

## SIOFA Reporting and Submission Requirements 2017 - A summary checklist of obligations

| CMM     | Para   | Data or Report   | Submit to  | Report date deadlines  | Report frequency  | Report Method/Format   |
|---------|--------|--|--|--|---|--|
| 2017/01 | 9 (2)  | Interim bottom fishing measures  | Secretariat  | 14 days prior to the next ordinary MoP following the entry into force of this CMM (CMM 2016/01).   | Once unless amended   | MoP 'any other document'   |
| 2017/01 | 11     | Threshold levels for encounters with VMEs  | Secretariat  | As above. To be disclosed in the measures referred to in a para 9 (1)  | Once unless amended   | MoP 'any other document'   |
| 2017/01 | 9 (3)  | Amendments to Interim bottom fishing measures  | Secretariat  | Within 30 days of amendment  | Following every amendment made to the measures disclosed in Para (2)              | MoP 'any other document'. To be disclosed at the next ordinary MoP   |
| 2017/01 | 12     | VME encounters above threshold levels established under para 11  | Secretariat  | 30 days prior to the next ordinary meeting of the SC   | As required   | National Report to the Scientific Committee  |
| 2017/01 | 13 (a) | Spatial extent of historic bottom fishing effort   | Secretariat  | 30 days prior to ordinary meeting of SC 2018   | Once in 2018  | SC Working Document  |
| 2017/01 | 13 (b) | Any other data useful for developing SIOFA BFIA  | Secretariat  | 30 days prior to ordinary meeting of SC 2018   | Once in 2018  | SC Working Document  |
| 2017/01 | 14 (a) | BFIA for individual bottom fishing activities  | Secretariat  | 30 days prior to ordinary meeting of SC 2018   | Once in 2018  | SC Working Document & Secretariat to post on SIOFA website in accordance with 18 (h)   |
| 2017/01 | 14 (b) | When not submitted pursuant to 14 (a) a BFIA for individual bottom fishing activities  | Secretariat  | 30 days prior to any ordinary SC meeting following 2018  | Once - if not submitted in 2018 until MoP adopts fishing footprint and SIOFA BFIA | SC Working Document & Secretariat to post on SIOFA website   |
| 2017/01 | 19 (a) | For any state or fishing entity that becomes a Contracting Party, CNCP or PFE after the MoP in 2017 - advise of the measures to be taken pursuant to para 9 (1)(a)(i),(ii),(iii) and (iv). | Secretariat  | Prior to authorising vessels to bottom fish in the agreement area  | Once following becoming a Contracting Party, CNCP or PFE                          | MoP 'any other document'   |
| 2017/01 | 19 (b) | For any state or fishing entity that becomes a Contracting Party, CNCP or PFE after the MoP in 2017 - data related to para 13 and a BFIA as outlined in para 14                            | Secretariat  | 30 days prior to an ordinary meeting of SC after becoming a Contracting Party, CNCP or PFE   | Once following becoming a Contracting Party, CNCP or PFE                          | SC Working Document. If SC advises BFIA is an appropriate standard in accordance with para 15, Secretariat to post BFIA on SIOFA website                 |
| 2017/01 | 21     | Proposal to bottom fish at a variance with established measures  | Secretariat  | 30 days prior to next ordinary meeting of SC   | Annually if applicable  | SC Working document  |
| 2017/01 | 33 (b) | Proposal to use an electronic observer program   | Secretariat  | 30 days prior to an ordinary meeting of SC   | As required   | SC Working document  |
| 2017/02 | 6      | Vessel catch and effort data   | Secretariat  | 31 May each year   | Annually  | Report in accordance with Annex A  |
| 2017/02 | 7      | Annual Catch summaries   | Secretariat  | 31 May each year   | Annually  | Report in accordance with Article 7  |
| 2017/02 | 8      | National Report  | Secretariat  | 30 days prior to each ordinary meeting of SC   | Annually  | Report in accordance with SC guidelines for submission of national reports   |
| 2017/02 | 9      | Historical catch and effort Data   | Secretariat  | 31 January 2017  | Once  | Report in accordance with format of relevant annexes   |
| 2017/02 | 10     | Historical catch and effort Data in national waters (voluntary)  | Secretariat  | No date specified  | Once  | Secretariat requests in accordance with format of relevant annexes   |
| 2017/02 | 13     | Scientific Observer Data (voluntary)   | Secretariat  | 31 May each year   | Annually  | For data collected - in accordance with Annex B  |
| 2017/02 | 14 c.  | Annual Data Verification Report  | Secretariat  | 30 days prior to each ordinary meeting of SC   | Annually  | National Report to the Scientific Committee  |
| 2016/04 | 5      | Sightings of vessels suspected of, or confirmed to be, without nationality that may be fishing in the Agreement Area   | Secretariat  | As soon as possible following a sighting   | As required   | No format specified. Secretariat to circulate ASAP to all Contacting Parties, CNCPs and PFEs and provide a report to the next ordinary meeting of the CC |
| 2016/05 | 4      | Implementation of CMM 2016/05  | Secretariat  | For existing Contracting Parties, CNCPs or PFEs - 14 days prior to 2017 CC meeting.<br>For new Contracting Parties, CNCPs or PFEs - 14 days prior to the first Compliance Committee meeting after the agreement enters into force for that State or their CNCP or PFE status becomes effective | Once  | No format specified  |
| 2016/06 | 1      | List of vessels presumed to be carrying out IUU activities in the Area   | Secretariat  | 120 days prior to each ordinary MoP  | Annually as required  | No format specified  |
| 2016/06 | 2      | Notification to flag State of any vessels included in list 2016/06 1   | Directly to the Flag State of vessel(s) or through the Secretariat | As same time as 2016/06 1 report   | Annually as required  | No format specified  |
| 2017/07 | 2      | List of vessels authorised to operate within the Agreement Area  | Secretariat  | For existing Contracting Parties, CNCPs or PFEs - prior entry into force 18/10/16 of CMM 2016/07   | Once  | Electronically in accordance with 2016/07 2  |

|         |        |  |   |   |   |  |
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| 2017/07 | 4      | List of vessels authorised to operate within the Agreement Area  | Secretariat                                     | For new members - within 30 days of becoming a Contracting Party, CNCP or PFE | Once  | Electronically in accordance with 2016/07 2  |
| 2017/07 | 3      | Updates to vessel data contained in list 2016/07 2   | Secretariat                                     | within 15 days after any modification   | As required   | Electronically in accordance with 2016/07 2  |
| 2017/07 | 8      | Evidence showing reasonable grounds for suspecting vessels not registered on SIOFA Record of Authorised Vessels operating in the Agreement Area.                               | Secretariat                                     | As soon as is practicable   | As required   | No format specified  |
| 2017/08 | 2      | Designation of ports to which foreign vessels may request entry, associated conditions of entry and period of notice required.   | Secretariat                                     | 30 days from the date of entry of CMM 2017/08                                 | Once  | No format specified. Secretariat to maintain register of ports and accompanying information to be posted on SIOFA website  |
|         |        | Any subsequent changes to this information.  |   | 30 day before the changes take effect   | As required   | No format specified. Secretariat to update register  |
| 2017/08 | 5      | Alternative notification period for foreign vessels to enter port than that provided in para 5 (48 hours)  | Secretariat                                     | Without delay   | Once  | No format specified. Secretariat to update register  |
|         |        | Any subsequent changes to the requirements for port entry  |   | 30 day before the changes take effect   | As required   |  |
| 2017/08 | 8      | Denial of entry to port of a foreign vessel  | Flag State & Secretariat                        | Secretariat requests promptly   | As required   | No format specified. Secretariat to put information on the SIOFA website   |
| 2017/08 | 14     | Denial of use of port by a foreign vessel  | Flag State & Secretariat                        | Promptly  | As required   | No format specified. Secretariat to put information on the SIOFA website   |
| 2017/08 | 24     | Inspection reports   | Competent authority of the vessel & Secretariat | Secretariat requests promptly   | Following each inspection                           | In accordance with Annex IV.   |
| 2017/08 | 28     | Actions taken in respect of own flagged vessels as a result of measures taken in pursuant to CMM 2017/08 determined to have engaged in IUU fishing                             | Secretariat                                     | Secretariat requests promptly   | Following actions taken                             | No format specified  |
| 2017/08 | 29     | A report of actions taken against own flagged vessels pursuant to paragraph 27 following receipt of an inspection report indicating clear grounds of engagement in IUU fishing | Secretariat                                     | 14 days prior to next CC meeting  | Annually as required                                | No format specified  |
| 2017/09 | 2      | Co-operation and contact points as listed in para 2  | Secretariat                                     | Before 30 days have passed following adoption of CMM 2017/09                  | Once  | No format specified. Secretariat to put details of contact points and any changes on the SIOFA website   |
|         |        | Subsequent changes to the contact points   |   | Without delay   | As required   |  |
| 2017/09 | 6 (f)  | Retrieval of lost or abandoned gear - report to consist of information referred to in paragraphs 6 (d) and ( e)  | Secretariat                                     | Without delay   | As required   | No format specified. If consent from Contracting Party, CNP or PFE is provided, Secretariat to put information on the SIOFA website.                                 |
| 2017/09 | 10     | Sightings and identifications of non-Contracting Party, non-CNCP and non-PFE vessels - report to consist of information referred to in paragraph 9                             | Secretariat                                     | As soon as is practical   | As required   | No format specified. Secretariat to forward this information for consideration of further action as required by Article 17 of the agreement at the next ordinary MoP |
| 2017/10 | 9      | VMS reports and messages   | Secretariat                                     | Secretariat suggests as appropriate or as requested                           | Secretariat suggests as appropriate or as requested | In accordance with Annex C of CMM 2017/02  |
| 2017/10 | 12 (d) | Sea transshipment notifications referred to in paragraphs 12 (a) and (b)   | Secretariat                                     | Without delay   | As required   | In accordance with Transshipment Notification Annex I. Secretariat to make available on the secure section of the SIOFA website                                      |
| 2017/10 | 12 (g) | Transshipment Logsheet compiled by observer.   | Secretariat                                     | No later than 15 day of disembarkment of observer.                            | As required   | In accordance with Transshipment Logsheet Annex II. Secretariat to make available on the secure section of the SIOFA website   |
| 2017/10 | 13     | Transfer at Sea Notification   | Secretariat                                     | Without delay   | As required   | In accordance with Transfer at Sea Notification Annex IV. Secretariat to make available on the secure section of the SIOFA website                                   |
| 2017/10 | 22     | Report of transshipments and at sea transfers providing information listed in paragraph 22   | Secretariat                                     | 30 days before each ordinary Meeting of the Parties                           | Annually as applicable                              | Not specified. To be considered by the Compliance Committee  |