 **CC‐07‐09**

**7th Meeting of the Compliance Committee (CC7)** *Ravenala Attitude Hotel, Balaclava, Mauritius 28‐30 June 2023*

# CC‐07‐09

Proposal to amend CMM 2019/10 (Monitoring) - REV1

Delegation of the European Union

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| **Document type** | working paper ✔information paper ☐ |
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| **Abstract** |
| At its 35th session from 5 to 9 September 2022, the FAO endorsed Voluntary Guidelines on transhipment to assist States, regional fisheries management organizations (RFMOs), and other intergovernmental organizations by providing standards for developing their policies and regulations that govern transhipment, with a view to integrating these in their regulatory frameworks.The purpose of this proposal is to align SIOFA’s rules on transhipment set out in CMM 2019/10 (Monitoring) with these Guidelines. The main changes proposed are to:‐ Require vessels to have authorisation from their competent authorities prior to undertaking transhipments at sea;‐ Prohibit vessels from engaging in transhipments at sea and in port with vessels that are included in the IUU vessel lists of CCAMLR or RFMOs;‐ Prohibit transhipments in port with vessels that are not included on the SIOFA Record of Authorised Vessels;‐ Require 100% observer coverage on board receiving vessels;‐ Require competent authorities to transmit the Transhipment Declaration to the Secretariat after the transhipment has been completed;‐ Require competent authorities to take appropriate follow‐up action in the event that an observer reports discrepancies in the data reported by unloading or receiving vessels or inthe event of possible non‐compliance with SIOFA CMMs; |

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2 Documents available only to members invited to closed sessions.

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| ‐ Introduce stowage requirements for receiving vessels;‐ Address the situation of transhipments or transfers at sea in cases of force majeure or distress;‐ Require the Secretariat to report annually on the implementation of the CMM;‐ Update the information requirements in Annexes I to VI. |
| **Recommendations** |
| 1. CC7 to recommend the adoption of the proposed amendments to CMM 2019/10. |

**CMM 2019/103**

**Conservation and Management Measure for the Monitoring of Fisheries in the Agreement Area (Monitoring)**

**The Meeting of the Parties to the Southern Indian Ocean Fisheries Agreement;**

7T7T*RECALLING* Article 6(1)(h) of the Agreement calls of the Meeting of the Parties to develop rules and procedures for the monitoring, control and surveillance of fishing activities in order to ensure compliance with conservation and management measures adopted by the Meeting of the Parties including, where appropriate, a system of verification incorporating vessel monitoring and observation;

7T7T*MINDFUL* of Article 18(3)(e) of the *Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks (UNFSA)* which outlines the duties of the flag State are to take measures to ensure recording and timely reporting of vessel position, catch of target and non-target species, fishing effort and other relevant fisheries data;

*N*7T7T *OTING* Article 18(3)(f) and (h) of UNFSA relating to the regulation of transshipment on the high seas;

7T7T*BEARING IN MIND* that transshipment at sea is a common global practice, but that unregulated and unreported transshipment of catches of fishery resources, in particular on the high seas, contributes to distorted reporting of catches of such stocks and supports illegal, unreported and unregulated (IUU) fishing in the SIOFA Area of Application (the Agreement Area);

*NOTING* the Voluntary Guidelines for Transshipment endorsed by the Committee on Fisheries of the Food and Agriculture Organization of the United Nations (FAO) at its thirty-fifth session from 5 to 9 September 2022, which seek to assist States, regional fisheries management organizations (RFMOs), and other intergovernmental organizations by providing standards for developing their policies and regulations that govern transshipment, with a view to integrating these in their regulatory frameworks;

# *ADOPTS* the following Conservation and Management Measure (CMM) in accordance with Article 6 of the Agreement:

**Information on fishing activities**

1. Each Contracting Party, cooperating non-Contracting Party and participating fishing entity (CCP) shall ensure that its vessels maintain either an electronic fishing logbook or a bound fishing logbook containing the information relevant for their compliance with the data collection and submission requirements of CMM 2022/02 with consecutively numbered pages.
2. Each CCP shall ensure:
	1. that vessels flying its flag submit the fishing logbook data within 30 days of the completion of a fishing trip in the Agreement Area to its competent authority;
	2. data referred to in sub-paragraph a. are submitted in accordance with CMM 2022/02 and maintained in accordance with CMM 2016/03; and
	3. the quantities of catch recorded on vessels flying its flag correspond to the quantities of catch kept on board.

3 CMM 2019/10 (Monitoring) supersedes CMM 2018/10 (Monitoring). Obsolete references have been updated by 2022 technical edits.

1. Each CCP shall cooperate with any reasonable request from other CCPs for any information contained in the fishing logbooks from the preceding 12 months for the purposes of control.

# Vessel Monitoring System (VMS)

1. Each CCP shall ensure that all fishing vessels flying its flag that are operating in the Agreement Area are fitted with an operational automatic location communicator (ALC) unit reporting back to its competent authority.
2. CCPs shall ensure that ALC units on vessels flying their flag remain operational at all times while in the Agreement Area.
3. CCPs shall develop, implement and improve systems to maintain a record of all vessel position information reported through VMS and logbooks, in relation to vessels flying their flags while these vessels are in the Agreement Area, such that this information may be used to document vessel activity in the Agreement Area, and to validate fishing position information provided by those vessels.
4. CCPs are encouraged to share VMS data where it is requested from another CCP in support of patrol or surveillance activities. Each CCP shall not use any information received in accordance with this paragraph for other purposes.
5. CCPs shall ensure that:

VMS position reports are transmitted at least once every 2 hours from each fishing vessel flying their flag and included in the SIOFA Record of Authorised Vessels, while operating in the Agreement Area;

* 1. under normal satellite navigation operating conditions, positions derived from the data reported shall be accurate to within 100m;
	2. VMS position reports include at least the following information:

|  |  |  |
| --- | --- | --- |
| Category | Data | Remarks |
| Vessel information | Static unique | For example, FAO 3 alpha or 2 alpha, country code |
|  |  | followed by national vessel registration number |
| Activity detail | Latitude | Position latitude (decimal degrees, to the nearest0.01 degrees) |
| Longitude | Position longitude (decimal degrees, to the nearest0.01 degrees) |
| Message | Date | Position date (UTC) |
| Time | Position time (UTC) |
| Speed | Vessel speed at time of position (knots) |
| Course | Vessel course at time of position (degrees) |

* 1. its vessels do not enter the Agreement Area and commence operations with a defective ALC.
1. In the event of a technical failure or non-operation of the ALC fitted on board a vessel:
	1. the device shall be repaired or replaced within a month. After this period, the vessel shall not be authorised to begin a new trip with a defective ALC. If the trip is lasting more than one month, the repair or the replacement shall take place as soon as practicable after the vessel enters a port. If the ALC has not been repaired or replaced within 90 days, the CCP shall order the vessel to cease fishing, stow all fishing gear and return immediately to port in order to undertake repairs. The vessel shall not be authorised to begin a new trip without a ALC having been repaired or replaced; ~~and~~
	2. the master of the fishing vessel shall manually communicate to its Fisheries Monitoring Centre (FMC), at least every four hours, reports by other means of communication (such as email, facsimile, telex, telephone message or radio). Such reports must include, *inter alia,* information required in paragraph 8 b; and

~~b.~~c. no transshipments at sea shall be authorized and initiated unless and until the reporting requirements and procedures established in sub-paragraph b are complied with.

1. Each CCP shall ensure that the ALCs fitted on board vessels flying its flag are tamper resistant, that is, are of a type and configuration that prevent the input or output of false positions, and that they are not capable of being over-ridden, whether manually, electronically or otherwise. To this end, the ALC must:
	1. be located within a sealed unit; and
	2. be protected by official seals (or mechanisms) of a type that will indicate whether the unit has been accessed or tampered with.
2. Each flag CCP shall ensure that any VMS reports and messages transmitted to the Secretariat shall

be in accordance with the data exchange format of CMM 2022/02 Annex C.

1. VMS reports transmitted pursuant to paragraph 11 shall not be treated as “public domain data” for the purposes of CMM 2016/03. The procedures for the safeguarding of records described in CMM 2016/03 shall similarly apply to all VMS data held by the Secretariat.
2. In order to cost-effectively and continuously monitor the movements of fishing vessels authorised by CCPs to fish in the Agreement Area and to, *inter alia*, support the implementation of SIOFA CMMs, the Meeting of the Parties shall at the latest by its ordinary meeting in 2020 develop specifications and proposed rules and procedures for the establishment of a SIOFA VMS for consideration by the Meeting of the Parties.

# Entry‐ Exit reports

1. CCPs shall require their vessels or relevant authorities to notify the Secretariat, by email or other means of communication, within 24 hours, in the format provided in Annex I, of each entry to or exit from the Agreement Area of vessels authorised to fish for species managed by SIOFA flying its flag, in accordance with CMM 2022/07.

# ~~Interim regime for a~~Monitoring of at sea transshipments and transfers

14bis. Each CCP shall ensure that vessels flying its flag only undertake transshipments at sea of fishery resources if they have prior authorization from their competent authority.

1. Each CCP shall ensure that vessels flying its flag only undertake transshipments at sea of fishery resources, with other vessels included on the SIOFA Record of Authorised Vessels.

15bis. Each CCP shall ensure that vessels flying its flag do not undertake transshipments at sea of fishery resources with vessels included in the IUU vessel lists established by CCAMLR or any regional fisheries management organization.

1. Each CCP shall ensure, for each vessel flying its flag undertaking an at sea transshipment operation, that:
	1. the vessel notifies its competent authority at least 7 days in advance of a 14-day period during which the at sea transshipment is scheduled to occur.
	2. the vessel notifies its competent authority 24 hours in advance of the estimated time during which the at sea transshipment will occur.
	3. the notifications referred to in sub-paragraphs a. and b. ~~shall~~ include the relevant information available regarding the at sea transshipment in accordance with Transshipment Notification Annex II.
	4. its competent authority transmits the notifications referred to in sub-paragraphs a. and b. to the Secretariat without delay.
	5. an impartial and qualified observer ~~that it has authorised is either~~ is deployed on board ~~the~~ every receiving vessel ~~or the unloading vessel, who shall to the extent possible~~ to monitor the transshipment ~~and to the extent possible completes the logsheet as set out in~~ .

Electronic monitoring can be implemented as an alternative or to complement the deployment of human observers.

* 1. the observer assigned to their vessel collect and record the information of each transhipment operation as indicated in the Transshipment Logsheet in Annex III ~~relating to quantities of the species (FAO~~ ~~species/group code/scientific name) of any fishery resources being transshipped~~.
	2. the masters of the vessel provides the observer on board full access to all operational areas

of the vessel necessary to complete their duties, including the fish holds, production areas, crew, nets or other gear, equipment, and any documents related with the catch retained on board.

~~e.~~h. ~~an~~ the observer referred to sub-paragraphs e. and f. provides a copy of the information collected as indicated in the Transshipment Logsheet to the competent authority of the observed vessel.

~~f.~~i. its competent authority submits the observer data referred to in sub-paragraph f. to the Secretariat, no later than 15 days from debarkation of the observer.

1. the vessel notifies all of the operational details to its competent authority, ~~as specified in~~ ~~a~~using the Transshipment Declaration in Annex IV, within 24 hours following the transshipment.
2. its competent authority transmits the Transshipment Declaration referred to in sub- paragraph j. to the Secretariat without delay.

~~g.~~l. where its competent authority authorizes the vessel operator to transmit the Transshipment Declaration referred to in sub-paragraph j. directly to the Secretariat, the vessel operator directs any requests for clarifications from the Secretariat to its competent authority.

1. Each CCP shall ensure, for each vessel flying its flag undertaking an at sea transfer operation of fuel, crew, gear or any other supplies, either as an unloading or receiving vessel, where one or both vessels has been involved or intends to undertake fishing for fishery resources in the Agreement Area during that trip~~, other than in the case of emergencies~~, that:
	1. the vessel notifies its competent authority at least 24 hours in advance of the planned transfer.
	2. the notifications ~~shall~~ include the relevant information available regarding the transfer in accordance with Transfer Notification Annex V.
	3. the competent authority ~~shall~~ transmit the notification to the Secretariat without delay. d. the vessel notifies all of the operational details to its competent authority, as specified in

Transfer Declaration Annex VI, within 24 hours following the transfer.

~~d.~~e. the competent authority transmits the Transfer Declaration referred to in sub-paragraph d. to the Secretariat without delay.

~~g.~~l. where its competent authority authorizes the vessel operator to transmit the Transfer Declaration referred to in sub-paragraph d. directly to the Secretariat, the vessel operator directs any requests for clarifications from the Secretariat to its competent authority.

1. The Secretariat shall make all information provided under paragraphs 16 and 17 available on the secure section of the SIOFA website as soon as possible.
2. Each CCP with a vessel flying its flag involved in a transshipment or transfer at sea shall take the appropriate measures to verify the accuracy of the information received in accordance with paragraphs 16 and 17 and take appropriate follow-up action in the event that an observer reports discrepancies in the data reported by the masters of the unloading or receiving vessels or in the event of possible non-compliance with SIOFA CMMs.

19bis. Each CCP with a vessel flying its flag that receives fishery resources at sea from more than one unloading vessel shall ensure that such receiving vessel:

* 1. stores the fishery resources and related documentation from each unloading vessel separately, allowing to distinguish from which unloading vessel each part of the fishery resources on board comes, including through the use of canvas or nets to separate fishery resources on board and/or appropriate labelling for boxes.
	2. maintains an up-to-date stowage plan and other documents showing the location and quantities of species received from each unloading vessel and retains these on board until the vessel has been unloaded completely.
	3. makes available the information referred to in sub-paragraph b. to its competent authority and to the relevant port State authorities when required.

19ter. Nothing in this measure shall prevent vessels from undertaking transshipments or transfers at sea in cases of force majeure or distress, in which case those vessels shall report their transshipment or transfer activities to their competent authorities and the Secretariat, as appropriate, in accordance with the relevant procedures and templates established in paragraphs 16 and 17, after the transshipment or transfer has been completed, including information on the circumstances giving rise to the force majeure or distress.

# Monitoring of transshipments in ports

1. Each CCP shall ensure that a vessel flying its flag that is carrying fishery resources shall only transship in a port if it has prior authorisation from its competent authority and the port State.

20bis. Each CCP shall ensure that vessels flying its flag carrying fishery resources transship in port only with other vessels flying the flag of a CCP and do not transship in port with vessels included in the IUU vessel lists established by CCAMLR or any regional fisheries management organization.

1. For each transshipment of fishery resources in port, the competent authority of the CCP of the unloading vessel shall notify, at least 24 hours in advance, the following information to the competent authority of the port State and~~, if known,~~ to the competent authority of the receiving vessel:
	1. the date, time and port of transshipment;
	2. the name and flag of the unloading transshipping vessel;
	3. ~~if known,~~ the name and flag of the receiving vessel; ~~and~~
	4. the weight of fishery resources (Kg) by species (FAO 3-alpha species code/group code/scientific name) to be transshipped;.
	5. the weight of fishery resources (Kg) by species (FAO 3-alpha species code/group code/scientific name) on board prior to the transshipment; and

~~d.~~f. an up-to-date stowage plan and other documents showing the location and quantities per species of the fishery resources on board.

1. Where applicable, the competent authority of a CCP of a receiving vessel shall inform the competent authority of the port State of the quantities of fishery resources on board the vessel 24 hours before the transshipment and again 24 hours after the transshipment.
2. The CCP of the unloading vessel shall require that the vessel submits a Transshipment Declaration in accordance with the format set out in Annex IV to its competent authority, and that of the port State within 24 hours of the transshipment, and also provides a copy to the receiving vessel.
3. Where applicable, the competent authority of the CCP of a receiving vessel shall, 48 hours before a landing of the transshipped fishery resources, submit a copy of the received Transshipment Declaration to the competent authority of the port State where the landing takes place.
4. Each CCP with a vessel flying its flag involved in the transshipment in port shall take the appropriate measures to verify the accuracy of the information received in accordance with paragraphs 21 to 24 and take appropriate follow-up action in the event of discrepancies in the data

reported by the masters of the unloading or receiving vessels or in the event of possible non- compliance with SIOFA CMMs.

# Reporting of transshipments and at sea transfers

1. As part of its CCP Compliance Report under paragraph 12 of CMM 2020/11 (Compliance Monitoring Scheme), ~~E~~each CCP shall provide annually the following information, as applicable, to the Secretariat for consideration by the Compliance Committee in relation to each transshipment and at sea transfer by vessels flying its flag that ~~takes~~ took place during the previous calendar year in accordance with paragraphs 15 to 25:
	1. date, time and location of transshipment or transfer in accordance with the specifications in CMM 2022/02 (Data Standards);
	2. names of vessels, flag States and registration number/call sign of the transshipping vessels or transferring vessels;
	3. tonnage of any fishery resources, including species/group name (FAO 3-alpha species code/group code/scientific name) transshipped;
	4. type and description of transfers; and
	5. any other relevant information.

26bis. The Secretariat shall report annually on the implementation of this CMM. As regards transshipments and at sea transfers, the report shall include information, aggregated at CCP level, about the number of events, locations, quantities of fishery resources (by species, product form and catch area) transshipped and transferred, which shall be made publicly available on the SIOFA website.

1. ~~Until such a time as a compliance monitoring scheme is adopted, the information of paragraph 26~~ ~~shall be submitted to the Secretariat at least one month before each ordinary Meeting of the~~ ~~Parties, in relation to activities in the past 12 months~~. This CMM shall enter into force on 1 January 2024.

**ANNEX I**

**REQUIREMENTS FOR VESSEL ENTRY AND EXIT NOTIFICATIONS**

|  |  |
| --- | --- |
| **Information required** | ***Remarks:*** |
| Vessel name | *Name of the vessel* |
| ~~Entry or exit~~ |  |
| Vessel flag State | *State where the vessel is registered (3-alpha country code)* |
| IMO number | *IMO number of the vessel* |
| Registration number | *External registration number* |
| Radio call sign | *International radio call sign of the vessel* |
| ~~Vessel flag State~~ |  |
| Entry or exit | *“ENTRY” or “EXIT”; free text message* |
| Latitude | *Position at the time of transmission (decimal degrees, to the**nearest 0.01 degrees)* |
| Longitude | *Position at the time of transmission (decimal degrees, to the nearest 0.01 degrees)* |
| Date | *UTC ~~D~~date of ~~entry or exit~~transmission from the vessel (YYYY- MON-DDT)* |
| Time | *UTC time of transmission from the (hh:mm)~~Time of entry or exit~~**~~in UTC~~* |
| Activity in the Agreement Area (intended activity on entry OR activity carried out prior to exit) | *Fishing (FAO 3-alpha species code of targeted species), transiting, ~~or~~ transshipping or transferring* |
|  |  |
| Observer on board | *“YES” or “NO”* |
| Heading (optional) | *Vessel heading when entering OR exiting the area (from 0° to 359°)* |

**ANNEX II**

**SIOFA TRANSSHIPMENT AT SEA PRIOR NOTIFICATION**

The following information shall be provided to the competent authority/ies of the unloading and receiving vessels 7 days in advance of a 14 day window, and again 24 hours in advance of a transshipment at sea.

|  |
| --- |
| **I. DETAILS OF THE NOTIFYING VESSEL** |
| Notifying vessel is UNLOADING / RECEIVING vessel (strike out as appropriate) |
| **II. DETAILS OF THE UNLOADING FISHING VESSEL** |
| Name of vessel |  |
| Vessel flag State | *State where the vessel is registered (3–alpha country code)* |
| IMO number |  |
| Registration number | *External registration number if available, or national registration number* |
| Radio call sign | *International radio call sign of the vessel* |
| ~~Vessel flag State~~ |  |
| ~~IMO number~~ |  |
| ~~Master’s name and nationality~~ |  |
| Vessel owner and/or company information | *Name, complete address, email, phone number* |
| Vessel contact information (name, address, email and phone number) | *Master name, nationality, address, email, phone number* |
| **III. DETAILS OF THE RECEIVING FISHING VESSEL** |
| Name of vessel | *Name of the vessel~~Name of the vessel~~* |
| Vessel flag State | *State where the vessel is registered (3–alpha country code)* |
| IMO number | *IMO number of the vessel* |
| Registration number | *External registration number if available, or national registration number* |
| Radio call sign | *International radio call sign of the vessel* |
| ~~IMO number~~ |  |
| ~~Master’s name and nationality~~ |  |
| Vessel owner / company information | *Last name, name / complete address / email / fax/phone number* |
| Vessel contact information | *Master name / nationality / address / email / fax/phone number* |
| **IV. DETAILS OF TRANSSHIPMENT** |

|  |  |
| --- | --- |
| Transshipment authorisation identifier, if any | *Transshipment authorisation identifier, if assigned* |
| Transshipment authorisation issued by | *Name and email of the competent authority* |
| Transshipment authorisation validity period | *Start and end date UTC of the transshipment authorisation (YYYY-MON-DDT)-(YYYY-MON-DDT)* |
| Estimated date of transshipment | *Predicted date UTC when the master intends to conduct the transshipment (YYYY-MON-DDT)* |
| Estimated start time of transshipment | *Predicted time UTC when the master intends to start the transshipment (hh:mm)* |
| Estimated end time of transshipment | *Predicted time UTC for the ending of transshipment (hh:mm)* |
| Estimated location of transshipment ~~(latitude/longitude in decimal degrees, to~~ ~~the nearest 0.01 degrees)~~ | *Estimated position where the master intends to conduct the transshipment expressed as latitude/longitude in decimal degrees, to the nearest 0.01 degrees* |
| ***Fishery resources to be transshipped*** |
| ~~Species to be transshipped (FAO~~ ~~species/group code/scientific name)~~ |  |
| Quantities to be ~~(Kg)~~ transshipped* Species

* Catch area

* Weight

* Product form
 | *FAO 3-alpha species code**RFMO/A /geographical location / FAO Statistical Area Weight in Kilograms**Preservation type and presentation type* |
| Number of units ~~(boxes/packages) to be~~ ~~transshipped~~ | *Boxes / packages* |
| Net ~~W~~weight of a unit ~~(Kg)~~ | *Weight in Kilogram* |
| ***Fishery resources to remain on board after transshipment*** |
| Quantities |  |
| * Species

* Catch area

* Weight

* Product form
 | *FAO 3-alpha species code**RFMO/A /geographical location / FAO Statistical Area Weight in Kilograms**Preservation type and presentation type* |
| Number of units ~~(boxes/packages)~~ | *Boxes / packages* |
| Net weight of a unit | *Weight in Kilograms* |
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**ANNEX III**

**SIOFA TRANSSHIPMENT AT SEA LOGSHEET**

The following information shall be provided by the observer to the competent authority of the vessel

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| **I. DETAILS OF THE OBSERVER** |
| Observer onboard the UNLOADING / RECEIVING vessel (strike out as appropriate) |
| Observer name ~~and date of birth~~ |  |
| Observer nationality |  |
| Observer competent authority | *name, address, email and phone number* |
|  |  |
| **II. DETAILS OF THE UNLOADING FISHING VESSEL** |
| Name of vessel |  |
| Registration number | *External registration number if available, or national registration number* |
| Radio call sign | *International radio call sign of the vessel* |
| Vessel flag State | *State where the vessel is registered (3–alpha country code)* |
| IMO number |  |
| Master’s name and nationality |  |
| **III. DETAILS OF THE RECEIVING FISHING VESSEL** |
| Name of vessel |  |
| Registration number | *External registration number if available, or national registration number* |
| Radio call sign | *International radio call sign of the vessel* |
| Vessel flag State | *State where the vessel is registered (3–alpha country code)* |
| IMO number |  |
| Master’s name and nationality |  |
| **IV. DETAILS OF TRANSSHIPMENT** |
| Transshipment authorisation identifier, if any |  |
| Transshipment authorisation issued by | *name and email of competent authority* |
| Transshipment authorisation validity period | *Start and end date UTC of the transshipment authorisation (YYYY-MON-DDT)-(YYYY-MON-DDT)* |

|  |  |
| --- | --- |
| Date of transshipment ~~(UTC)~~ | *Date UTC when the transshipment was conducted (YYYY-MON-DDT)* |
| Start t~~T~~ime of transshipment ~~(UTC)~~ | *Time UTC when the transshipment started (hh:mm)* |
| End time of transshipment | *Time UTC when the transshipment ended (hh:mm)* |
| Location of transshipment ~~(latitude/longitude in decimal degrees, to~~ ~~the nearest 0.01 degrees)~~ | *Position where the transshipment was conducted, expressed as latitude/longitude in decimal degrees, to the nearest 0.01 degrees* |
| ***Fishery resources transshipped*** |
| ~~Species transshipped (FAO species/group~~ ~~code/scientific name)~~ |  |
| Quantities ~~(Kg) transshipped~~ |  |
| * Species

* Catch area

* Weight

* Product form
 | *FAO 3-alpha species code**RFMO/A /geographical location / FAO Statistical Area Weight in Kilograms**Preservation type and presentation type* |
| Number of units ~~(boxes/packages)~~ ~~transshipped~~ | *Boxes / packages* |
| Net ~~W~~weight of a unit ~~(Kg)~~ | *Weight in Kilogram* |
|  |
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| **V. SIGNATURE** |
| Observer’s name and signature |  |
| Remarks or observations, if any |  |

**ANNEX IV**

**SIOFA TRANSSHIPMENT AT SEA/ IN PORT DECLARATION**

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| --- |
| In port |**Y/N** | (strike out as appropriate) Name of port | | ~~Country of p~~Port State | | |
| At Sea| **Y/N** | (strike out as appropriate) Latitude/Longitude | | (expressed in decimal degrees, to the nearest 0.01 degrees) |
| Declaring vessel is **UNLOADING** or **RECEIVING** ~~(only applicable for at-sea transshipments)~~ Vessel (strike out as appropriate) |
| Name of declaring vessel: Name of other vessel: |
| ~~Radio Call sign~~IMO number of declaring vessel:  ~~Radio Call sign~~IMO number of other vessel: |
| Radio Call sign of declaring vessel: Radio Call sign of other vessel: |
| External identification of declaring vessel: External identification of other vessel: |
| Flag State of declaring vessel:: Flag State of other vessel: |
| Transshipment authorization identifier: |
| Transshipment authorisation issued by (name and email of competent authority): |
| Start of transshipment operation day/month/year | | | | | | | | | from (time: hour/minutes, UTC) | | |
| End of transshipment operation day/month/year | | | | | | | | | to (time: hour/minutes, UTC) | | |
|  |
| Declaring master’s name & ~~date of birth~~nationality: Signature: Date: |
|  |
| **TRANSSHIPPED ~~CATCH~~FISHERY RESSOURCES** |
| Species(FAO 3-alpha species code/group code/scientific name and catch area(s)/RFMO/geographical location/FAO Statistical Area) | Product form (preservation & ~~P~~presentation) 1: | | | Product form (preservation & p~~P~~resentation) 2: | | | Product form (preservation & ~~Presentation~~ presentation) 3:| | | **TOTAL****Weight (Kg)** |
|  | No units: | Weight per unit: | Total weight: | No. units: | Weight per unit: | Total weight: | No. units: | Weight per unit: | Total weight: |  |
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| **TOTAL** |  |  |  |  |  |  |  |  |  |  |
| **FISHERY RESSOURCES REMAINING ON BOARD AFTER TRANSSHIPMENT** |
| Species(FAO 3-alpha species code/group code/scientific name and catch area(s)/RFMO/geographical location/FAO Statistical Area) | Product form (preservation & presentation) 1: | | | Product form (preservation & presentation) 2: | | | Product form (preservation & presentation) 3: | | | **TOTAL****Weight (Kg)** |
|  | No units: | Weight per unit: | Total weight: | No. units: | Weight per unit: | Total weight: | No. units: | Weight per unit: | Total weight: |  |
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| **TOTAL** |  |  |  |  |  |  |  |  |  |  |

**ANNEX V**

**SIOFA TRANSFER AT SEA NOTIFICATION**

The following Information shall be provided to the competent authority of the declaring vessel 24 hours in advance of a transfer at sea.

|  |
| --- |
| **I. DETAILS OF THE DECLARING VESSEL** |
| Name of vessel |  |
| Registration number |  |
| Radio call sign | *International radio call sign of the vessel* |
| Vessel flag State | *State where the vessel is registered (3–alpha country code)* |
| IMO number |  |
| Master’s name and nationality |  |
| **II. DETAILS OF THE OTHER VESSEL** |
| Name of vessel |  |
| Registration number | *External registration number if available, or national registration number* |
| Radio call sign | *International radio call sign of the**vessel* |
| Vessel flag State | *State where the vessel is registered (3–alpha country code)* |
| IMO number |  |
| Master’s name and nationality |  |
| **III. DETAILS OF TRANSFER** |
| Estimated date of transfer ~~(UTC)~~ | *Predicted date UTC when the**master intends to conduct the transfer (YYYY-MON-DDT)* |
| Estimated time of transfer ~~(UTC)~~ | *Predicted time UTC when the master intends to conduct the**transshipment (hh:mm)* |
| Estimated location of transfer ~~(latitude/longitude in~~ ~~decimal degrees, to the nearest 0.01 degrees)~~ | *Estimated position where the master intends to conduct the transfer expressed as latitude/longitude in decimal**degrees, to the nearest 0.01 degrees* |



|  |  |
| --- | --- |
| Type and quantity received: ~~(fuel (liters), crew~~ ~~(number), gear (number), supplies (kg/tonnes/units)~~ etc)* fuel

* crew

* gear

* supplies

* other
 | *Liters number number**Kilograms, tonnes, units* |
| Type and quantity unloaded ~~(fuel (liters), crew~~ ~~(number), gear, supplies etc)~~* fuel

* crew

* gear

* supplies

* other
 | *Liters number number**Kilograms, tonnes, units* |
| **IV. SIGNATURE** |
| Name of master |  |
|  |  |
|  |  |
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**ANNEX VI**

**SIOFA TRANSFER AT SEA DECLARATION**

The following Information shall be provided to the competent authority of the declaring vessel within 24 hours following a transfer at sea.

|  |
| --- |
| **I. DETAILS OF THE DECLARING VESSEL** |
| Name of vessel |  |
| Registration number | *External registration number if available, or national registration number* |
| Radio call sign | *International radio call sign of the**vessel* |
| Vessel flag State | *State where the vessel is registered (3–alpha country code)* |
| IMO number |  |
| Master’s name and nationality |  |
| **II. DETAILS OF THE OTHER VESSEL** |
| Name of vessel |  |
| Registration number | *External registration number if available, or national registration number* |
| Radio call sign | *International radio call sign of the**vessel* |
| Vessel flag State | *State where the vessel is registered (3–alpha country code)* |
| IMO number |  |
| Master’s name and nationality |  |
| **III. DETAILS OF TRANSFER** |
| Date of transfer ~~(UTC)~~ | *Date UTC when transfer was conducted (YYYY-MON-DDT)* |
| Time of transfer ~~(UTC)~~ | *Time UTC when the transfer was conducted (hh:mm)* |
| Location of transfer ~~(latitude/longitude in decimal degrees, to the~~ ~~nearest 0.01 degrees)~~ | *Position where the transfer was conducted, expressed as latitude/longitude in decimal degrees, to the nearest 0.01**degrees* |
| Type and quantity received ~~(fuel (liters), crew (number), gear~~ ~~(number), supplies (kg/tonnes/units) etc)~~ |  |



|  |  |
| --- | --- |
| * fuel

* crew

* gear

* supplies

* other
 | *Liters number number**Kilograms, tonnes, units* |
| Type and quantity unloaded ~~(fuel (liters), crew (number), gear,~~ ~~supplies etc)~~* fuel

* crew

* gear

* supplies

* other
 | *Liters number number**Kilograms, tonnes, units* |
| **IV. SIGNATURE** |
| Name of master |  |
| Signature master |  |
| Name of the observer |  |
| Signature of the observer |  |
| Remarks or observations |  |