicly available.

The Western Deepwater Trawl Fishery is a limited entry trawl fishery targeting deepwater species in water deeper than 200 meters off the coast of Western Australia from Exmouth to Augusta. Catches are generally quite low. To fish in this fishery, fishers must hold a valid fishing permit. There are 11 fishing permits (maximum number of vessels active at one time) each with a five year duration. Under these permits fishers are required to report information on their catch and effort levels, have a functioning vessel monitoring system and carry an observer when requested to do so. Harvest levels are managed in accordance with the *Harvest Strategy for the Western Deepwater Trawl Fishery and North West Slope Trawl Fishery* that can be accessed on the AFMA website:

<https://www.afma.gov.au/sites/default/files/uploads/2014/11/Harvest-Strategy-NWST-WDWT-2011.pdf>

Further information on the [Management Arrangements for the Western Deepwater Trawl Fishery](https://www.afma.gov.au/fisheries/western-deepwater-trawl-fishery) can be accessed on the AFMA website: <https://www.afma.gov.au/fisheries/western-deepwater-trawl-fishery> AND ALSO <https://www.afma.gov.au/sites/default/files/uploads/2014/08/Western-Deepwater-Trawl-Fishery-statement-of-management-arrangements-2012.pdf>

. Further information on the status of the fishery can be found in the *ABARES Fishery status reports 2017*  
[http://data.daff.gov.au/data/warehouse/9aam/fsrXXd9abm/fsr17d9abm/20170929/14\\_FishStatus2017WstnDeepwaterTrawl\\_1.0.0.pdf](http://data.daff.gov.au/data/warehouse/9aam/fsrXXd9abm/fsr17d9abm/20170929/14_FishStatus2017WstnDeepwaterTrawl_1.0.0.pdf)

The Australian external territory of Heard Island and McDonald Islands (HIMI) is in the southern Indian Ocean, within the area covered by the Convention on the Conservation of Antarctic Marine Living Resources. The islands and their surrounding territorial waters (out to 12 nautical miles [nm]) are closed to fishing and regulated under the *Environment Protection and Management Ordinance 1987*, administered by the Australian Antarctic Division (AAD) of the Australian Government Department of Agriculture, Water and the Environment. A 1 nm buffer zone around the territorial waters of HIMI extends the area closed to fishing to 13 nm. The HIMI Marine Reserve was declared in October 2002 and then expanded in March 2014 by proclamation after scientific assessment. The reserve now totals 71,200 km<sup>2</sup>. Waters between 12 and 200 nm from HIMI are part of the Australian Fishing Zone (AFZ). The *Heard Island and McDonald Islands Marine Reserve management plan 2014–2024 (2014)*, made pursuant to the *Environment Protection and Biodiversity Conservation Act 1999* (EPBC Act), provides the management regime for the reserve. Fishing is regulated in accordance with the *Fisheries Management Act 1991* via a plan of management.

The key target species are Patagonian toothfish (*Dissostichus eleginoides*) and mackerel icefish (*Champsocephalus gunnari*). The SC has identified population linkages between the stock of patagonian toothfish at HIMI and stocks occurring on William's Ridge in SIOFA. The fishery also has catch limits for bycatch species, such as deep-sea skates (Rajidae) and grey rockcod (*Lepidonotothen squamifrons*), based on assessments of long-term annual yield (Constable, Williams & de la Mare 1998). The catch limits for unicorn icefish (*Channichthys rhinoceros*) and grenadiers (*Macrourus* spp.), another group of bycatch species, were updated in 2015 based on assessments undertaken by the AAD.

The catch limits are regularly reviewed by the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR) Scientific Committee and the CCAMLR Commission, and are considered precautionary. The limits are then given effect domestically via a legislated decision-making process delegated to the Commission of the Australian Fisheries Management Authority (AFMA). Demersal longline is the main method used in the fishery, with some catch taken by demersal trawl. Trawl has declined rapidly in favour of longline as the main method used to target toothfish.

Australia, in collaboration with industry, regularly conducts fisheries-independent, random-stratified trawl surveys for target species (Patagonian toothfish and mackerel icefish) to collect relative abundance data, particularly of juvenile age classes. Harvest strategies for the target species are consistent with the precautionary approach implemented by the CCAMLR and have been used to set catch limits since the mid-1990s. The harvest strategies developed for the Heard Island and McDonald Islands Fishery (HIMIF) are considered more precautionary than the guidelines of the Commonwealth Fisheries Harvest Strategy Policy (DAWR 2018). For mackerel icefish, the reference point dictates that the spawning stock biomass be maintained at 75 per cent of the level that would occur in the absence of fishing at the end of a two-year model projection. For Patagonian toothfish, the reference points dictate that median escapement of the spawning biomass at the end of a 35-year projection period is 50 per cent of the median pre-exploitation level and that the probability of the spawning biomass dropping below 20 per cent of its pre-exploitation median level is less than 10 per cent over the projection.

Australia has established committees and stakeholder forums to ensure adequate consultation. The [Sub-Antarctic Management Advisory Committee](#) and the [Sub-Antarctic Resource Assessment Group](#) reviews the international and domestic science and management of Patagonian toothfish and mackerel icefish and provide advice to the AFMA Commission. The Patagonian toothfish and mackerel icefish



stocks at

HIMI are assessed every two years by the AAD. These assessments are reviewed by the Sub-Antarctic Resource Assessment Group and CCAMLR.

Further information on the [Management Arrangements for the High Seas Fishery](https://www.afma.gov.au/sites/default/files/uploads/2017/02/High-Seas-Management-Arrangements-Booklet-2017-FINAL.pdf) can be accessed on the AFMA website: <https://www.afma.gov.au/sites/default/files/uploads/2017/02/High-Seas-Management-Arrangements-Booklet-2017-FINAL.pdf>

(2) **Secretariat** : The Secretariat would like to add the following two obligations to Article 10(2) of the model CFR :

"Article 10(2) requires you to provide an annual statement of any sanctions imposed to the Meeting of the Parties. Please specify :

-The steps taken to start the investigation ;

-The process followed to complete the investigation, within the framework of relevant national processes and laws; and

-Sanctions imposed and any other action proposed in relation to the alleged violation".

and

"If you are a coastal State in the SIOFA Area of Application, Article 10(2) requires you to provide a summary of the conservation and management measures implemented for straddling stocks in the area immediately adjacent to the Agreement Area. Please provide a list of the applicable measures. If you have already submitted this information in a previous assessment, please provide details of any new revisions made".

Table 2: CMM2019/01 Interim Management of Bottom Fishing								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)	Additional information provided by CCP	Revised Secretariat assessment  (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed to be undertaken
EU	10(1)(a)i: limit bottom fishing effort and or catch (>40 days fishing CCP)	Not Assessed	Compliant  The EU-Spain fleet uses number of vessels (2) as metric for fishing effort freezing. In 2019, the EU (Spain) had one vessel operating in the area.	Compliant		COMPLIANT	COMPLIANT	
MU	10(1)(a)i: limit bottom fishing effort and or catch (>40 days fishing CCP)			Not assessed  no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(1)(a)ii: constrain spatial distribution (>40			Not assessed		NOT ASSESSED	CRITICALLY NON-	MoP to request Mauritius to provide

## ANNEX G

	days fishing CCP)			no information provided			COMPLIANT	the relevant information
MU	10(1)(a)iii: ensure no significant adverse impacts on VME (>40 days fishing CCP)			Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(1)(a)iv: ensure no fishing in closed areas (>40 days fishing CCP)			Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(1)(b)i: limit bottom fishing effort and or catch (<=40 days fishing CCP)			Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(1)(b)ii: ensure no significant adverse impacts on VME (<=40 days fishing CCP)			Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(1)(b)iii: ensure no fishing in closed areas (<=40 days fishing CCP)			Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	10(2) disclose of Interim Bottom Fishing Measures pursuant to paragraph 10	Non-Compliant		Critically Non-Compliant No information provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
COM		Compliant	Non-Compliant Non	Non-Compliant		NON-COMPLIANT	NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
EU	10(3) Amendments to Interim bottom fishing measures, notified to	Not Assessed	Compliant No changes have been made to the limitation measures communicated to	Compliant		COMPLIANT	COMPLIANT	

## ANNEX G

	secretariat within 30 days		SIOFA.					
MU		Not assessed		Not assessed		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	12 Application of VME encounter threshold levels in accordance with para 12	X		Not assessed No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	13 Application of VME encounter protocols in accordance with para 12	X		Not assessed No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	15 Upon receipt of a notification from the Secretariat under paragraph 14b., ensure that vessels flying their flags do not undertake bottom fishing in the notified encounter	X		Not Assessed		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
KOR	20(a) submission of spatial extent of historic bottom fishing effort data to SC3 2018	Non-Compliant	N/A  Korea did not fish in the Area in 2019	Non-compliant  The submission of the spatial extent of historical bottom fishing is still to be provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	Korea's non-compliance issues are administrative in nature, and this stems from the transition gap that took place within the Ministry. The gap has now been addressed, and Korea will make its best effort to provide all relevant reports and information in a timely manner in the future.

## ANNEX G

MU		Non-Compliant		Critically Non-Compliant No spatial extent of historic bottom fishing effort provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	21 submission of a BFIA for individual bottom fishing activities to SC3 2018	X		Critically Non-Compliant No BFIA for individual bottom fishing activities provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	22 if not complied with 21 - submission of a BFIA for individual bottom fishing activities 30 days prior any ordinary SC meeting following 2018	X		Not assessed		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
COM		Compliant	Compliant Yes	Compliant		COMPLIANT	COMPLIANT	
MU	29 proposal to bottom fish at a variance with established measures, to be submitted 30 days prior to next ordinary meeting SC	Not assessed		Not assessed		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	39(a) 100% scientific observer coverage on vessels using trawl gear	Not assessed		Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
MU	39(b) 20% scientific observer coverage on vessels using any other bottom fishing gear in any year	Not assessed		Not assessed No information provided			CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
CI	44 for each vessel participating in bottom fishing, submit to the secretariat VMS reports in a format in accordance	Compliant	Not Assessed No	Not Assessed		NOT ASSESSED (CMM issue)	NOT ASSESSED	MoP to provide clarity on what is required under para 44 of CMM 2019/01

## ANNEX G

AUS	CMM 2019/02 Annex C		Compliant (4)	Not assessed  there are actually ambiguity on the mandatory provision of VMS data	We do not agree that this is the proper use of 'Not assessed'. Australia's compliance should be assessed here notwithstanding the unresolved ambiguity between CCPs about what is required under paragraph 44 of CMM 2019/01.  In Australia's view, this paragraph obliges CCPs to submit VMS data. We note there is no timeframe specified for the submission of VMS data. Australia's VMS data for the bottom fishing that occurred in the Agreement Area has been submitted and accordingly Australia has complied with its obligation. (5)	NOT ASSESSED (CMM issue)	NOT ASSESSED	MoP to provide clarity on what is required under para 44 of CMM 2019/01
THA			N/A  As SIOFA Reporting and Submission Requirements 2018, CMM 2018/01 paragraph 11, VMS reports and messages shall be submitted to the secretariat when the secretariat suggest as appropriate or as requested. In 2019, The secretariat did not request VMS reports and message from Thailand.	Not assessed  CMM2018/01 has been amended at MoP6. The CMM assessed here is CMM2019/01.  The CMMs are not clear whether the VMS report must be sent to the Secretariat (together with CMM on		NOT ASSESSED (CMM issue)	NOT ASSESSED	MoP to provide clarity on what is required under para 44 of CMM 2019/01

## ANNEX G

				monitoring)				
MU	46(a) application of measures to prohibit vessels engaging in bottom fishing in interim protect area provided in Annex 2	Not assessed		Not assessed No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
THA			Compliant  Yes, Thailand has enforced the Notification of the Department of Fisheries on Requirement and Regulations of Overseas Fishing Vessels which are Operating in the Area under the Southern Indian Ocean Fisheries Agreement (SIOFA) B.E. 2562 (2019) which Thai flag vessels must be operated in the SIOFA area as described in the Notification, i.e. Saya de Malha Bank. Fishing operation is observed through MCS scheme including:  <ul style="list-style-type: none"> <li>• VMS, ERS and EM</li> <li>• human observers</li> <li>• fishing logbook</li> </ul> Thailand corrected the Annex number in the CCR template from “Annex 3” to “Annex 2”.	Compliant  Caution; CMM2018/01 has been amended at MoP6. The CMM assessed here is CMM2019/01.  Annex 2 in CMM2018/01 became Annex 3 in CMM2019/01	Thailand corrected the Annex number in the CCR template from Annex 2 to Annex 3  not Annex 3 to Annex 2.	COMPLIANT	COMPLIANT	
MU	46(b) for all other gears ensure all vessels fishing in areas provided in Annex 2 have a scientific observer onboard at all times.	Not assessed		Not assessed No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
COM		Compliant	Compliant  Yes. But only a dozen of the little stars who work at the hand line. Impossible to take an observer on board	Not assessed  Hand line fisheries do not allow observers for each fishing operation		NOT ASSESSED (CMM issue)	NON-COMPLIANT	the MoP to request confirmation from Comoros as to whether Comoros is authorizing Comoros vessels to bottom fish

								in the AA
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(4) We note there is some ambiguity between CCPs about what is required, and it has not yet been resolved. Australia's view is that there is an obligation to submit VMS data under this para, however we note there is no timeframe specific for the submission of VMS data. The VMS data for the bottom fishing that occurred in the Agreement Area has been submitted

(5) Secretariat: The Secretariat received data on vessel positions thanks to the catch and effort data submission and entry/exit notifications. However, we did not received reports entitled "VMS report" in 2019 from Australia. Can we consider the catch and effort data submission and entry/exit notifications as a VMS report? Anyway, there are no technical definitions of a VMS report in CMM 2019/01 so we cannot properly assess this requirement hence the proposed **not-assessed** status.

Table 3: CMM2019/02 Data standards								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)	Additional information provided by CCP	Revised Secretariat assessment  (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	4 data collected in accordance with the relevant sections of Annex A	Not assessed		Not assessed  No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
THA		Compliant	Compliant  Yes. The data collected is in accordance with Annex A.  We are experiencing a difficulty filling the data of handline, which is normally used by Thai fishing vessels. The data of handline is filled in the longline format provided by the secretariat. However, some longline worksheets are not application	Compliant  It is true that collection of data on a haul by haul basis from small hand line fisheries is challenging. The CMM 2019/02 must be reviewed by CCP who have hand line fisheries and the SC to achieve a proper data	N/A  Apologise for misunderstands about the period of Compliance assessment.  However, Thailand reported Thailand Vessel Catch & Effort Data, Annual catch summaries, and Scientific Observer Data Report of year 2018 on 3 May 2019 that	COMPLIANT	COMPLIANT	MoP to request the SC to examine the format for handline and to make recommendations to the MoP.

## ANNEX G

			for handline	collection scheme	in 2018, Thailand did not authorise any vessel to operate in SIOFA area.			
COM		non-compliant	Compliant Yes. I still have difficulties on the description of the fisheries	Non-compliant data provided as image and do not follow Annex A		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	Secretariat to assist Comoros on data aspects.
JPN	5 data collected on a haul-by-haul basis	Non-compliant	Compliant Yes.	Compliant		COMPLIANT	COMPLIANT	
MU		Not assessed		Not assessed No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to provide the relevant information
THA		Compliant	Compliant Yes, Thailand can collect data on haul-by-haul basis  However, Thailand has a comment that the fishing area (Saya de Malha Bank) where Thai fishing vessels operated is small. Therefore, collecting data on haul-by-haul basis too detailed.	Not assessed  It is true that collection of data on a haul by haul basis from small hand line fisheries is challenging. The CMM 2019/02 must be reviewed by CCP who have hand line fisheries and the SC to achieve a proper data collection scheme	N/A  Apologise for misunderstands about the period of Compliance assessment.  However, Thailand reported Thailand Vessel Catch & Effort Data, Annual catch summaries, and Scientific Observer Data Report of year 2018 on 3 May 2019 that  in 2018, Thailand did not authorise any vessel to operate in SIOFA area.	NOT ASSESSED  (CMM issue for handline fisheries)	NOT ASSESSED	MoP to request the SC to examine the format for handline and to make recommendations to the MoP.
COM		Not assessed	Compliant Yes. Species codes used on board do not conform to FAO code	Non-compliant		NON-COMPLIANT  irrelevant comment to the measure	NON-COMPLIANT	MoP request data to be collected in accordance with CMM
CI	6 submission of vessel catch and effort data to Secretariat by 31 May	Non-Compliant (data)	Compliant Yes	Compliant	Nil	COMPLIANT	COMPLIANT	



## ANNEX G

		Non-Compliant (submission deadline)						
EU		Compliant non-compliant	Compliant Yes	Compliant		COMPLIANT	COMPLIANT	
MU		Non-compliant		Critically Non-Compliant No vessel catch and effort data submitted		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP request data to be provided
COM		Non-Compliant	Non-Compliant Non	Critically non-compliant Repeated non-compliance with an obligation for two or more consecutively assessed years		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP request data to be provided
THA	6 submission of vessel catch and effort data to Secretariat by 31 May  And  7 submission of annual catch summary by 31st May	Compliant	Compliant  Yes, Thailand submitted the 2019 vessel catch and effort data to Secretariat on 30 May 2020  Thailand corrected the date of the Report in the CCR template from “2018” to “2019”. And the date of submission from “2019” to “2020”	Compliant  This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period.  no fishing in 2018 by THA	Compliant  Apologise for misunderstands about the period of Compliance assessment.  However, Thailand reported Thailand Vessel Catch & Effort Data, Annual catch summaries, and Scientific Observer Data Report of year 2018 on 3 May 2019 that  in 2018, Thailand did not authorise any vessel to operate in SIOFA area.	COMPLIANT	COMPLIANT	
CI	7 submission of annual catch summary by 31st May	Compliant (data)  Non-Compliant (submission deadline)	Compliant  Yes the Cook Islands submitted annual catch summary data	Compliant	Nil	COMPLIANT	COMPLIANT	

## ANNEX G

EU		Compliant non-compliant	Compliant Yes	Compliant		COMPLIANT	COMPLIANT	
MU		Non-compliant		Critically Non-Compliant No annual catch summary submitted		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP request data to be provided
COM		Non-Compliant	Non-Compliant Non	Critically non-compliant		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP request data to be provided
COM	8 implement on-board all fishing vessels flying your flag the FAO Identification guide to the deep-sea cartilaginous fishes of the Indian Ocean	Compliant	Non-Compliant Non	Non-Compliant		NON-COMPLIANT	NON-COMPLIANT	MoP request Comoros to confirm whether it fish for deep seas species.
MU	9 b submission of an annual National Report 30 days prior to the ordinary SC meeting	Non-compliant		Critically Non-Compliant No annual National Report submitted		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to request Mauritius to submit its Annual National Report and for previous exercises.
SYC		Compliant		Compliant		COMPLIANT	COMPLIANT	
THA		Compliant	Compliant  Yes, Thailand submitted the National Report of year 2019 to Secretariat on 28 February 2020  Thailand corrected the date of the Report in the CCR template from “2018” to “2019”. And the date of submission from “2019” to “2020”	Compliant  This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period.	Compliant  Apologise for misunderstands about the period of Compliance assessment.  However, Thailand submitted Thailand National Report of the year 2018 to the secretariat on 22 February 2019.	COMPLIANT	COMPLIANT	
COM		Non-Compliant	Non-Compliant Non	Critically non-compliant		CRITICALLY NON-	CRITICALLY NON-	MoP to request Comoros to submit its Annual National

## ANNEX G

						COMPLIANT	COMPLIANT	Report and for previous exercises.
MU	9 c provided National Report in full accordance with the Guidelines for the submission of Annual National reports to the SIOFA Scientific Committee	Not assessed		Not Assessed no annual report provided		NON-COMPLIANT	NON-COMPLIANT	Submit the NR in accordance with the guidelines
SYC		Not Assessed		Non-compliant  The national report does not follow the guideline, however Seychelles did not fish in 2018-2019 for SIOFA species		NON-COMPLIANT	NON-COMPLIANT	Resubmit the NR in accordance with the guidelines
KOR	10 submission of historical catch, effort, observer data for period 2000-2015 by 31 Jan 2018			Non-compliant  Fine scale historical data are still to be provided to the Secretariat (fine scale historical data can also do for the spatial extent of historical bottom fishing footprint)		NON-COMPLIANT	NON-COMPLIANT	Korea's non-compliance issues are administrative in nature, and this stems from the transition gap that took place within the Ministry. The gap has now been addressed, and Korea will make its best effort to provide all relevant reports and information in a timely manner in the future.  Korea is currently compiling the data and will submit it soon.
MU		Non-compliant		Critically Non-compliant no historical data provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request the historical data to be provided by Mauritius.
SYC		Non-compliant		Non-compliant		CRITICALLY NON-	CRITICALLY NON-	Seychelles to provide a

## ANNEX G

			n/a	no historical data provided		COMPLIANT	COMPLIANT	statement of no effort from years 2000 to 2015
COM	10 for new CCPs joining after October 2016 submission of historical catch, effort, observer data for period 2000-2015 within 12 months after joining	Non-Compliant	Non-Compliant Non	Critically Non-Compliant		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request the historical data to be provided by Comoros.
MU	12 implementation of a national scientific observer programme	N/A		Not Assessed No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	The MoP to request that Mauritius provide the implementation of national observer programme.
MU	14 submission of an annual observer programme implementation report included in National Report	Non-compliant		Critically Non-Compliant No annual National Report submitted		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request that Mauritius provide its NR including all information required in the report.
CI	15 submission of Scientific Observer Data by 31 May.	Compliant (data) Non-Compliant (submission deadline)	Compliant Yes	Compliant	Nil	COMPLIANT	COMPLIANT	
EU		Compliant non-compliant	Compliant Yes EU comment: Should reference be to year 2019 and submission by 31 May 2020?	Compliant Caution : This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. Therefore the submission evaluated here relates to the data sent in 2019 (about 2018 fisheries data).		COMPLIANT	COMPLIANT	

## ANNEX G

MU		Non-compliant		Critically Non-Compliant No Scientific Observer Data submitted		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request the data to be provided
THA		Compliant	Compliant  Yes, Thailand submitted the 2019 the observer data to Secretariat on 30 May 2020.  Thailand corrected the date of the Report in the CCR template from “2018” to “2019”. And the date of submission from “2019” to “2020”	Not Assessed  This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period.  no fishing by THA in 2018	Compliant  Apologise for misunderstands about the period of Compliance assessment.  However, Thailand reported Thailand Vessel Catch & Effort Data, Annual catch summaries, and Scientific Observer Data Report of year 2018 on 3 May 2019 that  in 2018, Thailand did not authorise any vessel to operate in SIOFA area.	COMPLIANT	COMPLIANT	
COM		Non-Compliant	Non-Compliant  Non	Critically non-compliant		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request the data to be provided
MU	15 observer data collected in accordance with Annex B	N/A		Not assessed  No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	The MoP to request the data to be collected in accordance with CMM
MU	18 a-b implementation of data verifications (VMS, observers, inspection, ort sampling)	Not assessed		Not assessed  No information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	The MoP to request the information to be provided
MU	18 c. submission of annual data verification report included in National Report	Non-compliant		Critically Non-Compliant  No annual data verification report included in National Report		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request that Mauritius provide its NR including all information required in the report.

## ANNEX G

AUS	19-20 data reported in accordance with the formats described in CMM 2018'02, including its annexes	Not Assessed	Compliant Data was provided as required.	Compliant		COMPLIANT	COMPLIANT	
CI		Not Assessed	Compliant  All fisheries data has been submitted to the Secretariat as per formats prescribed in this CMM. In 2019, no issues were encountered.	Non-Compliant  This report assesses the submission of the 2018 data (due 31 May 2019). 2018 Data have been provided in a daily aggregated format (and not haul by haul)	Originally the Cooks Islands submitted aggregate data due to security concerns, however fine scale data was submitted after The Cook Islands was comfortable with the Secretariats conformation of data security.	NON-COMPLIANT	NON-COMPLIANT	In relation to CMM 2019-02, para.19 and 20, the Cook Islands seek clarification that the obligation refers to the submission of 2018 data to the Secretariat in the previous year (2019). If so, next year in 2021 we will be assessing the submission of 2019 data submitted to the Secretariat in 2020.  Data collection requirements have been updated to meet the formats and specifications as required in this measure, and 2019 data has been submitted to the Secretariat as required
EU		Not Assessed	Compliant  No	Compliant		COMPLIANT	COMPLIANT	
FR		Not Assessed	Compliant  No	Compliant		COMPLIANT	COMPLIANT	
JPN		Not	Compliant	Compliant		COMPLIANT	COMPLIANT	

## ANNEX G

		Assessed	Yes.					
MU		Non-compliant		Critically Non-Compliant No data reported		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	The MoP to request the information to be provided
THA		Compliant	Compliant  The data reported is in accordance with the formats described in the CMM.  We have encountered a difficulty reporting the data of handline, which is normally used by Thai fishing vessels. The data of handline is filled in the longline format provided by the secretariat. However, some longline worksheets are not application for handline. In addition, some columns of longline format are ambiguous for handline	Not assessed  no fishing in 2018 by THA  It is true that collection of data on a haul by haul basis from small hand line fisheries is challenging. The CMM 2019/02 must be reviewed by CCP who have hand line fisheries and the SC to achieve a proper data collection scheme	N/A  Apologise for misunderstands about the period of Compliance assessment.  However, Thailand reported Thailand Vessel Catch & Effort Data, Annual catch summaries, and Scientific Observer Data Report of year 2018 on 3 May 2019 that  in 2018, Thailand did not authorise any vessel to operate in SIOFA area.	NOT ASSESSED  (CMM issue)	NOT ASSESSED	The MoP to ask the SC to review data standards for handline fisheries

Table 6 : CMM2016/05 Pelagic Driftnets and Deepwater Gillnets								
CCP	Obligation (include paragraph number, CMM, summary)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by	Follow up responsive or corrective action proposed

	description)			issues) (dSCR)			CC	
SYC	1 implementation of measures prohibiting the use of all large-scale pelagic driftnets more than 2.5km in the Agreement Area by national vessel	Compliant	n/a	Compliant		COMPLIANT	COMPLIANT	
EU	2 implementation of measures to prevent the use of deep-water gillnets by national vessel	Compliant	Compliant  There is no obligation in CMM 2016/05 to prohibit or prevent the use of deep-water gillnets. Rather, Paragraph 2 of CMM 2016/5 provides that Contracting Parties, CNCPs and PFEs recommend that deep-water gillnets not be used in the Agreement Area by any vessel flying the flag of a Contracting Party, CNCP or PFE until such time as the Meeting of the Parties has received a recommendation from the Scientific Committee. The EU fleet has voluntarily discontinued the use of such gears in SIOFA since 2016.	Compliant		COMPLIANT  (Sec to amend the CCR)	COMPLIANT	
SYC		Compliant	n/a	compliant		COMPLIANT	COMPLIANT	
MU	all	Not assessed		Not assessed  no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	The MoP to request the information to be provided

Table 7 : CMM2018/06 IUU Vessel List



## ANNEX G

CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues) (dSCR)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
EU	2 in the event a list of vessels presumed to have been engaged in IUU fishing submitted to the secretariat, this has been completed using the form in Annex I and transmitted with 90 days before the ordinary Meeting of the Parties	Compliant	Compliant  Yes. Preliminary information related to presumed IUU fishing by two vessels was submitted to the Secretariat on 11 October 2019, using the form in Annex I, and an updated version of that form was submitted to the Secretariat on 29 November 2019, recommending that one of the vessels be included in the SIOFA IUU list.  EU comment: CMM 2018/16 requires the list to be sent <u>at least</u> 90 days before the meeting, not within 90 days before the meeting	Compliant  The Secretariat suggest to amend the CCR template		COMPLIANT  (Secretariat to amend the CCR)	COMPLIANT	
JPN	31 should designate a contact point through which information on reported activities described in sub-paragraphs 30 (a) and (b) can be exchanged	Compliant	N/A  Compliant  Not Assessed	Compliant  This requirement should be assessed and assigned only one compliance status		COMPLIANT	NOT ASSESSED	SYC suggest to allow the SCR to accommodate partial compliance (time deadline and content)  Text to be reviewed by the MoP
SYC		Compliant with submission  Non-compliant for deadline	N/A (No request received)	Not assessed (7)  no cooperation with CCPs		COMPLIANT	NOT ASSESSED	
COM		x	N/A  Non	Non-compliant		COMPLIANT	NOT ASSESSED	
COM	32 reporting in annual implementation report on actions and measures taken		N/A	Not assessed (7)		COMPLIANT	COMPLIANT	

	in accordance with this CMM	x	Non					
<b>MU</b>	<b>all</b>	<b>Not assessed</b>		<b>Not assessed</b> <b>no information provided</b>		NOT ASSESSED	CRITICALLY NOT COMPLIANT	The MoP to request the information to be provided

(7) Secretariat: This CMM has not been assessed as no request have been received in 2019. However, since there is no compliance issue with respect to the relevant obligation, we could consider the Seychelles, Comoros as **Compliant**

Table 8 : CMM2019/07 Vessel Authorisation								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	2, all states or fishing entities that was a CCP before 18 Oct 2016 - electronically to the submission Secretariat a list of vessels authorised to operate in the agreement area in accordance with all requirements listed in paragraph 2(a)-(s)	Non-Compliant		Non-Compliant  some items are missing (authorised vessels photos)		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	Mauritius to provide the relevant information
CT	4 any State or fishing entity becoming a CCP after 18 Oct 2016, to provide information referred to in paragraph 2 (a)-(s) within 30 days of becoming a CCP.		Non-Compliant  We submitted a list of authorised vessels according to para 2 (a)-(r). As it takes time to collect digital images of vessels in accordance with the requirement of para 2 (s), this issue is	Non-Compliant  (because of delay in the provision of vessel picture)		NON-COMPLIANT	NON-COMPLIANT	Pictures have been provided before the dSCR issuing date.

## ANNEX G

			addressed in 2020.					
MU	6 (a) authorised flagged vessels to operate in the agreement Area only if they are able to fulfil in respect of these vessels the requirements and responsibilities under the Agreement, and all relevant SIOFA CMM	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	Mauritius to provide the relevant information and Mauritius should not allow vessel to operate in the AA if they are not able to fulfil the requirements of CCMs
MU	6 (b) measures to ensure that the vessels flying their flag comply with all relevant SIOFA CMMs	Non-Compliant		Critically Non-Compliant No Compliance Report provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	<b>same request</b>
MU	6 (c) measures to ensure that the vessels flying their flag that are on the SIOFA Record of Authorised Vessels keep on board valid certificates	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	<b>same request</b>
MU	6 (d) ensure that the vessels flying their flag on the SIOFA Record of Authorised Vessels have no history of IUU fishing, or links to IUU fishing	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	<b>same request</b>
MU	6 (e) ensure that the owners and operators of its vessels registered on the SIOFA Record of Authorised Vessels are not engaged in or associated with fishing activities conducted in the Agreement Area by vessels not registered in the SIOFA Record of Authorised Vessels	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	<b>same request</b>

## ANNEX G

MU	6 (f) measures to ensure that the owners and/or operators of the vessels on the SIOFA Record of Authorised Vessels are citizens, residents or legal entities within its jurisdiction so that any enforcement or punitive actions can be effectively taken against them	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	same request
MU	7 all CCPs to implement measures under applicable legislation to prohibit non-registered vessels conducting fishing and fishing related activities on fishery resources covered by the agreement	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	same request
MU	8 notification to the Secretariat of any evidence showing that there are reasonable grounds for suspecting vessels not registered on the SIOFA Record of Authorised Vessels are operating in the Agreement Area	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NOT COMPLIANT	same request

**Table 9 : CMM2017/08 Port Inspection**

CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)	Additional information provided by CCP	Revised Secretariat assessment  (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	1 implemented measures to maintain an effective	Non-		Not assessed		NOT ASSESSED	CRITICALLY NOT	MoP to ask Mauritius to provide

## ANNEX G

	system of port State control.	Compliant		no information provided			COMPLIANT	information
MU	2 notified the Secretariat of designated ports to which foreign vessels may request (8)	Non-Compliant		Critically Non-Compliant No designated port notified		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
SYC		Compliant with info provided  Non-compliant with deadline	Compliant	Compliant		COMPLIANT	COMPLIANT	
MU	3 all designated ports have capacity to conduct inspections consistent with the requirements	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
EU	5 notification period other than 48 hours	Compliant	Compliant  According to the IUU Regulation, there is a notification period of 72 hours (i.e. stricter than 48 hours).	Compliant  The Secretariat suggest to amend the CCR template	The notification period prescribed by the EU's IUU Regulation is at least three working days before the estimated time of arrival at the port (which can be different from 72 hours).  We see no need to amend the CCR template	COMPLIANT	COMPLIANT	
MU				Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	5 implemented the minimum standard to collect foreign vessel information 48h before the estimated time of arrival in your port described in paragraph 5 and Annex I? Through which mechanism	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports

## ANNEX G

	has this been implemented?							
MU	5 changes to procedures for granting entry to a foreign vessel in your port notified within 30 days before the changes become effective	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	8 communicated to the Secretariat any denials issued to foreign vessels for port entry	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	10- 11 authorisation of entry of a foreign vessel exclusively for the purpose of inspecting it and taking other appropriate actions in conformity with international law in accordance with para 11.	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	14 flag State and Secretariat notified of denial of use of port	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	16 If you withdrew a denial of port services, was this communicated pursuant to para 14	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	17 port inspectors duly authorised, trained and familiar with the Agreement and all of its relevant CMMs	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
MU	17 national training programme take into account the guidelines elements set out in Annex II	Non-Compliant		Not Assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide information on Ports
EU	22 Contracting Parties, CNCPs and PFEs shall undertake inspections of all fishing vessels carrying or			No inspection report has been received from EU to the Secretariat in 2019, From FR-OT National	The EU confirms that 19 port inspections were carried out in 2019 in La Réunion. The inspection	NON-COMPLIANT	NON-COMPLIANT	The failure to transmit inspection reports to the SIOFA Secretariat was due

## ANNEX G

	landing <i>Dissostichus</i> spp. which enter their ports.			Report, it is very likely that FR-OT unloaded <i>Dissostichus</i> sp. in La Reunion (UE port) in 2019 (10)	were forwarded to the competent authority of the inspected vessels (FR-OT) and to CCAMLR, but not in due time to the SIOFA Secretariat. The EU therefore accepts a status of 'non-compliant' as regards this obligation.			to an internal EU oversight. The reports have meanwhile been forwarded to the Secretariat and the EU has taken internal measures (clarification of roles and responsibilities of different actors) to ensure that this situation does not recur in the future.
MU		Non-Compliant		Non-Compliant no inspection reports provided for vessels that unloaded toothfish in Mauritius		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	23 (a) inspected all vessels following a request in accordance with para 23 (b)	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	23(b) inspection of vessels entering port that failed to provide information required in accordance with para 5	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	24 The inspections reports include all information required in Annex IV and have been forwarded to competent authority and Executive Secretary	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	25 require national vessels to cooperate with port state inspections pursuant to CMM2018/08	Non-Compliant		Not assessed		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	26 where there has been	Non-		Not assessed		NOT ASSESSED	CRITICALLY	MoP to ask Mauritius

## ANNEX G

	grounds to believe that national vessels had engaged in IUU fishing and was seeking entry to, or was in the port of, another CCP – issued a request to that CCP to conduct an inspection	Compliant		no information provided			NON-COMPLIANT	to provide relevant information
MU	27 where an inspection report has been received indicating that there are clear grounds to believe that a vessel flying your flag has engaged in IUU fishing - immediately and fully investigate the matter and provided a report for each investigation to the Secretariat	Non-Compliant		Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information

(8) Secretariat: CMM 2017/08 para 2 provides that "Each Contracting Party, CNCP and PFE shall designate, publicise and notify the Secretariat **about the** ports to which foreign vessels may request entry. [...] ". The Secretariat is not sure whether there is an obligation to designate one, or if the obligation applies only in case a PCC decides to designate a port for foreign vessels.

(9) Secretariat : As provided in CMM2017/08 para 30 "This CMM shall be applied to the ports of all Contracting Parties, CNCPs and PFEs within the coastal states, which have areas of national jurisdiction adjacent to the Agreement Area". These CCPs could therefore be considered as **Compliant**

(10) Secretariat: No compliance Status was assigned for this obligation. However, The reports have meanwhile been forwarded to the Secretariat and the EU has taken internal measures (clarification of roles and responsibilities of different actors) to ensure that this situation does not recur in the future. The EU could therefore be considered as **Compliant**

Table 10 : CMM2018/09 Control								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues) (dSCR)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	1 co-operation with other	Non-		Not assessed		NOT ASSESSED	CRITICALLY	MoP to ask Mauritius



## ANNEX G

	CCPs and/or the Secretariat to facilitate the monitoring, control and surveillance of fishing activities in order to ensure compliance with SIOFA CMMs	Compliant		no information available			NON-COMPLIANT	to provide relevant information
EU	2 designated the competent authority or authorities which shall act as the Contact Point and provide contacts to the Secretariat	Compliant	Compliant	Compliant		COMPLIANT	COMPLIANT	
MU		Non-Compliant		Critically Non-Compliant No contact provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
SYC		Compliant with information provided  Non-compliant vs deadline		Compliant		COMPLIANT	COMPLIANT	
COM		Non-compliant	Compliant Yes	Non-Compliant No contacts provided in accordance with the CMM		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to ask Comoros to provide relevant information
MU	2 notified Secretariat of any changes to contacts without delay	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	3 a implemented measures to ensure documents listed in paragraph 6 (c) and paragraph 2 of CMM 2017/07 are carried onboard	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	3 b if agreed that the Secretariat shall make this information available upon request for purpose of control, provided the	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information

## ANNEX G

	secretariat with all the necessary documents							
MU	4 implemented measures to ensure vessels are marked in such a way that they can be readily identified in accordance with para 4	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	5 implemented measures to ensure fixed gear are marked in accordance with paragraph 5.	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	6 notified the Secretariat of the information regarding the marking of fixed gear	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
AUS	7(a)-(f)	Compliant	Compliant  1546 hooks were lost on a single shot in 2019 and were reported in the observer report for the vessel. At the time, a specific report from the boat to AFMA for lost gear was not required, however since this event AFMA has amended the High Seas permit conditions to require all lost and abandoned gear to be reported by vessels.	Not assessed ALDFG must be reported to the Secretariat. However, the minimum "size" of the gear is not specified in the CMM.	Australia's compliance should be assessed notwithstanding the Secretariat's comment that the minimum size of the gear is not specified in the CMM. Australia has complied with the requirements of paragraphs 7(a)-(f) of CMM 2018/09 (11).	NON-COMPLIANT	NON-COMPLIANT	
MU	7(a)-(f) implemented measures to ensure where possible vessels have equipment on board to retrieve abandoned, lost or otherwise discarded fishing gear (ALDFG) and appropriate training provided to facilitate the recovery of ALDFG	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	7(a)-(f) where flagged vessels lost gear in the Agreement Area,	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-	MoP to ask Mauritius to provide relevant

## ANNEX G

	notification provided to the competent authority with all information listed in 7(d)						COMPLIANT	information
MU	7(a)-(f) where flagged vessels have retrieved gear notified as lost in the Agreement Area, notification provided to the competent authority with all information listed in 7(e)	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	7(a)-(f) Notification to the Secretariat of information received pursuant to paragraphs 7(d) concerning gears not retrieved, and 7(e) concerning gears that have been retrieved?	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	8 implemented measures to ensure flagged vessels are prohibited from discharging into the sea plastics in accordance with paragraph 8	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	8 provision of adequate port facilities for disposal of plastics	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	10 implemented measures to ensure labelling and storage of frozen products of fishery resources is achieved in accordance with the specifications listed in paragraph 10 (a)-(e).	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	11 implemented measures to ensure that the scientific observers are qualified and authorised to perform their	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information

	tasks and record any requested data							
MU	12 implemented measures to ensure vessels report any presumed fishing activities by vessels flying the flag of a State or fishing entity which is not a Party to or otherwise cooperating with the Agreement	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	13 any reports on presumed fishing by non-CCP vessels received pursuant to paragraph 12 submitted to the Secretariat as soon as possible	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information

(11) Secretariat: ALD fishing gears should be notified to the Secretariat without delay (CMM 2018/09 para 7(f)). The Secretariat did not receive a notification from Australia about lost gear in 2019 so Australia would be **non-compliant** (even if the notification of lost gear is contained within the observer data submission that is to be provided once a year). However, the significance of the gear has not been defined in the CMM, so in this assessment the Secretariat do not know if 1546 hooks is relevant to this measure so we proposed a **not-assessed** status. A gear "hook number" or "length" threshold should be set so that CCPs are not forced to send the Secretariat a report every time a small amount of hooks (starting from a single one) is lost in the sea.

Table 11 : CMM2019/10 Monitoring								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues) (dSCR)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	1 implemented measures to ensure vessels maintain an electronic fishing logbook or a bound fishing logbook in accordance with requirements of CMM	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
COM		Non-	Compliant	Non-Compliant		CRITICALLY NON-	CRITICALLY NON-	MoP to ask Comoros to provide relevant

## ANNEX G

	2018/02	Compliant	Yes. Each time we arrive at the port, we check the logbook	Logbooks do not follow the requirements of CMM 2018/02		COMPLIANT	COMPLIANT	information to ask the Secretariat to assist Comoros in regards of the SCR.
MU	2 implemented measures to ensure the obligations listed in paragraph 2 are implemented	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	3 cooperation with requests from another CCP for information contained in the fishing logbooks	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	4 -5 vessels fitted with an ALC and reporting to competent authority	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	8 (a)-(c) ensure VMS position reports are transmitted in accordance with paragraph 8 (a) & (b)	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	9 where vessel entered the Agreement Area and commence operations with defective ALC, all relevant information communicated manually to FMC every 4 hours	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	10 implemented measurers to ensure that ALCs fitted on board vessels are tamper resistant	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
MU	11 transmission of VMS reports to the Secretariat in accordance with the data exchange format of CMM 2018/02 Annex C.	Non-Compliant		Not Assessed		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
AUS	14 Secretariat is notified of each entry to or exit from	Non-compliant	Compliant	Compliant		COMPLIANT	COMPLIANT	

## ANNEX G

	the Agreement Area of all vessels flying your flag	with notification period  Compliant with information provided						
CI		Non-compliant	Compliant  Yes. This is a condition of license	Compliant	Nil	COMPLIANT	COMPLIANT	
EU		Non-compliant for deadline Compliant for provision	Compliant	Compliant		COMPLIANT	COMPLIANT	
FR		Non-compliant	Compliant	Compliant		COMPLIANT	COMPLIANT	
JPN		Non-compliant	Compliant  Yes. The Japanese fishing vessel has made the required notification to the Secretariat.	Compliant		COMPLIANT	COMPLIANT	
MU		Not Assessed		Not assessed  no information available		NOT ASSESSED	NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Non-Compliant  The SIOFA boundary happened to be in the fishing ground which made it difficult for us to notify the Secretariat of each entry/ exit from the Agreement Area, so we failed to fulfill our requirement to submit all of the required reports. Having cooperated with the	Non-Compliant		NON-COMPLIANT	NON-COMPLIANT	

## ANNEX G

			Secretariat, we have developed a system that automatically notifies each entry/exit of vessels in 2020.					
MU	15 measures to ensure that vessels only undertake transshipments at sea of fishery resources, with other vessels included on the SIOFA Record of Authorised Vessels.	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT			<p>Not Assessed</p> <p>Our vessel operators target tuna as well as species governed by the SIOFA in the Indian Ocean. We have required our vessel operators to comply with IOTC's and CCSBT's CMMs under our domestic regulations in terms of transshipment. As the SIOFA CMMs are not identical to those of IOTC or CCSBT, it has posed a technical barrier for us to fully comply with this CMM. Therefore, it is necessary to accommodate the needs of pelagic longline vessel transshipment operations in the current CMM.</p>	Non-Compliant	<p>According to the CMM 2019/07 para 2, each CCP shall submit electronically to the Secretariat the list of vessels flying their flag that are authorised to operate in the Agreement Area.</p> <p>The carrier vessels operating transshipment with our authorised vessels were neither flying our flag nor were they CCPs of the SIOFA; therefore, unlike IOTC, we could not submit these foreign -flagged carrier vessels in the authorized list on our behalf. However, it is worth noting that these said carrier vessels had been authorised by IOTC and this Agency prior to the transshipment operations. We appreciate the Secretariat's hard work on this item and we will discuss this matter with other CCPs in the coming meetings.</p>	NON-COMPLIANT	NON-COMPLIANT	<p>MoP to ask CT to implement the measures on transshipments.</p> <p>CT to draft a proposal for next CC</p>
MU	16 measures to ensure vessels undertaking an at sea transshipment operation	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information

## ANNEX G

CT	comply with the obligations listed in paragraph 16 (a)-(h)	X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	19 measures to verify the accuracy of the information received in accordance with paragraphs 16 and 17	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	20 to ensure vessels flying your flag only transship in a port if it has prior authorisation from its competent authority and the port State	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	21 Did all vessels flying your flag and transshipping in a port during this assessment period notify, at least 24 hours in advance, the information provided in paragraph 21 (a)-(d) to the competent authority of the port State and, if known, the receiving vessel information?	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	22 measures implemented to ensure vessels flying your flag receiving in a port transshipment inform the competent authority of the port State of the quantities of fishery resources on board the vessel 24 hours before the transshipment and again 24 hours after the transshipment	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	23 measures implemented to ensure vessels flying	Non-		Not assessed		NOT ASSESSED	CRITICALLY NON-	MoP to ask Mauritius to provide relevant



## ANNEX G

	your flag unloading in a port transshipment submits Transshipment Declarations in accordance with paragraph 23 and the format in Annex IV to its competent authority, and that of the port State within 24 hours of the transshipment, and also provides a copy to the receiving vessel.	Compliant		no information available			COMPLIANT	information
CT		X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	24 measures implemented to ensure vessels flying your flag that received a transshipment in port, submit within 48 hours before a landing of the transshipped fishery resources, a copy of the received Transshipment Declaration to the competent authority of the port State where the landing will take place.	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not Assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	25 measures implemented for the verification of the accuracy of the transshipment information received in accordance with paragraphs 21 to 24.	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Not Assessed Same as para 15.	Not assessed		NOT ASSESSED	NON-COMPLIANT	CT to draft a proposal for next CC
MU	26 provided to the Secretariat a report on the transshipments, where necessary including all the information listed in paragraph 26 (a)-(e) in relation to each transshipment and at sea transfer that took place in accordance with	Non-Compliant		Not assessed no information available		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
CT		X	Non-Compliant We were unable to submit such report in full as we became a PFE in July 2019. As our regulations have then been revised and	Non-Compliant		NON-COMPLIANT	NON-COMPLIANT	CT will propose amendment to the concerned CMM

	paragraphs 15 to 25		outreach activities have been conducted, we are able to provide the required information for the 2020 activities.					
SYC	ALL	compliant	n/a	Not assessed (12) no fishing in 2019		COMPLIANT	COMPLIANT	

(12) Secretariat: This CMM has not been assessed as there was no fishing from the Seychelles in the SIOFA Area in 2019. However, since there is no compliance issue with respect to the relevant obligation, we could consider the Seychelles as Compliant

Table 12 : CMM2018/11 Compliance Monitoring Scheme								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues) (dSCR)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
EU	12 completion of a CCP Compliance Report in full accordance with paragraph 12 and submitted to the Secretariat no later than 60 days before the commencement of the next ordinary Meeting of the Parties	Compliant	Compliant  Yes.  The EU notes that the 7th Meeting of the Parties will take place from 17 to 20 November 2020, the deadline set for the submission by CCPs of their Compliance Report (31 August 2020) allows for less time than permitted by paragraph 12.	Compliant  This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period.  Furthermore, the Executive Secretary sent an email on the 1st September to address to postpone the deadline for the submission of the CCR as soon as the date for the MoP was known.		COMPLIANT	COMPLIANT	

## ANNEX G

KOR		Non-compliant	Compliant Yes	Non-Compliant the compliance report was not provided in 2019 (for the assessment of year 2018)		NON-COMPLIANT	CRITICALLY NON-COMPLIANT	Korea shall provide the SCR as required every year from now
MU		Non-Compliant		Critically Non-Compliant No Compliance Report provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to ask Mauritius to provide relevant information
SYC		Compliant	Yes (Late submission)	Compliant Caution : This Compliance Report assesses the period from 1st January 2019 to 31st December 2019. The submission evaluated here relates to the Report sent in 2019 evaluating the 2018 period.		COMPLIANT	COMPLIANT	
COM		Non-compliant	Compliant Yes	Non-Compliant in 2019 the compliance report was not provided		CRITICALLY NON-COMPLIANT	CRITICALLY NON-COMPLIANT	MoP to ask Comoros to provide relevant information

Table 13 : CMM2019/12 Sharks								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues) (dSCR)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	all	n/a		Not assessed no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request information to be provided

Table 14: CMM2019/13 Mitigation of seabirds bycatch								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues) (dSCR)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
MU	all	x		Not assessed  no information provided		NOT ASSESSED	CRITICALLY NON-COMPLIANT	MoP to request information to be provided

Table 15: CMM2019/14 High Sea Boarding and Inspection Procedures								
CCP	Obligation (include paragraph number, CMM, summary description)	2018 compliance status	2019 compliance status proposed by CCP (+ comments, information, etc.)	Secretariat assessment (Compliant, information missing, possible compliance issues) (dSCR)	Additional information provided by CCP	Revised Secretariat assessment (dSCR rev1)	2019 Compliance status proposed by CC	Follow up responsive or corrective action proposed
SYC	38 The Authorities of the Fishing Vessel shall provide a report of the investigation to the Executive Secretary and Authorities of the Inspection Vessel within 2 (two) months of the notification in paragraph 36, and if the evidence warrants, take enforcement action against the fishing vessel in question and notify the Authorities of the Inspection Vessel, as well as the Executive Secretary of any such enforcement action within 6 (six) months of the	n/a	n/a  Seychelles commented “not clear” on the assessment template	Not Assessed  Thank you for your comment, we will correct the mistake in our question. Our meaning was :  “if you took enforcement action against the fishing vessel in question, did you notify the Authorities of the Inspection Vessel, as well as the Executive Secretary of any such enforcement action within 6 (six) months of the date of notification at paragraph 36?”		NOT ASSESSED	NON-COMPLIANT	SYC to follow up with the Secretariat on the investigation report.

	date of notification at paragraph 36.							
<b>MU</b>	<b>all</b>	<b>x</b>		<b>Not assessed</b> No information provided		NOT ASSESSED	CRITICALLY NON- COMPLIANT	MoP to request information to be provided

<b>Table 16: CMM2019/15 Management of Demersal Stocks</b>								
<b>CCP</b>	<b>Obligation (include paragraph number, CMM, summary description)</b>	<b>2018 compliance status</b>	<b>2019 compliance status proposed by CCP (+ comments, information, etc.)</b>	<b>Secretariat assessment (Compliant, information missing, possible compliance issues)  (dSCR)</b>	<b>Additional information provided by CCP</b>	<b>Revised Secretariat assessment  (dSCR rev1)</b>	<b>2019 Compliance status proposed by CC</b>	<b>Follow up responsive or corrective action proposed</b>
AUS	12 ensure that any fishing with demersal longlines occur in depths shallower than 500m	x	Compliant (15)	Compliant		COMPLIANT	COMPLIANT	
EU	35 Toothfish shall be tagged and released at a rate of at least 5 fish per tonne green weight caught. A minimum overlap statistic of at least 60% shall apply for tag release, once 30 or more toothfish have been caught.	x	Compliant  One EU vessel operated in Williams Ridge between 21 and 31 December 2019. During this fishing trip, no toothfish specimens were tagged and released due to a misunderstanding between the vessel owner and the scientific observer. The EU notes that CMM 2019/15 does not contain detailed operational provisions, in particular it does not specify who is responsible for providing the tags or indeed what type of tags should be used.	Non-Compliant	The EU considers that the compliance status should be “Not assessed” on the basis that the provision is not clear, as acknowledged by the Secretariat, because it does not specify who is responsible for providing the tags or what type of tags should be used.	NOT ASSESSED  (CMM issue)	NON COMPLIANT	The issue has been resolved since then. The vessels are implementing the provision now.
MU	all	n/a		Not assessed  No information provided or available et the Secretariat		NOT ASSESSED	NON- COMPLIANT	MoP to request information to be provided

## ANNEX G

CT	all	X	N/A.  We did not have vessels engaging in bottom fishing in the Agreement Area for demersal stocks in 2019. This CMM is not applicable to us.	Not assessed (16)		COMPLIANT	COMPLIANT	
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(15) This requirement only applies to toothfish in our view, and Australia did not authorize any toothfish vessels to fish in 2019 as its BFIA had not been reviewed by the SC, as is required by CMM 2019/01. However, no line boats operated in the Agreement Area in the 2019 reporting period following this requirement becoming binding (from 10/10/2019). This requirement has been implemented for 2020

(16) Secretariat: This CMM has not been assessed as there was no fishing from the Seychelles and Chinese Taipei in the SIOFA Area in 2019. However, since there is no compliance issue with respect to the relevant obligation, we could consider the Seychelles and Chinese Taipei as Compliant

## ANNEX H

4<sup>th</sup> Compliance Committee of the South Indian Ocean Fisheries Agreement  
(CC4)  
09-11 November 2020 (online)

### Proposal to amend SIOFA CMM 2017/08 establishing a Port Inspection Scheme REV2

*Relates to agenda item: 4.1*

Working paper ☒ Info paper ☐

## Delegation of the European Union

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### Abstract

CMM 2017/08 sets out SIOFA requirements for Port Inspection. The EU proposes to amend CMM 2017/08 by including clear timeframes for completing port inspections (para 21) and for providing the inspection report (24bis). In addition, the EU proposes to clarify the roles of the port State inspector and the master in signing the inspection report (para 24).

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### Recommendations *(working papers only)*

1. CC4 is invited to review and discuss the proposed amendments to CMM 2017/08, and to recommend their adoption to MoP7.

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**CMM 2017/08****Conservation and Management Measure establishing a Port Inspection Scheme (Port Inspection)****Meeting of the Parties to the Southern Indian Ocean Fisheries Agreement;**

*DEEPLY CONCERNED* about illegal, unreported and unregulated (IUU) fishing in the SIOFA Area of Application (the Agreement Area) and its detrimental effect upon fish stocks, marine ecosystems and the livelihoods of legitimate fishers in particular in developing States;

*CONSCIOUS* of the role of the port State in the adoption of effective measures to promote the sustainable use and the long-term conservation of living marine resources;

*RECOGNISING* that measures to combat IUU fishing should build on the primary responsibility of flag States and use all available jurisdiction in accordance with international law, including port State measures, coastal State measures, market related measures and measures to ensure that nationals do not support or engage in IUU fishing;

*RECOGNISING* that port State measures provide a powerful and cost-effective means of preventing, deterring and eliminating IUU fishing;

*AWARE* of the need for increasing coordination at the regional and interregional levels to combat IUU fishing through port State measures;

*BEARING IN MIND* that, in the exercise of their sovereignty over ports located in their territory, Contracting Parties, cooperating non-Contracting Parties and participating fishing entities may adopt more stringent measures, in accordance with international law;

*RECALLING* the relevant provisions of the *United Nations Convention on the Law of the Sea of 10 December 1982*;

*RECALLING* the *Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks of 4 December 1995*, the *Agreement to Promote Compliance with International Conservation and Management Measures by Fishing Vessels on the High Seas of 24 November 1993*, the *Agreement on Port State Measures to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated fishing of 22 November 2009* (Port State Measures Agreement), and the 1995 FAO Code of Conduct for Responsible Fisheries;

*RECALLING* Article 6(1)(i) of the Agreement which calls on the Meeting of the Parties to develop and monitor measures to prevent, deter and eliminate IUU fishing;

*BEARING IN MIND* Article 12 of the *Port States Measures Agreement* and the need to take into account the specifics of the fleets operating in the Agreement Area, the number of catches, the frequency and mode of port landings, and the status of the stocks, amongst others, in order to determine the level of port inspections sufficient to achieve the objective of preventing, deterring and eliminating IUU fishing

**ADOPTS** the following Conservation and Management Measure (CMM) in accordance with Article 6 of the Agreement:



## Scope

1. Each Contracting Party, cooperating non-Contracting Party (CNCP) and participating fishing entity (PFE) shall, in fulfilling its duties under Article 12 of the Agreement, maintain an effective system of port State control for all vessels that have been engaged in fishing in the Agreement Area, except container vessels that are not carrying fishery resources or, if carrying fishery resources, only fishery resources that have been previously landed, provided that there are no clear grounds for suspecting that such a vessel has engaged in fishing related activities in support of IUU fishing.

## Designation of ports

2. Each Contracting Party, CNCP and PFE shall designate, publicise and notify the Secretariat about the ports to which foreign vessels may request entry. The notification to the Secretariat shall include accompanying information, such as associated conditions of entry and the period of notice required. Each Contracting Party, CNCP and PFE shall provide this information to the Secretariat within 30 days from the date of entry into force of this CMM. Any subsequent changes to this information shall be notified to the Secretariat at least 30 days before the change takes effect.

3. Each Contracting Party, CNCP and PFE shall, to the greatest extent possible, ensure that every port designated and publicised in accordance with paragraph 2 has sufficient capacity to conduct inspections consistent with the requirements in the Agreement and this CMM.

4. The Secretariat shall establish and maintain a register of all ports designated and accompanying information pursuant to paragraph 2. The register and accompanying information shall be published, and updated as required, on the SIOFA website.

## Advance request for port entry of foreign vessels

5. Each Contracting Party, CNCP and PFE shall, before granting entry to a foreign vessel to its port, as a minimum standard, require the information set out in Annex I to be provided at least 48 hours before the estimated time of arrival. A Contracting Party, CNCP or PFE may prescribe for another notification period, taking into account, *inter alia*, the distance between the fishing grounds and its ports. In such a case the Contracting Party, CNCP or PFE concerned shall without delay inform the Secretariat, who shall put this information on the SIOFA website. Any other subsequent changes to the requirements shall be notified to the Secretariat at least 30 days before the changes becomes effective.

## Port entry, authorisation or denial of foreign vessels

6. After receiving the information required pursuant to paragraph 5, as well as such other information as it may require to determine whether the vessel requesting entry into its port has engaged in IUU fishing, each Contracting Party, CNCP or PFE shall decide whether to authorise or deny the entry of the vessel into its port and shall communicate this decision to the master of the vessel or to the vessel's representative.

7. In the case of authorisation of entry, the Contracting Party, CNCP or PFE shall require the master of the vessel or the vessel's representative to present the authorisation for entry to the competent authorities of the CP, CNCP or PFE upon the vessel's arrival at port.

8. In the case of denial of entry, the Contracting Party, CNCP or PFE shall communicate its decision taken pursuant to paragraph 6 to the flag State of the vessel and to the Secretariat, who shall put this information on the SIOFA website.

9. Without prejudice to paragraph 6, when a Contracting Party, CNCP or PFE has sufficient proof that a vessel seeking entry into its port has engaged in IUU fishing, in particular the inclusion of a vessel on a list of vessels having engaged in such fishing adopted by SIOFA, other Contracting Parties, CNCPs or PFEs, another regional fisheries management organization or the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR), the Contracting Party, CNCP or PFE shall deny that vessel entry into its ports.

10. Notwithstanding paragraphs 8 and 9, a Contracting Party, CNCP or PFE may allow the entry into its ports of a vessel referred to in those paragraphs exclusively for the purpose of inspecting it and taking other appropriate actions in conformity with international law which are at least as effective as denial of port entry in preventing, deterring and eliminating IUU fishing.

11. Where a vessel referred to in paragraphs 9 or 10 is in port for any reason, Contracting Parties, CNCPs and PFEs shall deny such vessels the use of its ports for landing, transshipping, packaging, and processing of fishery resources and for other port services including, *inter alia*, refuelling and resupplying, maintenance and dry-docking. Paragraphs 13 and 14 shall apply *mutatis mutandis* in such cases. Denial of such use of ports shall be in conformity with international law.

### **Use of ports by foreign vessels**

12. Where a vessel has entered one of its ports, a Contracting Party, CNCP or PFE shall deny, pursuant to its laws and regulations and consistent with international law including the Agreement that vessel the use of the port for landing, transshipping, packaging and processing of fishery resources that have not been previously landed and for other port services, including, *inter alia*, refuelling and resupplying, maintenance and dry-docking, if:

- (a) the Contracting Party, CNCP or PFE finds that the vessel does not have a valid and applicable authorisation to engage in fishing or fishing related activities required by its flag State;
- (b) the State whose flag the vessel is flying does not confirm within a reasonable period of time, on the request of the port State, that the fishery resources on board were taken in accordance with the Agreement and SIOFA CMMs; or
- (c) the Contracting Party, CNCP or PFE has reasonable grounds to believe that the vessel was otherwise engaged in IUU fishing, including in support of a vessel referred to in paragraph 9, unless the owner/operator of the vessel can prove:
  - i. that it was acting in a manner consistent with relevant conservation and management measures; or
  - ii. in the case of provision of personnel, fuel, gear and other supplies at sea, that the vessel that was provisioned was not, at the time of provisioning, a vessel referred to in paragraph 9.

13. Notwithstanding paragraph 12, a Contracting Party, CNCP or PFE shall not deny a vessel referred to in that paragraph the use of port services:

- (a) essential to the safety or health of the crew or the safety of the vessel, provided these needs are duly proven; or

(b) where appropriate, for the scrapping of the vessel.

14. Where a Contracting Party, CNCP or PFE has denied the use of its port in accordance with paragraph 12, it shall promptly notify the State whose flag the vessel is flying and the Secretariat, who shall put this information on the SIOFA website.

15. A Contracting Party, CNCP or PFE shall withdraw its denial of the use of its ports pursuant to paragraph 11 only if there is sufficient proof that the grounds on which use was denied were inadequate or erroneous or that such grounds no longer apply.

16. Where a Contracting Party, CNCP or PFE has withdrawn its denial pursuant to paragraph 15 it shall notify those to whom a notification was issued pursuant to paragraph 14.

## Inspections

17. Each Contracting Party, CNCP and PFE shall ensure that inspections of any vessels are carried out by inspectors duly authorised, trained and familiar with the Agreement and relevant CMMs adopted by the Meeting of the Parties. Inspector training programs shall take into account the elements set out in Annex II, and Contracting Parties, CNCPs or PFEs shall seek to cooperate in this regard.

18. Prior to an inspection, the inspector shall present to the master of the vessel an appropriate identity document.

19. Each Contracting Party, CNCP and PFE shall ensure that inspections of vessels in their ports are carried out at least in accordance with the procedures set out in Annex III.

20. The port State may invite inspectors of other Contracting Parties, CNCPs and PFEs to accompany their own inspectors and observe the inspection of landings or transshipment operations of fishery resources caught by foreign vessels.

21. [Inspections shall be carried out in accordance with international law, and shall be conducted within 4872 hours of port entry<sup>1</sup> and shall be carried out in an expeditious fashion.](#) Each Contracting Party, CNCP and PFE shall ensure that their inspectors make all possible efforts to avoid unduly delaying a vessel and that the vessel suffers minimum interference and inconvenience, and that degradation of the quality of the fish resources is avoided.

22. Contracting Parties, CNCPs and PFEs shall undertake inspections of all fishing vessels carrying or landing *Dissostichus* spp. which enter their ports.

23. Contracting Parties, CNCPs and PFEs shall inspect vessels not entitled to fly their flag in their ports when:

(a) there is a reasonable request from another Contracting Party, CNCP or PFE, any regional fisheries management organisation or CCAMLR that a particular vessel be inspected, particularly where such requests are supported by evidence of IUU fishing by the vessel in question, and there are clear grounds for suspecting that a vessel has engaged in IUU fishing;

<sup>1</sup> [Unless weather conditions or other circumstances make accessing the vessel for inspection unsafe, in which case the inspection shall be carried out at the earliest opportunity and the inspection report shall note the reason for the delay.](#)

(b) a vessel has failed to provide the information required in paragraph 5;

24. The competent authority of each Contracting Party, CNCP and PFE shall include at least the information set out in Annex IV in the written report of the results of each inspection, which shall be forwarded to the competent authority of the inspected vessel and to the Secretariat, which shall be forwarded to the competent authority of the inspected vessel and to the Secretariat. On completion of the inspection, the port State inspector shall provide the master of the inspected fishing vessel with a copy of the inspection report containing the findings of the inspection, including possible measures that could be taken, to be signed by the inspector and the master. The master's signature on the report shall serve only as acknowledgement of the receipt of a copy of the report. The master shall be given the opportunity to add any comments or objection to the report, and, as appropriate, to contact the competent authority of the inspected vessel in particular where the master has serious difficulties in understanding the content of the report. A copy of the report shall be provided to the master.

24bis. The inspection report shall be forwarded to the competent authority of the inspected vessel and to the Secretariat within ~~30~~15 working30 days following the date of completion of the inspection or as soon as possible where potential compliance issues have arisen or if there are issues which warrant the attention of the competent authority of the inspected vessel. If the inspection report cannot be transmitted within that time period30 days, the port State shall notify the Secretariat within that same 30 day time period of the reasons for the delay and when the report will be submitted.

### **Role of Contracting Parties, CNCPs and PFEs in relation to vessels flying their flags**

25. Each Contracting Party, CNCP and PFE shall require its vessels to cooperate with the port State in inspections carried out pursuant to this CMM.

26. When a Contracting Party, CNCP or PFE has clear grounds to believe that one of its vessels has engaged in IUU fishing and is seeking entry to or is in the port of another Contracting Party, CNCP or PFE, it shall, as appropriate, request that the Contracting Party, CNCP or PFE inspects the vessel or takes other adequate measures.

27. Where, following port State inspection, a Contracting Party, CNCP or PFE receives an inspection report indicating that there are clear grounds to believe that a vessel flying its flag has engaged in IUU fishing, it shall immediately and fully investigate the matter and shall, upon sufficient evidence, take enforcement action without delay in accordance with its laws and regulations.

28. Each Contracting Party, CNCP and PFE shall report to the Secretariat on any actions it has taken in respect of vessels flying its flag that, as a result of port State measures taken pursuant to this CMM, have been determined to have engaged in IUU fishing.

29. Each Contracting Party, CNCP, PFE and CNPFE shall provide a report to each ordinary meeting of the Compliance Committee of action that they have taken pursuant to paragraph 27.

### **Application**

30. This CMM shall be applied to the ports of all Contracting Parties, CNCPs and PFEs within the coastal States, which have areas of national jurisdiction adjacent to the Agreement Area.

31. Each Contracting Party, CNCP or PFE which does not have areas of national jurisdiction adjacent to the Agreement Area shall endeavour to apply this CMM.

## ANNEX I

**INFORMATION TO BE PROVIDED IN ADVANCE BY FOREIGN VESSELS  
REQUESTING PORT ENTRY**

1. Intended port of call							
2. Port State							
3. Estimated date and time of arrival							
4. Purpose(s)							
5. Port and date of last port call							
6. Name of the vessel							
7. Flag State							
8. Type of vessel							
9. International Radio Call Sign							
10. Vessel contact information							
11. Vessel owner(s)							
12. Certificate of registry ID							
13. IMO ship ID, if available							
14. External ID, if available							
15. SIOFA ID, if applicable							
16. VMS	No	Yes: National		Yes: SIOFA		Type:	
17. Vessel dimensions	Length		Beam		Draft		
18. Vessel master name and nationality							
19. Relevant fishing authorisation(s)							
<i>Identifier</i>	<i>Issued by</i>	<i>Validity</i>	<i>Fishing area(s)</i>	<i>Species</i>	<i>Gear</i>		
20. Relevant transshipment authorisation(s)							
<i>Identifier</i>		<i>Issued by</i>		<i>Validity</i>			

<i>Identifier</i>		<i>Issued by</i>		<i>Validity</i>				
21. Transhipment authorisations concerning donor vessels								
<i>Date</i>	<i>Location</i>	<i>Name</i>	<i>Flag State</i>	<i>ID no.</i>	<i>Species</i>	<i>Product form</i>	<i>Catch area</i>	<i>Quantity</i>
22. Total catch onboard						23. Catch to be offloaded		
<i>Species</i>	<i>Product form</i>	<i>Catch area</i>	<i>Quantity, Conversion factor and Live weight</i>			<i>Quantity</i>		

## **ANNEX II**

### **GUIDELINES FOR THE TRAINING OF INSPECTORS**

Elements of a training program for port State inspectors should include at least the following areas:

1. Ethics;
2. Health, safety and security issues;
3. Applicable national laws and regulations, areas of competence and conservation and management measures of SIOFA, and applicable international law;
4. Collection, evaluation and preservation of evidence;
5. General inspection procedures such as report writing and interview techniques;
6. Analysis of information, such as logbooks, electronic documentation and vessel history (name, ownership and flag State), required for the validation of information given by the master of the fishing vessel;
7. Fishing vessel boarding and inspection, including hold inspections and calculation of vessel hold volumes;
8. Verification and validation of information related to landings, transshipments, processing and fishery resources remaining onboard, including utilizing conversion factors for the various species and products;
9. Identification of fish species, and the measurement of length and other biological parameters;
10. Identification of vessels and gear, and techniques for the inspection and measurement of gear;
11. Equipment and operation of VMS and other electronic tracking systems; and
12. Actions to be taken following an inspection.

### **ANNEX III**

#### **PORT STATE INSPECTION PROCEDURES**

Inspectors shall:

- a) verify, to the extent possible, that the vessel identification documentation onboard and information relating to the owner of the vessel is true, complete and correct, including through appropriate contacts with the flag State or international records of vessels if necessary;
- b) verify that the vessel's flag and markings (e.g. name, external registration number, International Maritime Organization (IMO) ship identification number, international radio call sign and other markings, main dimensions) are consistent with information contained in the documentation;
- c) verify, to the extent possible, that the authorisations for fishing and fishing related activities are true, complete, correct and consistent with the information provided in accordance with Annex I;
- d) review all other relevant documentation and records held onboard, including, to the extent possible, those in electronic format and vessel monitoring system (VMS) data from the flag State, SIOFA and where relevant other RFMOs and CCAMLR. Relevant documentation may include logbooks, catch, transshipment and trade documents, crew lists, stowage plans and drawings, descriptions of holds, and documents required pursuant to the Convention on International Trade in Endangered Species of Wild Fauna and Flora;
- e) examine, to the extent possible, all relevant areas, fishing gear onboard, including any gear stowed out of sight as well as related devices, and to the extent possible, verify that they are in conformity with the conditions of the authorisations. The fishing gear shall, to the extent possible, also be checked to ensure that features such as the mesh and twine size, devices and attachments, dimensions and configuration of nets, pots, dredges, hook sizes and numbers are in conformity with applicable regulations and that the markings correspond to those authorised for the vessel;



- f) determine, to the extent possible, whether the fishery resources on board was harvested in accordance with the applicable authorisations;
- g) examine the fishery resources, including by sampling, to determine its quantity and composition. In doing so, inspectors may open containers where the fishery resources have been pre-packed and move the catch or containers to ascertain the integrity of holds. Such examination may include inspections of product type and determination of nominal weight;
- h) evaluate whether there is clear evidence for believing that a vessel has engaged in IUU fishing or fishing related activities in support of such fishing;
- i) provide the master of the vessel with the report containing the result of the inspection, including possible measures that could be taken, to be signed by the inspector and the master. The master's signature on the report shall serve only as acknowledgment of the receipt of a copy of the report. The master shall be given the opportunity to add any comments or objection to the report, and, as appropriate, to contact the relevant authorities of the flag State in particular where the master has serious difficulties in understanding the content of the report. A copy of the report shall be provided to the master; and
- j) arrange, where necessary and possible, for translation of relevant documentation.

## ANNEX IV

## REPORT OF THE RESULTS OF THE PORT INSPECTION

1. Inspection report no				2. Port State			
3. Inspecting authority							
4. Name of principal inspector				ID			
5. Port of inspection							
6. Commencement of inspection		YYYY	MM	DD	HH		
7. Completion of inspection		YYYY	MM	DD	HH		
8. Advanced notification received		Yes			No		
9. Purpose(s)	LAN	TRX	PRO		OTH (specify)		
10. Port and State and date of last port call				YYYY	MM	DD	
11. Vessel name							
12. Flag State							
13. Type of vessel							
14. International Radio Call Sign							
15. Certificate of registry ID							
16. IMO ship ID, if available							
17. External ID , if available							
18. Port of registry							
19. Vessel owner(s)							
20. Vessel beneficial owner(s), if known and different from vessel owner							
21. Vessel operator(s), if different from vessel owner							
22. Vessel master name and nationality							

<b>23. Fishing master name and nationality</b>						
<b>24. Vessel agent</b>						
<b>25. VMS</b>	<i>No</i>	<i>Yes: National</i>	<i>Yes: SIOFA</i>	<b>Type:</b>		
<b>26. Status in SIOFA areas (if applicable) where fishing has been undertaken, including any IUU vessel listing</b>						
<i>Vessel identifier</i>	<i>SIOFA</i>	<i>Flag State status</i>	<i>Vessel on authorised vessel list</i>	<i>Vessel on IUU vessel list</i>		
<b>27. Relevant fishing authorisation(s)</b>						
<i>Identifier</i>	<i>Issued by</i>	<i>Validity</i>	<i>Fishing area(s)</i>	<i>Species</i>	<i>Gear</i>	
<b>28. Relevant transshipment authorisation(s)</b>						
<i>Identifier</i>		<i>Issued by</i>		<i>Validity</i>		
<i>Identifier</i>		<i>Issued by</i>		<i>Validity</i>		
<b>29. Transshipment information concerning donor vessels</b>						
<i>Name</i>	<i>Flag State</i>	<i>ID no.</i>	<i>Species</i>	<i>Product form</i>	<i>Catch area(s)</i>	<i>Quantity</i>
<b>30. Evaluation of offloaded catch (quantity)</b>						
<i>Species</i>	<i>Product form</i>	<i>Catch area(s)</i>	<i>Quantity declared</i>	<i>Quantity offloaded</i>	<i>Difference between quantity declared and quantity determined, if any</i>	

<b>31. Catch retained onboard (quantity)</b>						
<i>Species</i>	<i>Product form</i>	<i>Catch area(s)</i>	<i>Quantity declared</i>	<i>Quantity retained</i>		<i>Difference between quantity declared and quantity determined, if any</i>
<b>32. Examination of logbook(s) and other documentation</b>				<i>Yes</i>	<i>No</i>	<i>Comments</i>
<b>33. Compliance with applicable catch documentation scheme(s)</b>				<i>Yes</i>	<i>No</i>	<i>Comments</i>
<b>34. Compliance with applicable trade information scheme(s)</b>				<i>Yes</i>	<i>No</i>	<i>Comments</i>
<b>35. Type of gear used</b>						
<b>36. Gear examined in accordance with paragraph e) of Annex VIII</b>			<i>Yes</i>	<i>No</i>	<i>Comments</i>	
<b>37. Findings by inspector(s)</b>						
<b>38. Apparent infringement(s) noted including reference to relevant legal instrument(s)</b>						
<b>39. Comments by the master</b>						
<b>40. Action taken</b>						
<b>41. Master's signature</b>						

<b>42. Inspector's signature</b>

## ANNEX I – provisional SIOFA IUU vessels list

## PART1 – provisional SIOFA IUU vessels listed (vessel listed by SIOFA)

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Suspected Activity
ABISHAK PUTHA 3		not known		4SFXXX	417000878		Engaged in fishing for fishery resources in the Agreement Area and are not on the SIOFA Record of Authorized Vessels
Mariam 1		Mauritius		3B2179			Engaged in fishing for fishery resources in the Agreement Area and are not on the SIOFA Record of Authorized Vessels
El Shaddai		South Africa		ZR6358	8025082	Braxton Security Services CC	Engaged in fishing for fishery resources in the Agreement Area and are not on the SIOFA Record of Authorized Vessels

## PART 2 – provisional SIOFA IUU vessels listed (new vessels listed by cross-listing of other RFMO IUU lists)

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMO no	Beneficial Owner	Operator	Activities	Listing RFMOs
AL WESAM 5	CHAINAVEE 54	UNK/INC	DJIBOUTI, THAILAND	UNK/INC (HSN5447)	UNK/INC	UNK/INC (MARINE RENOWN SARL), UNK/INC		Contravention of IOTC Resolution 17/03/ Violation de la résolution de la CTOI 17/03	IOTC
ANEKA 228		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
ANEKA 228; KM.		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
Bellator	TAVRIDA, AURORA, PACIFIC  CONQUEROR, ARCTIC FOX, NEPTUNE 1	ANGOLA	Russian Federation, Peru, Belize, Denmark, Morocco, Ireland	D3P5442 (UBR16)	9179359	CAT-CONGELACAO  ATLANTICA DO TOMBWA, S.A.  ANGOLA  (LLC Interkronos  LLC Logistika More,  Albatros Company Limited,  SUSTAINABLE PELAGIC FISHERY  S.A.C.)	Same	Fishing in the SPRFMO Convention Area without authorisation (air photographs from New Zealand) and prolonged unauthorised presence in the SPRFMO Area (evidence from Chile).	SPRFMO

COBIJA	CAPE WRATH II, Cape Flower	no flag	BOLIVIA	CPB3000	7330399			<p>Fishing inside FAO Area 47 in 2016, investigation initiated by Ecuadorian Fisheries Authority.</p> <p>Boarded in the High Seas of Indians Ocean (15°05'1.20"S and 088°28'24.66"E) by Australia on 18 June 2020.</p> <p>The vessel had fully functional fishing gear fitted with equipment required for gillnet setting (including gillnet, buoys and radio beacons). The vessel was also fitted with all necessary equipment to retrieve the fishing gear (including winches, net tunnels, nets cages, stern doors). The vessel had processing facilities including band saw, fish processing areas, tanks and blast freezers. The fish holds were full of cargo, with what appeared to be processed frozen fish in white unmarked polypropylene/nylon bags.</p>	IOTC NEAFC
CHI TONG		UNK/INC		UNK/INC	UNK/INC			<p>Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03</p>	IOTC
CHOTCHAINAVEE 35	CARRAN	UNK/INC	DJIBOUTI	UNK/INC	UNK/INC	GREEN LAUREL INTERNATIONAL SARL,UNK/INC	MASTER/PAT RON: Mr PRAWIT KERDSUWA N,UNK/INC	<p>Engaged in fishing or fishing related activities in waters of a coastal State without permission or authorisation./S'est engage dans la pêche ou des activités liées à la pêche dans des eaux d'un État côtier sans la permission ou l'autorisation.</p>	IOTC
FU HSIANG FA 18		UNK/INC		UNK/INC	UNK/INC			<p>Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03</p>	IOTC



FU HSIANG FA NO. 01		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
FU HSIANG FA NO. 02		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
FU HSIANG FA NO. 06		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
FU HSIANG FA NO. 08		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
FU HSIANG FA NO. 09		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
FU HSIANG FA NO. 11		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
FU HSIANG FA NO. 13		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
FU HSIANG FA NO. 17		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
FU HSIANG FA NO. 20		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC

FU HSIANG FA NO. 21a		UNK/INC		OTS 024 or OTS 089	UNK/INC			Contravention of IOTC Resolution 07/02/ Violation de la résolution de la CTOI 07/02	IOTC
FU HSIANG FA NO. 21b		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
FU HSIANG FA NO. 23		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
FU HSIANG FA NO. 26		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
FU HSIANG FA NO. 30		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
Hai Lung	YELE, RAY, KILLY, CONSTANT, TROPIC, ISLA GRACIOSA, CONSTANT	Unknown	Belize, Mongolia, Equatorial Guinea, South Africa [CCAMLR] / Belize [SEAFO]	unknown (V3RB2)	6607666				GFCM IOTC NEAFC SEAFO
HOOM XIANG 101		UNK/INC	MALAYSIA	UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
HOOM XIANG 103		UNK/INC	MALAYSIA	UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
HOOM XIANG 105		UNK/INC	MALAYSIA	UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
KIM SENG DENG 3		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
KUANG HSING 127		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
KUANG HSING 196		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
LU RONG SHUI 158 (鲁荣水158)		Unknown		Not known	Not known		Not known	A Japanese patrol vessel sighted this fishing vessel in the Convention area at 39°59.2'N, 147°39.7'E on	NPFC

								July 7, 2018. There is no vessel registration of this vessel on the NPFC vessel register. MMSI 4126888540	
MAAN YIH HSING		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
MARWAN 1	AL WESAM 4,CHAICHANACHOK E 8	SOMALIA	DJIBOUTI, THAILAND/ THAILAND E	UNK/INC (HSN5721)	UNK/INC	SOMLINK FISHERIES INVESTMENT (MARINE RENOWN SARL),UNK/INC		Contravention of IOTC Resolution 17/03/ Violation de la résolution de la CTOI 17/03	IOTC
NPFC 29 Unknown		Unknown		Unknown		Unknown	Unknown	A Japanese trawl vessel sighted this fishing vessel indicating its vessel name "ZHOU YU 808" MMSI 412671880, in the Koko seamount area of Convention area at 36°44'N, 171°27'E on August 29, 2018, allegedly conducted fishing for deep sea coral.  There was a	NPFC
NPFC 30 Unknown		Unknown		Unknown		Unknown	Unknown	A Japanese trawl vessel sighted this fishing vessel indicating its vessel name "ZHOU YU 809" MMSI 412401260, in the Koko seamount area of Convention area at 36°44'N, 171°27'E on August 29, 2018, allegedly conducted fishing for deep sea coral.  There was a	NPFC
SAMUDERA PERKASA 11		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SAMUDRA PERKASA 12		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC

SEA VIEW	AL WESAM 2, CHAINAVEE 55	CAMEROON	DJIBOUTI, THAILAND/ THAILAND E	UNK/INC (HSB3852)	8692342	UNK/INC (MARINE RENOWN SARL),UNK/INC		Contravention of IOTC Resolution 17/03/ Violation de la résolution de la CTOI 17/03	IOTC
SEA WIND	AL WESAM 1, SUPPHERMNAVEE 21	CAMEROON	DJIBOUTI, THAILAND/ THAILAND E	UNK/INC (HSN5282)	8692354	UNK/INC (MARINE RENOWN SARL),UNK/INC		Contravention of IOTC Resolution 17/03/ Violation de la résolution de la CTOI 17/03	IOTC
SHENG JI QUN 3		UNK/INC		CPA 311	UNK/INC	Chang Lin, Pao-Chun, No. 161, San Min Rd. Yufu Village, Kaohsiung City, Chinese Taipei	Mr. Chen, Chen- Tsai,UNK/INC	Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SHUEN SIANG		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SHUN LAI	HSIN JYI WANG NO. 6	UNK/INC		CPA 514	UNK/INC	Lee Cheng Chung, No. 5 Tze Wei Road, Kaohsiung, Chinese Taipei	Mr. Sun Han Min,UNK/INC	Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SIN SHUN FA 6		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SIN SHUN FA 67		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SIN SHUN FA 8		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC

SIN SHUN FA 9		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SRI FU FA 168		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SRI FU FA 18		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SRI FU FA 188		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SRI FU FA 189		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SRI FU FA 286		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SRI FU FA 67		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
SRI FU FA 888		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC

Summer Refer		Unknown			7816472				GFCM
TIAN LUNG NO.12		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
WISDOM SEA REEFER		HONDURAS		HQXQ4	7637527	WISDOM SEA REEFER LINE S.A.,UNK/INC	CLAUDIA E. RAMOS CERRATO VIRGIN FISHING COMPANY MYO THANT - Master/capitaine,UNK/INC	Contravention of IOTC Resolution 17/03/ Violation de la résolution de la CTOI 17/03	IOTC
XIN SHI JI 16	HSINLONG No. 5	FIJI		3DTN	7637527	Xin Shi Ji Fisheries Ltd. 346 Waimanu Road, Suva, Fidji	Xin Shi Ji Fisheries Ltd.	Contravention of IOTC Resolution 17/03/Violation de la résolution de la CTOI 17/03	IOTC
XING HAI FENG	OCEAN LION	PANAMA	EQUATORIAL GUINEA	3FW5	7826233	Ocean Lion Shipping S.A.,Panama City, Panama	Ocean Lion Shipping S.A.,Panama City, Panama	Contravention of IOTC Resolution 02/04, 02/05, 03/05/ Violation de la résolution de la CTOI 02/04, 02/05, 03/05.	IOTC
YI HONG 3		UNK/INC		UNK/INC	UNK/INC			Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
YUANDA 6		Unknown		Not known	412356488		Not known	A Japanese patrol vessel sighted this vessel conducting fishing operation in the Convention area at 25°45'9N, 147°07'06E on April 15, 2019. This nameless vessel (assumed "YUANDA6" from the vessel's MMSI 412356488) was	NPFC

								operating and running away when the J	
YUANDA 8		Unknown			41236548 6	Not known	Not known		NPFC
YUTUNA 3	HUNG SHENG NO. 166	UNK/INC		CPA 212	UNK/INC	Yen Shih Hsiung, Room 11-E, No.3 Tze Wei Forth Road, Kaohsiung, Chinese Taipei	Mr. Lee, Shih- Yuan, UNK/IN C	Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
YUTUNA NO. 1		UNK/INC		CPA 302	UNK/INC	Tseng Ming Tsai, Room 11-E, No. 3 Tze Wei Fort Road, Kaohsiung, Chinese Taipei	Mr. Yen, Shih- Shiung, UNK/I NC	Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	IOTC
ZHEXIANG YU 23029		Unknown			41212352 6	Not known	Not known	A Japanese patrol vessel sighted this fishing vessel in the Convention area at 25°42'03N, 147°11'02E on April 15, 2019. This vessel apparently had just finished as the gear was wet. the vessel name, which was not registered on the NPFC vessel registry, wa	NPFC

## PART 3 – provisional SIOFA IUU vessels listed (vessels already listed from cross-listing by the third Compliance Committee.

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
Acros No 2		Unknown	Honduras						GFCM IOTC
Acros No 3		Unknown	Honduras						GFCM IOTC
Al'Amir Muhammad		Egypt							GFCM IOTC
Alboran II	White enterprise [NAFO/NEAFC] / White, Enterprise, Enxembre, Atalaya, Reda IV, Atalaya Del Sur [SEAFO]	Unknown	Panama, St. Kitts & Nevis	Unknown	7306570		Unknown	Gibraltar Port (31 March 2009)	GFCM IOTC NAFO NEAFC SEAFO
Amorinn	Iceberg II, Noemi, Lome	Unknown	Togo, Belize	5VAN9	7036345	Unknown	Unknown (Infitco Ltd Ocean Star Maritime CO, (Seric Business S.A.)	Sighted 58.5.1 (11 Oct 2003), Sighted 58.4.2 (23 Jan 2004)	CCAMLR GFCM IOTC SEAFO
Antony	Urgora, Atlantic Oji Maru No. 33 -Oji Maru No. 33	Unknown	Venezuela, Honduras, Panama, Belize, Indonesia	PQMG	7236634		Atlanti Pez, (Urgora S de RL), (World Ocean Fishing SL)	Supporting IUU-listed vessel (3 March 2016)	CCAMLR IOTC SEAFO
Asian Warrior	Kunlun, Taishan, Chang Bai, Hongshui, Huang He 22, Sima Qian Baru 22, Corvus, Galaxy, Ina Maka, Black Moon, Red Moon, Eolo, Thule, Magnus, Dorita [CCAMLR/IOTC]	Saint Vincent and the Grenadines [CCAMLR]	Indonesia, Tanzania, Korea DPRK, Panama, Sierra Leone, Equatorial Guinea, Uruguay	J8B5336, 3CAG	7322897	Stanley Management Inc, UNK/INC	High Mountain Overseas S.A., (Navalmar S.A.), (Meteora Development Inc), (Vidal Armadores S.A.), (Rajan Corporation), (Rep Line	Sighted 58.5.2 (31 Jan 2004), Sighted 58.5.1 (10 May 2006), Sighted 58.4.1 (21 Jan 2010), Sighted 58.4.1 (13 Feb 2011), Towing Baiyangdian 57 (01 Apr 2012), Sighted 58.6 (01 Jul 2012), Sighted 58.4.2 (28 Jan 2013), Sighted 57 (10 Mar 2013), Fishing 58.5.1	CCAMLR SEAFO



Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
							Ventures S.A.), (Stanley Management Inc)		
Atlantic Wind	Zemour 2, Luampa, Yongding, Jiangfeng, Chengdu, Shaanxi Henan 33, Xiong Nu Baru 33, Draco I, Liberty, Chilbo San 33, Hammer, Seo Yang No. 88, Carran [CCAMLR]	Unknown	Tanzania, Equatorial Guinea, Indonesia, Cambodia, Panama, Sierra Leone, Korea (DPRK), Togo, Uruguay	5IM813, 3CAE	9042001	High Mountain Overseas SA (Viarsa Fishing Company/Navalmar S.A., Global Intercontinental Services, Rajan Corporation, Redlines Ventures S.A.)	High Mountain Overseas S.A., UNK/INC	Undocumented landing Malaysia (01 Aug 2004), Fishing 58.4.3a (22 Feb 2005), Fishing 58.4.3a (28 Apr 2005), Fishing 58.4.3b (16 Dec 2005), Fishing 58.4.3b (01 Jul 2009), Fishing 58.4.2 (27 Jan 2010), Fishing 58.4.3b (04 Apr 2010), Fishing 58.4.1 (13 Feb 20	CCAMLR IOTC SEAFO
Baroon	Lana, Zeus, Triton-1 [CCAMLR]	Tanzania	Nigeria, Mongolia, Togo, Sierra Leone	5IM376	9037537	Vero Shipping Corporation (Punta Brava Fishing S.A.)		Fishing 58.4.1 (19 Mar 2007), Sighted 88.1 (15 Jan 2008), Sighted 57 (19 Dec 2010), Sighted 57 (05 Oct 2012), Sighted 57 (24 Mar 2013), Sighted 57 (03 Sep 2013), Sighted 57 (19 Nov 2013), Sighted 57 (14 Feb 2014)	CCAMLR GFCM IOTC SEAFO
Bhaskara No 10		Unknown							GFCM IOTC
Bhaskara No 9		Unknown							GFCM IOTC
Bigeye		Unknown							GFCM IOTC
Bravo		Unknown							GFCM IOTC
Camelot		Unknown							GFCM IOTC

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
Challenge	Mila, Perserverance [CCAMLR] / Mila, Isla, Montana Clara, Perseverance [SEAFO]	Unknown	Panama, Equatorial Guinea, United Kingdom	HO5381	6622642	Advantage Company S.A. (rion Ltd, (Vidal Armadores S.A., Mar de Neptuno S.A., Argibay Perez J.A.		Sighted 58.4.3b (14 Feb 2006), Sighted 58.4.3b (22 May 2006), Sighted 58.4.3b (10 Dec 2006), Sighted 58.4.3b (08 Feb 2008)	CCAMLR GFCM IOTC SEAFO
Chia Hao No 66		Unknown							GFCM IOTC
Daniaa	Carlos	Unknown							GFCM IOTC
Dragon III		Unknown							GFCM IOTC
Eros Dos	Furabolos	Unknown	Panama, Seychelles	Unknown (HO-5115, S7KC)	8604668		Unknown	Burned on 7 Nov 2018, St. Eugenia de Ribeira, Spain (05 March 2009)	GFCM IOTC NAFO NEAFC SEAFO
Fu Hsiang Fa		Unknown							GFCM IOTC
Fu Lien No 1		Unknown			7355662				GFCM IOTC
Full Rich		Unknown	BELIZE	HMEK3		Noel International LTD (Noel International LTD), UNK/INC		Contravention of IOTC Resolution 07/02/Violation de la résolution de la CTOI 07/02	GFCM IOTC

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
Gala I	Manara II/Roagan	Unknown							GFCM IOTC
Goidau Ruey No 1		Unknown							GFCM IOTC
Good Hope	Toto, Sea Ranger V	Nigeria		5NMU	7020126	Port Plus Ltd (Sharks Investments AVV)		Resupplying IUU vessels Area 51 (09 Feb 2007)	CCAMLR GFCM IOTC SEAFO
Gorilero	Gran Sol	Unknown	Sierra Leone, Panama [NAFO/NEAFC]	Unknown (9LYF36, H03738)	6719419		Unknown	La Coruna, Spain (September 2007)	GFCM IOTC NAFO NEAFC SEAFO
Gunuar Melyan 21		Unknown						Contravention of IOTC Resolution 07/02/ Violation de la résolution de la CTOI 07/02	GFCM IOTC
HAI DA 705		Unknown		Not known	Not known	Not known	Not known	Communications between Japanese Patrol vessel and HAI DA705 at 43 10.4'N, 153 38.6'E on 11 Sep 2016 indicated they caught squid with drift net in the high sea. (Port displayed on the vessel: 沈家们; Vessel type: Drift net vessel; Tonnage: 290t)	NPFC
Heavy Sea	Duero, Julius, Keta, Sherpa Uno	Unknown	Panama, Saint Kitts and Nevis, Belize	3ENF8	7322926		C&S Fisheries S.A. (Muner S.A., Meteroros Shipping, Meteora Shipping Inc., Barroso Fish S.A.)	Sighted 58.5.1 (03 Feb 2004), Fishing 57 (29 Jul 2005)	CCAMLR GFCM IOTC SEAFO

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
Hoom Xiang II		Unknown	MALAYSIA	UNK/INC	UNK/INC	Bhd),UNK/INC (MALAYSIAN INTERNATIONAL TUNA PORT, 11960 BATU MAUNG PULAU, PINANG)		Contravention of IOTC Resolution 09/03/ Violation de la résolution de la CTOI 09/03	GFCM IOTC
Iannis I	Moana Mar, Canos De Meca	Unknown	Panama	HO3374	7332218		Unknown	Indian Ocean (2007)	GFCM IOTC NAFO NEAFC SEAFO
Jyi Lih 88		Unknown							GFCM IOTC
Koosha 4	Eguzkia	Iran, Islamic Republic of		9BQK	7905443		Pars Paya Seyd Industrial Fish	Inside Division 58.4.1 (15 Feb 2011)	CCAMLR GFCM IOTC SEAFO
Labiko	Maine, Claude Monier, Chevalier d'Assas [SEAFO]	Unknown	Guinea Conakry	3XL2	7325746				GFCM IOTC NAFO NEAFC
LIAO YUAN YU 071		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 15.4'N, 153 22.8'E on 23 Aug 2016. When the Japanese patrol vessel approached, a vessel crew tried to hide the vessel name. Communication between the Japanese patrol vessel and LIAO YUAN YU 071 indicated that they hid the vessel name bec	IOTC NPFC
LIAO YUAN YU 072		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 18.7'N, 153 27.9'E on 23 Aug and at 42 9.2'N, 151 16.4'E on 11 Oct 2016. Vessel name was hidden by paint. (Port displayed on the vessel: Shidao; Vessel type; Lighted lift net vessel; Tonnage: 800t)	IOTC NPFC

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
LIAO YUAN YU 9		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 3.0'N, 153 0.8'E on 23 Aug and at 42 10.0'N, 151 16.8'E on 11 Oct 2016. Vessel name was hidden by paint. (Port displayed on the vessel: Shidao; Vessel type; Lighted lift net vessel; Tonnage: 800t)	NPFC
Lila No 10		Unknown	Panama						GFCM IOTC
Limpopo	Ross, Alos, Lena, Cap George, Conbaroya, Tercero [SEAFO] / Lena, Alos, Ross [CCAMLR]	Unknown	Togo, Ghana, Seychelles, France	Unknown	7388267		Grupo Oya Perez (Kang Brothers, Lena Enterprises Ltd, Alos Company Ghana Ltd)	Fishing 58.5.2 (21 Sep 2003), Sighted 58.5.1 (03 Dec 2003), Fishing 58.4.3b (23 Feb 2005), Fishing 58.4.3b (14 Dec 2005), Sighted 58.4.3b (25 Jan 2007)	CCAMLR GFCM IOTC SEAFO
LU RONG YU 1189		Unknown		Not known	Not known	Not known	Not known	It was seen at 41 24.9'N, 140 32.7'E (Japan EEZ) on 14 Jun 2016. (Port displayed on the vessel: Shidao; Vessel type: Carrier vessel; Tonnage: 100t) MMSI: 412321992	IOTC NPFC
LU RONG YU 612		Unknown		Not known	Not known	Not known	Not known	A Japanese patrol vessel sighted this fishing vessel was drifting in the Convention area at 39 50.00'N, 147 1.8'E on July 21. The port of registry is Shidao and AIS information showed that the vessel name is "Lu Long Yuan Yu 108", which is on the current	IOTC NPFC
LU RONG YUAN YU 101		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 101 is registered as a light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 101 with lift net type was seen at 49 9.2'N, 149 19.5'E on 17 May 2016. LU RONG YUAN YU 101 with stern	IOTC NPFC

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
LU RONG YUAN YU 102		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 102 is registered as one light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 102 with lift net type was seen at 42° 21.3'N, 151° 55.5'E on 11 Oct 2016. LU RONG YUAN YU 102 with	IOTC NPFC
LU RONG YUAN YU 103		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 103 is registered as one light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 103 with lift net type was seen at 40 25.9'N, 150 9.9'E on 1 June 2016. LU RONG YUAN YU 103 with st	IOTC NPFC
LU RONG YUAN YU 105		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 105 is registered as one light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 105 with lift net type was seen at 42°27'N, 152° 5.8'E on 11 Oct 2016.LU RONG YUAN YU 105 with ster	IOTC NPFC
LU RONG YUAN YU 106		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 106 is registered as one light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 106 with lift net type was seen at 40 30.4'N, 149 34'E on 29 May 2016. LU RONG YUAN YU 106 with ster	IOTC NPFC
LU RONG YUAN YU 108		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 108 is registered as one light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 108 with lift net type was seen at 40 28.4'N, 149 28.1'E on 29 May	IOTC NPFC

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
								2016. LU RONG YUAN YU 108 with st	
LU RONG YUAN YU 109		Unknown		Not known	Not known	Not known	Not known	While LU RONG YUAN YU 109 is registered as one light PS vessel in the NPFC list, the identical name with different vessel types were seen. LU RONG YUAN YU 109 with lift net type was seen at 40 25.1'N, 149 25 'E on 29 May 2016. LU RONG YUAN YU 109 with s	IOTC NPFC
LU RONG YUAN YU 787		Unknown		Not known	Not known	Not known	Not known	A Japanese patrol vessel sighted this fishing vessel was drifting in the Convention area at 39 49.7'N, 147 2.8'E on July 21 2017, and Japanese patrol aircraft sighted the same vessel anchored at 41 3.3'N, 150 22.1'E on August 2 2017. The China flag was ra	IOTC NPFC
LU RONG YUAN YU 797		Unknown		Not known	Not known	Not known	Not known	A Japanese patrol aircraft sighted this fishing vessel in the Convention area was operating at 42 7.1'N, 151 40.9'E on July 7 2017. China flag was raised and "CHINA" was painted on the vessel side (see the photo). MMSI is 4123279	IOTC NPFC
LU RONG YUAN YU YUN 958		Unknown		Not known	Not known	Not known	Not known	A Japanese patrol fishing vessel sighted this fishing vessel was drifting in the Convention area at 39 50.9'N, 147 4.3'E on July 21. The vessel raised China flag and the port of registry was Shidao. AIS information showed that th	IOTC NPFC
Madura 2		Unknown							GFCM IOTC

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
Madura 3		Unknown							GFCM IOTC
Maria		Unknown							GFCM IOTC
Melilla No 101		Unknown	Panama						GFCM IOTC
Melilla No 103		Unknown	Panama						GFCM IOTC
Murtosa	Unknown	Unknown	Togo [NAFO/NEAFC]	Unknown (ZDBLI)	7385174		Aveiro, Portugal (since 2005)		GFCM IOTC NAFO NEAFC SEAFO
Neptune		Unknown	Georgia						GFCM IOTC
New Bai I No. 168	Tai Yuan No. 227	Liberia							GFCM IOTC
No 101 Gloria	Golden Lake	Unknown	Panama						GFCM IOTC



Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
No 2 Choyu		Unknown	Honduras						GFCM IOTC
No 3 Choyu		Unknown	Honduras						GFCM IOTC
Northern Warrior	Millennium, Sip 3	Angola	Curacao, Netherlands Antilles, South Africa, Belize, Morocco	PJSA	8808903		SIP (Areapesca S.A., Southern Trading Group, Snoek Wholesalers, South Atlantic Fishing NV, World Ocean Fishing SL, Orkiz Agro- Pecuaría - Pescas Transportes E Comercio Geral Limitada)	Supporting IUU-listed vessels (03 Mar 2016)	CCAMLR IOTC SEAFO
Ocean Diamond		Unknown							GFCM IOTC
Ocean Lion		Unknown	Equatorial Guinea						GFCM IOTC
Orca		Unknown	Belize						GFCM IOTC

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
Oriente No 7		Unknown	Honduras						GFCM IOTC
Perlon	Cherne, Sargo, Hoking, Bigaro, Lugalpesca	Unknown	Mongolia, Togo, Uruguay	5NTV21	5062479		Vakin S.A. (Jose Lorenzo SL, Americagalaica S.A.)	Sighted 58.5.1 (03 Dec 2002), Sighted 58.5.1 (04 Jun 2003), Sighted 58.4.2 (22 Jan 2004), Sighted 58.4.3b (11 Dec 2005), Fishing 58.4.1 (26 Jan 2006), Sighted 58.4.3b (07 Dec 2006), Sighted 58.4.1 (30 Dec 2006), Sighted 58.4.1 (16 Dec 2008), Gear sighted	CCAMLR GFCM IOTC SEAFO
Pescacisne 1, Pescacisne 2	Zemour 1, Kadei, Songhua, Yunnan, Nihewan, Huiquan, Wutaishan Anhui 44, Yangzi Hua 44, Trosky, Paloma V [CCAMLR]	Unknown	Mauritania, Equatorial Guinea, Indonesia, Tanzania, Mongolia, Cambodia, Namibia, Uruguay	9LU2119	9319856	Eastern Holdings, UNK/INC	Mabenal S.A. (Vidal Armadores S.A., Omunkete Fishing Pty Ltd, Gongola Fishing JV (Pty) Ltd, Eastern Holdings)	Supporting activities of IUU vessels 51 (16 May 2008), Sighted 58.4.3b (22 Apr 2009), Sighted 57 (07 Dec 2009), Fishing 58.4.1 (07 Apr 2010), Sighted 58.4.1 (29 Jan 2012), Sighted 58.4.1 (30 Jan 2012), Sighted 58.4.1 (31 Jan 2012), Sighted 57 (24 Apr 2012)	SEAFO
Reymar 6		Unknown	Belize						GFCM IOTC
Samudera Pasifik No. 18		Indonesia						Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	GFCM IOTC
Sea Urchin	Aldabra, Omoa I	Gambia	Tanzania, Honduras	5VAA2	7424891		Cecibell Securities (Farway Shipping)	Fishing inside Division 58.4.4b (10 Nov 2006)	CCAMLR GFCM IOTC SEAFO

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
Sharon 1	Manara I/Poseidon	Unknown	Libya						GFCM IOTC
Southern Star 136	Hsiang Chang	Unknown	St. Vincent and the Grenadines						GFCM IOTC
STS-50	Ayda, Sea Breez 1, Andrey Dolgov, Std No. 2, Suntai No.2, Sun Tai No. 2, Shinsei Maru No. 2	Togo	Cambodia, Korea, Philippines, Japan, Namibia, Togo [CCAMLR]	5VDR2	8514772		Maruha Corporation (Taiyo Namibia, Taiyo Susan, Taiyo A & F Co. Ltd, Sun Tai International Fishing Corp, STD Fisheries Co. Ltd, Red Star Co. Ltd, Poseidon Co. Ltd, Marine Fisheries Corp. Co. Ltd)	Landing IUU catch (25 May 2016), Sighting in Area 57 (06 Apr 2017)	CCAMLR GFCM IOTC SEAFO
Ta Fu 1		Unknown							GFCM IOTC
Tching Ye No 6	El Diria I	Unknown	Belize						GFCM IOTC
Trinity	Yucatan Basin, Exembre, Fontenova, Jawhara [SEAFO /NAFO]	Unknown	Ghana, Panama, Morocco	Unknown (3EGV5, V3XB, H02933)	7321374			Port in Tema, Ghana (Sep 2011)	GFCM IOTC NAFO NEAFC SEAFO

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
Wen Teng No 688	apparently changed to MAHKOIA ABADI No 196	Unknown	Belize						GFCM IOTC
Yu Fong 168		Unknown	Chinese Taipei	BJ4786		Chang Lin Pao-Chun, 161 Sanmin Rd., Liouciuo Township, Pingtung County 929, Chinese Taipei		Contravention of IOTC Resolution 11/03/ Violation de la résolution de la CTOI 11/03	GFCM IOTC
Yu Maan Won		Unknown	Georgia					Fishing in the Exclusive Economic Zone of the Republic of the Marshall Islands without permission and in contravention of Republic of the Marshall Islands's laws and regulations. (CMM 2007-03, para 3b). Contravention of IOTC Resolution 07/02/Violation de	GFCM IOTC
ZHE LING YU LENG 90055		Unknown		Not known	Not known	Not known	Not known	It was seen at 40 25.3'N, 149 13.2'E on 29 May 2016. (Port displayed on the vessel: Wenling; Vessel type: Carrier vessel; Tonnage: 600t) MMSI: 412000000/413202046	IOTC NPFC
ZHE LING YU LENG 905		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 45.6'N, 152 45.8'E on 24 Aug 2016. (Port displayed on the vessel: Wenling; Vessel type: Carrier vessel; Tonnage: 1000t) MMSI: 412000000/412000256	IOTC NPFC
ZHOU YU 651		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 30'2N, 152 05'4E on 29 Sep 2016. (Port displayed on the vessel: Fungcheng; Vessel type; Lighted lift net vessel; Tonnage: 850t)	IOTC NPFC
ZHOU YU 652		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 48.9'N, 152 48.2'E on 7 Sep 2016. Port of registry was hidden by paint. (Vessel type; Lighted lift net vessel; Tonnage: 820t) MMSI: 42569986	IOTC NPFC

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
ZHOU YU 653		Unknown		Not known	Not known	Not known	Not known	It was seen with LU RONG YU YUN 56219 and ZHOU YU 656 at 42 11.9'N, 151 14.6'E on 30 Sep 2016. (Port displayed on the vessel: Fungcheng; Vessel type; Lighted lift net vessel; Tonnage: 850t) Communication between Japanese patrol vessel and LU RONG YU YUN 5	IOTC NPFC
ZHOU YU 656		Unknown		Not known	Not known	Not known	Not known	It was seen with LU RONG YU YUN 56219 and ZHOU YU 656 at 42 11.9'N, 151 14.6'E on 30 Sep 2016. (Port displayed on the vessel: Fungcheng; Vessel type; Lighted lift net vessel; Tonnage: 850t) Note that the same vessel name with the different port of registr	IOTC NPFC
ZHOU YU 657		Unknown		Not known	Not known	Not known	Not known	It was seen at 42 35.5'N, 152 6.7'E on 12 Sep 2016. (Port displayed on the vessel: Zhoushan; Vessel type; Lighted lift net vessel; Tonnage: 600t)	IOTC NPFC
ZHOU YU 658		Unknown		Not known	Not known	Not known	Not known	It was seen at 40 12.3'N, 148 40.5'E on 29 May 2016 and at 42 46.7'N, 152 41.2'E on 7 Sep 2016. (Port displayed on the vessel: Zhoushan; Vessel type; Lighted lift net vessel; Tonnage: 600t)	IOTC NPFC
ZHOU YU 659		Unknown		Not known	Not known	Not known	Not known	It was seen in the NPFC area on 2, 4, 13, 17 Jun and 7 Sep 2016. On 4 Jun the vessel name on the right side was hidden by paint. (Port displayed on the vessel: Zhoushan; Vessel type: Lighted lift net vessel; Tonnage: 600t)	IOTC NPFC
ZHOU YU 660		Unknown		Not known	Not known	Not known	Not known	It was seen in the Japanese EEZ on 10 May 2016 and in NPFC area multiple times from May to Sep 2016. On 10 May the vessel showed Korean flag but changed the Korean to Japanese flag when the Japanese	IOTC NPFC

Vessel Name	Previous Names	Flag	Previous Flags	Callsign	IMOno	Beneficial Owner	Operator	Activities	Listing RFMOs
								patrol vessel approached. Vessel name changed between 15	
ZHOU YU 661		Unknown		Not known	Not known	Not known	Not known	It was seen in the Japanese EEZ on 10 and 13 May 2016 and in NPFC area on 15, 29 May and 7 Sep 2016. The vessel names on the left and right side changed frequently (see the photos). The vessel showed Japanese flag in May. But the vessel is not permitted i	IOTC NPFC

## Annex J

### Intersessional consultations on the HSBI SIOFA Inspection Flag

#### SIOFA SECRETARIAT

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##### Abstract

This paper provides a summary of the feedbacks from SIOFA Contracting Parties on the consultation launched by the Secretariat about the High Sea Boarding procedures.

The 4<sup>th</sup> Compliance Committee shall review the three working documents (SIOFA Inspection Flag, Identity Card for Observers, and HSBI questionnaire) drafted by the Secretariat during this intersessional period and provide recommendations to the 7<sup>th</sup> Meeting of the Parties.

This document is the proposal of a SIOFA inspection flag

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##### Recommendations

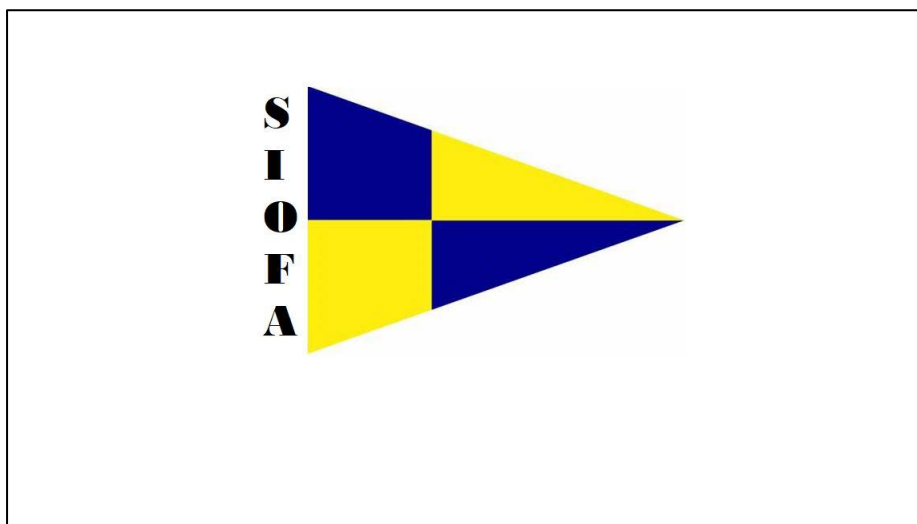
The Secretariat recommends the CC4 to agree on the inspection flag and proposes it to the Meeting of the Parties.

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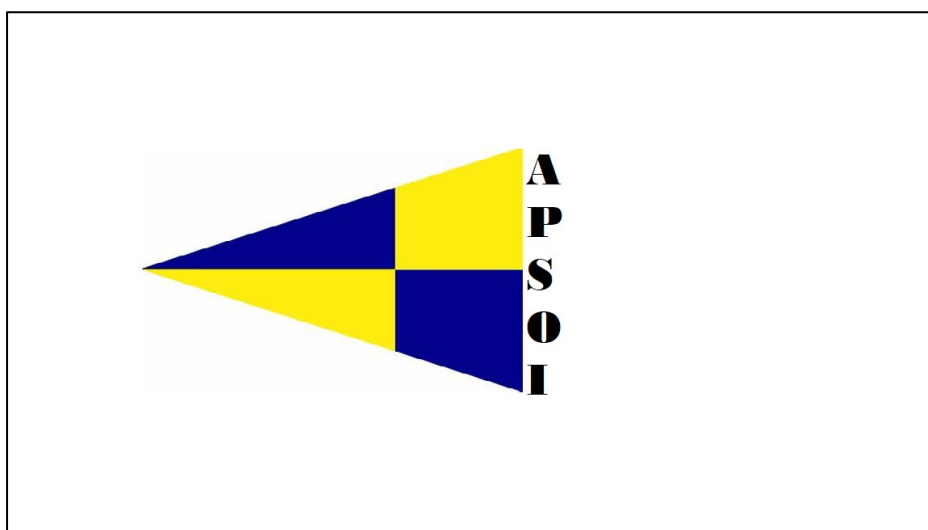
The SIOFA inspection flag circulated was the same than the one circulated by the data manager last year, only the SIOFA/APSOI mark was added on the edge. Everybody agreed with the proposed flag but contracting parties requested the inspection flag to be clearly visible and to have a proper flag size.

The Secretariat suggested the following dimension for the Boarding Pennant: 44 cm by 66 cm (height by length), which could be made of rigid material magnetized to be visibly displayed on the vessel.

#### STARBOARD SIDE



#### PORT SIDE

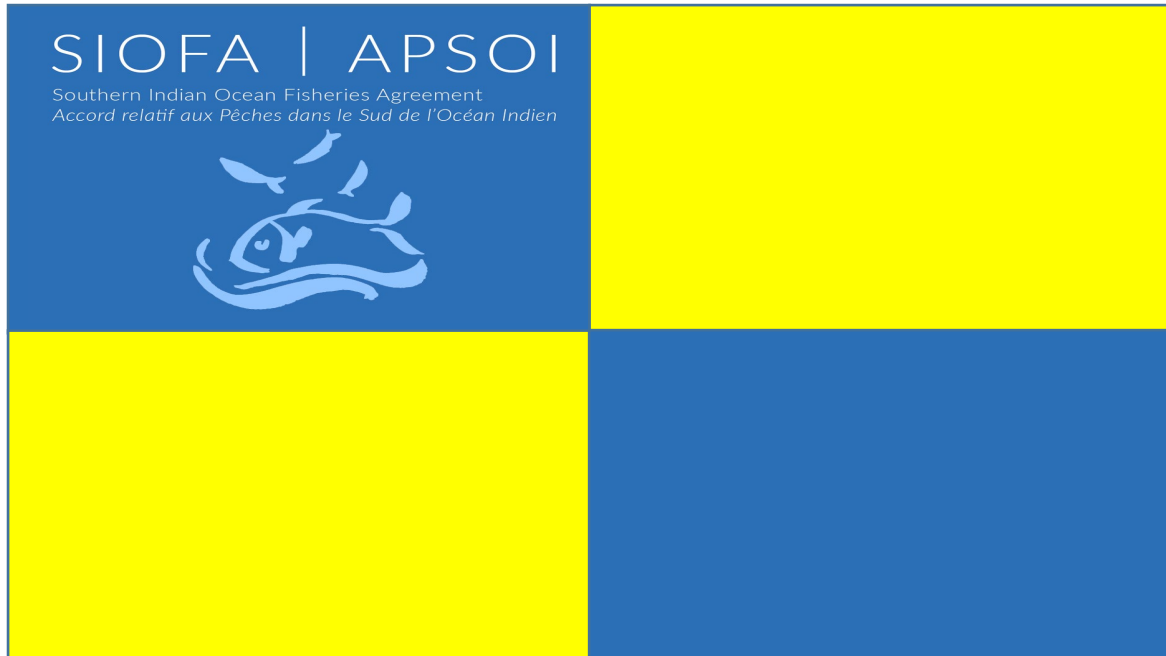


A CCP believes that the "APSOI" on the port side of the pennant may result in misunderstanding on the sea and suggests that only "SIOFA" is shown on the Boarding Pennant.



Furthermore, for this CCP in addition to the pennant, an "inspection flag" with dimensions of 94 cm by 213 cm (height by length) must also be displayed.

Please find attached a flag inspection proposal



CC-04-09

4<sup>th</sup> Compliance Committee of the South Indian Ocean Fisheries Agreement  
(CC4)  
09-11 November 2020 (online)

Annex K - Catch and effort data 2019 submission summary

*Relates to agenda item: 11*

Working paper ☒ Info paper ☐

SIOFA Secretariat

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**Abstract**

This paper summarizes the provision of the 2018 catch and effort data by CCPs expected to be provided by May 31 2019 under CMM 2019/02 requirements.

The Secretariat assessed the compliance of the submission using a score grid from 0 to 10. Common issues have been reported and some recommendations made for amending the CMM on data standards.

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**Recommendations *(working papers only)***

CCPs to propose a review of CMM 2019/02 where the compliance with the data standards for specific fisheries is almost impossible to achieve.

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## Introduction

CMM 2019/02 on data standards provide with the requirements about the data collection and provision to the Secretariat.

Para 4 to 6 are extracted here:

### *Vessel Catch and Effort Data*

#### *Collection of data*

4. CCPs shall ensure that data on fishing activities, including for target, non-target and associated and dependent species such as marine mammals, marine reptiles, seabirds or 'other species of concern', are collected from vessels flying their flag that are fishing in the Agreement Area in accordance with the relevant sections of Annex A.

5. The Scientific Committee shall, by no later than the ordinary meeting of the Scientific Committee in 2019, provide advice and recommendations to the Meeting of the Parties on an appropriate spatial resolution for the collection and reporting of data to facilitate effective stock assessment. Until the Meeting of the Parties, based on the advice of the Scientific Committee, determines an appropriate spatial resolution for the collection and reporting of data, CCPs shall ensure that data are collected on a haul by haul basis.

#### *Data collection and submission*

6. CCPs shall report to the Secretariat, by 31 May each year, the data collected under paragraphs 4 and 5 for the previous calendar year, in accordance with the format prescribed in the corresponding annexes.

This paper focuses on the 2019 catch and effort data submission of the SIOFA CCP fishing activities performed in 2018. Note that the data submitted this year on 31 May 2020 for fishing activities performed in 2019 have not been fully processed into the databases.


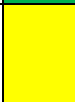


The objective is to summarize each CCP submission and assess its quality and compliance against CMM 2019/02 requirements. Only the vessels' catch-and-effort requirements are summarized here

In 2018, 3 CCPs notified the Secretariat of no fishing: Korea (KOR), Seychelles (SYC) and Thailand (THA). The 7 CCPs that fished are required to provide the data to the Secretariat in accordance with CMM 2019/02, namely: Australia (AUS), Comoros (COM), Cook Islands (COK), European Union (EU), France Territories (FR-OT), Japan (JPN) and Mauritius (MUS).

Chinese Taipei and China, that entered the agreement in 2019, are not part of this report.

## Method

The datasets provided to the Secretariat under CMM 2018/02, vessels catch and effort requirements have been assessed using the colours and rating grid below. Details on each rating is provided within the CCP summary.

compliance rate colour codes	
fully compliant with the CMM	
not fully compliant, data still usable to do some SC works	
some info provided, not enough for conducting proper SC work	
no info provided, non-compliant	

All data fields required in the CMM have not been listed to avoid a too complex and cumbersome report (there are about 50 fields in Annex A). The Data Manager chose to group the data requirements into several categories listed in the table below.

### Categories and description

Vessel id	information about the identity of the fishing vessels
Time resolution	fishing operations time accuracy, operations aggregation over a period
Spatial accuracy	spatial accuracy of the data provided, data aggregation on a geographic grid
Gear details	provision of fully detailed fishing gears specifications
Effort details	provision of effort (fishing hours, hooks number, etc.)
Depths	start and end fishing bottom depths and fishing depths
Species	Species details (and species identification accuracy)
Retained catches	information on retained catch
Discarded catches	information on discarded catch
incidental bycatch	Incidental bycatch of marine mammals, seabirds, reptiles and 'other species of concern'.

### Notes:

- No cross verification of the data consistency has been done in this document.
- The on-board scientific observers data submissions are not summarized here, but some information required in the catch and effort data submission have been provided under the observer data submission.

## Summary by CCP

### AUSTRALIA

Only a short longline trip was made by Australia in 2018.

Category	data status	
Vessels id	provided	10
Time resolution	date and time of each operation provided	10
Spatial accuracy	minute	8
Gear details	provided	10
Effort details	provided	10
Depths	max and min depth collected; no depth provided	0
Species	a few species provided at a higher taxonomic level than species especially in discards	9
Retained catches	provided	10
Discarded catches	provided	10
incidental bycatch	none reported	10

### COMOROS

Comoros provided the Secretariat with image scans of handwritten vessel logbooks. The Secretariat asked for proper datasets but that was not provided. The Secretariat considers this as a non-provision.

Category	data status	
Vessels id	not provided	0
Time resolution	not provided	0
Spatial accuracy	not provided	0
Gear details	not provided	0
Effort details	not provided	0
Depths	not provided	0
Species	not provided	0
Retained catches	not provided	0
Discarded catches	not provided	0
Incidental bycatch	not provided	0

## COOK IS

Cook provided a big set of data corresponding to the activities of two trawler vessels. The main issue is that the fishing operations and catch are aggregated over one day. No haul by haul data has been provided

Category	data status	
Vessels id	provided	10
Time resolution	day	6
Spatial accuracy	1° grid	4
Gear details	not provided*	
Effort details	number of trawl shots only	4
Depths	not provided	0
Species	provided, usage of “other” to group other unidentified species	7
Retained catches	provided (with the process state)	10
Discarded catches	not provided*	
Incidental bycatch	not provided*	

\* information provided within observer data submission

## EU-SPAIN

EU Spain provided data from 2 longliners activities, the datasets matched the template format.

Category	data status	
Vessels id	provided	10
Time resolution	day	6
Spatial accuracy	minute + 1 decimal	9
Gear details	no info provided	0
Effort details	hooks number	10
Depths	provided	10
Species	provided, some high-level taxa used	9
Retained catches	provided	10
Discarded catches	provided	10
Incidental bycatch	VME data provided, no birds information provided	6

## FRANCE - Overseas Territories

France territories had only 2 days of fishing from one vessel.

Category	data status	
Vessels id	provided	10
Time resolution	haul date-time	10
Spatial accuracy	decimal degree	10
Gear details	only bait provided	4
Effort details	hooks number	10
Depths	provided	10
Species	provided	10
Retained catches	provided	10
Discarded catches	assumed NIL	10
Incidental bycatch	no info provided (provided in observer report)	

## JAPAN

Only trawl operations reported in 2018. No tow-by-tow data provided: aggregation per day and per 30 minutes square

Category	data status	
Vessels id	provided	10
Time resolution	haul aggregated by day	6
Spatial accuracy	hauls aggregated by 30' square	5
Gear details	only mesh size provided	6
Effort details	tow duration	10
Depths	provided	10
Species	provided + usage of other demersal species + others	7
Retained catches	provided (quintal unit used !)	10
Discarded catches	not provided	0
Incidental bycatch	no info provided	0

## MAURITIUS

Nothing has been provided. The Secretariat cannot tell whether fishing occurred or not.

Category	data status	
Vessels id	no info provided	0
Time resolution	no info provided	0
Spatial accuracy	no info provided	0
Gear details	no info provided	0
Effort details	no info provided	0
Depths	no info provided	0
Species	no info provided	0
Retained catches	no info provided	0
Discarded catches	no info provided	0
Incidental bycatch	no info provided	0



## All country summary for vessel catch and effort data categories submission

data categories	AUS	COM	COK	EU	Fr-OT	JPN	MUS
Vessels id	10	0	10	10	10	10	0
Time resolution	10	0	6	6	10	6	0
Spatial accuracy	8	0	4	9	10	5	0
Gear details	10	0		0	4	6	0
Effort details	10	0	4	10	10	10	0
Depths	0	0	0	10	10	10	0
Species	9	0	7	9	10	7	0
Retained catches	10	0	10	10	10	10	0
Discarded catches	10	0		10	10	0	0
Incidental bycatch	10	0		6		0	0

## Notes

**Cook Islands:** Some missing data (\*) has been provided within the observer data submission. The CMM on data standards still requires to provide all catch and effort data (as detailed in Annex A).

**Comoros:** the CMM requires to provide the Secretariat with usable data using the standards described in the CMM (detailed in Annex A)

**Mauritius:** Mauritius did not provide any information in regards of its fishing activities in the SIOFA area in 2018. It is not known whether fishing occurred or not.

## Conclusion and recommendations

Since MoP5 in 2018, CMM 2019/02 requires also to provide the Secretariat with observer data.

There are several categories that are listed both in the observer data requirements and in the catch and effort requirements.

It would be good to review the whole CMM and to clarify, if some information should be provided

twice (industry and scientific observer) or only once from one source clearly defined (industry or scientific observer).

Several fisheries seem to have trouble to comply with the catch and effort data requirement, a typical example is the handline fisheries from Comoros and Thailand, where a haul-by-haul data provision does not seem possible neither as the provision of line lifts. Data requirements for these fisheries could be reviewed and concerned CCPs are invited to suggest new data standards to the SC and to the MoP.

There have still been some issues around the no provision of data for certain items in the templates. It is reminded that CCPs must record a 0 (Zero or No) when an item has been monitored and nothing has been observed (e.g. when no incidental bycatch has been observed on a set or tow then a 0 should be recorded in the dataset 0 for this set/tow).

CC-04-22 [info]

4<sup>th</sup> Compliance Committee of the South Indian Ocean Fisheries Agreement  
(CC4)  
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## Annex L - Message from Comoros

*Relates to agenda item: 12*

Working paper ☐ Info paper ☒

### Delegation of Comoros

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#### Abstract

Message from Comoros to renew the status of Cooperating Non-Contracting Party

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Hello Thierry Clot,

Further to your message of this 07/11/2020, with regard to the obligations of the non-contracting cooperating parties, the Comorian side confirms its commitment to respect the objectives of the Agreement and all related obligations.

Pending an official letter duly signed by the competent authorities, the Comorian Party reiterates its willingness to join the organisation as a cooperating and non-contracting party.

However, in spite of our willingness to follow the different meetings in progress, notably the CC4 and MOP 7, but the difficulties linked to the internet connection and any other tool that should facilitate our participation, we suggest that third parties inform the different delegations of our willingness to be a cooperating and non-contracting party in the organisation.

Cordially

Mr Mohamed Ali Mohamed

Contact Point : SIOFA -Comores

Tél: +269 325 64 22

*The annex next page provides with the original email in French*

ANNEX – MESSAGE IN ORIGINAL FRENCH VERSION

Sujet :Engagement de la partie Comorienne

Date : Sat, 7 Nov 2020 12:20:23 +0100

De : B v bbRachad Mohamed Ali Mohamed [rachadmohamedali@gmail.com](mailto:rachadmohamedali@gmail.com)

Pour : Thierry Clot [thierry.clot@siofa.org](mailto:thierry.clot@siofa.org)

Copie à : Johnny Louys <[jlouys@sfa.sc](mailto:jlouys@sfa.sc)>, [secretariat@siofa.org](mailto:secretariat@siofa.org), Pierre PERIES

<[pierre.peries@siofa.org](mailto:pierre.peries@siofa.org)>, Mahamoudou Abidina <[papawassiaan@gmail.com](mailto:papawassiaan@gmail.com)>, ZOUBEIRI ABDILLAH

<[hazouzaiwa20@gmail.com](mailto:hazouzaiwa20@gmail.com)>, Said Boina HASSAN <[dalaili@live.fr](mailto:dalaili@live.fr)>

Bonjour Thierry Clot,

Suite à votre message de ce 07/11/2020, en ce qui concerne les obligations des parties coopérantes non contractantes, la partie comorienne confirme son engagement à respecter les objectifs de l'accord ainsi que toutes les obligations y afférentes.

En attendant un courrier officiel dûment signé par les autorités compétentes, la partie comorienne réitère sa volonté de faire partie à l'organisation en qualité de partie coopérante et non contractante.

Toutefois, malgré notre volonté à suivre les différentes réunions en cours notamment le CC4 et le MOP 7, mais les difficultés liées à la connexion internet et tout autre outil devant faciliter notre participation, nous suggérons aux tiers d'informer aux différentes délégations notre volonté en tant que partie coopérante et non contractante dans l'organisation.

Cordialement

Mr Mohamed Ali Mohamed

Point de contact : SIOFA -Comores

Tél: +269 325 64 22